

# PREA Facility Audit Report: Final

**Name of Facility:** Lincoln Correctional Center

**Facility Type:** Prison / Jail

**Date Interim Report Submitted:** 03/14/2026

**Date Final Report Submitted:** 04/20/2026

Auditor Certification	
The contents of this report are accurate to the best of my knowledge.	<input type="checkbox"/>
No conflict of interest exists with respect to my ability to conduct an audit of the agency under review.	<input type="checkbox"/>
I have not included in the final report any personally identifiable information (PII) about any inmate/resident/detainee or staff member, except where the names of administrative personnel are specifically requested in the report template.	<input type="checkbox"/>
<b>Auditor Full Name as Signed:</b> Aaron C. Uldricks	<b>Date of Signature:</b> 04/20/2026

AUDITOR INFORMATION	
<b>Auditor name:</b>	Uldricks, Aaron
<b>Email:</b>	confinementsolutions@gmail.com
<b>Start Date of On-Site Audit:</b>	01/20/2026
<b>End Date of On-Site Audit:</b>	01/21/2026

FACILITY INFORMATION	
<b>Facility name:</b>	Lincoln Correctional Center
<b>Facility physical address:</b>	464 Roper Drive, Lincolnton, North Carolina - 28092
<b>Facility mailing address:</b>	

Primary Contact
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<b>Name:</b>	Michael Hatcher
<b>Email Address:</b>	michae.l.hatcher@dac.nc.gov
<b>Telephone Number:</b>	704-654-5323

<b>Warden/Jail Administrator/Sheriff/Director</b>	
<b>Name:</b>	Patricia Blackburn
<b>Email Address:</b>	Patricia.Blackburn@dac.nc.gov
<b>Telephone Number:</b>	1-704-578-0156

<b>Facility PREA Compliance Manager</b>	
<b>Name:</b>	Bobbie Jo Williams
<b>Email Address:</b>	bobbiejo.williams@dac.nc.gov
<b>Telephone Number:</b>	(704) 654-5323
<b>Name:</b>	Michael Hatcher
<b>Email Address:</b>	michael.l.hatcher@dac.nc.gov
<b>Telephone Number:</b>	7046545323

<b>Facility Characteristics</b>	
<b>Designed facility capacity:</b>	228
<b>Current population of facility:</b>	228
<b>Average daily population for the past 12 months:</b>	224
<b>Has the facility been over capacity at any point in the past 12 months?</b>	No
<b>What is the facility's population designation?</b>	Men/boys
<b>Age range of population:</b>	18 to 99

<b>Facility security levels/inmate custody levels:</b>	minimum
<b>Does the facility hold youthful inmates?</b>	No
<b>Number of staff currently employed at the facility who may have contact with inmates:</b>	57
<b>Number of individual contractors who have contact with inmates, currently authorized to enter the facility:</b>	0
<b>Number of volunteers who have contact with inmates, currently authorized to enter the facility:</b>	191

<b>AGENCY INFORMATION</b>	
<b>Name of agency:</b>	North Carolina Department of Adult Correction
<b>Governing authority or parent agency (if applicable):</b>	
<b>Physical Address:</b>	214 West Jones Street , Raleigh , North Carolina - 27603
<b>Mailing Address:</b>	
<b>Telephone number:</b>	9198252739

<b>Agency Chief Executive Officer Information:</b>	
<b>Name:</b>	
<b>Email Address:</b>	
<b>Telephone Number:</b>	

<b>Agency-Wide PREA Coordinator Information</b>			
<b>Name:</b>	Charlotte Jordan-Williams	<b>Email Address:</b>	charlotte.williams@dac.nc.gov

# Facility AUDIT FINDINGS

## Summary of Audit Findings

The OAS automatically populates the number and list of Standards exceeded, the number of Standards met, and the number and list of Standards not met.

Auditor Note: In general, no standards should be found to be "Not Applicable" or "NA." A compliance determination must be made for each standard. In rare instances where an auditor determines that a standard is not applicable, the auditor should select "Meets Standard" and include a comprehensive discussion as to why the standard is not applicable to the facility being audited.

### Number of standards exceeded:

1

- 115.11 - Zero tolerance of sexual abuse and sexual harassment; PREA coordinator

### Number of standards met:

44

### Number of standards not met:

0

## POST-AUDIT REPORTING INFORMATION

Please note: Question numbers may not appear sequentially as some questions are omitted from the report and used solely for internal reporting purposes.

### GENERAL AUDIT INFORMATION

#### On-site Audit Dates

1. Start date of the onsite portion of the audit:	2026-01-20
2. End date of the onsite portion of the audit:	2026-01-21

#### Outreach

10. Did you attempt to communicate with community-based organization(s) or victim advocates who provide services to this facility and/or who may have insight into relevant conditions in the facility?	<input checked="" type="radio"/> Yes <input type="radio"/> No
a. Identify the community-based organization(s) or victim advocates with whom you communicated:	Lincoln County Coalition Against Domestic Violence (LCCA VD)

### AUDITED FACILITY INFORMATION

14. Designated facility capacity:	228
15. Average daily population for the past 12 months:	224
16. Number of inmate/resident/detainee housing units:	8
17. Does the facility ever hold youthful inmates or youthful/juvenile detainees?	<input type="radio"/> Yes <input checked="" type="radio"/> No <input type="radio"/> Not Applicable for the facility type audited (i.e., Community Confinement Facility or Juvenile Facility)

**Audited Facility Population Characteristics on Day One of the Onsite Portion of the Audit**

**Inmates/Residents/Detainees Population Characteristics on Day One of the Onsite Portion of the Audit**

<b>23. Enter the total number of inmates/residents/detainees in the facility as of the first day of onsite portion of the audit:</b>	223
<b>25. Enter the total number of inmates/residents/detainees with a physical disability in the facility as of the first day of the onsite portion of the audit:</b>	0
<b>26. Enter the total number of inmates/residents/detainees with a cognitive or functional disability (including intellectual disability, psychiatric disability, or speech disability) in the facility as of the first day of the onsite portion of the audit:</b>	0
<b>27. Enter the total number of inmates/residents/detainees who are Blind or have low vision (visually impaired) in the facility as of the first day of the onsite portion of the audit:</b>	0
<b>28. Enter the total number of inmates/residents/detainees who are Deaf or hard-of-hearing in the facility as of the first day of the onsite portion of the audit:</b>	8
<b>29. Enter the total number of inmates/residents/detainees who are Limited English Proficient (LEP) in the facility as of the first day of the onsite portion of the audit:</b>	1
<b>30. Enter the total number of inmates/residents/detainees who identify as lesbian, gay, or bisexual in the facility as of the first day of the onsite portion of the audit:</b>	2

<p><b>31. Enter the total number of inmates/residents/detainees who identify as transgender or intersex in the facility as of the first day of the onsite portion of the audit:</b></p>	<p>0</p>
<p><b>32. Enter the total number of inmates/residents/detainees who reported sexual abuse in the facility as of the first day of the onsite portion of the audit:</b></p>	<p>0</p>
<p><b>33. Enter the total number of inmates/residents/detainees who disclosed prior sexual victimization during risk screening in the facility as of the first day of the onsite portion of the audit:</b></p>	<p>0</p>
<p><b>34. Enter the total number of inmates/residents/detainees who were ever placed in segregated housing/isolation for risk of sexual victimization in the facility as of the first day of the onsite portion of the audit:</b></p>	<p>0</p>
<p><b>35. Provide any additional comments regarding the population characteristics of inmates/residents/detainees in the facility as of the first day of the onsite portion of the audit (e.g., groups not tracked, issues with identifying certain populations):</b></p>	<p>No text provided.</p>
<p><b>Staff, Volunteers, and Contractors Population Characteristics on Day One of the Onsite Portion of the Audit</b></p>	
<p><b>36. Enter the total number of STAFF, including both full- and part-time staff, employed by the facility as of the first day of the onsite portion of the audit:</b></p>	<p>57</p>
<p><b>37. Enter the total number of VOLUNTEERS assigned to the facility as of the first day of the onsite portion of the audit who have contact with inmates/residents/detainees:</b></p>	<p>191</p>

<p><b>38. Enter the total number of CONTRACTORS assigned to the facility as of the first day of the onsite portion of the audit who have contact with inmates/residents/detainees:</b></p>	<p>0</p>
<p><b>39. Provide any additional comments regarding the population characteristics of staff, volunteers, and contractors who were in the facility as of the first day of the onsite portion of the audit:</b></p>	<p>No text provided.</p>
<p><b>INTERVIEWS</b></p>	
<p><b>Inmate/Resident/Detainee Interviews</b></p>	
<p><b>Random Inmate/Resident/Detainee Interviews</b></p>	
<p><b>40. Enter the total number of RANDOM INMATES/RESIDENTS/DETAINEES who were interviewed:</b></p>	<p>13</p>
<p><b>41. Select which characteristics you considered when you selected RANDOM INMATE/RESIDENT/DETAINEE interviewees: (select all that apply)</b></p>	<p> <input type="checkbox"/> Age  <input checked="" type="checkbox"/> Race  <input checked="" type="checkbox"/> Ethnicity (e.g., Hispanic, Non-Hispanic)  <input type="checkbox"/> Length of time in the facility  <input checked="" type="checkbox"/> Housing assignment  <input type="checkbox"/> Gender  <input type="checkbox"/> Other  <input type="checkbox"/> None </p>
<p><b>42. How did you ensure your sample of RANDOM INMATE/RESIDENT/DETAINEE interviewees was geographically diverse?</b></p>	<p>Auditor selected offenders randomly from facility roster to include different races, ethnicities, and housing units.</p>
<p><b>43. Were you able to conduct the minimum number of random inmate/resident/detainee interviews?</b></p>	<p> <input checked="" type="radio"/> Yes  <input type="radio"/> No </p>

<b>44. Provide any additional comments regarding selecting or interviewing random inmates/residents/detainees (e.g., any populations you oversampled, barriers to completing interviews, barriers to ensuring representation):</b>	No text provided.
<b>Targeted Inmate/Resident/Detainee Interviews</b>	
<b>45. Enter the total number of TARGETED INMATES/RESIDENTS/DETAINEES who were interviewed:</b>	11
<p>As stated in the PREA Auditor Handbook, the breakdown of targeted interviews is intended to guide auditors in interviewing the appropriate cross-section of inmates/residents/detainees who are the most vulnerable to sexual abuse and sexual harassment. When completing questions regarding targeted inmate/resident/detainee interviews below, remember that an interview with one inmate/resident/detainee may satisfy multiple targeted interview requirements. These questions are asking about the number of interviews conducted using the targeted inmate/resident/detainee protocols. For example, if an auditor interviews an inmate who has a physical disability, is being held in segregated housing due to risk of sexual victimization, and disclosed prior sexual victimization, that interview would be included in the totals for each of those questions. Therefore, in most cases, the sum of all the following responses to the targeted inmate/resident/detainee interview categories will exceed the total number of targeted inmates/residents/detainees who were interviewed. If a particular targeted population is not applicable in the audited facility, enter "0".</p>	
<b>47. Enter the total number of interviews conducted with inmates/residents/detainees with a physical disability using the "Disabled and Limited English Proficient Inmates" protocol:</b>	0
<b>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</b>	<input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.  <input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.

<p><b>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</b></p>	<p>Auditor spoke with the PREA compliance manager, program staff, and medical staff. This targeted category of offenders did not appear to be at the facility during the onsite phase of the audit.</p>
<p><b>48. Enter the total number of interviews conducted with inmates/residents/detainees with a cognitive or functional disability (including intellectual disability, psychiatric disability, or speech disability) using the "Disabled and Limited English Proficient Inmates" protocol:</b></p>	<p>0</p>
<p><b>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</b></p>	<p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>
<p><b>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</b></p>	<p>Auditor spoke with the PREA compliance manager, program staff, and medical staff. This targeted category of offenders did not appear to be at the facility during the onsite phase of the audit.</p>
<p><b>49. Enter the total number of interviews conducted with inmates/residents/detainees who are Blind or have low vision (i.e., visually impaired) using the "Disabled and Limited English Proficient Inmates" protocol:</b></p>	<p>0</p>

<p><b>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</b></p>	<p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>
<p><b>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</b></p>	<p>Auditor spoke with the PREA compliance manager, program staff, and medical staff. This targeted category of offenders did not appear to be at the facility during the onsite phase of the audit.</p>
<p><b>50. Enter the total number of interviews conducted with inmates/residents/detainees who are Deaf or hard-of-hearing using the "Disabled and Limited English Proficient Inmates" protocol:</b></p>	<p>8</p>
<p><b>51. Enter the total number of interviews conducted with inmates/residents/detainees who are Limited English Proficient (LEP) using the "Disabled and Limited English Proficient Inmates" protocol:</b></p>	<p>1</p>
<p><b>52. Enter the total number of interviews conducted with inmates/residents/detainees who identify as lesbian, gay, or bisexual using the "Transgender and Intersex Inmates; Gay, Lesbian, and Bisexual Inmates" protocol:</b></p>	<p>2</p>
<p><b>53. Enter the total number of interviews conducted with inmates/residents/detainees who identify as transgender or intersex using the "Transgender and Intersex Inmates; Gay, Lesbian, and Bisexual Inmates" protocol:</b></p>	<p>0</p>

<p><b>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</b></p>	<p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>
<p><b>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</b></p>	<p>Auditor spoke with the PREA compliance manager, program staff, and medical staff. This targeted category of offenders did not appear to be at the facility during the onsite phase of the audit.</p>
<p><b>54. Enter the total number of interviews conducted with inmates/residents/detainees who reported sexual abuse in this facility using the "Inmates who Reported a Sexual Abuse" protocol:</b></p>	<p>0</p>
<p><b>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</b></p>	<p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>
<p><b>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</b></p>	<p>Auditor spoke with the PREA compliance manager, program staff, and medical staff. This targeted category of offenders did not appear to be at the facility during the onsite phase of the audit.</p>
<p><b>55. Enter the total number of interviews conducted with inmates/residents/detainees who disclosed prior sexual victimization during risk screening using the "Inmates who Disclosed Sexual Victimization during Risk Screening" protocol:</b></p>	<p>0</p>

<p><b>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</b></p>	<p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>
<p><b>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</b></p>	<p>Auditor spoke with the PREA compliance manager and program staff. This targeted category of offenders did not appear to be at the facility during the onsite phase of the audit.</p>
<p><b>56. Enter the total number of interviews conducted with inmates/residents/detainees who are or were ever placed in segregated housing/isolation for risk of sexual victimization using the "Inmates Placed in Segregated Housing (for Risk of Sexual Victimization/Who Allege to have Suffered Sexual Abuse)" protocol:</b></p>	<p>0</p>
<p><b>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</b></p>	<p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>
<p><b>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</b></p>	<p>Auditor spoke with the PREA compliance manager, program staff, and the warden. This targeted category of offenders did not appear to be at the facility during the onsite phase of the audit.</p>

<b>57. Provide any additional comments regarding selecting or interviewing targeted inmates/residents/detainees (e.g., any populations you oversampled, barriers to completing interviews):</b>	No text provided.
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**Staff, Volunteer, and Contractor Interviews**

**Random Staff Interviews**

<b>58. Enter the total number of RANDOM STAFF who were interviewed:</b>	12
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<b>59. Select which characteristics you considered when you selected RANDOM STAFF interviewees: (select all that apply)</b>	<input type="checkbox"/> Length of tenure in the facility <input checked="" type="checkbox"/> Shift assignment <input checked="" type="checkbox"/> Work assignment <input type="checkbox"/> Rank (or equivalent) <input checked="" type="checkbox"/> Other (e.g., gender, race, ethnicity, languages spoken) <input type="checkbox"/> None
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<b>If "Other," describe:</b>	Auditor selected staff randomly for interviews based on shift assignments, work assignments, gender, and race.
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<b>60. Were you able to conduct the minimum number of RANDOM STAFF interviews?</b>	<input checked="" type="radio"/> Yes <input type="radio"/> No
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<b>61. Provide any additional comments regarding selecting or interviewing random staff (e.g., any populations you oversampled, barriers to completing interviews, barriers to ensuring representation):</b>	No text provided.
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**Specialized Staff, Volunteers, and Contractor Interviews**

Staff in some facilities may be responsible for more than one of the specialized staff duties. Therefore, more than one interview protocol may apply to an interview with a single staff member and that information would satisfy multiple specialized staff interview requirements.

<b>62. Enter the total number of staff in a SPECIALIZED STAFF role who were interviewed (excluding volunteers and contractors):</b>	11
<b>63. Were you able to interview the Agency Head?</b>	<input checked="" type="radio"/> Yes <input type="radio"/> No
<b>64. Were you able to interview the Warden/Facility Director/Superintendent or their designee?</b>	<input checked="" type="radio"/> Yes <input type="radio"/> No
<b>65. Were you able to interview the PREA Coordinator?</b>	<input checked="" type="radio"/> Yes <input type="radio"/> No
<b>66. Were you able to interview the PREA Compliance Manager?</b>	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> NA (NA if the agency is a single facility agency or is otherwise not required to have a PREA Compliance Manager per the Standards)

**67. Select which SPECIALIZED STAFF roles were interviewed as part of this audit from the list below: (select all that apply)**

- Agency contract administrator
- Intermediate or higher-level facility staff responsible for conducting and documenting unannounced rounds to identify and deter staff sexual abuse and sexual harassment
- Line staff who supervise youthful inmates (if applicable)
- Education and program staff who work with youthful inmates (if applicable)
- Medical staff
- Mental health staff
- Non-medical staff involved in cross-gender strip or visual searches
- Administrative (human resources) staff
- Sexual Assault Forensic Examiner (SAFE) or Sexual Assault Nurse Examiner (SANE) staff
- Investigative staff responsible for conducting administrative investigations
- Investigative staff responsible for conducting criminal investigations
- Staff who perform screening for risk of victimization and abusiveness
- Staff who supervise inmates in segregated housing/residents in isolation
- Staff on the sexual abuse incident review team
- Designated staff member charged with monitoring retaliation
- First responders, both security and non-security staff
- Intake staff

	<input type="checkbox"/> Other
<b>68. Did you interview VOLUNTEERS who may have contact with inmates/residents/detainees in this facility?</b>	<input checked="" type="radio"/> Yes <input type="radio"/> No
<b>a. Enter the total number of VOLUNTEERS who were interviewed:</b>	2
<b>b. Select which specialized VOLUNTEER role(s) were interviewed as part of this audit from the list below: (select all that apply)</b>	<input type="checkbox"/> Education/programming <input type="checkbox"/> Medical/dental <input type="checkbox"/> Mental health/counseling <input checked="" type="checkbox"/> Religious <input type="checkbox"/> Other
<b>69. Did you interview CONTRACTORS who may have contact with inmates/residents/detainees in this facility?</b>	<input type="radio"/> Yes <input checked="" type="radio"/> No
<b>70. Provide any additional comments regarding selecting or interviewing specialized staff.</b>	No text provided.

## SITE REVIEW AND DOCUMENTATION SAMPLING

### Site Review

PREA Standard 115.401 (h) states, "The auditor shall have access to, and shall observe, all areas of the audited facilities." In order to meet the requirements in this Standard, the site review portion of the onsite audit must include a thorough examination of the entire facility. The site review is not a casual tour of the facility. It is an active, inquiring process that includes talking with staff and inmates to determine whether, and the extent to which, the audited facility's practices demonstrate compliance with the Standards. Note: As you are conducting the site review, you must document your tests of critical functions, important information gathered through observations, and any issues identified with facility practices. The information you collect through the site review is a crucial part of the evidence you will analyze as part of your compliance determinations and will be needed to complete your audit report, including the Post-Audit Reporting Information.

<p><b>71. Did you have access to all areas of the facility?</b></p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p>
<p><b>Was the site review an active, inquiring process that included the following:</b></p>	
<p><b>72. Observations of all facility practices in accordance with the site review component of the audit instrument (e.g., signage, supervision practices, cross-gender viewing and searches)?</b></p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p>
<p><b>73. Tests of all critical functions in the facility in accordance with the site review component of the audit instrument (e.g., risk screening process, access to outside emotional support services, interpretation services)?</b></p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p>
<p><b>74. Informal conversations with inmates/residents/detainees during the site review (encouraged, not required)?</b></p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p>
<p><b>75. Informal conversations with staff during the site review (encouraged, not required)?</b></p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p>
<p><b>76. Provide any additional comments regarding the site review (e.g., access to areas in the facility, observations, tests of critical functions, or informal conversations).</b></p>	<p>No text provided.</p>
<p><b>Documentation Sampling</b></p>	
<p>Where there is a collection of records to review-such as staff, contractor, and volunteer training records; background check records; supervisory rounds logs; risk screening and intake processing records; inmate education records; medical files; and investigative files-auditors must self-select for review a representative sample of each type of record.</p>	
<p><b>77. In addition to the proof documentation selected by the agency or facility and provided to you, did you also conduct an auditor-selected sampling of documentation?</b></p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p>

**78. Provide any additional comments regarding selecting additional documentation (e.g., any documentation you oversampled, barriers to selecting additional documentation, etc.).**

No text provided.

## **SEXUAL ABUSE AND SEXUAL HARASSMENT ALLEGATIONS AND INVESTIGATIONS IN THIS FACILITY**

### **Sexual Abuse and Sexual Harassment Allegations and Investigations Overview**

Remember the number of allegations should be based on a review of all sources of allegations (e.g., hotline, third-party, grievances) and should not be based solely on the number of investigations conducted. Note: For question brevity, we use the term “inmate” in the following questions. Auditors should provide information on inmate, resident, or detainee sexual abuse allegations and investigations, as applicable to the facility type being audited.

**79. Total number of SEXUAL ABUSE allegations and investigations overview during the 12 months preceding the audit, by incident type:**

	<b># of sexual abuse allegations</b>	<b># of criminal investigations</b>	<b># of administrative investigations</b>	<b># of allegations that had both criminal and administrative investigations</b>
<b>Inmate-on-inmate sexual abuse</b>	1	0	1	0
<b>Staff-on-inmate sexual abuse</b>	0	0	0	0
<b>Total</b>	1	0	1	0

**80. Total number of SEXUAL HARASSMENT allegations and investigations overview during the 12 months preceding the audit, by incident type:**

	<b># of sexual harassment allegations</b>	<b># of criminal investigations</b>	<b># of administrative investigations</b>	<b># of allegations that had both criminal and administrative investigations</b>
<b>Inmate-on-inmate sexual harassment</b>	2	0	2	0
<b>Staff-on-inmate sexual harassment</b>	2	0	2	0
<b>Total</b>	4	0	4	0

**Sexual Abuse and Sexual Harassment Investigation Outcomes**

**Sexual Abuse Investigation Outcomes**

Note: these counts should reflect where the investigation is currently (i.e., if a criminal investigation was referred for prosecution and resulted in a conviction, that investigation outcome should only appear in the count for “convicted.”) Do not double count. Additionally, for question brevity, we use the term “inmate” in the following questions. Auditors should provide information on inmate, resident, and detainee sexual abuse investigation files, as applicable to the facility type being audited.

**81. Criminal SEXUAL ABUSE investigation outcomes during the 12 months preceding the audit:**

	Ongoing	Referred for Prosecution	Indicted/ Court Case Filed	Convicted/ Adjudicated	Acquitted
<b>Inmate-on-inmate sexual abuse</b>	0	0	0	0	0
<b>Staff-on-inmate sexual abuse</b>	0	0	0	0	0
<b>Total</b>	0	0	0	0	0

**82. Administrative SEXUAL ABUSE investigation outcomes during the 12 months preceding the audit:**

	Ongoing	Unfounded	Unsubstantiated	Substantiated
<b>Inmate-on-inmate sexual abuse</b>	0	1	0	0
<b>Staff-on-inmate sexual abuse</b>	0	0	0	0
<b>Total</b>	0	1	0	0

**Sexual Harassment Investigation Outcomes**

Note: these counts should reflect where the investigation is currently. Do not double count. Additionally, for question brevity, we use the term "inmate" in the following questions. Auditors should provide information on inmate, resident, and detainee sexual harassment investigation files, as applicable to the facility type being audited.

**83. Criminal SEXUAL HARASSMENT investigation outcomes during the 12 months preceding the audit:**

	Ongoing	Referred for Prosecution	Indicted/ Court Case Filed	Convicted/ Adjudicated	Acquitted
<b>Inmate-on-inmate sexual harassment</b>	0	0	0	0	0
<b>Staff-on-inmate sexual harassment</b>	0	0	0	0	0
<b>Total</b>	0	0	0	0	0

**84. Administrative SEXUAL HARASSMENT investigation outcomes during the 12 months preceding the audit:**

	Ongoing	Unfounded	Unsubstantiated	Substantiated
<b>Inmate-on-inmate sexual harassment</b>	0	0	2	0
<b>Staff-on-inmate sexual harassment</b>	1	0	1	0
<b>Total</b>	1	0	3	0

**Sexual Abuse and Sexual Harassment Investigation Files Selected for Review**

**Sexual Abuse Investigation Files Selected for Review**

**85. Enter the total number of SEXUAL ABUSE investigation files reviewed/ sampled:**

1

<p><b>86. Did your selection of SEXUAL ABUSE investigation files include a cross-section of criminal and/or administrative investigations by findings/outcomes?</b></p>	<p><input type="radio"/> Yes</p> <p><input checked="" type="radio"/> No</p> <p><input type="radio"/> NA (NA if you were unable to review any sexual abuse investigation files)</p>
<p><b>Inmate-on-inmate sexual abuse investigation files</b></p>	
<p><b>87. Enter the total number of INMATE-ON-INMATE SEXUAL ABUSE investigation files reviewed/sampled:</b></p>	<p>1</p>
<p><b>88. Did your sample of INMATE-ON-INMATE SEXUAL ABUSE investigation files include criminal investigations?</b></p>	<p><input type="radio"/> Yes</p> <p><input checked="" type="radio"/> No</p> <p><input type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual abuse investigation files)</p>
<p><b>89. Did your sample of INMATE-ON-INMATE SEXUAL ABUSE investigation files include administrative investigations?</b></p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual abuse investigation files)</p>
<p><b>Staff-on-inmate sexual abuse investigation files</b></p>	
<p><b>90. Enter the total number of STAFF-ON-INMATE SEXUAL ABUSE investigation files reviewed/sampled:</b></p>	<p>0</p>
<p><b>91. Did your sample of STAFF-ON-INMATE SEXUAL ABUSE investigation files include criminal investigations?</b></p>	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input checked="" type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual abuse investigation files)</p>

<p><b>92. Did your sample of STAFF-ON-INMATE SEXUAL ABUSE investigation files include administrative investigations?</b></p>	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input checked="" type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual abuse investigation files)</p>
<p><b>Sexual Harassment Investigation Files Selected for Review</b></p>	
<p><b>93. Enter the total number of SEXUAL HARASSMENT investigation files reviewed/sampled:</b></p>	<p>4</p>
<p><b>94. Did your selection of SEXUAL HARASSMENT investigation files include a cross-section of criminal and/or administrative investigations by findings/outcomes?</b></p>	<p><input type="radio"/> Yes</p> <p><input checked="" type="radio"/> No</p> <p><input type="radio"/> NA (NA if you were unable to review any sexual harassment investigation files)</p>
<p><b>Inmate-on-inmate sexual harassment investigation files</b></p>	
<p><b>95. Enter the total number of INMATE-ON-INMATE SEXUAL HARASSMENT investigation files reviewed/sampled:</b></p>	<p>2</p>
<p><b>96. Did your sample of INMATE-ON-INMATE SEXUAL HARASSMENT files include criminal investigations?</b></p>	<p><input type="radio"/> Yes</p> <p><input checked="" type="radio"/> No</p> <p><input type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual harassment investigation files)</p>
<p><b>97. Did your sample of INMATE-ON-INMATE SEXUAL HARASSMENT investigation files include administrative investigations?</b></p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual harassment investigation files)</p>

<b>Staff-on-inmate sexual harassment investigation files</b>	
<b>98. Enter the total number of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files reviewed/sampled:</b>	2
<b>99. Did your sample of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files include criminal investigations?</b>	<input type="radio"/> Yes <input checked="" type="radio"/> No <input type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual harassment investigation files)
<b>100. Did your sample of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files include administrative investigations?</b>	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual harassment investigation files)
<b>101. Provide any additional comments regarding selecting and reviewing sexual abuse and sexual harassment investigation files.</b>	No text provided.
<b>SUPPORT STAFF INFORMATION</b>	
<b>DOJ-certified PREA Auditors Support Staff</b>	
<b>102. Did you receive assistance from any DOJ-CERTIFIED PREA AUDITORS at any point during this audit? REMEMBER: the audit includes all activities from the pre-onsite through the post-onsite phases to the submission of the final report. Make sure you respond accordingly.</b>	<input type="radio"/> Yes <input checked="" type="radio"/> No

**Non-certified Support Staff**

**103. Did you receive assistance from any NON-CERTIFIED SUPPORT STAFF at any point during this audit? REMEMBER: the audit includes all activities from the pre-onsite through the post-onsite phases to the submission of the final report. Make sure you respond accordingly.**

Yes  
 No

**a. Enter the TOTAL NUMBER OF NON-CERTIFIED SUPPORT who provided assistance at any point during this audit:**

1

**AUDITING ARRANGEMENTS AND COMPENSATION**

**108. Who paid you to conduct this audit?**

The audited facility or its parent agency

My state/territory or county government employer (if you audit as part of a consortium or circular auditing arrangement, select this option)

A third-party auditing entity (e.g., accreditation body, consulting firm)

Other

<b>Standards</b>	
<b>Auditor Overall Determination Definitions</b>	
<ul style="list-style-type: none"> <li>• Exceeds Standard (Substantially exceeds requirement of standard)</li>   <li>• Meets Standard (substantial compliance; complies in all material ways with the stand for the relevant review period)</li>   <li>• Does Not Meet Standard (requires corrective actions)</li> </ul>	
<b>Auditor Discussion Instructions</b>	
<p>Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor’s analysis and reasoning, and the auditor’s conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.</p>	

<b>115.11</b>	<b>Zero tolerance of sexual abuse and sexual harassment; PREA coordinator</b>
	<b>Auditor Overall Determination:</b> Exceeds Standard
	<b>Auditor Discussion</b>
	<p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• Lincoln Correctional Center (LCC) Pre-Audit Questionnaire (PAQ) <ul style="list-style-type: none"> <li>◦ Facility response</li> <li>◦ North Carolina Department of Adult Correction (NCDAC) Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022</i></li> <li>◦ NCDAC Policy and Procedure PREA-100 <i>PREA Prevention Planning and Screening, dated 09.04.2025</i></li> <li>◦ LCC Procedure LCC-A .4900 <i>Sexual Abuse and Harassment, dated 01.29.2023</i></li> <li>◦ LCC Organizational Chart, <i>dated 11.18.2025</i></li> </ul> </li> <li>• Interview with PREA compliance manager (PCM)</li> <li>• Interview with PREA coordinator</li> </ul> <p><b>Reasoning and analysis:</b></p> <p><b>115.11(a)</b></p>

- Facility response:
  - The agency has a written policy mandating zero tolerance toward all forms of sexual abuse and sexual harassment in facilities it operates directly or under contract.
  - The facility has a policy outlining how it will implement the agency's approach to preventing, detecting, and responding to sexual abuse and sexual harassment.
  - The policy includes definitions of prohibited behaviors regarding sexual abuse and sexual harassment.
  - The policy includes sanctions for those found to have participated in prohibited behaviors.
  - The policy includes a description of agency strategies and responses to reduce and prevent sexual abuse and sexual harassment of offenders.
- North Carolina Department of Adult Correction (NCDAC) Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - DAC is committed to a standard of zero-tolerance of sexual abuse and sexual harassment toward offenders, by staff, contractors, volunteers, or by offenders.
  - Provides definitions of prohibited behaviors regarding sexual abuse and sexual harassment.
  - Explains sanctions for employees, contracting agents, volunteers, and offenders.
  - Includes the PREA Standards definitions for sexual abuse and sexual harassment.
- LCC Procedure LCC-A .4900 *Sexual Abuse and Harassment*:
  - Describes prevention efforts through staff training, offender education, screening; and detection efforts through multiple reporting options.
  - Outlines the facility's coordinated response efforts through actions to be taken by first responders, medical and mental health practitioners, investigators, PREA compliance manager (PCM), PREA support person (PSP), and facility/agency leadership.

**115.11(b)**

- Facility response:
  - The agency employs an upper-level, agency-wide PREA coordinator.
  - The PREA coordinator has sufficient time and authority to develop, implement, and oversee all facility efforts to comply with the PREA standards.
- NCDAC Organizational Chart - PREA coordinator position:
  - The PREA coordinator has the title of PREA Director and reports to the NCDAC Operations Chief Deputy Secretary.
- Interview with PREA coordinator:
  - Indicated sufficient time to manage all PREA-related responsibilities.
  - Oversees 56 PREA compliance managers (PCM). Interacts with PCM through continuous information sharing, trainings, and mock audits.
  - Develops and oversees a 30-day corrective action plan for a facility if a compliance issue is identified.
  - Supervises four PREA analysts and a PREA training coordinator who advise and assist facility staff by region with PREA compliance.

**115.11(c)**

- Facility response:
  - The facility has a designated PREA compliance manager (PCM).
  - The PCM has sufficient time and authority to coordinate the facility's PREA compliance efforts.

	<ul style="list-style-type: none"> <li>◦ The PCM title is facility compliance specialist and reports to the warden.</li> <li>• LCC Organizational Chart: <ul style="list-style-type: none"> <li>◦ PCM listed as the facility compliance specialist and reports to the warden.</li> </ul> </li> <li>• Interview with PREA compliance manager <ul style="list-style-type: none"> <li>◦ Indicated sufficient time to manage all PREA related responsibilities.</li> </ul> </li> </ul> <p><b>Determination:</b></p> <p>The facility exceeds the standard.</p> <ul style="list-style-type: none"> <li>• PREA coordinator’s framework for continual process improvement includes facility mock audits and corrective action plans.</li> </ul>
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<b>115.12</b>	<b>Contracting with other entities for the confinement of inmates</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• LCC PAQ <ul style="list-style-type: none"> <li>◦ Facility response</li> </ul> </li> <li>• Supplemental documentation <ul style="list-style-type: none"> <li>◦ The Center for Women <i>PREA Facility Audit Report: Final, dated 07.21.2025</i></li> </ul> </li> <li>• Interview with agency's contract administrator</li> </ul> <p><b>Reasoning and analysis:</b></p> <p><b>115.12(a)</b></p> <ul style="list-style-type: none"> <li>• Facility response: <ul style="list-style-type: none"> <li>◦ Zero contracts have been entered into or renewed by the agency for the confinement of its offenders with private agencies or other entities since the last PREA audit.</li> </ul> </li> </ul> <p><b>115.12(b)</b></p> <ul style="list-style-type: none"> <li>• Facility response: <ul style="list-style-type: none"> <li>◦ Any new contract or contract renewal requires the agency to monitor contractor's compliance.</li> <li>◦ Zero contracts have been entered into or renewed for the confinement of offenders since the last PREA audit.</li> </ul> </li> <li>• Interview with agency's contract administrator: <ul style="list-style-type: none"> <li>◦ PREA director indicated the agency has one contract with a non-profit entity for confinement of offenders.</li> <li>◦ Indicated monitoring of facility compliance through ongoing communication and annual review of compliance.</li> </ul> </li> </ul>

	<ul style="list-style-type: none"> <li>◦ Indicated obligation to comply with the PREA standards stated in contract and renewals.</li> <li>• The Center for Women <i>PREA Facility Audit Report: Final</i>: <ul style="list-style-type: none"> <li>◦ The facility's auditor determined the facility was compliant with 40 PREA Standards and exceeded one PREA Standard during the previous three-year cycle audit.</li> <li>◦ The facility is scheduled for a PREA audit during the current audit cycle.</li> </ul> </li> </ul> <p><b>Determination:</b></p> <p>The facility meets the standard.</p>
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<b>115.13</b>	<b>Supervision and monitoring</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• LCC PAQ <ul style="list-style-type: none"> <li>◦ Facility response</li> <li>◦ NCDAC Policy and Procedure PREA-100 <i>PREA Prevention Planning and Screening, dated 09.04.2025</i></li> <li>◦ North Carolina General Statute (NCGS) 143B-1458 <i>Security Staffing, undated</i></li> <li>◦ LCC Procedure LCC-A .1000 <i>Security Post Assignment, dated 02.10.2025</i></li> <li>◦ LCC Organizational Chart, dated 11.18.2025</li> <li>◦ LCC <i>115.13 PREA Staffing Analysis [2025], dated 07.15.2025</i></li> <li>◦ NCDAC <i>Prisons Post Chart - Lincoln Correctional Center, dated 07.29.2025</i></li> <li>◦ LCC Post Order .01 <i>OIC/Sergeant, dated 03.20.2025</i></li> <li>◦ LCC <i>Dorm Narrative Log Books, various dates</i></li> </ul> </li> <li>• Site review</li> <li>• Interview with warden</li> <li>• Interview with PCM</li> <li>• Interview with sergeant</li> </ul> <p><b>Reasoning and analysis:</b></p> <p><b>115.13(a)</b></p> <ul style="list-style-type: none"> <li>• Facility response: <ul style="list-style-type: none"> <li>◦ The agency requires each facility it operates to develop, document, and make its best efforts to comply on regular basis with a staffing plan that provides for adequate levels of staffing and, where applicable, video monitoring, to protect offenders against abuse.</li> <li>◦ Since the last PREA audit, the average daily number of offenders is 224.</li> <li>◦ Since the last PREA audit, the staffing plan was predicated on the average offender population of 224.</li> </ul> </li> <li>• North Carolina General Statute (NCGS) 143B-1458 <i>Security Staffing</i>:</li> </ul>

- The Division of Prisons of the Department of Adult Correction shall conduct:
    - On-site postaudits of every prison at least once every three years;
    - Regular audits of postaudit charts through the automated postaudit system; and
    - Other staffing audits as necessary.
- *NCDAC Prisons Post Chart – Lincoln Correctional Center:*
  - Defines facility post assignments for correctional officers and supervisors.
  - Accounts for offender housing, programming, vocational, recreational, visitation, medical, and other areas of the facility.
- LCC Procedure LCC-A .1000 *Security Post Assignment:*
  - Restated the language of the provision.
- LCC *Organizational Chart:*
  - Displayed 57 staffed positions and six open positions at LCC.
- Site review:
  - Observed staff assigned to all housing units, kitchen, programming, vocational, and other areas of the facility where offenders were present. Supervisors interacted regularly with staff and offenders. A combination of camera and mirror placements were deployed to eliminate previously identified blind spots.
- Interview with warden:
  - Indicated staffing levels are based on the total number of offenders and offender movement throughout the day. Staff are properly trained to deter and detect sexual abuse. Cameras and mirrors assist staff in monitoring and reducing blind spots.
  - Indicated the facility takes all factors related to offender safety into account and works to identify and address issues promptly.
  - Indicated compliance is monitored through the review of post order logs, daily shift narratives, and an annual staffing analysis review.
- Interview with PCM:
  - Indicated the assessment of adequate staffing levels and the need for video monitoring takes into account several factors including the number of offenders housed, programming, and other daily offender activities.

**115.13(b)**

- Facility response:
  - The facility has not deviated from the staffing plan.
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening:*
  - Each DAC work location shall document and justify all deviations from their plan in circumstances where the staffing plan is not complied with.
- Interview with warden:
  - Indicated staffing numbers have stayed above minimum level but any deviations would be documented on the monthly report and the shift narrative.

**115.13(c)**

- Facility response:
  - Annual agency/facility staffing plan reviews in collaboration with PREA coordinator to determine if adjustments are needed to:
    - staffing plan and patterns,
    - deployment of monitoring technology, or

- allocation of resources to ensure compliance with staffing plan.
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
  - Restated the language of the provision.
- LCC 115.13 *PREA Staffing Analysis [2025]*:
  - Staffing analysis evaluates a variety of factors including operational areas of the facility, composition of offender population, prevalence of substantiated and unsubstantiated incidents of sexual abuse, and the number of potentially violent infractions.
- Interview with PREA coordinator:
  - Indicated collaboration with warden and PCM for annual staffing plan assessments and adjustments.

**115.13(d)**

- Facility response:
  - Intermediate- or higher-level staff conduct unannounced rounds to identify and deter staff sexual abuse and sexual harassment.
  - Unannounced rounds are documented.
  - Unannounced rounds cover all shifts.
  - The facility prohibits staff from alerting other staff when unannounced rounds are being conducted.
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
  - Each DAC confinement or treatment facility shall implement a policy and practice for Wardens and/or the Facility Associate Wardens to conduct and document unannounced rounds to identify and deter sexual abuse and sexual harassment. Such rounds shall occur on all shifts weekly. The policy shall prohibit employees from alerting other employees that these rounds are occurring unless such announcement is related to the legitimate operational functions of the facility.
- LCC Post Order .01 *OIC/Sergeant*:
  - The OIC will make unannounced random rounds of assigned posts to ensure staff are alert and functioning in accordance with established policies and procedures. These inspections will be made a minimum of once per shift and documented in the OIC shift narrative.
- LCC Dorm Narrative Log Books:
  - Unannounced rounds are documented.
  - Unannounced rounds cover all shifts.
  - Supervisors varied times for unannounced rounds.
- Site review:
  - Auditor observed narrative log books for all dorms.
  - Narratives contained unannounced round entries by multiple supervisors.
- Interview with sergeant:
  - Indicated unannounced rounds are conducted on all shifts.
  - Indicated unannounced rounds are documented in a log book for each set of dorms.
  - Indicated unannounced rounds are conducted in a different order each time.

**Determination:**

The facility meets the standard.

	<p><b>Auditor Overall Determination:</b> Meets Standard</p>
	<p><b>Auditor Discussion</b></p>
	<p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• LCC PAQ <ul style="list-style-type: none"> <li>◦ Facility response</li> </ul> </li> <li>• Site review</li> </ul> <p><b>Reasoning and analysis:</b></p> <p><b>115.14(a)</b></p> <ul style="list-style-type: none"> <li>• Facility response: <ul style="list-style-type: none"> <li>◦ Not applicable - the facility does not house youthful offenders.</li> </ul> </li> <li>• Site Review: <ul style="list-style-type: none"> <li>◦ The auditor observed zero youthful offenders at LCC.</li> </ul> </li> </ul> <p><b>115.14(b)</b></p> <ul style="list-style-type: none"> <li>• Facility response: <ul style="list-style-type: none"> <li>◦ Not applicable - the facility does not house youthful offenders.</li> </ul> </li> </ul> <p><b>115.14(c)</b></p> <ul style="list-style-type: none"> <li>• Facility response: <ul style="list-style-type: none"> <li>◦ Not applicable - the facility does not house youthful offenders.</li> </ul> </li> </ul> <p><b>Determination:</b></p> <p>The standard is not applicable to the facility.</p>

<b>115.15</b>	<p><b>Limits to cross-gender viewing and searches</b></p>
	<p><b>Auditor Overall Determination:</b> Meets Standard</p>
	<p><b>Auditor Discussion</b></p>
	<p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• LCC PAQ <ul style="list-style-type: none"> <li>◦ Facility response</li> <li>◦ NCDAC Policy and Procedure PREA-100 <i>PREA Prevention Planning and Screening, dated 09.04.2025</i></li> <li>◦ NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy, dated 06.09.2022</i></li> <li>◦ LCC Procedure LCC-A .1500 <i>Operational Searches and Inspections, dated 02.26.2025</i></li> <li>◦ LCC Procedure LCC-A .1000 <i>Security Post Assignment, dated 02.10.2025</i></li> <li>◦ NCDAC Form OPA-T30 <i>Cross-Gender Viewing, Announcement, and</i></li> </ul> </li> </ul>

*Acknowledgement, dated 01.12.2023*

- LCC Dorm Narrative Log Books, various dates
- Site Review
- Interviews with random sample of staff
- Interviews with offenders

**Reasoning and analysis:**

**115.15(a)**

- Facility response:
  - The facility does not conduct cross-gender strip or cross-gender visual body cavity searches of offenders.
  - Zero cross-gender strip or cross-gender visual body cavity searches of offenders have been conducted in the past 12 months.
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
  - The facility shall not conduct cross-gender strip searches or cross-gender visual body cavity searches except in exigent circumstances or when performed by medical practitioners.
- LCC Procedure LCC-A .1000 *Security Post Assignment*:
  - Internal guidelines have been established by which gender specific security posts and assignments are designated and utilized based on balancing the security and privacy interests of staff, offenders and the general public.
- Site Review:
  - Screens or rooms with covered windows were used in receiving, medical, and other areas of the facility where offenders may be in a state of undress to obstruct possible cross-gender viewing by non-medical staff.

**115.15(b)**

- Facility response:
  - The facility does not house female offenders.

**115.15(c)**

- Facility response:
  - Facility policy requires all cross-gender strip and cross-gender visual body cavity searches be documented.
  - The facility does not house female offenders.
- LCC Procedure LCC-A .1500 *Operational Searches and Inspections*:
  - An Incident Report (DC-432) must be completed to document a body cavity search.
  - Offenders housed at male facilities will be strip searched by a male correctional officer, except in exigent circumstances as determined by the shift supervisor. In such cases, the staff conducting the search will thereafter submit a statement by witness form, explaining the exigent circumstances that justified the search exception. A supervisor will be responsible for completing an incident report.
- NCDAC Policy and Procedure PREA-100 NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
  - DAC facilities shall document cross-gender strip searches and cross-gender visual body cavity searches.

**115.15(d)**

- Facility response:
  - Facility policy and procedures enable offenders to shower, perform bodily functions, and change clothing without opposite-gender, non-medical staff viewing their breasts, buttocks, or genitalia, absent exigent circumstances or incidental to routine cell checks.
  - Facility policy and procedures require opposite gender staff to announce their presence when entering a housing unit.
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
  - Facility opposite-gender staff are required to announce their presence when entering a housing unit.
  - Facility enables offenders to shower, perform bodily functions, and change clothing without opposite-gender, non-medical staff viewing their breasts, buttocks, or genitalia, absent exigent circumstances or incidental to routine cell checks.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - When making unannounced rounds, an intermediate, or higher, level supervisor must make a cross-gender announcement (e.g., male or female on the block) upon entering the housing unit.
- LCC *Dorm Narrative Log Books*:
  - Included documentation of opposite gender announcements for all dorms.
- NCDAC Form OPA-T30 *Cross-Gender Viewing, Announcement, and Acknowledgement*:
  - Acknowledgement of requirement for opposite gender staff to announce their presence when entering a housing unit.
- Site review:
  - Auditor observed shower curtains across all openings to showers.
  - Female staff made loud cross-gender announcements when entering housing units.
  - Male staff made a loud announcement and checked bathroom and shower before female staff approached those areas.
  - Auditor viewed electronic monitoring of housing units and observed zero instances of areas where offenders were in a state of undress.
- Interviews with random sample of staff:
  - Indicated that female staff announce their presence when entering a housing unit.
  - Indicated that offenders are able to dress, shower, and toilet without being viewed by female staff.
- Interviews with offenders:
  - Indicated that female staff announce their presence when entering their housing unit.
  - Indicated that offenders are never naked in full view of female staff.

**115.15(e)**

- This provision is no longer applicable to the compliance finding.

**115.15(f)**

- This provision is no longer applicable to the compliance finding.

**Determination:**

The facility meets the standard.

<b>115.16</b>	<b>Inmates with disabilities and inmates who are limited English proficient</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• LCC PAQ <ul style="list-style-type: none"> <li>◦ Facility response</li> <li>◦ NCDAC Policy and Procedure PREA-100 <i>PREA Prevention Planning and Screening</i>, dated 09.04.2025</li> <li>◦ NCDAC Policy and Procedure E.2600 <i>Reasonable Accommodations for offenders with Disabilities</i>, dated 02.25.2025</li> <li>◦ NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy</i>, dated 06.09.2022</li> <li>◦ Acolad <i>Interpretation &amp; Translation Services "Access Contact Sheet"</i>, dated 12.2025</li> <li>◦ Form OPA-T102S <i>LAS DENUNCIAS RELACIONADAS CON LA PREA AYUDAN A PREVENIR LA VIOLENCIA SEXUAL</i>, dated 01.24.2026.</li> <li>◦ NCDAC Form OPA-130S <i>Ley Para La Eliminación De La Violación En Las Prisiones (PREA) Servicios De Apoyo</i>, dated 01.01.2023</li> <li>◦ NCDAC Policy and Procedure P.0400 <i>Non-English-Speaking Offender</i>, dated 10.10.2023</li> </ul> </li> <li>• Supplemental documentation <ul style="list-style-type: none"> <li>◦ NC Department of Administration (NCDOA) <i>Statewide Term Contract 961C Translation &amp; Interpretation Services</i>, exp. 12.31.2026</li> <li>◦ Form OPA-T100 <i>Person In Confinement of Under Supervision, Education Acknowledgement Form</i>, dated 01.19.2023</li> </ul> </li> <li>• Site review</li> <li>• Interview with agency head</li> <li>• Interviews with offenders with disabilities</li> <li>• Interviews with offenders who are limited English proficient</li> </ul> <p><b>Reasoning and analysis:</b></p> <p><b>115.16(a)</b></p> <ul style="list-style-type: none"> <li>• Facility response: <ul style="list-style-type: none"> <li>◦ The agency has established procedures that provide disabled offenders an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment.</li> </ul> </li> <li>• North Carolina Department of Adult Correction (NCDAC) Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy</i>: <ul style="list-style-type: none"> <li>◦ Appropriate provisions shall be made as necessary for offenders not fluent in English, persons with disabilities and those with low literacy levels.</li> </ul> </li> <li>• NCDAC Policy and Procedure PREA-100 <i>PREA Prevention Planning and Screening</i>: <ul style="list-style-type: none"> <li>◦ Effective communication with deaf or hard of hearing offenders by providing access to interpreters.</li> <li>◦ Written materials are in formats or through methods that ensure effective communication with offenders with disabilities.</li> </ul> </li> <li>• NCDAC Policy and Procedure E.2600 <i>Reasonable Accommodations for offenders with Disabilities</i>:</li> </ul>

- Eligible otherwise qualified offenders with a disability shall have the same opportunity for access to jobs, programs, activities, or services as eligible otherwise qualified non-disabled offenders. Offenders in North Carolina Institutions shall be provided the same equal effective access, including additional time where necessary, to properly operate the assistive devices/auxiliary aids as those offenders who are non-disabled in completing the same or similar activity.
- Acolad *Interpretation & Translation Services "Access Contact Sheet"*:
  - Provides instructions for on-site and remote video American Sign Language (ASL) interpretation service.
- Statewide Term Contract 961C *Translation & Interpretation Services*:
  - Includes American Sign Language (ASL) interpretation service.
- Site review:
  - Program staff are available to assist those with low vision, limited reading skills, or an intellectual disability.
- Interview with agency head designee:
  - Indicated that DAC works with the state's Americans with Disabilities Act (ADA) office. Receives ADA office approval for offender education materials.
- Interviews with offenders with disabilities:
  - Indicated that facility sexual abuse and sexual harassment information was understandable.
  - Indicated that program staff were available to offer assistance if needed.

**115.16(b)**

- Facility response:
  - The agency has established procedures that provide limited English proficient offenders an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment.
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
  - Ensure effective communication with limited English proficient offenders by providing access to interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary.
- NCDAC Policy and Procedure P.0400 *Non-English-Speaking Offender*:
  - Facility signs that provide information and directions to the offender population will be posted in English and Spanish.
  - The case manager of a non-English-speaking offender will take the action necessary to help the offender understand the information being communicated in official memoranda that are posted on offender bulletin boards.
  - Programs and services comparable to services provided to English speaking offenders should be provided to non-English-speaking offenders. At a minimum, all non-English speaking offenders will receive primary services.
- Acolad *Interpretation & Translation Services "Access Contact Sheet"*.
  - Provides instructions for telephonic and remote video interpretation service with limited English proficient offenders.
- Form OPA-T100 *Person In Confinement of Under Supervision, Education Acknowledgement Form*:
  - Section II in Spanish:
    - Lists agency standard of zero-tolerance for sexual abuse or sexual harassment of offenders.
    - Requests signature to affirm understanding of information provided.

- Form OPA-T102S *LAS DENUNCIAS RELACIONADAS CON LA PREA AYUDAN A PREVENIR LA VIOLENCIA SEXUAL*:
  - Describes ways for offenders to report sexual abuse, sexual harassment, and retaliation.
  - Includes telephone number, mailing address, and email address.
  - States that the agency accepts anonymous and third-party reports.
- NCDAC Form OPA-I30S *Ley Para La Eliminación De La Violación En Las Prisiones (PREA) Servicios De Apoyo*:
  - Spanish language form describing the PREA Support Person's (PSP) role, investigation process, services available, and how to report retaliation.
- Site review:
  - Auditor accessed an interpreter by phone, on-demand, for interviews with a limited English proficient offender.
  - Phone prompts asked for an OPUS number but auditor was able to input a random number.
  - PREA-related posters in English and Spanish were posted in housing units and other prominent areas of the facility.
- Interviews with offenders who are limited English proficient:
  - Indicated that information about sexual abuse and sexual harassment in Spanish was provided at intake.
  - Indicated that information was understood.
  - Indicated that PREA-related posters in Spanish are posted in housing units.
  - Indicated that staff could use interpretive services to communicate.

**115.16(c)**

- Facility response:
  - Agency policy prohibits use of offender interpreters, offender readers, or other types of offender assistants except in limited circumstances when offender safety, first-responder staff duties, or investigations could be compromised.
  - In the past 12 months, zero instances where offender interpreters, readers, or other types of offender assistants have been used and it was not the case that an extended delay in obtaining another interpreter could compromise the offender's safety, the performance of first-response duties under §115.64, or the investigation of the offender's allegations.
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
  - Staff will not rely on offender interpreters, readers, or other types of assistants except in limited circumstances where delays could compromise offender safety, staff response, or the investigation process.
- Interview with random sample of staff:
  - Indicated professional interpretive services can be accessed when needed.
  - Indicated facility staff do not use offender interpreters to assist limited English proficient offenders when making an allegation of sexual abuse or sexual harassment.

**Determination:**

The facility meets the standard.

**115.17 Hiring and promotion decisions**

**Auditor Overall Determination:** Meets Standard

**Auditor Discussion**

**Evidence relied upon in making the compliance determinations:**

- LCC PAQ
  - Facility response
  - NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening, dated 09.04.2025*
  - Form DAC-HR 005 *Applicant Verification, dated 07.2023*
  - Form DAC-HR 008 *Professional Reference Check, dated 07.2023*
  - Form DAC-HR 013 *DAC Employee Acknowledgement, dated 07.2023*
- Documentation review
- Interview with administrative (human resources) staff

**Reasoning and analysis:**

**115.17(a)**

- Facility response:
  - Agency policy prohibits hiring or promoting any staff or contractor who may have contact with offenders who:
    - Has engaged in sexual abuse in an institutional setting (as defined in 42 U.S.C. 1997).
    - Has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse; or
    - Has been civilly or administratively adjudicated to have engaged in the any activity listed in (ii).
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
  - Restated the language of the provision.
- Form DAC-HR 005 *Applicant Verification*:
  - States "The NCDAC may not hire or promote anyone who may have contact with inmates, residents, or offenders under supervision who answers 'yes' to any of the following questions."
  - Lists six PREA hiring and promotion prohibition questions.
  - Contains 'yes' and 'no' check boxes and a signature line to affirm understanding of requirements.
- Form DAC-HR 013 *DAC Employee Acknowledgement*:
  - Lists same prohibition questions as DAC-HR 005.
- Documentation review:
  - 20 of 20 staff files reviewed contained completed DAC PREA hiring and prohibitions forms.

**115.17(b)**

- Facility response:
  - Agency policy requires the consideration of any incidents of sexual harassment in determining whether to hire or promote anyone, or to enlist the services of any contractor, who may have contact with offenders.
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
  - Restated the language of the provision.

- Form DAC-HR 005 *Applicant Verification*:
  - States "The NCDAC may not hire or promote anyone who may have contact with inmates, residents, or offenders under supervision who answers 'yes' to any of the following questions."
  - Asks "Have you ever been accused of sexual harassment?"
  - Contains 'yes' and 'no' check boxes and a signature line to affirm understanding of requirements.
- Form DAC-HR 013 *DAC Employee Acknowledgement*:
  - Asks same questions as DAC-HR 005.
- Interview with administrative (human resources) staff:
  - Indicated the facility consider priors incidents of sexual harassment when determining whether to hire or promote anyone, or to enlist the services of any contractor, who may have contact with offenders.

**115.17(c)**

- Facility response:
  - Agency policy requires that before it hires any new employees who may have contact with offenders, it (a) conducts criminal background record checks, and (b) consistent with federal, state, and local law, makes its best efforts to contact all prior institutional employers for information on substantiated allegations of sexual abuse or any resignation during a pending investigation of an allegation of sexual abuse.
  - In the past 12 months, 20 persons hired who may have contact with offenders who have had criminal background record checks.
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
  - Perform a criminal and administrative background records check, to include any applicable North Carolina registry, criminal justice standards commission, or other licensing authorities or bodies.
  - Contained the language of clause (2) from this provision.
- Form DAC-HR 008 *Professional Reference Check*:
  - Requests information from applicant's former institutional employers about prohibited behaviors.
    - "Are you aware of your employee, being involved in any allegation of sexual abuse or sexual harassment that was found to be true or resigning during a pending investigation of any allegation of sexual abuse or sexual harassment before the investigation was finished?"
- Documentation review:
  - 20 of 20 staff files reviewed contained background checks.
  - five of five applicable files reviewed contained professional reference check forms where prior institutional employer was contacted.
- Interview with administrative (human resources) staff:
  - Indicated criminal record background checks are conducted for all newly hired employees, who may have contact with offenders. Must be completed before a conditional offer can be sent to the prospective employee.

**115.17(d)**

- Facility response:
  - Agency policy requires that a criminal background record check be completed before enlisting the services of any contractor who may have contact with offenders.
  - In the past 12 months, zero contracts for services where criminal background record checks were conducted on all staff covered in the

- contract who might have contact with offenders.
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
  - Paraphrased the language of this provision.
- Interview with administrative (human resources) staff:
  - Indicated criminal record background checks are conducted by programs staff for all programming related contractors, who may have contact with offenders.

**115.17(e)**

- Facility response:
  - Agency policy requires that either criminal background record checks be conducted at least every five years for current employees and contractors who have contact with offenders, or that a system is in place for otherwise capturing such information for current employees.
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
  - For current employees and contractors who may have contact with offenders, DAC shall conduct criminal background records checks at least once every five years.
- Documentation review:
  - 12 of 12 applicable staff had five-year background checks completed.
- Interview with administrative (human resources) staff:
  - Indicated Division of Criminal Investigation (DCI) background checks are conducted both initially and every five years.

**115.17(f)**

- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
  - For all applicants and employees who may have contact with offenders, DAC shall ask about previous misconduct described in this section in written applications, in interviews for hiring or promotions, and in any interviews or written self-evaluations conducted as part of reviews of current employees.
  - All employees shall have a continuing affirmative duty to disclose sexual misconduct.
- Interview with administrative (human resources) staff:
  - Indicated previous misconduct questions described in section (a) are asked on form DAC-HR 005 *Applicant Verification*.
  - Indicated employees have a continuing affirmative duty to disclose any such previous misconduct. Employees sign a disclosure agreement at the time of hire that states duty to report any civil or criminal charges.

**115.17(g)**

- Facility response:
  - Agency policy states that material omissions regarding such misconduct, or the provision of materially false information, shall be grounds for termination.
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
  - Material omissions regarding such misconduct, or the provision of materially false information, shall be grounds for termination.

	<p><b>115.17(h)</b></p> <ul style="list-style-type: none"> <li>• NCDAC Policy and Procedure PREA-100 <i>PREA Prevention Planning and Screening</i>: <ul style="list-style-type: none"> <li>◦ Unless prohibited by law, upon receiving a request from an institutional employer for whom an employee or former employee has applied to work, DAC shall provide information on substantiated allegations of sexual abuse or sexual harassment involving the employee or former employee.</li> </ul> </li> <li>• Interview with administrative (human resources) staff: <ul style="list-style-type: none"> <li>◦ Indicated reference check forms would be completed according to agency policy for institutional employers who request information on substantiated allegations of sexual abuse or sexual harassment involving the former employee.</li> </ul> </li> </ul> <p><b>Determination:</b></p> <p>The facility meets the standard.</p>
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<b>115.18</b>	<b>Upgrades to facilities and technologies</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• LCC PAQ <ul style="list-style-type: none"> <li>◦ Facility response</li> </ul> </li> <li>• Interview with agency head</li> <li>• Interview with warden</li> </ul> <p><b>Reasoning and analysis:</b></p> <p><b>115.18(a)</b></p> <ul style="list-style-type: none"> <li>• Facility response: <ul style="list-style-type: none"> <li>◦ The agency/facility has not acquired a new facility or made a substantial expansion or modification to existing facilities since the last PREA audit.</li> </ul> </li> <li>• Interview with agency head designee: <ul style="list-style-type: none"> <li>◦ Indicated maintenance and engineering staff received training to think about safety and prevention when designing or altering spaces. PREA director has walked through spaces with maintenance and engineering staff to point out blind spots and identify areas of past incidents.</li> </ul> </li> <li>• Interview with warden: <ul style="list-style-type: none"> <li>◦ Indicated no substantial modification or expansion to the facility since the last PREA audit.</li> </ul> </li> </ul> <p><b>115.18(b)</b></p> <ul style="list-style-type: none"> <li>• Facility response: <ul style="list-style-type: none"> <li>◦ The agency/facility has not installed or updated a video monitoring</li> </ul> </li> </ul>

	<p>system, electronic surveillance system, or other monitoring technology\since the last PREA audit.</p> <ul style="list-style-type: none"> <li>• Interview with agency head designee: <ul style="list-style-type: none"> <li>◦ Indicated video monitoring equipment cannot always replace staff, but it augments their ability to protect offenders. Monitoring equipment is also used post-incident for investigations and corroboration.</li> </ul> </li> <li>• Interview with warden: <ul style="list-style-type: none"> <li>◦ Indicated 80 cameras have been added to reduce blind spots and increase the facility's ability to protect offenders from sexual abuse.</li> </ul> </li> </ul> <p><b>Determination:</b></p> <p>The facility meets the standard.</p>
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<b>115.21</b>	<b>Evidence protocol and forensic medical examinations</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• LCC PAQ <ul style="list-style-type: none"> <li>◦ Facility response</li> <li>◦ NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022</i></li> <li>◦ LCC Procedure A.4900 <i>Sexual Abuse and Harassment, dated 01.29.2023</i></li> <li>◦ NCDAC Policy and Procedure S.1300 <i>Offender Co-Pay, dated 01.2016</i></li> <li>◦ <a href="https://atriumhealth.org/medical-services/emergency-services/sexual-assault-strangulation-treatment">https://atriumhealth.org/medical-services/emergency-services/sexual-assault-strangulation-treatment</a>, dated 2026</li> <li>◦ NCDAC Form OPA-I30 <i>Support Services for Persons in Confinement, dated 01.01.2023</i></li> <li>◦ NCDAC Form OPA-I30S <i>Ley Para La Eliminación De La Violación En Las Prisiones (PREA) Servicios De Apoyo, dated 01.01.2023</i></li> </ul> </li> <li>• Supplemental documentation <ul style="list-style-type: none"> <li>◦ NCDAC Policy and Procedure PREA-300 <i>PREA Official Response and Investigations</i></li> <li>◦ NCDAC Internal Investigation PREA Investigator Guidelines - Facility, dated 01.01.2023</li> <li>◦ NCDOC <i>PREA Support Person Training Certificate, 12.01.2022</i></li> <li>◦ NCDOC <i>PREA Support Person (PSP) Training, undated</i></li> </ul> </li> <li>• Documentation review</li> <li>• Interview with random sample of staff</li> <li>• Interview with PREA compliance manager</li> </ul> <p><b>Reasoning and analysis:</b></p> <p><b>115.21(a)</b></p> <ul style="list-style-type: none"> <li>• Facility response: <ul style="list-style-type: none"> <li>◦ The agency/facility is responsible for conducting administrative sexual abuse investigations (including offender-on-offender sexual abuse or staff sexual misconduct).</li> </ul> </li> </ul>

- The Lincoln County Sheriff's Office is responsible for conducting criminal sexual abuse investigations (including offender-on-offender sexual abuse or staff sexual misconduct).
  - NCDAC investigators follow a uniform evidence protocol when conducting a sexual abuse investigation.
- LCC Procedure A.4900 *Sexual Abuse and Harassment*:
  - The Facility Investigator shall gather and preserve direct and circumstantial evidence, including any available physical and DNA evidence, shall interview alleged victims, suspected perpetrators, and witnesses.
- NCDAC Policy and Procedure PREA-300 *PREA Official Response and Investigations*:
  - A sexual abuse and sexual harassment (SAH) investigator is a DAC employee who has been assigned or designated to administratively investigate a report of alleged offender sexual abuse and/or sexual harassment; and has received specialized training in conducting such investigations in confinement settings.
  - Investigators shall gather and preserve direct and circumstantial evidence, including any available physical and DNA evidence.
  - Alleged offender victims of sexual abuse will be examined by DAC medical staff and transported to the local emergency department when appropriate for forensic evidence collection, sexually transmitted disease testing, counseling, and treatment.
  - In preparation for transporting the offender to the hospital's emergency room medical protocol shall be followed in order to preserve any possible evidence with an appropriate chain of evidence form attached.
- NCDAC Internal Investigation PREA Investigator Guidelines - Facility:
  - Gather relevant documentation and evidence, such as:
    - OPUS screens;
    - written statements by staff and/or offenders if already provided;
    - photos;
    - videos telephone transcripts;
    - letters or other materials collected from offender's personal belongings; and
    - history of prior complaints and reports of sexual abuse involving the suspected perpetrator.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - A determination shall be made, based upon the amount of time that has passed since the alleged incident and other factors, whether there is a possibility of evidence still existing at the crime scene. If it is determined that evidence may still exist, when possible, the crime scene shall be secured, and any potential evidence shall remain in place for law enforcement examination and investigation. If the crime scene cannot be secured, the crime scene shall be photographed and/or videotaped, and the evidence, if any, collected, and placed in an evidence bag with an evidence form attached.
- Interview with random sample of staff:
  - Indicated that staff would follow the protocol for obtaining usable physical evidence:
    - secure potential crime scene;
    - request/ensure that alleged victim/ alleged abuser do not engage in hygiene activities; and
    - ensure alleged victim is seen promptly by medical.
  - Indicated there were two sergeants who are the investigators at LCC.

**115.21(b)**

- Facility response:
  - The protocol is developmentally appropriate for youth.
  - The protocol was adapted from or otherwise based on the most recent edition of the DOJ's Office on Violence Against Women publication, "*A National Protocol for Sexual Assault Medical Forensic Examinations, Adults/Adolescents*".
  - The facility does not house youthful offenders.

**115.21(c)**

- Facility response:
  - The facility offers all offenders who experience sexual abuse access to forensic medical examinations. Offenders are transported to the local hospital.
  - The facility does not offer offenders who experience sexual abuse access to forensic medical examinations onsite.
  - The facility offers all offenders who experience sexual abuse access to forensic medical examinations by an outside facility.
  - Forensic medical examinations are offered without financial cost to the victim.
  - Where possible, examinations are conducted by Sexual Assault Forensic Examiners (SAFEs) or Sexual Assault Nurse Examiners (SANEs) at Atrium Health Lincoln Hospital.
  - When SANEs or SAFEs are not available, a qualified medical practitioner performs forensic medical examinations at Atrium Health Lincoln Hospital.
  - Zero forensic medical exams have been conducted for LCC offenders during the past 12 months.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - Medical Services will follow medical protocol, which includes provisions for examination, documentation, and transport to the local emergency department when appropriate, where the following will occur, collection of forensic evidence, testing for sexually transmitted diseases, counseling, and prophylactic treatment.
- <https://atriumhealth.org/medical-services/emergency-services/sexual-assault-strangulation-treatment>
  - Exam conducted by sexual assault nurse examiner (SANE) to collect evidence;
    - Reporting options to law enforcement;
    - Prevention for sexually transmitted infection; and
    - Follow-up care.
- NCDAC Policy and Procedure S.1300 *Offender Co-Pay*:
  - If emergency evaluation and/or treatment is provided and the condition is determined to be a potential emergency, immediate medical treatment is required or there is an admission to an infirmary or hospital there will be no copayment charged.
- NCDAC Health Services Policy CP-18 *Sexual Abuse*:
  - All care for sexual abuse will be provided at no cost.

**115.21(d)**

- Facility response:
  - The facility attempts to make a victim advocate from a rape crisis center available to the victim, either in person or by other means and documents these efforts.
  - These efforts are documented.
  - If and when a rape crisis center is not available to provide victim

advocate services, the facility provides a qualified staff member from a community-based organization or a qualified agency staff member.

- Supplemental documentation:
  - NCDAC *PREA Support Person Training Certificate*:
    - Certificate of completed PREA support person training for LCC staff person assigned as qualified agency staff member to provide victim advocacy.
- Documentation review:
  - The facility did not post in the PAQ documentation, or attempts to enter into an agreement with the local rape crisis center, or other community-based organization, to provide a victim advocate to LCC offender victims of sexual abuse.
- Interview with PREA compliance manager:
  - Indicated facility PREA support person (PSP) provides emotional support, crisis intervention, and referrals to offender victim of sexual abuse.

#### **115.21(e)**

- Facility response:
  - If requested by the victim, a victim advocate, qualified agency staff member, or qualified community-based organization staff member accompanies and supports the victim through the forensic medical examination process and investigatory interviews and provides emotional support, crisis intervention, information, and referrals.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - Restated the language of the provision.
- NCDAC Form OPA-I30 *Support Services for Persons in Confinement*:
  - Described the role of the PSP and allows the offender to accept or decline support services.
  - OPA-I30S - Spanish language form.
- Interview with PREA compliance manager:
  - Indicated the Lincoln County Coalition Against Domestic Violence (LCCADV) is the local non-profit with the mission of providing advocacy services to the community.

#### **115.21(f)**

- Facility response:
  - The facility stated not applicable for: "If the agency has requested that the local law enforcement agency, responsible for criminal investigations, follow the requirements of paragraphs §115.21 (a) through (e) of the PREA Standards."
  - The facility stated mutual aid agreements are in the process of being renewed for 2026.
- Documentation review:
  - The facility did not post in the PAQ documentation of request from the agency/facility that the local law enforcement agency, responsible for criminal investigations, follow the requirements of paragraphs §115.221 (a) through (e) of the standards.

#### **115.21(g)**

- Auditor is not required to audit this provision.

#### **115.21(h)**

- NCDOC PREA Support Person (PSP) Training:
  - Video based training modules covering:
    - Victim centered response to sexual abuse in confinement
    - Understanding PREA Standards and victim services
    - Understanding sexual abuse and trauma
    - Reporting sexual abuse and sexual harassment
    - First responder duties
    - Sexual assault response teams (SART)
    - Understanding your role as a PREA support person
  - 20 question quiz at the end of each module
- Documentation review:
  - Staff person selected as PSP is the programs supervisor with appropriate experience and training for the role.

**Corrective action recommendation:**

- Provide MOU, other documentation, or attempts to enter into an agreement with the local rape crisis center, or other community-based organization, to provide a victim advocate to LCC offender victims of sexual abuse. (115.21d)
- Provide MOU or other documentation indicating Lincoln Correctional Center requested Lincoln County Sheriff’s Office, or other local law enforcement agency responsible for criminal investigations at LCC, follow the requirements of paragraphs §115.21 (a) through (e) of the standards. (115.21f)

**Corrective action taken by the facility:**

- On 03.16.2026 the facility provided the auditor the following:
  - *Memorandum of Understanding: PREA Victim Support Partnership Between The North Carolina Department of Adult Correction Lincoln Correctional Center (LCC) and Lincoln County Coalition Against Domestic Violence (LCCADV), dated 01.30.2026:*
    - LCCADV agrees to provide victim support services related to sexual violence including investigatory interviews, referrals, and follow-up crisis counseling via phone and/or mail.
  - Mutual Aid Agreement between Lincoln Correctional Center and the Lincoln County Sheriff’s Department, *dated 01.22.2026:*
    - Requested Lincoln County Sheriff’s Department provide support in their specific area of responsibility.
  - NCDAC Memorandum: *Compliance with PREA Investigations Standards, dated 04.02.2025:*
    - Requested that the local law enforcement agency responsible for criminal investigations, follow the requirements of paragraphs §115.21 (a) through (e) of the PREA Standards.

**Determination:**

The facility meets the standard.

<b>115.22</b>	<b>Policies to ensure referrals of allegations for investigations</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>

**Evidence relied upon in making the compliance determinations:**

- LCC PAQ
  - Facility response
  - NCDAC Policy and Procedure PREA-300 *PREA Official Response and Investigations, dated 09.04.2025*
  - NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022*
  - LCC Procedure LCC-A .4900 *Sexual Abuse and Harassment, dated 01.29.2023*
  - [https://files.nc.gov/ncdps/documents/files/Divisions/DAC/Prisons/F.3400\\_-\\_Offender\\_Sexual\\_Abuse\\_and\\_Sexual\\_Harrasment](https://files.nc.gov/ncdps/documents/files/Divisions/DAC/Prisons/F.3400_-_Offender_Sexual_Abuse_and_Sexual_Harrasment)
- Supplemental documentation
  - <https://public.powerdms.com/NCDAC>
- Documentation review
- Interview with agency head
- Interview with investigative staff

**Reasoning and analysis:**

**115.22(a)**

- Facility response:
  - The agency ensures that an administrative or criminal investigation is completed for all allegations of sexual abuse and sexual harassment (including offender-on-offender sexual abuse and staff sexual misconduct).
  - Six allegations of sexual abuse or sexual harassment received in the past 12 months.
  - Six allegations resulted in an administrative investigation in the past 12 months.
  - Zero allegations were referred for criminal investigation.
  - All investigations were completed in the last 12 months.
- Documentation review:
  - Auditor reviewed six NCDAC Incident Reports:
    - Four completed administrative investigations with an unsubstantiated outcome.
    - One allegation where a preliminary review determined failure to meet any PREA-related definition.
    - One investigation ongoing.
- Interview with agency head designee:
  - Indicated an investigation is initiated for every allegation received.
  - Indicated facility notifies local law enforcement for criminal cases and documents law enforcement's response.
  - Indicated preliminary reviews determine who the allegation involves, what the allegation entails, and does the allegation meet the definition for offender-on-offender or employee/contractor/volunteer-on-offender, sexual abuse and or sexual harassment.

**155.22(b)**

- Facility response:
  - The agency has a policy that requires that allegations of sexual abuse or sexual harassment be referred for investigation to an agency with the legal authority to conduct criminal investigations, including the agency if it conducts its own investigations, unless the allegation does not involve potentially criminal behavior.
  - The agency's policy regarding the referral of allegations of sexual

abuse or sexual harassment for criminal investigation is published on the agency website or made publicly available via other means.

- [https://files.nc.gov/ncdps/documents/files/Divisions/DAC/Prisons/F.3400\\_-\\_Offender\\_Sexual\\_Abuse\\_and\\_Sexual\\_Harrassment.pdf](https://files.nc.gov/ncdps/documents/files/Divisions/DAC/Prisons/F.3400_-_Offender_Sexual_Abuse_and_Sexual_Harrassment.pdf)
- The agency documents all referrals of allegations of sexual abuse or sexual harassment for criminal investigation.
- Additional documentation:
  - <https://public.powerdms.com/NCDAC>
    - Publicly accessible link
    - Includes NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy* and PREA-300 *PREA Official Response and Investigations*.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - Local law enforcement shall be notified if there is evidence or suspicion that criminal conduct may have occurred.
  - PROSECUTIONS: Substantiated allegations of conduct that appears to be criminal shall be referred for prosecution. The facility investigator shall work with law enforcement and the district attorney's office to ensure appropriate criminal prosecution of cases of sexual abuse.
- Interview with investigative staff:
  - Indicated agency policy requires allegations involving potentially criminal behavior be referred to the Lincoln County Sheriff's Office.

#### **115.22(c)**

- Documentation review:
  - [https://files.nc.gov/ncdps/documents/files/Divisions/DAC/Prisons/F.3400\\_-\\_Offender\\_Sexual\\_Abuse\\_and\\_Sexual\\_Harrassment.pdf](https://files.nc.gov/ncdps/documents/files/Divisions/DAC/Prisons/F.3400_-_Offender_Sexual_Abuse_and_Sexual_Harrassment.pdf):
    - Auditor was unable to find the publication, describing the responsibilities of both the agency and the investigating entity, through this link.
- <https://public.powerdms.com/NCDAC>:
  - NCDAC Policy and Procedure PREA-300 *PREA Official Response and Investigations*:
    - DAC confinement or treatment facilities shall cooperate with non-DAC law enforcement agencies investigating sexual abuse, providing information upon request, unless prohibited by law. Facilities shall endeavor to remain informed about the progress of the investigation.

#### **115.22(d)**

- Auditor is not required to audit this provision.

#### **115.22(e)**

- Auditor is not required to audit this provision.

#### **Determination:**

The facility meets the standard.

**Auditor Overall Determination:** Meets Standard

**Auditor Discussion**

**Evidence relied upon in making the compliance determinations:**

- LCC PAQ
  - Facility response
  - NCDAC Policy and Procedure K .0100 *Employee Training, dated 01.07.2021*
  - NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening, dated 09.04.2025*
  - NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022*
  - NCDAC Office of Staff Development and Training, *PREA: Sexual Abuse and Sexual Harassment 101, dated 07.01.2025*
  - NCDAC Office of Staff Development and Training, *PREA: Sexual Abuse and Sexual Harassment 201, dated 07.01.2025*
- Documentation review
- Interview of random sample of staff

**Reasoning and analysis:**

**115.31(a)**

- Facility response:
  - The agency trains all employees who may have contact with offenders on:
  - the agency's zero-tolerance policy for sexual abuse and sexual harassment;
  - how to fulfill their responsibilities under agency sexual abuse and sexual harassment prevention, detection, reporting, and response policies and procedures;
  - the right of offenders to be free from sexual abuse and sexual harassment;
  - the right of offenders and employees to be free from retaliation for reporting sexual abuse and sexual harassment;
  - the dynamics of sexual abuse and sexual harassment in confinement;
  - the common reactions of sexual abuse and sexual harassment victims;
  - how to detect and respond to signs of threatened and actual sexual abuse;
  - how to avoid inappropriate relationships with offenders;
  - how to communicate effectively and professionally with offenders, including lesbian, gay, bisexual, transgender, intersex, or gender-nonconforming offenders; and
  - how to comply with relevant laws related to mandatory reporting of sexual abuse to outside authorities.
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening, dated 09.04.2025:*
  - All employees and contractors are required to receive the following training:
    - Sexual Abuse and Harassment (SAH) 101/201 training.
  - All employees shall receive annual refresher training as required by policy.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy:*
  - New Employees: shall receive the Sexual Abuse and Harassment 101 training that addresses the following:

- Restated elements one through 10 of this provision.
- NCDAC Office of Staff Development and Training, PREA: *Sexual Abuse and Sexual Harassment 101*:
  - Training objectives include:
    - Identify the “Prison Rape Elimination Act (PREA) of 2003” and the agency’s zero-tolerance policy of sexual abuse and sexual harassment for offenders/persons under supervision.
    - Define sexual abuse and sexual harassment.
    - Define people in confinement and under supervision right to be free from sexual abuse and sexual harassment, and from retaliation for reporting.
    - Identify relevant laws.
    - Define employee responsibilities when responding to sexual abuse and sexual harassment.
    - Define the unique attributes of working with females in confinement/under supervision.
    - Define the unique attributes of working with males in confinement/under supervision.
    - Define the vulnerabilities of people in confinement/under supervision.
    - Identify the dynamics of sexual abuse and sexual harassment of people in confinement and under supervision.
    - Identify how to detect signs of threatened and actual sexual abuse of people in confinement and under supervision.
    - Identify the common reactions to sexual abuse and sexual harassment.
    - Identify methods of avoiding inappropriate relationships with people in confinement and under supervision.
    - Identify techniques for communicating effectively and professionally with people in confinement and under supervision including lesbian, gay, bisexual, transgender, intersex (LGBTI) and gender nonconforming populations.
- Interviews with random sample of staff:
  - Indicated that staff receive instruction for the 10 elements of the provision in initial basic training and in annual in-service training.

**115.31(b)**

- Facility response:
  - Training is tailored to the gender of the offenders at the facility.
  - Employees who are reassigned from facilities housing the opposite gender are not given additional training.
- North Carolina Department of Adult Correction (NCDAC) Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - New Employees: shall receive the Sexual Abuse and Harassment 101 training that addresses the following:
    - Unique attributes of working with males and/or females in confinement/supervision.
- NCDAC Office of Staff Development and Training, PREA: *Sexual Abuse and Sexual Harassment 101*:
  - Defines the unique attributes of working with females in confinement/under supervision.
  - Defines the unique attributes of working with males in confinement/under supervision.

**115.31(c)**

- Facility response:

- Between trainings the agency provides employees who may have contact with offenders with refresher information about current policies regarding sexual abuse and sexual harassment.
- Employees who may have contact with offenders receive annual refresher training on PREA requirements.
- North Carolina Department of Adult Correction (NCDAC) Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - Annual Refresher Training and Information:
    - All staff shall receive SAH 101 refresher training every two years;
    - All staff shall receive SAH 201 refresher information during the alternate years;
    - Emphasizing zero-tolerance, duty to report, and current sexual abuse and sexual harassment policies and procedures.
- NCDAC Office of Staff Development and Training, *PREA: Sexual Abuse and Sexual Harassment 201*:
  - Lesson Purpose:
    - To provide refresher training to NCDAC employees on current agency sexual abuse and sexual harassment (SAH) policies and procedures.

**115.31(d)**

- Facility response:
  - The agency documents that employees who may have contact with offenders understand the training they have received through employee signature or electronic verification.
- North Carolina Department of Adult Correction (NCDAC) Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - Certification of employee understanding of material shall be documented by signing the Form OPA-T10 PREA Acknowledgement; or electronic signature when completing the E-Learning course authorized by the agency.
- Documentation review:
  - DAC training is documented in each employee's electronic training records file.
  - 18 of 18 staff files reviewed contained completion documentation for OPA-T10 *PREA Staff Training Acknowledgement of Understanding* and/ or completion of *PREA: Sexual Abuse and Sexual Harassment 101 and/ or 201*, that includes a knowledge check for comprehension.

**Determination:**

The facility meets the standard.

<b>115.32</b>	<b>Volunteer and contractor training</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<b>Evidence relied upon in making the compliance determinations:</b> <ul style="list-style-type: none"> <li>• LCC PAQ</li> </ul>

- Facility response
- NCDAC Office of Staff Development and Training, *PREA: Sexual Abuse and Sexual Harassment 101*, dated 07.01.2025
- NCDAC Office of Staff Development and Training, *PREA: Sexual Abuse and Sexual Harassment 201*, dated 07.01.2025
- NCDAC Form OPA-T10 *Prison Rape Elimination Act (PREA) Staff Training Acknowledgement of Understanding*, dated 11.07.2023
- Supplemental documentation:
  - NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*, dated 06.01.2022
- Documentation review
- Interview with volunteer who has contact with offenders

**Reasoning and analysis:**

**115.32(a):**

- Facility response:
  - All volunteers and contractors who have contact with offenders have been trained on their responsibilities under the agency's policies and procedures regarding sexual abuse and sexual harassment prevention, detection, and response.
  - 120 volunteers have received this training.
  - Only have volunteers currently.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - Volunteers (with the exception of One-Time volunteers who have no direct contact with offenders), custodial agents, contractors and other persons providing services to offenders shall receive the Sexual Abuse and Harassment 101 training as part of initial orientation which addresses:
    - The agencies standard of zero-tolerance of sexual abuse and sexual harassment toward offenders, either by staff, contractors, volunteers, or by offenders; and
    - Applicable methods to report incidents of sexual abuse and sexual harassment.
- Document review:
  - Contractors and volunteers completed:
    - PREA: Sexual Abuse and Sexual Harassment 101 and/or
    - Form OPA-T10 Prison Rape Elimination Act (PREA) Staff Training Acknowledgement of Understanding
- Interview with volunteer who has contact with offenders:
  - Indicated PREA training is conducted before interacting with offenders.

**115.32(b)**

- Facility response:
  - The level and type of training provided to volunteers and contractors is based on the services they provide and level of contact they have with offenders.
  - All volunteers and contractors who have contact with offenders have been notified of the agency's zero-tolerance policy regarding sexual abuse and sexual harassment and informed how to report such incidents.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - One-Time volunteers shall review information on Form OPA-T10 PREA Acknowledgement which addresses:

- The agencies standard of zero-tolerance of sexual abuse and sexual harassment toward offenders, either by staff, contractors, volunteers, or by offenders;
    - Applicable methods to report incidents of sexual abuse and harassment.
  - Volunteers (with the exception of One-Time volunteers who have no direct contact with offenders), custodial agents, contractors and other persons providing services to offenders shall receive the Sexual Abuse and Harassment 101 training.
- Interview with volunteer who has contact with offenders:
  - Indicated training consisted of understanding zero-tolerance, how and to whom to report sexual abuse or sexual harassment, and definitions of sexual abuse and sexual harassment.

**115.32(c)**

- Facility response:
  - The agency maintains documentation confirming that volunteers and contractors understand the training they have received.
- NCDAC Policy and Procedure F.3400 Offender Sexual Abuse and Sexual Harassment Policy:
  - The application process will not be complete until the volunteer, custodial agent, contractor and other person providing services to offenders verifies understanding of training by signing the PREA Acknowledgement Form and returning the form to the facility.
  - The application process for one-time volunteers will not be complete until the volunteer verifies understanding of information by signing the Form OPA-T10 PREA Acknowledgement and returning the form to the facility.
- Document review:
  - NCDAC Form OPA-T10 Prison Rape Elimination Act (PREA) Staff Training Acknowledgement of Understanding:
    - Volunteers completed form by affirming through signature:
      - Zero-tolerance policy;
      - Professional boundaries;
      - Sexual abuse and sexual harassment definitions;
      - NC General Statute 14-27.31: Sex act by institutional agent or employee; and
      - Duty to report and reporting methods.

**Determination:**

The facility meets the standard.

<b>115.33</b>	<b>Inmate education</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<b>Evidence relied upon in making the compliance determinations:</b> <ul style="list-style-type: none"> <li>• LCC PAQ</li> </ul>

- Facility response
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022*
- NCDAC Form OPA-T100 *Prison Rape Elimination Act (PREA) Person in Confinement or Under Supervision Education Acknowledgement, dated 01.19.2023*
- Supplemental documentation
  - NCDAC Poster OPA-T102 *Prison Rape Elimination Act (PREA) Reporting, Help Prevent Prison Sexual Violence, dated 01.07.2026*
  - Acolad Interpretation & Translation Services "Access Contact Sheet", dated 12.2025
  - LCC PREA Scorecard 2025, Dated 12.15.2025
  - LCC Acknowledgement of Facility Offender Intake Orientation, undated
- Site review
- Documentation review
- Interview with intake staff
- Interview with offenders

**Reasoning and analysis:**

**115.33(a)**

- Facility response:
  - Offenders receive information at time of intake about the zero-tolerance policy and how to report incidents or suspicions of sexual abuse or sexual harassment.
  - 433 offenders admitted during the past 12 months were given this information at intake.
  - All offenders receive information during orientation.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - All offenders shall receive, during reception, information explaining the agency's zero-tolerance policy regarding sexual abuse and sexual harassment, how to report incidents or suspicions of sexual abuse and sexual harassment, prevention/intervention, self-protection, treatment, and counseling.
- Site review:
  - LCC program staff/screeners:
  - Reviewed agency's zero-tolerance policy regarding sexual abuse and sexual harassment and how to report incidents or suspicions of sexual abuse or sexual harassment;
    - Including reading documents aloud to make accommodations for offenders with low vision or limited reading skills.
    - Had access to interpretation services including American Sign Language (ASL) through Acolad Interpretation & Translation Services "Access Contact Sheet".
- Documentation review:
  - 16 of 16 offender records reviewed by auditor had received their initial sexual safety information at a diagnostic facility before transfer to LCC.
  - Form OPA-T100: *Prison Rape Elimination Act (PREA) Person in Confinement or Under Supervision Education Acknowledgement, dated 01.19.2023*:
    - Signed form acknowledging:
      - Receipt of PREA education information (zero-tolerance policy and reporting);
      - Rape crisis center advocacy services;
      - Opportunity to ask questions related to material presented.

- Interview with intake staff:
  - Indicated zero-tolerance policy and how to report incidents of sexual abuse or sexual harassment are reviewed with each offender.
  - Indicated all offenders, upon transfer to the facility, are presented with required sexual safety information.
- Interview with offenders:
  - Indicated that information about sexual abuse and sexual harassment are given soon after arrival to the facility.

**115.33(b)**

- Facility response:
  - 343 of 365 offenders admitted during the past 12 months (whose length of stay in the facility was for 30 days or more) received comprehensive education on their rights to be free from both sexual abuse and sexual harassment and retaliation for reporting such incidents and on agency policies and procedures for responding to such incidents within 30 days of intake.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - Restated the language of the provision.
- NCDAC OPUS Information - CM Screening List:
  - Lists all case management screenings for an offender.
  - Case management screenings include:
    - Required offender PREA initial and comprehensive education sessions at a diagnostic facility; and
    - Required offender PREA refresher information sessions at all other facilities.
- Site review:
  - Offenders are screened at original diagnostic facility and upon transfer to LCC from another facility.
  - Offenders are asked three questions to update screening information initially captured at a diagnostic facility:
    - Have you experienced sexual victimization that you have not already reported to the agency?
    - You are listed as heterosexual. Is that still accurate?
    - Do you feel you are at risk for being attacked or harmed, either physically, emotionally, or sexually?
  - Offenders sign NCDAC Form OPA-T100 after completing PREA-related education and rescreen process:
    - Zero-tolerance for sexual abuse and sexual harassment of offenders;
    - Right to be free from sexual abuse, sexual harassment, and retaliation for reporting;
    - Encouraged to report threats or allegations to staff;
    - Acknowledged through signature the receipt and comprehension of PREA information.
- Documentation review:
  - 16 of 16 offender records reviewed by auditor had received refresher sexual safety information and completed the rescreening process upon transfer to LCC.
- Interview with intake staff:
  - Indicated offenders received required information in written formats that were reviewed with facility staff.
  - Indicated offenders received refresher sexual safety information during intake process.
- Interview with offenders:
  - Indicated received information about reporting and right to be free from sexual abuse, sexual harassment, and retaliation for reporting on

the day of arrival.

**115.33(c)**

- Facility response:
  - All offenders are educated during facility orientation.
  - Agency policy requires that offenders who are transferred from one facility to another be educated regarding their rights to be free from both sexual abuse and sexual harassment and retaliation for reporting such incidents and on agency policies and procedures for responding to such incidents, to the extent that the policies and procedures of the new facility differ from those of the previous facility.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - All offenders shall receive education about sexual abuse and sexual harassment upon transfer to a different facility.
- Documentation review:
  - *LCC PREA Scorecard 2025*:
    - 225 of 226 1st screenings conducted within 72 hours of arrival at LCC.
    - 219 of 226 2nd screenings conducted within 30 days of arrival at LCC.
- Interview with intake staff:
  - Indicated all offenders, upon transfer to the facility, are presented with required sexual safety information.

**115.33(d)**

- Facility response:
  - Offender PREA education is available in formats accessible to all offenders, including:
    - those who are limited English proficient;
    - those who are deaf;
    - those who are visually impaired;
    - those who are otherwise disabled; and
    - those who are limited in their reading skills.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - Appropriate provisions shall be made as necessary for offenders not fluent in English, persons with disabilities and those with low literacy levels.
- Site review:
  - Spanish language copies of offender education materials available;
  - Section II of NCDAC Form OPA-T100 Prison Rape Elimination Act (PREA) Person in Confinement or Under Supervision Education Acknowledgement;
  - NCDAC Poster OPA-T102S *Prison Rape Elimination Act (PREA) Reporting, Help Prevent Prison Sexual Violence*;
  - Describes ways for offenders to report sexual abuse, sexual harassment, and retaliation.
  - Includes telephone number, mailing address, and email address.
  - States that the agency accepts anonymous and third-party reports.
- Access to interpretation services including American Sign Language (ASL).
- Access to program staff for assistance with reading forms to offenders who have low vision, limited reading skills and/or a cognitive disability.

**115.33(e)**

- Facility response:
  - The agency maintains documentation of offender participation in PREA education sessions.
- NCDAC Policy and Procedure PREA-100 PREA Prevention Planning and Screening:
  - Each offender shall sign the Person in Confinement Education Acknowledgement (OPAT100) on the department issued tablet or a hardcopy and placed in their field jacket.
- Documentation review:
  - Offenders signed NCDAC Form OPA-T100 *Prison Rape Elimination Act (PREA) Person in Confinement or Under Supervision Education Acknowledgement* upon completion of education session.
  - Offenders sign *Acknowledgement of Facility Offender Intake Orientation*:
    - Affirm receipt of sexual abuse and harassment policy;
    - Affirm receipt of information for victim support services through Lincoln County Coalition Against Domestic Violence.

**115.33(f)**

- Facility response:
  - The agency ensures that key information about the agency's PREA policies is continuously and readily available or visible through posters, offender handbooks, or other written formats.
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
  - Additional information on sexual abuse and sexual harassment shall be provided to offenders through targeted educational materials, including brochures detailing prevention strategies and reporting procedures, handbooks outlining offender rights and institutional responsibilities under PREA, and posters reinforcing zero-tolerance messaging and available reporting channels.
- Site review:
  - NCDAC Poster OPA-T102 *Prison Rape Elimination Act (PREA) Reporting, Help Prevent Prison Sexual Violence* was posted on housing unit bulletin boards and in other prominent areas.
    - OPA-T102S - Spanish language poster posted beside English language version.

**Determination:**

The facility meets standard.

<b>115.34 Specialized training: Investigations</b>
<b>Auditor Overall Determination:</b> Meets Standard
<b>Auditor Discussion</b>
<p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• LCC PAQ           <ul style="list-style-type: none"> <li>◦ Facility response</li> <li>◦ North Carolina Department of Adult Correction (NCDAC) Policy and</li> </ul> </li> </ul>

Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022*

- NC Learning Center Training Progress Summary – *PREA Specialized Investigations, dated 12.18.2025*
- Supplemental documentation
  - *PREA: Investigating Sexual Abuse in a Confinement Setting, undated*
- Interview with investigative staff

**Reasoning and analysis:**

**115.34(a)**

- Facility response:
  - Agency policy requires that investigators are trained in conducting sexual abuse investigations in confinement settings.
- North Carolina Department of Adult Correction (NCDAC) Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - Investigators shall receive training on conducting sexual abuse and harassment investigations in a confinement setting.
- Interview with investigative staff:
  - Indicated training included:
    - Victim-centered care;
    - Collecting evidence from individuals and crime scene;
    - How to conduct interviews;
    - Working with law enforcement.

**115.34(b)**

- *PREA: Investigating Sexual Abuse in a Confinement Setting*:
  - Sexual abuse in confinement;
  - Investigative process;
  - Working with victims;
  - Interview techniques;
  - Institutional culture;
  - N.C. specific sexual assault and harassment investigator training;
  - Quiz at the end of each chapter.
- Interview with investigative staff:
  - Indicated training included:
    - Proper use of Miranda and Garrity warnings;
    - Interviewing sexual abuse victims;
    - *Preponderance of the evidence* standard to substantiate an administrative investigation.

**115.34(c)**

- Facility response:
  - The agency maintains documentation showing that investigators have completed the required training.
  - Three investigators currently employed have completed the required training.
- NC Learning Center Training Progress Summary – *PREA Specialized Investigations*:
  - Displayed three staff having completed *PREA Specialized Investigations – Sexual Abuse*.

**115.34(d)**

	<p>Auditor is not required to audit this provision.</p> <p><b>Determination:</b></p> <p>The facility meets the standard.</p>
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<b>115.35</b>	<b>Specialized training: Medical and mental health care</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• LCC PAQ <ul style="list-style-type: none"> <li>◦ Facility response</li> <li>◦ NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022</i></li> <li>◦ Staff Transcript Status, dated 12.18.2025</li> <li>◦ PREA - for Health Services certificate, dated 08.27.2025</li> </ul> </li> <li>• Documentation review</li> <li>• Interviews with medical</li> </ul> <p><b>Reasoning and analysis:</b></p> <p><b>115.35 (a)</b></p> <ul style="list-style-type: none"> <li>• Facility response: <ul style="list-style-type: none"> <li>◦ The agency has a policy related to the training of medical and mental health practitioners who work regularly in its facilities.</li> <li>◦ Two medical practitioners who work regularly at this facility who received the training required by agency policy:</li> <li>◦ 100 percent of medical and mental health care practitioners who work regularly at this facility received the training required by agency policy.</li> </ul> </li> <li>• NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy:</i> <ul style="list-style-type: none"> <li>◦ All full- and part-time medical and mental health care practitioners who work regularly in its facilities shall be trained in: <ul style="list-style-type: none"> <li>■ Detecting and assessing signs of sexual abuse and sexual harassment.</li> <li>■ Preserving physical evidence of sexual abuse.</li> <li>■ Responding effectively and professionally to victims of sexual abuse and sexual harassment.</li> <li>■ How and to whom to report allegations or suspicions of sexual abuse and sexual harassment.</li> </ul> </li> </ul> </li> <li>• Interviews with medical staff: <ul style="list-style-type: none"> <li>◦ Indicated specialized training is taken annually. PREA for Health Services training covers detecting and assessing signs of sexual abuse and sexual harassment, preserving physical evidence, responding effectively to victims, and how to report allegations.</li> </ul> </li> </ul> <p><b>115.35(b)</b></p>

- Facility response:
  - Agency medical staff at this facility do not conduct forensic medical exams.
  - Forensic medical exams are conducted at Atrium Health Lincoln Hospital.
- Interview with Medical staff:
  - Indicated forensic medical exams are conducted at a local hospital.

**115.35(c)**

- Facility response:
  - The agency maintains documentation showing that medical and mental health practitioners have completed the required training.
- Staff *Transcript Status*:
  - Both medical staff persons have completed *PREA - for Health Services*.
- *PREA - for Health Services certificate*:
  - Mental health staff person completed the required training.

**115.35(d)**

- Documentation review:
  - Indicated medical and mental health staff complete the training mandated from employees under § 115.31 including one or more of the following:
    - DAC-PREA-OPA 10 *Information for Person(s) with Direct and Indirect Contact*
    - PREA-101-*Sexual Abuse and Sexual Harassment (In-Service)*
    - PREA-201-*Sexual Abuse and Sexual Harassment (In-Service)*

**Determination:**

The facility meets the standard.

<b>115.41</b>	<b>Screening for risk of victimization and abusiveness</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• LCC PAQ               <ul style="list-style-type: none"> <li>◦ Facility response</li> <li>◦ NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy, dated 06.09.2022</i></li> </ul> </li> <li>• Supplemental documentation               <ul style="list-style-type: none"> <li>◦ NCDAC OPA-S010 <i>Screening for Risk, dated 10.22.2024</i></li> <li>◦ NCDAC <i>CM Screening List, undated</i></li> <li>◦ <i>LCC PREA Scorecard 2025, Dated 12.15.2025</i></li> </ul> </li> <li>• Site review</li> <li>• Documentation review</li> <li>• Interview with staff responsible for risk screening</li> <li>• Interviews with offenders</li> </ul>

## Reasoning and analysis:

### 115.41(a)

- Facility response:
  - The agency has a policy that requires screening (upon admission to a facility or transfer to another facility) for risk of sexual abuse victimization or sexual abusiveness toward other offenders.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - The case manager/screener will reassess the offender's risk by completing the screening upon transfer. The screening questions include the offender's own perception of risk, and the case manager's/ screener's perception of risk relating to gender identity/sexual orientation since the last completed screening. This screening shall be conducted using the Offender Population Unified System (OPUS). Based on the responses, a referral may be generated to mental health for any concerns.
- Interview with staff responsible for risk screening:
  - Indicated offenders, upon transfer from another facility, are screened for risk of sexual victimization and sexual abusiveness within 24 hours of arrival.
- Interviews with offenders:
  - Indicated offenders were asked screening questions the first day at the facility.
- Site review:
  - Offenders wrote answers to three questions during screening review:
    - Any sexual victimization not already reported to agency or facility?
    - Current sexual orientation still accurate?
    - Feel at risk for being attacked or harmed, physically, emotionally, or sexually?
  - Screening was conducted away from other offenders.
  - Staff were able to answer questions posed by offenders.

### 115.41(b)

- LCC PAQ indicated:
  - The policy requires that offenders be screened for risk of sexual victimization or risk of sexually abusing other offenders within 72 hours of their intake.
  - 417 offenders entering the facility (either through intake or transfer) within the past 12 months, whose length of stay in the facility was for 72 hours or more, were screened for risk of sexual victimization or risk of sexually abusing other offenders within 72 hours of their entry into the facility:
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - All offenders and safekeepers shall receive a screening inventory, administered via the web-based OPUS intake system, within 24 hours after admission to a diagnostic facility.
- Documentation review:
  - NCDAC *CM Screening List*:
    - 16 of 16 offender files contained rescreening dates within 72 hours of transfer to LCC.
  - *LCC PREA Scorecard 2025*:
    - 225 of 226 1st screenings were completed within 72 hours of arrival at facility.
- Interview with staff responsible for risk screening:

- Indicated offenders are screened within 24 hours of transfer to the facility.
- Interviews with offenders:
  - Indicated screening questions included being asked about prior sexual abuse, sexual orientation, and perceived safety.

**115.41(c)**

- LCC PAQ indicated:
  - Risk assessment is conducted using an objective screening instrument.
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
  - The screening tool shall utilize a standardized, objective screening instrument established by DAC and implemented uniformly across all DAC facilities.

**115.41(d) and (e)**

- Documentation review:
  - Diagnostic facilities use the NCDAC OPA-S010 *Screening for Risk* objective screening instrument.
    - Screening instrument questions included:
      - Have you ever been a victim of sexual abuse?
      - Have you ever been charged or convicted of a sex offense of any kind?
      - Have you ever engaged in violent behavior that has caused injuries to another individual and/or has caused property damage?
      - Where you in special classes in school?
      - What is your sexual orientation?
      - Do you feel you are at risk for being attacked or harmed, either physically, emotionally, or sexually?
    - Screening inventory also asked the offender and included data from offender information screen regarding:
      - Age
      - Body build
      - Previous incarceration
      - Prior convictions for sex offenses against an adult or child
      - Criminal history
      - Any ADA status requirements
      - If the offender is developmentally disabled
      - If the offender is gay, lesbian, bisexual, transgender, intersex, or gender nonconforming
    - Screening staff's perception of offender being lesbian, gay, bisexual, transgender, intersex, or gender nonconforming.
  - Rescreening at LCC consists of asking the three questions listed in (a).
- Interview with staff responsible for risk screening:
  - Indicated the rescreening is used to update offender's risk for victimization.

**115.41(f)**

- Facility response:
  - The policy requires that the facility reassess each offenders' risk of victimization or abusiveness within a set time period, not to exceed 30

days after the offenders' arrival at the facility, based upon any additional, relevant information received by the facility since the intake screening.

- 343 offenders, who entered the facility within the past 12 months and whose length of stay in the facility was over 30 days or more, were reassessed for their risk of sexual victimization or of being sexually abusive within 30 days after their arrival at the facility based upon any additional, relevant information received since intake.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - Within a set time period, not to exceed 30 days from the offender's arrival at the facility, the facility will reassess the offender's risk of victimization or abusiveness based upon any additional, relevant information received by the facility since the intake screening.
- Documentation review:
  - NCDAC CM Screening List:
    - 16 of 16 offender files contained risk rescreening dates within 30 days of transfer screening date.
- Interview with staff responsible for risk screening:
  - Indicated offenders are rescreened within 30 days of initial screening.
- Interviews with offenders:
  - Indicated offenders were asked similar questions during a second meeting with screening staff.

#### **115.41(g)**

- Facility response:
  - The policy requires that an offender's risk level be reassessed when warranted due to a referral, request, incident of sexual abuse, or receipt of additional information that bears on the offender's risk of sexual victimization or abusiveness.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - Restated the language of the provision.
- Interview with staff responsible for risk screening:
  - Indicated reassessments are completed based on referral, request, incident of sexual abuse, or any other relevant information.

#### **115.41(h)**

- Facility response:
  - The policy prohibits disciplining offenders for refusing to answer (or for not disclosing complete information related to) questions regarding: (a) whether or not the offender has a mental, physical, or developmental disability; (b) whether or not the offender is or is perceived to be gay, lesbian, bisexual, transgender, intersex, or gender non-conforming; (c) whether or not the offender has previously experienced sexual victimization; and (d) the offender's own perception of vulnerability.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - Offenders may not be disciplined for refusing to answer or for not disclosing complete information during screening or assessment.
- Interview with staff responsible for risk screening:
  - Indicated offenders are never disciplined for refusing to respond to a question.

#### **115.41(i)**

	<ul style="list-style-type: none"> <li>• Site review: <ul style="list-style-type: none"> <li>◦ Access to electronic files is profile based.</li> <li>◦ Access to physical file storage is restricted by locks.</li> </ul> </li> <li>• Interview with PREA coordinator: <ul style="list-style-type: none"> <li>◦ Indicated access to offender risk assessments are based on staff roles and profiles to protect sensitive information. The warden, PREA compliance staff, and screening staff have access to this information.</li> </ul> </li> <li>• Interview with PREA compliance manager: <ul style="list-style-type: none"> <li>◦ Indicated that access is limited to screening staff, investigators, compliance specialist and the PREA support person.</li> </ul> </li> <li>• Interview with staff responsible for risk screening: <ul style="list-style-type: none"> <li>◦ Indicated screening information access is restricted to staff who conduct the screening, management, and staff responsible for bed placements.</li> </ul> </li> </ul> <p><b>Determination:</b></p> <p>The facility meets the standard.</p>
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<b>115.42</b>	<b>Use of screening information</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• LCC PAQ <ul style="list-style-type: none"> <li>◦ Facility response</li> <li>◦ NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022</i></li> <li>◦ PREA Report - <i>High Risk for Victimization, dated 12.15.2025</i></li> <li>◦ PREA Report - <i>High Risk for Abusiveness, dated 12.15.2025</i></li> </ul> </li> <li>• Supplemental documentation: <ul style="list-style-type: none"> <li>◦ NCDAC OPA-S010 <i>Screening for Risk, dated 10.22.2024</i></li> </ul> </li> <li>• Interview with PREA compliance manager:</li> <li>• Interview with staff responsible for risk screening:</li> </ul> <p><b>Reasoning and analysis:</b></p> <p><b>115.42(a)</b></p> <ul style="list-style-type: none"> <li>• Facility response: <ul style="list-style-type: none"> <li>◦ The agency/facility uses information from the risk screening required by §115.41 to inform housing, bed, work, education, and program assignments with the goal of keeping separate those offenders at high risk of being sexually victimized from those at high risk of being sexually abusive.</li> <li>◦ NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy:</i> <ul style="list-style-type: none"> <li>■ Restated the language of the provision.</li> </ul> </li> </ul> </li> <li>• Documentation review: <ul style="list-style-type: none"> <li>◦ NCDAC OPA-S010 <i>Screening for Risk:</i> <ul style="list-style-type: none"> <li>■ Electronic dashboard contains:</li> </ul> </li> </ul> </li> </ul>

- List of offenders who are high risk for victimization (HRV) and those at high risk of abusiveness (HRA);
  - Link to view current facility bed assignments that shows where HRV and HRA offenders are housed as well as available open beds to help coordinate changes.
- PREA Report - *High Risk for Victimization*:
  - Listed name, bed assignment, and work assignment for seven HRV offenders.
- PREA Report - *High Risk for Abusiveness*:
  - Listed name, bed assignment, and work assignment for two HRA offenders.
- Interview with PREA compliance manager:
  - Indicated use of an electronic dashboard which displays bed and work assignments for HRV and HRA offenders to ensure separation. Both HRA offenders are housed on one dorm currently and zero HRV offenders are housed on the same dorm.

**115.42(b)**

- Facility response:
  - The agency/facility makes individualized determinations about how to ensure the safety of each offender.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - The facility shall make individualized determination for bed assignments, based on facility housing designs, to ensure the safety of each offender.
  - Facilities will consider such factors as the amount of staff supervision in the area, the presence or absence of surveillance equipment, and whether the job is in an isolated area prior to making assignments for high-risk abusers.
  - Facilities shall take appropriate action to ensure all program assignments are appropriate for high-risk abusers.
- Interview with staff responsible for risk screening:
  - Indicated offender's screening information is used to determine bed assignments. HRV offenders are not placed in the same dorm as HRA offenders.

**115.42(c)**

Auditor no longer audits this provision.

**115.42(d)**

Auditor no longer audits this provision.

**115.42(e)**

Auditor no longer audits this provision.

**115.42(f)**

Auditor no longer audits this provision.

**115.42(g)**

Auditor no longer audits this provision.

**Determination:**

	The facility meets the standard.
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<b>115.43</b>	<b>Protective Custody</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• LCC PAQ <ul style="list-style-type: none"> <li>◦ Facility response</li> <li>◦ NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022</i></li> </ul> </li> <li>• Supplemental documentation <ul style="list-style-type: none"> <li>◦ PREA Report - <i>High Risk for Victimization, dated 12.15.2025</i></li> </ul> </li> <li>• Interview with warden</li> </ul> <p><b>Reasoning and analysis:</b></p> <p><b>115.43(a)</b></p> <ul style="list-style-type: none"> <li>• Facility response: <ul style="list-style-type: none"> <li>◦ The agency has a policy prohibiting the placement of offenders at high risk for sexual victimization in involuntary segregated housing unless an assessment of all available alternatives has been made and a determination has been made that there is no available alternative means of separation from likely abusers.</li> <li>◦ Zero offenders at risk for sexual victimization were held in involuntary segregated housing in the past 12 months.</li> </ul> </li> <li>• NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy:</i> <ul style="list-style-type: none"> <li>◦ Restated the language of the provision.</li> </ul> </li> <li>• Interview with warden: <ul style="list-style-type: none"> <li>◦ Indicated the facility does not have segregated housing.</li> </ul> </li> <li>• Documentation review: <ul style="list-style-type: none"> <li>◦ PREA Report - <i>High Risk for Victimization:</i> <ul style="list-style-type: none"> <li>■ All seven offenders listed were assigned to beds on various dorms.</li> </ul> </li> </ul> </li> </ul> <p><b>115.43(b)</b></p> <ul style="list-style-type: none"> <li>• Facility does not have segregated housing.</li> </ul> <p><b>115.43(c)</b></p> <ul style="list-style-type: none"> <li>• Facility response: <ul style="list-style-type: none"> <li>◦ Facility does not have restrictive housing.</li> <li>◦ In the past 12 months, zero offenders at risk of sexual victimization were assigned to involuntary segregated housing.</li> </ul> </li> </ul> <p><b>115.43(d)</b></p>

	<ul style="list-style-type: none"> <li>• Facility response: <ul style="list-style-type: none"> <li>◦ Facility does not have restrictive housing.</li> <li>◦ From a review of case files, zero offenders at risk for sexual victimization were held in involuntary segregated housing in the past 12 months.</li> </ul> </li> </ul> <p><b>115.43(e)</b></p> <ul style="list-style-type: none"> <li>• Facility response: <ul style="list-style-type: none"> <li>◦ Facility does not have restrictive housing.</li> </ul> </li> <li>• NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy</i>: <ul style="list-style-type: none"> <li>◦ Restated language of the provision.</li> </ul> </li> </ul> <p><b>Determination:</b></p> <p>The facility meets the standard.</p>
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<b>115.51</b>	<b>Inmate reporting</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• LCC PAQ <ul style="list-style-type: none"> <li>◦ Facility response</li> <li>◦ NCDAC Policy PREA 200 - <i>PREA Reporting, dated 09.04.2025</i></li> <li>◦ NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022</i></li> <li>◦ NCDAC Office of Staff Development and Training - <i>PREA: Sexual Abuse and Sexual Harassment 101, dated 07.01.2025</i></li> <li>◦ NCDAC Office of Staff Development and Training - <i>PREA: Sexual Abuse and Sexual Harassment 201, dated 07.01.2025</i></li> <li>◦ NCDAC Poster OPA-T102 <i>Prison Rape Elimination Act (PREA) Reporting, Help Prevent Prison Sexual Violence, dated 01.07.2026</i></li> <li>◦ NCDAC Poster OPA-T104 <i>CS PREA Reporting, Help Prevent Prison Sexual Violence, dated 01.07.2026</i></li> <li>◦ NCDAC pamphlet <i>End the Silence - Zero Tolerance for Sexual Abuse and Sexual Harassment: Prison Rape Elimination Act, dated 03.24.2023</i></li> </ul> </li> <li>• Site review</li> <li>• Interviews with random sample of staff</li> <li>• Interviews with offenders</li> <li>• Interview with PREA compliance manager</li> </ul> <p><b>Reasoning and analysis:</b></p> <p><b>115.51(a)</b></p> <ul style="list-style-type: none"> <li>• Facility response: <ul style="list-style-type: none"> <li>◦ The agency has established procedures allowing for multiple internal</li> </ul> </li> </ul>

ways for offenders to report privately to agency officials about: sexual abuse or sexual harassment; retaliation by other offenders or staff for reporting sexual abuse and sexual harassment; and staff neglect or violation of responsibilities that may have contributed to such incidents.

- NCDAC Policy PREA-200 *PREA Reporting*:
  - Offenders may report sexual abuse or sexual harassment allegations via the following methods:
    - Notifying any DAC employee;
    - Administrative remedy process;
    - PREA/Grievance locked box located at each DAC confinement or treatment facility; or
    - Contacting the DAC PREA Office by email at *PREA@NCDAC.gov*.
- NCDAC Poster OPA-T102 *Prison Rape Elimination Act (PREA) Reporting, Help Prevent Prison Sexual Violence*:
  - Included internal ways for offenders to report sexual abuse, sexual harassment, or retaliation:
    - To any departmental employee;
    - Through the administrative remedy process (grievance).
  - Stated employees have a responsibility to do whatever is reasonable and necessary to reduce incidents of sexual abuse, sexual harassment, and to respond appropriately when they do occur.
  - OPA-T102S – Spanish language poster.
- Site review:
  - Observed poster OPA-T102 and OPA-T102S in housing units, programs area, intake, and dining hall.
  - Observed a locked grievance box outside of dining hall.
- Interviews with random sample of staff
  - Indicated that offenders can report privately to staff, Officer in Charge (OIC), or through the grievance process.
- Interviews with offenders:
  - Indicated reports could be made to officers, OIC, administrators, medical staff, program staff, and by submitting grievances.

#### **115.51(b)**

- Facility response:
  - The agency provides at least one way for offenders to report abuse or harassment to a public or private entity or office that is not part of the agency.
  - The agency has a policy requiring offenders detained solely for civil immigration purposes be provided information on how to contact relevant consular officials and relevant officials of the Department of Homeland Security.
- NCDAC Policy PREA-200 *PREA Reporting*:
  - Offenders may report sexual abuse or sexual harassment allegations via the following methods:
    - Contacting the external organization at (972) 535-3499 which is only accessible by offender phones.
    - Contacting the anonymous DAC Fraud, Waste, Abuse and Misconduct Hotline at (844) 208-4018.
    - Contacting a community-based organization that provides services to victims of sexual abuse or sexual harassment. A signed consent form is required before reporting on behalf of an offender.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - Offenders detained solely for civil immigration purposes shall be

- provided information on how to contact relevant consular officials and relevant officials at the US Department of Homeland Security.
- NCDAC Poster OPA-T102 *Prison Rape Elimination Act (PREA) Reporting, Help Prevent Prison Sexual Violence*:
  - Included external options for offenders to report sexual abuse, sexual harassment, or retaliation:
    - By calling the external hotline for people in confinement. Dial 0-972-535-3499;
    - By writing to the PREA Office at MSC 4265, Raleigh, NC;
    - To the local rape crisis center (requires offender consent before facility can be informed)
- Site review:
  - Auditor tested the external hotline for people in confinement and was able to make a test call.
    - Auditor's test call message was forwarded to the NCDAC office, who then emailed confirmation to the auditor.
  - Auditor tested the number posted for the local rape crisis center, Lincoln County Coalition Against Domestic Violence (LCCADV):
    - Individual who answered test call stated auditor had reached a detox center.
  - Phone calls did not require use of a pin number and were toll-free.
  - Auditor was informed that facility does not detain offenders solely for civil immigration purposes.
    - Grievance box is near dining hall and is locked.
  - Mail and grievance boxes are accessible only by designated staff.
- Interviews with offenders:
  - Indicated phone numbers posted by the phones for the hotline and rape crisis center.
- Interview with PREA compliance manager:
  - Indicated phone numbers posted by the phones and on the bulletin boards for offenders to call to make a report.

**115.51(c)**

- Facility response:
  - The agency has a policy mandating that staff accept reports of sexual abuse and sexual harassment made verbally, in writing, anonymously, and from third parties.
  - Staff are required to immediately document verbal reports.
- NCDAC Policy PREA-200 *PREA Reporting*:
  - Offenders may report sexual abuse or sexual harassment allegations via the following methods:
    - Notifying any DAC employee;
    - Administrative remedy process; or
    - PREA/Grievance locked box located at each DAC confinement or treatment facility.
- NCDAC Pamphlet *End the Silence - Zero Tolerance for Sexual Abuse and Sexual Harassment: Prison Rape Elimination Act, dated 03.24.2023*:
  - External reporting for offenders:
    - Tell a family member, friend, legal counsel, or anyone else outside the facility.
    - They can report on your behalf by calling 1(844) 208-4018.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - All reports of sexual abuse and sexual harassment, however made, are to be reported to the warden and the DAC PREA Office.
- Interviews with random sample of staff:
  - Indicated offenders can make reports verbally, in writing, anonymously, and through third parties.

	<ul style="list-style-type: none"> <li>◦ Indicated reports are documented immediately or as soon as possible.</li> <li>• Interviews with offenders: <ul style="list-style-type: none"> <li>◦ Indicated reports can be made in person, in writing, and through a third party.</li> </ul> </li> </ul> <p><b>115.51(d)</b></p> <ul style="list-style-type: none"> <li>• Facility response: <ul style="list-style-type: none"> <li>◦ The agency has established procedures for staff to privately report sexual abuse and sexual harassment of offenders.</li> <li>◦ Staff are informed of these procedures through policy and training.</li> </ul> </li> <li>• NCDAC Policy PREA-200 <i>PREA Reporting</i>: <ul style="list-style-type: none"> <li>◦ Employees, volunteers, contractors, custodial agents, and third parties may report sexual abuse or sexual harassment allegations privately. Ways to report include but are not limited to the following methods: <ul style="list-style-type: none"> <li>■ To the DAC PREA Office by email at PREA@NCDAC.gov or by telephone at (919) 825-2754</li> <li>■ Anonymously by contacting DAC at (919) 825-2754</li> <li>■ To their local law enforcement agency.</li> </ul> </li> </ul> </li> <li>• NCDAC Office of Staff Development and Training – <i>PREA: Sexual Abuse and Sexual Harassment 101</i>: <ul style="list-style-type: none"> <li>◦ In-service course includes private options for staff reporting.</li> </ul> </li> <li>• NCDAC Office of Staff Development and Training – <i>PREA: Sexual Abuse and Sexual Harassment 201</i>: <ul style="list-style-type: none"> <li>◦ Biennial refresher course includes private options for staff reporting.</li> </ul> </li> <li>• NCDAC Poster OPA-T102 <i>Prison Rape Elimination Act (PREA) Reporting, Help Prevent Prison Sexual Violence</i>: <ul style="list-style-type: none"> <li>◦ Reports can be made immediately by contacting the PREA Office via telephone or email.</li> </ul> </li> <li>• Interview with random sample of staff: <ul style="list-style-type: none"> <li>◦ Indicated staff could report privately directly to the warden, associate warden, the office-in-charge (OIC), the PCM, or to the NCDAC PREA Office.</li> </ul> </li> <li>• Site review: <ul style="list-style-type: none"> <li>◦ Multiple staff persons each stated were comfortable reporting privately to either the OIC, PCM, or warden if needed.</li> </ul> </li> </ul> <p><b>Determination:</b></p> <p>The facility meets the standard.</p>
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<b>115.52</b>	<b>Exhaustion of administrative remedies</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• LCC PAQ <ul style="list-style-type: none"> <li>◦ Facility response</li> <li>◦ NCDAC Policy G.0300 <i>Administrative Remedy Procedures, dated 10.01.2023</i></li> <li>◦ NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual</i></li> </ul> </li> </ul>

*Harassment Policy, dated 06.01.2022*

- *NCDAC Policy PREA-200 PREA Reporting, dated 09.04.2025*

**Reasoning and analysis:**

**115.52(a)**

- Facility response:
  - The agency has an administrative procedure for dealing with offender grievances regarding sexual abuse.
- NCDAC Policy G.0300 *Administrative Remedy Procedures*:
  - If a grievance complains about sexual abuse or sexual harassment of an offender(s), immediate notification shall be made to the facility PREA Compliance Manager and a PREA investigation shall be initiated, if not already in the process. DAC's PREA office may review offender grievances to ensure compliance with PREA standards.

**115.52(b)**

- Facility response:
  - Agency policy or procedure allows an offender to submit a grievance regarding an allegation of sexual abuse at any time, regardless of when the incident is alleged to have occurred.
  - Agency policy requires an offender to use an informal grievance process, or otherwise to attempt to resolve with staff, an alleged incident of sexual abuse. (Auditor verified this was an erroneous answer.)
- NCDAC Policy G.0300 *Administrative Remedy Procedures*:
  - No offender grievance alleging sexual abuse or harassment shall be rejected.
  - No employee who appears to be involved in a grievance shall participate in any capacity in the resolution process, except as a witness where necessary.

**115.52(c)**

- Facility response:
  - The agency's policy and procedure allow an offender to submit a grievance alleging sexual abuse without submitting it to the staff member who is the subject of the complaint.
  - The agency's policy and procedure require that an offender grievance alleging sexual abuse not be referred to the staff member who is the subject of the complaint.
- NCDAC Policy G.0300 *Administrative Remedy Procedures*:
  - Any aggrieved offender in the custody of DAC may submit a paper grievance Form DC-410 at their housing facility. Paper forms may be submitted to custody staff, through facility mail, or in designated drop-box locations.
  - In addition, no employee who appears to be involved in an offender sexual abuse or harassment allegation shall accept a grievance which suggests such personal involvement or shall participate in any capacity in the response to the grievance.

**115.52 (d)**

- Facility response:

- The agency's policy and procedure require that a decision on the merits of any grievance or portion of a grievance alleging sexual abuse be made within 90 days of the filing of the grievance.
- Zero grievances filed that alleged sexual abuse in the past 12 months.
- The agency always notifies an offender in writing when the agency files for an extension, including notice of the date by which a decision will be made.
- NCDAC Policy G.0300 *Administrative Remedy Procedures*:
  - The staff responsible for investigation and response to the offender grievance shall provide this formal Step 1 response within 15 days.
  - The Warden/designee shall investigate the grievance and review records gathered at Step 1 and complete the investigation within 20 days after the appeal to Step 2.
  - The IGE shall forward their decision to the offender within 30 days from the date of the offender's appeal from Step 2.
- Documentation review:
  - Facility provided zero grievances that alleged sexual abuse in the previous 12 months.

**115.52(e)**

- Facility response:
  - Agency policy and procedure permits third parties, including fellow offenders, staff members, family members, attorneys, and outside advocates, to assist offenders in filing requests for administrative remedies relating to allegations of sexual abuse and to file such requests on behalf of offenders.
  - Agency policy and procedure requires that if an offender declines to have third-party assistance in filing a grievance alleging sexual abuse, the agency documents the offender's decision to decline.
  - Zero grievances alleging sexual abuse filed by offenders in the past 12 months.
- NCDAC Policy G.0300 *Administrative Remedy Procedures*:
  - Only PREA-related grievances regarding allegations of sexual abuse may be submitted by third parties, including fellow offenders, staff members, family members, attorneys, and outside advocates, on an offender's behalf. Such PREA related grievances from third parties must be submitted to the warden of the facility where the offender is housed. A facility may require, as a condition of processing the third-party grievance, that the alleged victim consent to have the grievance submitted on their behalf and require their participation in subsequent steps in the grievance process. If the offender declines to have the third-party grievance processed on their behalf, the facility shall document the offender's decision.

**115.52(f)**

- Facility response:
  - The agency has a policy and established procedures for filing an emergency grievance alleging that an offender is subject to a substantial risk of imminent sexual abuse.
  - The agency's policy and procedure for emergency grievances alleging substantial risk of imminent sexual abuse requires an initial response within 48 hours.
  - Zero emergency grievances alleging substantial risk of imminent sexual abuse that were filed in the past 12 months.
  - The agency's policy and procedure for emergency grievances alleging substantial risk of imminent sexual abuse requires that a final agency

- decision be issued within 5 days.
- NCDAC Policy G.0300 *Administrative Remedy Procedures*:
  - Emergency grievances must be labeled as such and are grievances regarding matters which pose imminent substantial risk of personal injury or serious and irreparable harm to an offender.
  - Emergency grievances shall be submitted at an offender’s housing facility and forwarded immediately, without substantive review, to the level at which corrective action can be taken by the facility screening officer.
  - Review at the corrective action level shall conclude with a Step 2 response, including any action taken in response to the grievance, within five days.

**115.52(g)**

- Facility response:
  - The agency has a written policy that limits its ability to discipline an offender for filing a grievance alleging sexual abuse to occasions where the agency demonstrates that the offender filed the grievance in bad faith.
  - In the past 12 months, zero offender grievances alleging sexual abuse that resulted in disciplinary action by the agency against the offender for having filed the grievance in bad faith.

**Determination:**

The facility meets the standard.

<b>115.53</b>	<b>Inmate access to outside confidential support services</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• LCC PAQ           <ul style="list-style-type: none"> <li>◦ Facility response</li> <li>◦ NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022</i></li> <li>◦ NCDAC Policy PREA-200 <i>PREA Reporting, dated 09.04.2025</i></li> <li>◦ Poster OPA-T102 <i>PREA REPORTING HELP PREVENT SEXUAL VIOLENCE, dated 03.24.202</i></li> </ul> </li> <li>• Supplemental documentation           <ul style="list-style-type: none"> <li>◦ <i>Notice of Victim Support Services Through Lincoln County Coalition Against Domestic Violence (LCCADV), undated.</i></li> </ul> </li> <li>• Site review</li> <li>• Documentation review</li> <li>• Interview with offenders</li> <li>• Interview with offenders who reported sexual abuse</li> </ul> <p><b>Reasoning and analysis:</b></p> <p><b>115.53(a)</b></p>

- Facility response:
  - The facility provides offenders with access to outside victim advocates for emotional support services related to sexual abuse.
  - Offenders are given mailing addresses and telephone numbers (including toll-free hotline numbers where available) for local, state, or national victim advocacy or rape crisis organizations.
  - The facility provides access to mailing address and telephone numbers for immigrant services agencies for offenders detained solely for civil immigration purposes.
  - The facility provides offenders with access to such services by enabling reasonable communication between offenders and these organizations in as confidential a manner as possible.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - Restates the language of the provision.
- *Notice of Victim Support Services Through Lincoln County Coalition Against Domestic Violence (LCCADV)*:
  - Form in orientation packet that displays contact information for victim support services relating to sexual violence.
  - Virtual and in-person advocacy, counseling and court support services are available to victims of rape and sexual assault currently incarcerated at Lincoln Correctional Center.
- Site review:
  - Signage containing number to reach emotional support services was posted by the phone.
  - Auditor completed a test call and was connected to a detox center.
- Interview with offenders:
  - Indicated there is a number for emotional support services posted by the phones.
  - Indicated that the number is free to call.

**115.53(b)**

- Facility response:
  - The facility informs offenders, prior to giving them access to outside support services:
    - the extent to which such communications will be monitored.
    - the mandatory reporting rules governing privacy, confidentiality, and/or privilege that apply to disclosures of sexual abuse made to outside victim advocates, including any limits to confidentiality under relevant federal, state, or local law.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - Restatement of the provision.
- Site review:
  - Initial announcement when placing a phone call: "This call will be monitored and recorded."
- Interview with offenders:
  - Indicated that there is an announcement on the phones about being recorded.

**115.53(c)**

- Facility response:
  - The facility maintains memorandum of understanding (MOUs) or other agreements with community service providers that are able to provide offenders with emotional support services related to sexual abuse.

	<ul style="list-style-type: none"> <li>◦ The facility maintains copies of the MOU.</li> <li>• Documentation review: <ul style="list-style-type: none"> <li>◦ Several facility MOU were posted to the PAQ but none were with LCCADV or other victim advocacy groups.</li> </ul> </li> </ul> <p><b>Corrective action recommendation:</b></p> <ul style="list-style-type: none"> <li>• Provide MOU or attempts to enter into an agreement with the local rape crisis center, or other community-based organization, to provide confidential emotional support services to LCC offender victims of sexual abuse. (115.53c)</li> <li>• Ensure that phone number(s) given for emotional support service provider are correctly routed to the provider. (115.53a)</li> </ul> <p><b>Corrective action taken by the facility:</b></p> <ul style="list-style-type: none"> <li>• On 03.16.2026 the facility provided the auditor with Memorandum of Understanding: <i>PREA Victim Support Partnership Between The North Carolina Department of Adult Correction Lincoln Correctional Center (LCC) and Lincoln County Coalition Against Domestic Violence (LCCADV), dated 01.30.2026:</i> <ul style="list-style-type: none"> <li>◦ LCCADV agrees to provide victim support services related to sexual violence including investigatory interviews, referrals, and follow-up crisis counseling via phone and/or mail.</li> </ul> </li> <li>• Facility had the phone vendor (GTL) verify that the phone system is functioning properly and that calls are routed to LCCADV.</li> </ul> <p><b>Determination:</b></p> <p>The facility meets the standard.</p>
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<b>115.54</b>	<b>Third-party reporting</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• LCC PAQ <ul style="list-style-type: none"> <li>◦ Facility responses</li> <li>◦ NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022</i></li> <li>◦ NCDAC Pamphlet – <i>A Guide To Prevention And Reporting Of Undue Familiarity, And Sexual Abuse And Sexual Harassment With Offenders And Residents, dated 04.04.2024</i></li> </ul> </li> <li>• Supplemental documentation <ul style="list-style-type: none"> <li>◦ NCDAC Poster OPA-T102 <i>Prison Rape Elimination Act (PREA) Reporting, Help Prevent Prison Sexual Violence, dated 03.24.2023</i></li> <li>◦ NCDAC Poster <i>END THE SILENCE Zero Tolerance for Sexual Abuse and Sexual Harassment: Prison Rape Elimination Act, dated 2023.03.24</i></li> </ul> </li> <li>• Site review</li> </ul> <p><b>Reasoning and analysis:</b></p>

	<p><b>115.54(a)</b></p> <ul style="list-style-type: none"> <li>• Facility responses: <ul style="list-style-type: none"> <li>◦ The agency or facility provides a method to receive third-party reports of offender sexual abuse or sexual harassment.</li> <li>◦ The agency or facility publicly distributes information on how to report offender sexual abuse or sexual harassment on behalf of offenders.</li> </ul> </li> <li>• NCDAC Poster OPA-T102 Prison Rape Elimination Act (PREA) Reporting, Help Prevent Prison Sexual Violence: <ul style="list-style-type: none"> <li>◦ Family members and friends of offenders can report by email to SVC_dac.prea@dac.nc.gov.</li> </ul> </li> <li>• NCDAC Poster <i>END THE SILENCE Zero Tolerance for Sexual Abuse and Sexual Harassment: Prison Rape Elimination Act</i>: <ul style="list-style-type: none"> <li>◦ Third-party reporting by offender telling a family member, friend, legal counsel, or anyone else outside the facility. They can report on offender’s behalf by calling 1(844) 208-4018.</li> </ul> </li> <li>• Site review: <ul style="list-style-type: none"> <li>◦ NCDAC Pamphlet – <i>A Guide To Prevention And Reporting Of Undue Familiarity, And Sexual Abuse And Sexual Harassment With Offenders And Residents</i>: <ul style="list-style-type: none"> <li>■ Available to volunteers and other individuals entering the facility.</li> <li>■ Contains email address and phone numbers for third-party reporting.</li> </ul> </li> <li>◦ NCDAC Posters OPA-T102 and <i>END THE SILENCE</i> are posted throughout the facility.</li> </ul> </li> </ul> <p><b>Determination:</b></p> <p>The facility meets the standard.</p>
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<b>115.61</b>	<b>Staff and agency reporting duties</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• LCC PAQ <ul style="list-style-type: none"> <li>◦ Facility response</li> <li>◦ NCDAC Policy PREA-200 <i>PREA Reporting, dated 09.04.2025</i></li> <li>◦ NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022</i></li> </ul> </li> <li>• Supplemental documentation <ul style="list-style-type: none"> <li>◦ NCDAC Policy and Procedure PREA-300 <i>PREA Official Response and Investigations, dated 09.04.2025</i></li> </ul> </li> <li>• Site review</li> <li>• Interviews with random sample of staff</li> </ul> <p><b>Reasoning and analysis:</b></p> <p><b>115.61(a)</b></p>

- Facility response:
  - The agency requires all staff to report immediately and according to agency policy:
  - Any knowledge, suspicion, or information they receive regarding an incident of sexual abuse or sexual harassment that occurred in a facility, whether or not it is part of the agency.
  - Any retaliation against offenders or staff who reported such an incident.
  - Any staff neglect or violation of responsibilities that may have contributed to an incident or retaliation.
- NCDAC Policy PREA-200 *PREA Reporting*:
  - Employees, volunteers, contractors, and custodial agents shall immediately report:
    - Any knowledge, suspicion, or information regarding an incident of offender sexual abuse or sexual harassment involving an offender.
    - Any allegation that an offender is having a sexual relationship with another offender, or with an employee, volunteer, contractor or custodial agent.
    - Any retaliation against offenders, or employees, volunteers, contractors, and custodial agents who reported such an incident.
    - Any employee neglect or violation of responsibilities that may have contributed to an incident or retaliation.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - Restates the relevant language of NCDAC Policy PREA-200 *PREA Reporting*.
- Site review:
  - Staff discussed with the auditor that a verbal report to the Officer in Charge (OIC) or direct supervisor is the most immediate way to begin the facility response to an allegation, retaliation, or staff neglect.
- Interviews with random sample of staff:
  - Indicated all staff are required to report any and all information about incidents of sexual abuse or sexual harassment, retaliation, or staff neglect that led to an incident.

**115.61(b)**

- Facility response:
  - Apart from reporting to designated supervisors or officials and designated state or local services agencies, agency policy prohibits staff from revealing any information related to a sexual abuse report to anyone other than to the extent necessary to make treatment, investigation, and other security and management decisions.
- NCDAC Policy and Procedure PREA-300 *PREA Official Response and Investigations*:
  - The facility investigator and all others involved in the PREA process, to the extent possible, will ensure the confidentiality of PREA complaints as well as all data collected through the investigation of those complaints except as required in the following circumstances:
    - to cooperate with law enforcement in any investigation and prosecution of the incidents alleged in such complaints.
    - to take and enforce disciplinary action against any staff member as a result of the incidents alleged in the complaints.
    - to defend against claims brought by the offender for violation of the offender's rights for having been subjected to sexual abuse.
    - to otherwise comply with the law.

	<ul style="list-style-type: none"> <li>• Interviews with random sample of staff: <ul style="list-style-type: none"> <li>◦ Indicated report information is on a need-to-know basis.</li> </ul> </li> </ul> <p><b>115.61(c)</b></p> <ul style="list-style-type: none"> <li>• Interviews with medical staff: <ul style="list-style-type: none"> <li>◦ Indicated limitations of confidentiality and mandatory reporting duties are disclosed prior to providing services.</li> <li>◦ Indicated duty to report all information about sexual victimization to the OIC, supervisor, and PREA investigator.</li> <li>◦ Indicated has not needed to report but would do so immediately after disclosure or incident.</li> </ul> </li> </ul> <p><b>115.61(d)</b></p> <ul style="list-style-type: none"> <li>• Interview with warden: <ul style="list-style-type: none"> <li>◦ Indicated vulnerable adults are not housed at the facility.</li> <li>◦ Indicated youthful offenders are not housed at the facility.</li> </ul> </li> </ul> <p><b>115.61(e)</b></p> <ul style="list-style-type: none"> <li>• Interview with warden: <ul style="list-style-type: none"> <li>◦ Indicated all allegations are reported to a facility investigator including third-party and anonymous reports.</li> </ul> </li> <li>• Documentation review: <ul style="list-style-type: none"> <li>◦ Allegation notifications to investigators included an anonymous report through the people in confinement hotline.</li> </ul> </li> </ul> <p><b>Determination:</b></p> <p>The facility meets the standard.</p>
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<b>115.62</b>	<b>Agency protection duties</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• LCC PAQ <ul style="list-style-type: none"> <li>◦ Facility response</li> <li>◦ NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022</i></li> </ul> </li> <li>• Interview with agency head designee</li> <li>• Interview with warden</li> <li>• Interview with random sample of staff</li> </ul> <p><b>Reasoning and analysis:</b></p> <p><b>115.62(a)</b></p>

	<ul style="list-style-type: none"> <li>• Facility response: <ul style="list-style-type: none"> <li>◦ When the agency or facility learns that an offender is subject to a substantial risk of imminent sexual abuse, it takes immediate action to protect the offender (i.e., it takes some action to assess and implement appropriate protective measures without unreasonable delay).</li> <li>◦ Zero times when the agency or facility determined that an offender was subject to a substantial risk of imminent sexual abuse in the past 12 months.</li> </ul> </li> <li>• NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy</i>: <ul style="list-style-type: none"> <li>◦ When the staff learns that an offender is subject to a substantial risk of imminent sexual abuse immediate action shall be taken to protect the offender.</li> </ul> </li> <li>• Interview with agency head designee: <ul style="list-style-type: none"> <li>◦ Indicated the coordinated facility response plan would be initiated immediately. Offender would be separated from the imminent threat.</li> </ul> </li> <li>• Interview with warden: <ul style="list-style-type: none"> <li>◦ Indicated offender would be removed from the threat, whether that is to another dorm or transferring threatening offender to another facility.</li> </ul> </li> <li>• Interview with random sample of staff: <ul style="list-style-type: none"> <li>◦ Indicated immediate removal of offender from threat, communicate with OIC or supervisor, and monitor the offender.</li> </ul> </li> </ul> <p><b>Determination:</b></p> <p>The facility meets the standard.</p>
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<b>115.63</b>	<b>Reporting to other confinement facilities</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• LCC PAQ <ul style="list-style-type: none"> <li>◦ Facility response</li> <li>◦ NCDAC Policy PREA-200 <i>PREA Reporting, dated 09.04.2025</i></li> <li>◦ NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022</i></li> </ul> </li> <li>• Interviews with medical staff</li> <li>• Interview with warden</li> <li>• Interview with PREA coordinator</li> </ul> <p><b>Reasoning and analysis:</b></p> <p><b>115.63(a)</b></p> <ul style="list-style-type: none"> <li>• Facility response: <ul style="list-style-type: none"> <li>◦ The agency has a policy requiring that, upon receiving an allegation that an offender was sexually abused while confined at another facility, the head of the facility must notify the head of the facility or</li> </ul> </li> </ul>

appropriate office of the agency or facility where sexual abuse is alleged to have occurred.

- The facility received zero allegations that an offender in its custody was sexually abused while confined at another facility.

#### **115.63(b)**

- Facility response:
  - Agency policy requires that the facility head provide such notification as soon as possible, but no later than 72 hours after receiving the allegation.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - When reporting to other agencies or facilities, such notification shall be provided as soon as possible, but no later than 72 hours after receiving the allegation.

#### **115.63(c)**

- Facility response:
  - The agency or facility documents that it has provided such notification within 72 hours of receiving the allegation.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - Reported allegations, including persons involved and preliminary steps taken, shall be documented in OPUS on the PR (PREA) Incident Report within 72 hours of receiving the report. All PREA investigations shall be consulted (referred) to the Region level for final decision.

#### **115.63(d)**

- Facility response:
  - The agency or facility policy requires that allegations received from other facilities and agencies are investigated in accordance with the PREA standards.
  - The facility received zero allegations of sexual abuse from other facilities during the past 12 months.
- NCDAC Policy PREA-200 *PREA Reporting*:
  - Upon receiving notification from another facility or agency that an allegation of sexual abuse and/or sexual harassment has been reported, the warden shall ensure the allegation is investigated in accordance with the DAC-PREA-200 PREA Investigations policy and applicable Division policies.
- Interview with agency head designee:
  - Indicated the NCDAC PREA office is the designated point of contact as well as notifying the warden of the facility where the allegation occurred.
  - Indicated no such notifications to the NCDAC PREA office in the past 12 months.
  - Indicated the NCDAC PREA office ensures the facility completes an investigation of the allegation.
- Interview with warden:
  - Indicated a notice of allegation from another facility would trigger an immediate investigation and facility staff would work with the notifying facility to obtain statements and complete paperwork.
  - Indicated zero relevant notifications from other facilities.

	<p><b>Determination:</b></p> <p>The facility meets the standard.</p>
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<b>115.64</b>	<b>Staff first responder duties</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• LCC PAQ <ul style="list-style-type: none"> <li>◦ Facility response</li> <li>◦ NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022</i></li> </ul> </li> <li>• Documentation review</li> <li>• Interviews with random sample of staff</li> </ul> <p><b>Reasoning and analysis:</b></p> <p><b>115.64(a)</b></p> <ul style="list-style-type: none"> <li>• Facility response: <ul style="list-style-type: none"> <li>◦ The agency has a first responder policy for allegations of sexual abuse.</li> <li>◦ The policy requires that, upon learning of an allegation that an offender was sexually abused, the first security staff member to respond to the report: <ul style="list-style-type: none"> <li>■ To separate the alleged victim and abuser; and</li> <li>■ To preserve and protect any crime scene until appropriate steps can be taken to collect any evidence.</li> </ul> </li> <li>◦ The policy requires that, if the abuse occurred within a time period that still allows for the collection of physical evidence, the first security staff member to respond to the report: <ul style="list-style-type: none"> <li>■ Request that the alleged victim not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating; and</li> <li>■ Ensure that the alleged abuser does not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating.</li> </ul> </li> <li>◦ Six allegations of offender sexual abuse were reported in the past 12 months.</li> <li>◦ Six allegations where the first security staff member to respond to the report separated the alleged victim and abuser in the past 12 months.</li> <li>◦ Zero allegations where staff were notified within a time period that still allowed for the collection of physical evidence.</li> </ul> </li> <li>• NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy:</i> <ul style="list-style-type: none"> <li>◦ Restated the language of the provision.</li> </ul> </li> <li>• Interviews with random sample of staff: <ul style="list-style-type: none"> <li>◦ Indicated separation of alleged victim and abuser, contact OIC and supervisor, ensure evidence is not destroyed on victim or abuser, secure scene, and write statement.</li> </ul> </li> </ul>

	<p><b>115.64(b)</b></p> <ul style="list-style-type: none"> <li>• Facility response: <ul style="list-style-type: none"> <li>◦ Agency policy requires that if the first staff responder is not a security staff member, that responder shall be required to request that the alleged victim not take any actions that could destroy physical evidence.</li> <li>◦ Agency policy requires that if the first staff responder is not a security staff member, that responder shall be required to notify security staff.</li> <li>◦ Zero allegations of sexual abuse where a non-security staff member was the first responder.</li> </ul> </li> <li>• NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy</i>: <ul style="list-style-type: none"> <li>◦ Restated the language of the provision.</li> </ul> </li> <li>• Interview with random sample of non-security staff: <ul style="list-style-type: none"> <li>◦ Indicated stay with victim, request victim not take evidence destroying actions, contact custody staff, supervisor, and/or OIC, and write statement.</li> </ul> </li> </ul> <p><b>Determination:</b></p> <p>The facility meets the standard.</p>
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<b>115.65</b>	<b>Coordinated response</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• LCC PAQ <ul style="list-style-type: none"> <li>◦ Facility response</li> <li>◦ LCC Procedure A.4900 <i>Sexual Abuse and Harassment, dated 01.29.2023</i></li> </ul> </li> <li>• Interview with warden</li> </ul> <p><b>Reasoning and analysis:</b></p> <p><b>115.65(a)</b></p> <ul style="list-style-type: none"> <li>• Facility response: <ul style="list-style-type: none"> <li>◦ The facility has developed a written institutional plan to coordinate actions taken in response to an incident of sexual abuse among staff first responders, medical and mental health practitioners, investigators, and facility leadership.</li> </ul> </li> <li>• LCC Procedure A.4900 <i>Sexual Abuse and Harassment</i>: <ul style="list-style-type: none"> <li>◦ Provides guidelines for the training, response, tracking, staff responsibilities, and the investigation of offender-on-offender and staff-on-offender sexual abuse and sexual harassment at LCC. <ul style="list-style-type: none"> <li>■ Outlines response duties of LCC staff including: <ul style="list-style-type: none"> <li>■ First responder</li> <li>■ Medical and mental health practitioners</li> <li>■ PREA support person (PSP)</li> </ul> </li> </ul> </li> </ul> </li> </ul>

	<ul style="list-style-type: none"> <li>■ PREA Compliance Manager (PCM)</li> <li>■ Investigator</li> <li>■ Facility leadership / Post Incident Review (PIR) team</li> </ul> <ul style="list-style-type: none"> <li>• Interview with warden: <ul style="list-style-type: none"> <li>◦ Indicated a coordinated response that includes first responding staff, supervisors, medical, mental health, investigator, PSP, PCM, and additional administrative staff.</li> </ul> </li> </ul> <p><b>Determination:</b></p> <p>The facility meets the standard.</p>
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<b>115.66</b>	<b>Preservation of ability to protect inmates from contact with abusers</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• LCC PAQ <ul style="list-style-type: none"> <li>◦ Facility response</li> </ul> </li> <li>• Interview with agency head designee</li> </ul> <p><b>Reasoning and analysis:</b></p> <p><b>115.66(a)</b></p> <ul style="list-style-type: none"> <li>• Facility response: <ul style="list-style-type: none"> <li>◦ The agency, facility, or any other governmental entity responsible for collective bargaining on the agency's behalf has not entered into or renewed any collective bargaining agreement or other agreement since the last PREA audit.</li> </ul> </li> <li>• Interview with agency head designee: <ul style="list-style-type: none"> <li>◦ Indicated North Carolina is not a collective bargaining state.</li> </ul> </li> </ul> <p><b>115.66(b)</b></p> <p>Auditor is not required to audit this provision.</p> <p><b>Determination:</b></p> <p>The facility meets the standard.</p>

<b>115.67</b>	<b>Agency protection against retaliation</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>

**Evidence relied upon in making the compliance determinations:**

- LCC PAQ
  - Facility response
  - NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022*
  - *PREA 90 Monitoring, undated*
- Supplemental documentation
  - Form OPA-I24 - *Retaliation Monitoring for Persons in Confinement, revised 01.01.2023*
- Documentation review
- Interview with agency head designee
- Interview with warden
- Interviews with staff member charged with monitoring

**Reasoning and analysis:**

**115.67(a)**

- Facility response:
  - The program director has been designated as the PREA compliance manager (PCM) and the program supervisor as the PREA support person (PSP).
  - The agency has a policy to protect all offenders and staff who report sexual abuse or sexual harassment or cooperate with sexual abuse or sexual harassment investigations from retaliation by other offenders or staff.
  - The agency designates staff member(s) or charges department(s) with monitoring for possible retaliation.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - The PCM shall monitor for retaliation against staff that either report or cooperate with investigations, of sexual abuse or sexual harassment.
  - The PSP shall monitor retaliation against the victim and the offender who either report allegations, or cooperate with investigations, of sexual abuse or sexual harassment.

**115.67(b)**

- Interview with agency head designee:
  - Indicated PSP is tasked with monitoring any offender who alleges to be a victim, who reported, or who participates in the investigation. The PCM is tasked with monitoring retaliation for a staff member who may have reported and or been involved in that investigative process.
- Interview with warden:
  - Indicated separating and protecting victim offender. PSP will monitor victim offender for retaliation including weekly periodic meetings for first month and then monthly for a minimum of 90 days.
- Interview with staff member charged with monitoring:
  - Indicated as PSP would address any housing, programming, or work assignment concerns the victim offender expressed.
  - Indicated checking in with victim offender every week for first month and then monthly for 90 days, or more if warranted.

**115.67(c)**

- Facility response:

- The agency/facility monitors the conduct or treatment of offenders or staff who reported sexual abuse and of offenders who were reported to have suffered sexual abuse to see if there are any changes that may suggest possible retaliation by offenders or staff.
- The PSP monitors for 90 days.
- The agency/facility acts promptly to remedy any such retaliation.
- The agency/facility continues such monitoring beyond 90 days if the initial monitoring indicates a continuing need.
- Zero incidents of retaliation occurred in the past 12 months.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - Continue monitoring for a minimum of 90 days or beyond 90 days if the initial monitoring indicates a continuing need.
  - In the case of offenders, such monitoring shall also include periodic status checks.
- *PREA 90 Monitoring*:
  - Included investigating officer, report date, 90-day date, and status.
- Documentation review:
  - Form OPA-I24 - *Retaliation Monitoring for Persons in Confinement*:
    - For the one investigation where the victim offender alleged offender-on-offender sexual abuse, retaliation monitoring was documented for the first four weeks.
- Interview with warden:
  - Indicated increasing contact with the PSP, and removal of retaliating individual.
- Interview with staff member charged with monitoring retaliation:
  - Indicated PSP asks victim offender if he has felt any type of retaliation and looks for changes in behavior by checking disciplinary records.
  - Indicated monitors for 90 days but would extend the time if there was a concern.

**115.67(d)**

- Documentation review:
  - Form OPA-I24 - *Retaliation Monitoring for Persons in Confinement*:
    - For the one investigation where the victim offender alleged offender-on-offender sexual abuse, periodic status checks were documented during the first four weeks.

**115.67(e)**

- Interview with warden:
  - Indicated PSP would monitor victim offender and meet with him several times over the next 90 days.
  - Indicated individual who retaliated against any other offender would be moved or transferred.

**115.67(f)**

- Auditor is not required to audit this provision.

**Corrective action recommendation:**

- For at least 90 days following a report of sexual abuse, ensure that the agency

	<p>monitors the conduct and treatment of offenders or staff who reported the sexual abuse and of offenders who were reported to have suffered sexual abuse to see if there are changes that may suggest possible retaliation by offenders or staff (115.67c).</p> <p><b>Corrective action taken by the facility:</b></p> <ul style="list-style-type: none"> <li>• On 03.19.2026, the facility provided the auditor with an updated incident report and memorandum documenting the recategorization of an investigation outcome from unsubstantiated sexual abuse to an uncoerced sex act that does not meet the PREA Standards definition of sexual abuse.</li> <li>• Retaliation monitoring was completed for four weeks during the investigation.</li> </ul> <p><b>Determination:</b></p> <p>The facility meets the standard.</p>
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<b>115.68</b>	<b>Post-allegation protective custody</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• LCC PAQ <ul style="list-style-type: none"> <li>◦ Facility response</li> <li>◦ NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022</i></li> </ul> </li> <li>• Supplemental documentation: <ul style="list-style-type: none"> <li>◦ NCDAC Policy and Procedure PREA-100 <i>PREA Prevention Planning and Screening, dated 09.04.2025</i></li> </ul> </li> <li>• Interview with warden</li> </ul> <p><b>Reasoning and analysis:</b></p> <p><b>115.68(a)</b></p> <ul style="list-style-type: none"> <li>• Facility response: <ul style="list-style-type: none"> <li>◦ The agency has a policy prohibiting the placement of offenders who allege to have suffered sexual abuse in involuntary segregated housing unless an assessment of all available alternatives has been made and a determination has been made that there is no available alternative means of separation from likely abusers.</li> <li>◦ Zero offenders who allege to have suffered sexual abuse who were held in involuntary segregated housing in the past 12 months for one to 24 hours awaiting completion of assessment.</li> <li>◦ Zero offenders who allege to have suffered sexual abuse who were assigned to involuntary segregated housing in the past 12 months for longer than 30 days while awaiting alternative placement.</li> </ul> </li> <li>• NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual</i></li> </ul>

	<p><i>Harassment Policy:</i></p> <ul style="list-style-type: none"> <li>◦ The Warden has the discretion regarding how separation occurs, who is moved, and where. Should the alleged victim be placed in restrictive housing, then an analysis of 1) information from the Preliminary Review to include the type of allegation, 2) prior complaints filed against the alleged abuser, 3) will the movement appear punitive toward an alleged victim shall be considered, and 4) documentation of the decision to move the alleged victim.</li> </ul> <ul style="list-style-type: none"> <li>• NCDAC Policy and Procedure PREA-100 <i>PREA Prevention Planning and Screening:</i> <ul style="list-style-type: none"> <li>◦ Offenders at high risk for sexual victimization shall not be placed in restrictive housing unless an assessment of all available alternatives has been made, and a determination has been made that there is no available alternative means of separation from likely abusers. If a facility cannot conduct such an assessment immediately, the facility may hold the offender in restrictive housing for less than 24 hours while completing the assessment.</li> <li>◦ Every 30 days, the facility shall afford each such offender a review to determine whether there is a continuing need for separation from the general population.</li> </ul> </li> <li>• Interview with warden: <ul style="list-style-type: none"> <li>◦ Indicated the facility does not have segregated housing.</li> </ul> </li> </ul> <p><b>Determination:</b></p> <p>The facility meets the standard.</p>
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<b>115.71</b>	<b>Criminal and administrative agency investigations</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• LCC PAQ <ul style="list-style-type: none"> <li>◦ Facility response</li> <li>◦ NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022</i></li> <li>◦ NCDAC Policy and Procedure PREA-300 <i>PREA Official Response and Investigations, dated 09.04.2025</i></li> </ul> </li> <li>• Documentation review</li> <li>• Interview with investigative staff</li> <li>• Interview with warden</li> <li>• Interview with PREA coordinator</li> </ul> <p><b>Reasoning and analysis:</b></p> <p><b>115.71(a)</b></p> <ul style="list-style-type: none"> <li>• Facility response: <ul style="list-style-type: none"> <li>◦ The agency/facility has a policy related to criminal and administrative agency investigations.</li> </ul> </li> <li>• NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual</i></li> </ul>

*Harassment Policy:*

- Investigators shall gather and preserve direct and circumstantial evidence, including any available physical and DNA evidence and any available electronic monitoring data including video and/or audio recordings; shall interview alleged victims, suspected perpetrators, and witnesses.
- In order to preserve the integrity of the investigation, one person, or a specific team, shall be designated to investigate an incident, and only that person (or team) shall be involved in the collection of evidence and interviewing of potential witnesses. A thorough investigation is necessary to ensure the potential for prosecution if it is determined that a crime has been committed.
- NCDAC Policy and Procedure PREA-300 *PREA Official Response and Investigations:*
  - If an alleged act of sexual abuse or sexual harassment is reported or discovered, an immediate preliminary review shall be conducted by a SAH investigator to determine if the incident meets the standards of PREA.
  - DAC confinement or treatment facilities shall cooperate with non-DAC law enforcement agencies investigating sexual abuse, providing information upon request, unless prohibited by law. Facilities shall endeavor to remain informed about the progress of the investigation.
- Documentation review:
  - Auditor's review of investigation files included an anonymous report through the persons in confinement hotline.
- Interview with investigative staff:
  - Indicated investigations begin as soon as possible after the allegation or incident.
  - Indicated third-party and anonymous reports are investigated the same way as any other report.

**115.71(b)**

- Interview with investigative staff:
  - Indicated investigator training included interacting with victims, evidence collection, interviewing parties involved, gathering statements, notifying outside law enforcement and coordinated response.
  - Indicated proper use of Miranda and Garrity warnings were reviewed.
  - Indicated *preponderance of the evidence* was the standard used in administrative investigations.

**115.71(c)**

- Interview with investigative staff:
  - Indicated investigations begin with ensuring safety of alleged victim and let victim explain what happened.
  - Indicated investigations include interviewing alleged victim, medical assessment of alleged victim, protecting and collecting physical evidence, sending alleged victim to hospital if appropriate, calling law enforcement for criminal cases.
- Documentation review:
  - Offender-on-offender incident report of alleged sexual abuse lists actions including interviewing and gathering statements from both parties involved, video review, medical assessment for both parties, and review of previous allegations involving alleged victim or alleged abuser.

**115.71(d)**

- Interview with investigative staff:
  - Indicated that the Lincoln County Sheriff's Office would be consulted for allegations that appear to include any criminal act.

**115.71(e)**

- Interview with investigative staff:
  - Indicated credibility is assessed by comparing statements of alleged victim, alleged abuser, and any witnesses to the evidence that is available.
  - Indicated polygraph examinations are never administered as part of the administrative investigation.

**115.71(f)**

- Interview with investigative staff:
  - Indicated staff actions or failure to act are documented if shown to occur.
  - Indicated written reports include a description of the allegation, any statements given by alleged victim, alleged abuser, or witnesses, evidence gathered, staff actions and responses, and investigator actions.
- Documentation review:
  - Offender-on-offender incident report of alleged sexual abuse does not include:
    - Credibility assessment reasoning; and
    - Investigative facts and findings as to why both offenders were transported to facility with restrictive housing.

**115.71(g)**

- Interview with investigative staff:
  - Indicated criminal reports would be documented by the Lincoln County Sheriff's Office.
- Documentation review:
  - Zero criminal investigation reports during the past 12 months for auditor to review.

**115.71(h)**

- Facility response:
  - Substantiated allegations of conduct that appear to be criminal are referred for prosecution.
  - Zero substantiated allegations of conduct that appear to be criminal that were referred for prosecution since the last PREA audit.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - Restated the language of the provision.
- NCDAC Policy and Procedure PREA-300 *PREA Official Response and Investigations*:
  - Restated the language of the provision.
- Interview with investigative staff:
  - Indicated if the allegation includes possible criminal acts it is referred to the Lincoln County Sheriff's Office.

**115.71(i)**

- Facility response:
  - The agency retains all written reports pertaining to the administrative or criminal investigation of alleged sexual abuse or sexual harassment for as long as the alleged abuser is incarcerated or employed by the agency, plus five years.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - All written reports referenced in paragraph (g) of this section shall be retained for as long as the alleged abuser is incarcerated or employed by the agency, plus five years.
- NCDAC Policy and Procedure PREA-300 *PREA Official Response and Investigations*:
  - All written PREA related reports shall be retained in the Offender Population Unified System (OPUS) for as long as the alleged abuser is incarcerated or employed by DAC, plus five years.

**115.71(j)**

- Interview with investigative staff:
  - Indicated an investigation is still completed when an alleged abuser, whether offender or staff, is no longer at the facility.

**115.71(k)**

- Auditor is not required to audit this provision.

**115.71(l)**

- Interview with warden:
  - Indicated facility investigator would be the facility liaison and work with the criminal investigator as needed.
- Interview with PREA coordinator:
  - Indicated facility wardens and the office of internal affairs liaise with investigators to stay updated on case status.
- Interview with PREA compliance manager:
  - Indicated facility investigators would reach out to Lincoln County Sheriff's Office investigators for updates.
- Interview with investigative staff:
  - Indicated reaching out to the criminal investigator.

**Corrective action recommendation:**

- Ensure administrative investigations include a description of the physical and testimonial evidence, the reasoning behind credibility assessments, and investigative facts and findings. (115.71f)

**Corrective action taken by the facility:**

- On 03.19.2026, the facility provided the auditor with additional investigation documentation including statements from persons involved, explanation for transfer to restrictive housing, and the investigator's reasoning for outcome

	<p>determination.</p> <p><b>Determination:</b></p> <p>The facility meets the standard.</p>
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<b>115.72</b>	<b>Evidentiary standard for administrative investigations</b>
	<p><b>Auditor Overall Determination:</b> Meets Standard</p>
	<p><b>Auditor Discussion</b></p>
	<p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• LCC PAQ <ul style="list-style-type: none"> <li>◦ Facility response</li> <li>◦ NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022</i></li> <li>◦ NCDAC Policy and Procedure PREA-300 <i>PREA Official Response and Investigations, dated 09.04.2025</i></li> </ul> </li> <li>• Documentation review</li> <li>• Interview with investigative staff</li> </ul> <p><b>Reasoning and analysis:</b></p> <p><b>115.72(a)</b></p> <ul style="list-style-type: none"> <li>• Facility response: <ul style="list-style-type: none"> <li>◦ The agency imposes a standard of a preponderance of the evidence or a lower standard of proof when determining whether allegations of sexual abuse or sexual harassment are substantiated.</li> </ul> </li> <li>• NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy:</i> <ul style="list-style-type: none"> <li>◦ Restated the language of the provision.</li> </ul> </li> <li>• NCDAC Policy and Procedure PREA-300 <i>PREA Official Response and Investigations, dated 09.04.2025:</i> <ul style="list-style-type: none"> <li>◦ Restated the language of the provision.</li> </ul> </li> <li>• Documentation review: <ul style="list-style-type: none"> <li>◦ Two of four administrative investigations review by the auditor used preponderance of the evidence to determine whether allegations are substantiated. The other two investigations were lacking documentation of any evidentiary standard used for determining the outcome.</li> <li>◦ One administrative investigation was ongoing.</li> <li>◦ One administrative investigation where the allegation did not meet any relevant definition under the PREA Standards.</li> </ul> </li> <li>• Interview with investigative staff: <ul style="list-style-type: none"> <li>◦ Indicated <i>preponderance of the evidence</i> is the evidentiary standard used in administrative investigations.</li> </ul> </li> </ul> <p><b>Corrective action recommendation:</b></p> <ul style="list-style-type: none"> <li>• Ensure no standard higher than a <i>preponderance of the evidence</i> is imposed</li> </ul>

in determining whether allegations of sexual abuse or sexual harassment are substantiated.

**Corrective action taken by the facility:**

- On 03.19.2026, the facility provided the auditor with additional investigation documentation including the investigator's reasoning for outcome determination based on the preponderance of the evidence.

**Determination:**

The facility meets the standard.

**115.73 Reporting to inmates**

**Auditor Overall Determination:** Meets Standard

**Auditor Discussion**

**Evidence relied upon in making the compliance determinations:**

- LCC PAQ
  - Facility response
  - NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022*
  - NCDAC Policy and Procedure PREA-300 *PREA Official Response and Investigations, dated 09.04.2025*
- Documentation review
- Interview with warden
- Interview with investigative staff

**Reasoning and analysis:**

**115.73(a)**

- Facility response:
  - The agency has a policy requiring that any offender who makes an allegation that he or she suffered sexual abuse in an agency facility is informed, verbally or in writing, as to whether the allegation has been determined to be substantiated, unsubstantiated, or unfounded following an investigation by the agency.
  - 5 criminal and/or administrative investigations of alleged offender sexual abuse that were completed by the agency/facility in the past 12 months.
  - 5 of the alleged sexual abuse investigations that were completed in the past 12 months, the number of offenders who were notified, verbally or in writing, of the results of the investigation.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy:*
  - Following an investigation into an offender's allegation that he or she suffered sexual abuse in a facility, the PSP shall inform the offender as to whether the allegation has been determined to be substantiated,

- unsubstantiated, or unfounded.
  - Notification shall be documented on Form OPA-I30 Support Services.
  - If the DAC did not conduct the investigation, the PSP shall request, through the chain of command, the relevant information from the investigative agency in order to inform the offender.
- Interview with warden:
  - Indicated outcome notification is provided to victim offender upon completion of the investigation.
- Interview with investigative staff:
  - Indicated the PSP provides the notification to the victim.

**115.73(b)**

- Facility response:
  - If an outside entity conducts such investigations, the agency requests the relevant information from the investigative entity in order to inform the offender of the outcome of the investigation.
  - Zero investigations of alleged offender sexual abuse in the facility that were completed by an outside agency in the past 12 months.

**115.73(c)**

- Facility response:
  - Following an offender's allegation that a staff member has committed sexual abuse against the offender, the agency/facility subsequently informs the offender (unless the agency has determined that the allegation is unfounded) whenever:
    - The staff member is no longer posted within the offender's unit;
    - The staff member is no longer employed at the facility;
    - The agency learns that the staff member has been indicted on a charge related to sexual abuse within the facility; or
    - The agency learns that the staff member has been convicted on a charge related to sexual abuse within the facility.
  - There have been zero substantiated or unsubstantiated complaints (i.e., not unfounded) of sexual abuse committed by a staff member against an offender in an agency facility in the past 12 months.
  - In each case the agency subsequently informed the offender.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - Following an offender's allegation that a staff member has committed sexual abuse against the offender, the PSP shall subsequently inform the offender (unless the allegation is unfounded) whenever:
    - The staff member is no longer posted within the offender's unit;
    - The staff member is no longer employed at the facility;
    - The agency learns that the staff member has been indicted on a charge related to sexual abuse within the facility; or
    - The agency learns that the staff member has been convicted on a charge related to sexual abuse within the facility.
- Documentation review:
  - Zero investigations were completed where these notifications were applicable.
  - One investigation was ongoing at the time of the document review.

**115.73(d)**

- Facility response:
  - Following an offender’s allegation that he or she has been sexually abused by another offender in an agency facility, the agency subsequently informs the alleged victim whenever:
  - The agency learns that the alleged abuser has been indicted on a charge related to sexual abuse within the facility; or
  - The agency learns that the alleged abuser has been convicted on a charge related to sexual abuse within the facility.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - Restates the language of the provision.
- Document review:
  - Zero allegations where the evidence led to a criminal investigation.

**115.73(e)**

- Facility response:
  - The agency has a policy that all notifications to offenders described under this standard are documented.
  - In the past 12 months, six notifications to offenders that were provided pursuant to this standard.
  - In the past 12 months, six notifications documented.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - All such notifications or attempted notifications shall be documented.
- Document review:
  - Three investigations where form OPA-I30 was completed.
  - One investigation where the offender was released from NCDAC custody before outcome determination.
  - One investigation that was ongoing at the time of the document review.
  - One allegation was prescreened as not meeting any definition related to the PREA Standards.

**115.73(f)**

- Auditor is not required to audit this provision.

**Determination:**

The facility meets the standard.

<b>115.76</b>	<b>Disciplinary sanctions for staff</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<b>Evidence relied upon in making the compliance determinations:</b> <ul style="list-style-type: none"> <li>• LCC PAQ           <ul style="list-style-type: none"> <li>◦ Facility response</li> <li>◦ NCDAC Policy and Procedure B.0200 <i>Offender Disciplinary Procedures</i>,</li> </ul> </li> </ul>

*dated 12.04.2024*

- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022*
- *LCC PREA Incident Search Results, dated 01.06.2026*
- Supplemental documentation
  - NCDAC Policy and Procedure PREA-300 *PREA Official Response and Investigations, dated 09.04.2025*
- Documentation review

**Reasoning and analysis:**

**115.76(a)**

- Facility response:
  - Staff is subject to disciplinary sanctions up to and including termination for violating agency sexual abuse or sexual harassment policies.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy:*
  - Restated the language of the provision.
- NCDAC Policy and Procedure PREA-300 *PREA Official Response and Investigations:*
  - Employees shall be subject to disciplinary sanctions up to and including termination for violating agency sexual abuse or sexual harassment policies.
  - Any contractor or volunteer who engages in sexual abuse shall be immediately prohibited from contact with offenders and shall be reported to law enforcement agencies, unless the activity was clearly not criminal, and to relevant licensing bodies.

**115.76(b)**

- Facility response:
  - In the past 12 months, one staff from the facility have violated agency sexual abuse or sexual harassment policies.
  - In the past 12 months, one staff from the facility have been terminated (or resigned prior to termination) for violating agency sexual abuse or sexual harassment policies.
- NCDAC Policy and Procedure B.0200:
  - Policy not relevant to staff disciplinary sanctions.
- *LCC PREA Incident Search Results:*
  - Listed one offender-on-offender allegation of sexual abuse with an unsubstantiated outcome.
  - Listed two offender-on-offender allegations of sexual harassment with an unsubstantiated outcome.
  - Listed one staff-on-offender allegation of sexual harassment with an unsubstantiated outcome.
  - Listed one staff-on-offender allegation of sexual harassment with an ongoing investigation process.
- Documentation review:
  - One additional allegation of staff-on-offender voyeurism that was determined to not meet any definition for sexual abuse under the PREA Standards.

**115.76(c)**

- Facility response:

	<ul style="list-style-type: none"> <li>◦ The disciplinary sanctions for violations of agency policies relating to sexual abuse or sexual harassment (other than actually engaging in sexual abuse) are commensurate with the nature and circumstances of the acts committed, the staff member's disciplinary history, and the sanctions imposed for comparable offenses by other staff with similar histories.</li> <li>◦ In the past 12 months, zero staff from the facility who have been disciplined, short of termination, for violation of agency sexual abuse or sexual harassment policies (other than actually engaging in sexual abuse).</li> <li>• NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy</i>: <ul style="list-style-type: none"> <li>◦ Disciplinary sanctions for violations of agency policies relating to sexual abuse or sexual harassment shall be commensurate with the nature and circumstances of the acts committed, the employee's disciplinary history, and the sanctions imposed for comparable offenses by other employees with similar histories.</li> </ul> </li> </ul> <p><b>115.76(d)</b></p> <ul style="list-style-type: none"> <li>• Facility response: <ul style="list-style-type: none"> <li>◦ All terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, are reported to law enforcement agencies (unless the activity was clearly not criminal) and to any relevant licensing bodies.</li> <li>◦ In the past 12 months, zero staff from the facility that have been reported to law enforcement or licensing boards following their termination (or resignation prior to termination) for violating agency sexual abuse or sexual harassment policies.</li> </ul> </li> <li>• NCDAC Policy and Procedure PREA-300 <i>PREA Official Response and Investigations</i>: <ul style="list-style-type: none"> <li>◦ All terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by employees who would have been terminated if not for their resignation, shall be reported to law enforcement agencies, unless the activity was clearly not criminal, and to any relevant licensing bodies.</li> </ul> </li> </ul> <p><b>Determination:</b></p> <p>The facility meets the standard.</p>
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<b>115.77</b>	<b>Corrective action for contractors and volunteers</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• LCC PAQ <ul style="list-style-type: none"> <li>◦ Facility response</li> <li>◦ NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022</i></li> </ul> </li> </ul>

- Documentation review

**Reasoning and analysis:**

**115.77(a)**

- Facility response:
  - Agency policy requires that any contractor or volunteer who engages in sexual abuse be reported to law enforcement agencies (unless the activity was clearly not criminal) and to relevant licensing bodies.
  - Agency policy requires that any contractor or volunteer who engages in sexual abuse be prohibited from contact with offenders.
  - In the past 12 months, zero contractors or volunteers have been reported to law enforcement agencies and relevant licensing bodies for engaging in sexual abuse of offenders.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - Any contractor or volunteer who engages in sexual abuse shall be immediately prohibited from contact with offenders and shall be reported to law enforcement agencies, unless the activity was clearly not criminal, and to relevant licensing bodies.
- Documentation review:
  - Zero incident reports reviewed by auditor named a contractor or volunteer as the alleged abuser.

**115.77(b)**

- Facility response:
  - The facility takes appropriate remedial measures and considers whether to prohibit further contact with offenders in the case of any other violation of agency sexual abuse or sexual harassment policies by a contractor or volunteer.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - Volunteers /contracting agents:
    - Appropriate remedial measures shall be considered whether to prohibit further contact with offenders in the case of any other violation of sexual abuse or sexual harassment policies.
- Interview with warden:
  - Indicated contractor or volunteer would no longer be allowed contact with offenders and reported to law enforcement if substantiated.

**Determination:**

The facility meets the standard.

<b>115.78</b>	<b>Disciplinary sanctions for inmates</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<b>Evidence relied upon in making the compliance determinations:</b>

- LCC PAQ
  - Facility response
  - NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022*
  - NCDAC Policy and Procedure B.0200 *Offender Disciplinary Procedures, dated 12.04.2024*
  - Documentation review
  - Interview with warden
  - Interview with medical staff

**Reasoning and analysis:**

**115.78(a)**

- Facility response:
  - Offenders are subject to disciplinary sanctions only pursuant to a formal disciplinary process following an administrative finding that an offender engaged in offender-on-offender sexual abuse.
  - Offenders are subject to disciplinary sanctions only pursuant to a formal disciplinary process following a criminal finding of guilt for offender-on-offender sexual abuse.
  - In the past 12 months, zero administrative findings of offender-on-offender sexual abuse that have occurred at the facility.
  - In the past 12 months, zero criminal findings of guilt for offender-on-offender sexual abuse that have occurred at the facility.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - Restated the language of the provision.

**115.78(b)**

- NCDAC Policy and Procedure B.0200 *Offender Disciplinary Procedures, dated 12.04.2024*:
  - Class A, Category I Offenses:
    - (A05) Commit an assault on another offender with intent to commit any sexual act.
    - (A11) Commit an assault on a staff member with intent to commit any sexual act.
    - (A17) Commit an assault on any person, other than an employee or offender, with intent to commit any sexual act.
    - (A25) Commit, solicit, or incite others to commit any sexual act or indecently expose oneself, or touch the sexual or other intimate parts of oneself or another person for the purpose of sexual gratification.
- Interview with warden:
  - Indicated a substantiated investigation outcome would lead to a disciplinary process for the abuser. Sanctions are based on the infraction committed.
- Documentation review:
  - Facility had zero substantiated offender-on-offender sexual abuse outcomes.

**115.78(c)**

- Interview with warden:
  - Indicated disciplinary process would consider whether the offender's mental disabilities or mental illness contributed to their behavior when

- determining if and what type of sanction should be imposed.
- Indicated developmentally disabled offenders are not housed at this facility.

**115.78(d)**

- Facility response:
  - The facility offers therapy, counseling, or other interventions designed to address and correct the underlying reasons or motivations for abuse.
  - The facility considers whether to require the offending offender to participate in such interventions as a condition of access to programming or other benefits.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - A mental health evaluation shall be conducted after a substantiated incident and the offender shall be offered treatment when deemed appropriate by mental health practitioners. If the facility offers therapy, counseling, or other interventions designed to address and correct underlying reasons or motivations for the abuse, the facility shall consider whether to require the offending offender to participate in such interventions as a condition of access to programming or other benefits.
- Interview with medical staff:
  - Indicated the abusive offender would be referred to mental health but offender has a right to decline and participation must be voluntary to be effective.

**115.78(e)**

- Facility response:
  - The agency disciplines offenders for sexual conduct with staff only upon finding that the staff member did not consent to such contact.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - The agency may not discipline an offender victim for sexual contact with staff unless a finding that the staff member did not consent to such contact.
- Documentation review:
  - Auditor noted zero investigations relevant to this provision.

**115.78(f)**

- Facility response:
  - The agency prohibits disciplinary action for a report of sexual abuse made in good faith based upon a reasonable belief that the alleged conduct occurred, even if an investigation does not establish evidence sufficient to substantiate the allegation.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - Restated the language of the provision.

**115.78(g)**

- Facility response:
  - The agency prohibits all sexual activity between offenders.

	<ul style="list-style-type: none"> <li>◦ If the agency prohibits all sexual activity between offenders and disciplines offenders for such activity, the agency deems such activity to constitute sexual abuse only if it determines that the activity is coerced.</li> <li>• NCDAC Policy and Procedure B.0200 <i>Offender Disciplinary Procedures</i>: <ul style="list-style-type: none"> <li>◦ Class A, Category I Offenses: <ul style="list-style-type: none"> <li>■ (A05) Commit an assault on another offender with intent to commit any sexual act.</li> <li>■ (A11) Commit an assault on a staff member with intent to commit any sexual act.</li> <li>■ (A17) Commit an assault on any person, other than an employee or offender, with intent to commit any sexual act.</li> <li>■ (A25) Commit, solicit, or incite others to commit any sexual act or indecently expose oneself, or touch the sexual or other intimate parts of oneself or another person for the purpose of sexual gratification.</li> </ul> </li> </ul> </li> <li>• NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy</i>: <ul style="list-style-type: none"> <li>◦ Sexual abuse of an offender, detainee, or resident by another offender, detainee, or resident includes any of the following acts, if the victim does not consent, is coerced into such act by overt or implied threats of violence, or is unable to consent or refuse: <ul style="list-style-type: none"> <li>■ Contact between the penis and the vulva or the penis and the anus, including penetration, however slight.</li> <li>■ Contact between the mouth and the penis, vulva, or anus.</li> <li>■ Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument; and</li> <li>■ Any other intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or the buttocks of another person, excluding contact incidental to a physical altercation.</li> </ul> </li> </ul> </li> </ul> <p><b>Determination:</b></p> <p>The facility meets the standard.</p>
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<b>115.81</b>	<b>Medical and mental health screenings; history of sexual abuse</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• LCC PAQ <ul style="list-style-type: none"> <li>◦ Facility response</li> <li>◦ NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022</i></li> </ul> </li> <li>• Supplemental documentation: <ul style="list-style-type: none"> <li>◦ <i>Lincoln Correctional PREA Scorecard 2025, dated 12.15.2025</i></li> <li>◦ <i>Form DC-945 Confidentiality and Privileged Information, dated 10.222</i></li> </ul> </li> <li>• Site review</li> <li>• Documentation review</li> <li>• Interview with staff responsible for risk screening</li> </ul>

**Reasoning and analysis:**

**115.81(a)**

- Facility response:
  - All offenders at this facility who have disclosed any prior sexual victimization during a screening pursuant to §115.41 are offered a follow-up meeting with a medical or mental health practitioner.
  - The follow-up meeting was offered within 14 days of the intake screening.
  - In the past 12 months, 100 percent of offenders who disclosed prior victimization during screening who were offered a follow-up meeting with a medical or mental health practitioner:
    - Medical and mental health staff do not maintain secondary materials (e.g., form, log) documenting compliance with the above required services.
- *Lincoln Correctional PREA Scorecard 2025*:
  - Indicated 100% compliance for three of three mental health referrals within 14 days.
- Interview with staff responsible for risk screening:
  - Indicated that an auto-generated referral is made when the screening indicates that an offender has experienced prior sexual victimization.

**115.81(b)**

- Facility response:
  - All prison offenders who have previously perpetrated sexual abuse, as indicated during the screening pursuant to § 115.41, are offered a follow-up meeting with a mental health practitioner.
  - The follow-up meeting was offered within 14 days of the intake screening.
  - In the past 12 months, 100 percent of offenders who have previously perpetrated sexual abuse, as indicated during the screening, who were offered a follow-up meeting with a mental health practitioner.
  - Mental health staff do not maintain secondary materials (e.g., form, log) documenting compliance with the above required services.
- Interview with staff responsible for risk screening:
  - Indicated that an auto-generated referral is made when the screening indicates that an offender previously perpetrated sexual abuse.

**115.81(c)**

- Not applicable to prisons.

**115.81(d)**

- Facility response:
  - Information related to sexual victimization or abusiveness that occurred in an institutional setting is not strictly limited to medical and mental health practitioners.
  - The information shared with other staff is strictly limited to informing security and management decisions, including treatment plans, housing, bed, work, education, and program assignments, or as otherwise required by federal, state, or local law.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - Any information related to sexual victimization or abusiveness that

occurred in an institutional setting shall be strictly limited to medical and mental health practitioners and other staff, as necessary, to inform treatment plans and security and management decisions, including housing, bed, work, education, and program assignments, or as otherwise required by Federal, State, or local law.

- Site review:
  - Physical storage of hard-copy PREA-related documentation was secured by locks.
  - Electronic storage of PREA-related documentation was secured through profile-based access and password protection.

**115.81(e)**

- Facility response:
  - Medical and mental health practitioners obtain informed consent from offenders before reporting information about prior sexual victimization that did not occur in an institutional setting, unless the offender is under the age of 18.
- Site review:
  - Form DC-945 *Confidentiality and Privileged Information*:
    - Explains limits to the confidentiality of offender behavioral health information.
    - Includes signature line affirming the offender has:
      - Read the form or had it read to them;
      - Had an opportunity to ask questions and have questions answered; and
      - Fully understand the limits of confidentiality.
- Interview with medical:
  - Indicated informed consent is obtained from an offender before reporting about prior sexual victimization that did not occur in an institutional setting.
  - Indicated the facility does not house youthful offenders.

**Determination:**

The facility meets the standard.

<b>115.82</b>	<b>Access to emergency medical and mental health services</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<b>Evidence relied upon in making the compliance determinations:</b> <ul style="list-style-type: none"> <li>• LCC PAQ           <ul style="list-style-type: none"> <li>◦ Facility response</li> <li>◦ NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022</i></li> <li>◦ NCDAC Policy and Procedure S.1300 <i>Offender Co-Pay, dated 01.2016</i></li> </ul> </li> <li>• Supplemental documentation           <ul style="list-style-type: none"> <li>◦ NCDPS Health Services Policy &amp; Procedure <i>CP-18 Sexual Abuse, dated 02.2014</i></li> </ul> </li> <li>• Documentation review</li> </ul>

- Interview with medical staff

**Reasoning and analysis:**

**115.82(a)**

- Facility response:
  - Offender victims of sexual abuse receive timely, unimpeded access to emergency medical treatment and crisis intervention services.
  - The nature and scope of such services are determined by medical and mental health practitioners according to their professional judgment.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - If an alleged act of sexual abuse has occurred and there may be forensic medical evidence, the offender may need medical assistance, or other circumstances dictate, arrangements shall be promptly made to have the alleged offender victim examined by medical services.
- NCDPS Health Services Policy & Procedure *CP-18 Sexual Abuse*:
  - It is the policy of the Division of Adult Correction to provide to all offenders who allege sexual abuse a prompt medical evaluation and to offer a referral to Mental Health Services.
  - This protocol is not intended to be applicable to every clinical situation which practitioners may encounter and is not meant as a substitute for individual judgment and professional expertise.
- Interview with medical staff:
  - Indicated that offender victims of sexual abuse receive timely and unimpeded access to emergency medical treatment as soon as medical is notified.
  - Indicated that treatment provided is determined according to medical staff's professional judgement, training, experience, and medical policies.

**115.82(b)**

- Documentation review:
  - The auditor reviewed the investigation file for the the one allegation of offender-on-offender sexual abuse.
    - Both offenders involved were assessed by the facility medical provider soon after staff discovered a sexual incident had taken placed.

**115.82(c)**

- Facility response:
  - Offender victims of sexual abuse while incarcerated are offered timely information about and timely access to emergency contraception and sexually transmitted infections prophylaxis, in accordance with professionally accepted standards of care, where medically appropriate.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - Medical Services will follow medical protocol, which includes provisions for examination, documentation, and transport to the local emergency department when appropriate, where the following will occur, collection of forensic evidence, testing for sexually transmitted diseases, counseling, and prophylactic treatment. Medical Services will ensure that the offender receives medical follow-up and is offered a

	<p style="text-align: center;">referral for mental health services.</p> <ul style="list-style-type: none"> <li>• Interview with medical staff: <ul style="list-style-type: none"> <li>◦ Indicated victims of sexual abuse are offered timely information about access to sexually transmitted infection prophylaxis.</li> </ul> </li> </ul> <p><b>115.82(d)</b></p> <ul style="list-style-type: none"> <li>• Facility response: <ul style="list-style-type: none"> <li>◦ Treatment services are provided to every victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident.</li> </ul> </li> <li>• NCDAC Policy and Procedure S.1300 <i>Offender Co-Pay</i>: <ul style="list-style-type: none"> <li>◦ If emergency evaluation and/or treatment is provided and the condition is determined to be a potential emergency, immediate medical treatment is required, or there is an admission to an infirmary or hospital there will be no copayment charged.</li> </ul> </li> <li>• NCDPS Health Services Policy &amp; Procedure CP-18 <i>Sexual Abuse</i>: <ul style="list-style-type: none"> <li>◦ All care for sexual abuse will be provided at no cost.</li> </ul> </li> </ul> <p><b>Determination:</b></p> <p>The facility meets the standard.</p>
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<b>115.83</b>	<b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• LCC PAQ <ul style="list-style-type: none"> <li>◦ Facility response</li> <li>◦ NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022</i></li> <li>◦ NCDAC Policy and Procedure S.1300 <i>Offender Co-Pay, dated 01.2016</i></li> <li>◦ NCDAC Policy and Procedure PREA-100 <i>PREA Prevention Planning and Screening, dated 09.04.2025</i></li> </ul> </li> <li>• Additional documentation <ul style="list-style-type: none"> <li>◦ NCDPS Health Services Policy &amp; Procedure CP-18 <i>Sexual Abuse, dated 02.2014</i></li> </ul> </li> <li>• Documentation review</li> <li>• Interview with medical staff</li> </ul> <p><b>Reasoning and analysis:</b></p> <p><b>115.83(a)</b></p> <ul style="list-style-type: none"> <li>• Facility response <ul style="list-style-type: none"> <li>◦ The facility offers medical and mental health evaluation and, as appropriate, treatment to all offenders who have been victimized by sexual abuse in any prison, jail, lockup, or juvenile facility.</li> </ul> </li> </ul>

- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - Medical Services will follow medical protocol, which includes provisions for examination, documentation, and transport to the local emergency department when appropriate, where the following will occur, collection of forensic evidence, testing for sexually transmitted diseases, counseling, and prophylactic treatment. Medical Services will ensure that the offender receives medical follow-up and is offered a referral for mental health services.

**115.83(b)**

- Interview with medical:
  - Indicated victimized offenders receive initial assessment and treatment of injuries as needed, additional services at hospital and/or facility as appropriate, mental health referral, and continuity of care.

**115.83(c)**

- Interview with medical staff:
  - Indicated that medical services offered and provided are consistent with community level of care.

**115.83(d)**

- Facility response:
  - Not applicable. Facility only houses male offenders.
- Documentation review:
  - Auditor noted zero transgender men from facility information received.

**115.83(e)**

- Facility response:
  - Not applicable. Facility only houses male offenders.
- Documentation review:
  - Auditor noted zero transgender men from facility information received.

**115.83(f)**

- Facility response:
  - Offender victims of sexual abuse while incarcerated are offered tests for sexually transmitted infections as medically appropriate.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - Medical Services will follow medical protocol, which includes provisions for examination, documentation, and transport to the local emergency department when appropriate, where the following will occur, collection of forensic evidence, testing for sexually transmitted diseases, counseling, and prophylactic treatment.

**115.83(g)**

- Facility response:

	<ul style="list-style-type: none"> <li>◦ Treatment services are provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident.</li> <li>• NCDAC Policy and Procedure S.1300 Offender Co-Pay: <ul style="list-style-type: none"> <li>◦ If emergency evaluation and/or treatment is provided and the condition is determined to be a potential emergency, immediate medical treatment is required, or there is an admission to an infirmary or hospital there will be no copayment charged.</li> </ul> </li> <li>• NCDPS Health Services Policy &amp; Procedure CP-18 <i>Sexual Abuse</i>: <ul style="list-style-type: none"> <li>◦ All care for sexual abuse will be provided at no cost.</li> </ul> </li> </ul> <p><b>115.83(h)</b></p> <ul style="list-style-type: none"> <li>• Facility response: <ul style="list-style-type: none"> <li>◦ The facility attempts to conduct a mental health evaluation of all known offender-on-offender abusers within 60 days of learning of such abuse history and offers treatment when deemed appropriate by mental health practitioners.</li> </ul> </li> <li>• NCDAC Policy and Procedure PREA-100 <i>PREA Prevention Planning and Screening</i>: <ul style="list-style-type: none"> <li>◦ If the screening for risk of victimization and abusiveness indicates that a prison offender has previously perpetrated sexual abuse, whether it occurred in an institutional setting or in the community, employees shall ensure that the offender is offered a follow-up meeting with a mental health practitioner within 14 days of the intake screening.</li> </ul> </li> <li>• Interview with medical staff: <ul style="list-style-type: none"> <li>◦ A mental health referral is made for known offender-on-offender abusers.</li> </ul> </li> </ul> <p><b>Determination:</b></p> <p>The facility meets the standard.</p>
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<b>115.86</b>	<b>Sexual abuse incident reviews</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• LCC PAQ <ul style="list-style-type: none"> <li>◦ Facility response</li> <li>◦ NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022</i></li> <li>◦ Supplemental documentation</li> <li>◦ NCDAC form OPA-I10 <i>Post Incident Review (PIR), revised 01.01.2023</i></li> </ul> </li> <li>• Documentation review</li> <li>• Interview with warden</li> <li>• Interview with PREA compliance manager</li> </ul> <p><b>Reasoning and analysis:</b></p> <p><b>115.86(a)</b></p>

- Facility response:
  - The facility conducts a sexual abuse incident review at the conclusion of every criminal or administrative sexual abuse investigation, unless the allegation has been determined to be unfounded.
  - In the past 12 months, one criminal and/or administrative investigations of alleged sexual abuse completed at the facility, excluding only "unfounded" incidents.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - A PIR shall be completed for all substantiated and unsubstantiated allegations of sexual abuse and documented on Form OPA-I10 Post Incident Review (PIR).
- Documentation review:
  - The auditor noted one investigation of offender-on-offender sexual abuse in the past 12 months.

**115.86(b)**

- Facility response:
  - The facility ordinarily conducts a sexual abuse incident review within 30 days of the conclusion of the criminal or administrative sexual abuse investigation.
  - In the past 12 months, zero criminal and/or administrative investigations of alleged sexual abuse completed at the facility that were followed by a sexual abuse incident review within 30 days, excluding only "unfounded" incidents.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - The PIR shall be completed by the facility within 30 days of the conclusion of the sexual abuse investigation.
- Documentation review:
  - The facility did not post in the PAQ or supply the auditor with form OPA-I10 *Post Incident Review (PIR)*, or other incident review documentation, for the one investigation of offender-on-offender sexual abuse, with an unsubstantiated outcome, that occurred in the past 12 months.

**115.86(c)**

- Facility response:
  - The sexual abuse incident review team includes upper-level management officials and allows for input from line supervisors, investigators, and medical or mental health practitioners.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - The PIR is completed with input from upper-level management officials, investigators, and medical or mental health practitioners.
- Interview with warden:
  - Indicated have not had a sexual abuse incident review.
  - Indicated the incident review team looks at operational, staffing, policy, and procedural issues that may have contributed to the incident.

**115.86(d)**

- Facility response:
  - The facility prepares a report of its findings from sexual abuse incident

reviews including, but not necessarily limited to, determinations made pursuant to paragraphs (d)(1)-(d)(5) of this section and any recommendations for improvement, and submits such report to the facility head and PREA Compliance Manager.

- Form OPA-I10 *Post Incident Review (PIR)*:
  - Contains all six requirements of the provision.
- Interview with compliance manager:
  - Indicated have not had a sexual abuse incident review.
  - Indicated results of incident reviews are to be documented on the PIR form.
  - Indicated the incident review team would look at prevention techniques, possible blind spots, staffing levels, staff positioning and actions during the incident.

**115.86(e)**

- Facility response:
  - The facility implements the recommendations for improvement or documents its reasons for not doing so.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - Report containing recommendations for improvement are submitted to the Warden and PREA compliance manager.

**Corrective action recommendation:**

- Ensure the facility ordinarily conducts a sexual abuse incident review within 30 days of the conclusion of the criminal or administrative sexual abuse investigation (115.86b).
- Document incident review team findings as outlined in 115.86(d).

**Corrective action taken by the facility:**

- On 03.19.2026, the facility provided the auditor with a updated incident report and memorandum documenting the recategorization of an investigation outcome from unsubstantiated sexual abuse to an uncoerced sex act that does not meet the PREA Standards definition of sexual abuse.
- A sexual abuse incident review was not required for an uncoerced sex act.

**Determination:**

The facility meets the standard.

<b>115.87</b>	<b>Data collection</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<b>Evidence relied upon in making the compliance determinations:</b> <ul style="list-style-type: none"> <li>• LCC PAQ</li> </ul>

- Facility response
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022*
- Supplemental files:
  - NCDAC Prison Rape Elimination Act (PREA) of 2003, *Sexual Abuse Annual Report 2023-2024, undated*
  - The Center for Women *PREA Facility Audit Report: Final, dated 07.21.2025*
- Document review
- Interview with agency head designee

**Reasoning and analysis:**

**115.87(a)**

- Facility response:
  - The agency collects accurate, uniform data for every allegation of sexual abuse at facilities under its direct control using a standardized instrument and set of definitions.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - Accurate, uniform data for every allegation of sexual abuse shall be documented in OPUS by all facilities.

**115.87(b)**

- Facility response:
  - The agency aggregates the incident-based sexual abuse data at least annually.
- NCDAC Prison Rape Elimination Act (PREA) of 2003, *Sexual Abuse Annual Report 2023-2024*:
  - Comparison chart of 2023 and 2024 by:
    - Type of reported allegation;
    - Type of substantiated case;
    - Type of alleged perpetrator;
    - Gender of alleged victim; and
    - Victims that identify as transgender offender.

**115.87(c)**

- Facility response:
  - The standardized instrument includes, at a minimum, the data necessary to answer all questions from the most recent version of the Survey of Sexual Violence (SSV) conducted by the Department of Justice.
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
  - Accurate, uniform data for every allegation of sexual abuse shall be documented in OPUS by all facilities.

**115.87(d)**

- Facility response:
  - The agency maintains, reviews, and collects data as needed from all available incident-based documents, including reports, investigation files, and sexual abuse incident reviews.

	<ul style="list-style-type: none"> <li>• NCDAC Policy and Procedure PREA-100 <i>PREA Prevention Planning and Screening</i>: <ul style="list-style-type: none"> <li>◦ All written investigation reports will be retained as long as the alleged abuser is incarcerated or employed by the agency, plus five years; or sexual abuse data collected for at least 10 years after the date of the initial collection unless Federal, State, or local law requires otherwise, whichever is greater.</li> </ul> </li> </ul> <p><b>115.87(e)</b></p> <ul style="list-style-type: none"> <li>• Facility response: <ul style="list-style-type: none"> <li>◦ Not applicable. The agency does not contract for the confinement of its offenders.</li> </ul> </li> <li>• Document review: <ul style="list-style-type: none"> <li>◦ The Center for Women <i>PREA Facility Audit Report: Final</i>: <ul style="list-style-type: none"> <li>■ The agency obtains incident-based and aggregated data from the one private facility with which it contracts for the confinement of offenders.</li> </ul> </li> </ul> </li> </ul> <p><b>115.87(f)</b></p> <ul style="list-style-type: none"> <li>• Facility response: <ul style="list-style-type: none"> <li>◦ Not applicable for question: The agency provided the Department of Justice (DOJ) with data from the previous calendar year upon request?</li> </ul> </li> <li>• Interview with agency head designee: <ul style="list-style-type: none"> <li>◦ Agency collects and provides all requested data.</li> </ul> </li> </ul> <p><b>Determination:</b></p> <p>The facility meets the standard.</p>
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<b>115.88</b>	<b>Data review for corrective action</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• LCC PAQ <ul style="list-style-type: none"> <li>◦ Facility response</li> <li>◦ NCDAC <i>Prison Rape Elimination Act (PREA) of 2003, Sexual Abuse Annual Report 2022-2023, undated</i></li> <li>◦ <i>Lincoln Correctional PREA scorecard 2025, dated 12.15.2025</i></li> </ul> </li> </ul> <p><b>Reasoning and analysis:</b></p> <p><b>115.88(a)</b></p> <ul style="list-style-type: none"> <li>• The agency reviews data collected and aggregated pursuant to §115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, response policies, and training, including:</li> </ul>

- Identifying problem areas;
- Taking corrective action on an ongoing basis; and
- Preparing an annual report of its findings from its data review and any corrective actions for each facility, as well as the agency as a whole.
- NCDAC *Prison Rape Elimination Act (PREA) of 2023, Sexual Abuse Annual Report 2022-2023*:
  - Describes corrective actions taken at each facility that had a substantiated sexual abuse allegation in 2022 or 2023.
- *Lincoln Correctional PREA scorecard 2025*:
  - Lists facility compliance with PREA across key focus areas.
- Interview with agency head designee:
  - Use post-incident sexual abuse data to determine if there is a need for policy changes, training modifications, or adjusting practices.
- Interview with PREA coordinator:
  - Indicated the agency takes corrective action after identifying an issue and lists corrective actions by facility in the annual reports.
- Interview with PREA compliance manager:
  - Indicated facility works to improve all practices related to offender safety.

**115.88(b)**

- Facility response:
  - The annual report includes a comparison of the current year's data and corrective actions with those from prior years.
  - The annual report provides an assessment of the agency's progress in addressing sexual abuse.
- NCDAC *Prison Rape Elimination Act (PREA) of 2003, Sexual Abuse Annual Report 2022-2023*:
  - Described departmental accomplishments in prevention, response, training and education.

**115.88(c)**

- Facility response:
  - The agency makes its annual report readily available to the public at least annually through its website.
    - Lists [ncdps.gov/dps-services/prison-rape-elimination-act](https://ncdps.gov/dps-services/prison-rape-elimination-act)
  - The annual reports are approved by the agency head.
- Supplemental documentation:
  - [dac.nc.gov/information-and-services/prea-office](https://dac.nc.gov/information-and-services/prea-office) is the correct link for accessing publicly available NC DAC annual reports.
- Interview with agency head designee:
  - Indicated the Secretary of the NC Department of Adult Correction approves annual reports.

**115.88(d)**

- Facility response:
  - When the agency redacts material from an annual report for publication, the redactions are limited to specific materials where publication would present a clear and specific threat to the safety and security of the facility.
  - Facility did not provide a response for: The agency indicates the nature of material redacted.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:

	<ul style="list-style-type: none"> <li>◦ CONFIDENTIALITY: The Facility Investigator and all others involved in the PREA process, to the extent possible, will ensure the confidentiality of PREA complaints as well as all data collected through the investigation of those complaints except as required in the following circumstances: (1) to cooperate with law enforcement in any investigation and prosecution of the incidents alleged in such complaints; (2) to take and enforce disciplinary action against any staff member as a result of the incidents alleged in the complaints; (3) to defend against claims brought by the offender for violation of the offender’s rights for having been subjected to sexual abuse; and (4) to otherwise comply with the law.</li> <li>• Interview with PREA coordinator: <ul style="list-style-type: none"> <li>◦ Indicated the annual report does not include sensitive information as it is a public document.</li> </ul> </li> </ul> <p><b>Determination:</b></p> <p>The facility meets the standard.</p>
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<b>115.89</b>	<b>Data storage, publication, and destruction</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• LCC PAQ <ul style="list-style-type: none"> <li>◦ Facility response</li> <li>◦ NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022</i></li> <li>◦ NCDAC Policy and Procedure PREA-300 <i>PREA Official Response and Investigations, dated 09.04.2025</i></li> <li>◦ <a href="http://ncdps.gov/dps-services/prison-rape-elimination-act">ncdps.gov/dps-services/prison-rape-elimination-act</a></li> </ul> </li> <li>• Supplemental documentation <ul style="list-style-type: none"> <li>◦ <a href="http://dac.nc.gov/information-and-services/prea-office">dac.nc.gov/information-and-services/prea-office</a></li> <li>◦ NCDAC Prison Rape Elimination Act (PREA) of 2003, <i>Sexual Abuse Annual Report 2022-2023, undated</i></li> </ul> </li> <li>• Documentation review</li> <li>• Site review</li> <li>• Interview with PREA coordinator</li> </ul> <p><b>Reasoning and analysis:</b></p> <p><b>115.89(a)</b></p> <ul style="list-style-type: none"> <li>• Facility response: <ul style="list-style-type: none"> <li>◦ The agency ensures that incident-based and aggregate data are securely retained.</li> </ul> </li> <li>• NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy:</i> <ul style="list-style-type: none"> <li>◦ Ensure that data is securely retained.</li> </ul> </li> <li>• Site review: <ul style="list-style-type: none"> <li>◦ Facility physical storage of hard-copy PREA-related documentation was</li> </ul> </li> </ul>

- secured by locks.
- Facility electronic storage of PREA-related documentation was secured through role-based access and password protection.
- Interview with PREA coordinator:
  - Indicated electronic data is securely retained through the web-based system, OPUS, and roles-based access.

**115.89(b)**

- Facility response:
  - Agency policy requires that aggregated sexual abuse data from facilities under its direct control and private facilities with which it contracts be made readily available to the public at least annually through its website.
  - Lists [ncdps.gov/dps-services/prison-rape-elimination-act](https://ncdps.gov/dps-services/prison-rape-elimination-act).
- Supplemental documentation:
  - [dac.nc.gov/information-and-services/prea-office](https://dac.nc.gov/information-and-services/prea-office)
    - Correct link for accessing publicly available NCDAC annual reports.
    - Contained links to annual reports with aggregated sexual abuse data from its facilities.
    - Contained links to facility PREA audit final reports.

**115.89(c)**

- Facility response:
  - Before making aggregated sexual abuse data publicly available, the agency removes all personal identifiers.
  - The facility did not provide a response for: The agency maintains sexual abuse data collected pursuant to §115.87 for at least 10 years after the date of initial collection, unless federal, state, or local law requires otherwise.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
  - All written investigation reports will be retained as long as the alleged abuser is incarcerated or employed by the agency, plus five years; or sexual abuse data collected for at least 10 years after the date of the initial collection unless Federal, State, or local law requires otherwise, whichever is greater.
- NCDAC Policy and Procedure PREA-300 *PREA Official Response and Investigations*:
  - Restated policy language of NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*.
- NCDAC Prison Rape Elimination Act (PREA) of 2003, *Sexual Abuse Annual Report 2022-2023*:
  - All personal identifiers removed from aggregated sexual abuse data.

**115.89(d)**

- Documentation review:
  - [dac.nc.gov/information-and-services/prea-office](https://dac.nc.gov/information-and-services/prea-office) contains links to:
    - Sexual abuse annual reports for 2015-2023; and
    - Facility PREA audit reports for 2014-2025.

**Determination:**

The facility meets the standard.

<b>115.401</b>	<b>Frequency and scope of audits</b>
	<p data-bbox="280 188 983 221"><b>Auditor Overall Determination:</b> Meets Standard</p> <hr/> <p data-bbox="280 266 564 300"><b>Auditor Discussion</b></p> <hr/> <p data-bbox="280 336 1294 369"><b>Evidence relied upon in making the compliance determinations:</b></p> <ul data-bbox="352 432 1066 524" style="list-style-type: none"> <li>• <a href="http://dac.nc.gov/information-and-services/prea-office">dac.nc.gov/information-and-services/prea-office</a></li> <li>• Document review</li> <li>• Site review</li> </ul> <p data-bbox="280 560 663 593"><b>Reasoning and analysis:</b></p> <p data-bbox="280 620 453 654"><b>115.401(a)</b></p> <ul data-bbox="352 714 1326 846" style="list-style-type: none"> <li>• <a href="http://dac.nc.gov/information-and-services/prea-office">dac.nc.gov/information-and-services/prea-office</a> <ul style="list-style-type: none"> <li>◦ Contains links to facility PREA audit reports for 2014-2025.</li> <li>◦ Facilities were audited once every three-year cycle.</li> <li>◦ The agency met this standard during Cycle IV.</li> </ul> </li> </ul> <p data-bbox="280 875 453 909"><b>115.401(b)</b></p> <ul data-bbox="352 969 1158 1164" style="list-style-type: none"> <li>• <a href="http://dac.nc.gov/information-and-services/prea-office">dac.nc.gov/information-and-services/prea-office</a> <ul style="list-style-type: none"> <li>◦ The facility met this provision in the prior year.</li> <li>◦ During audit cycle IV: <ul style="list-style-type: none"> <li>■ 17 facilities were audited in Year 1</li> <li>■ 20 facilities were audited in Year 2</li> <li>■ 22 facilities were audited in Year 3</li> </ul> </li> </ul> </li> </ul> <p data-bbox="280 1193 453 1227"><b>115.401(h)</b></p> <ul data-bbox="352 1288 1441 1352" style="list-style-type: none"> <li>• The facility provided the auditor with full access to all areas of the audited facility.</li> </ul> <p data-bbox="280 1382 443 1415"><b>115.401(i)</b></p> <ul data-bbox="352 1476 1430 1541" style="list-style-type: none"> <li>• The auditor was permitted to requested and receive copies of all relevant documents and information.</li> </ul> <p data-bbox="280 1570 464 1603"><b>115.401(m)</b></p> <ul data-bbox="352 1664 1437 1729" style="list-style-type: none"> <li>• The facility provided the auditor a private setting to conduct interviews of offenders selected by the auditor.</li> </ul> <p data-bbox="280 1758 453 1792"><b>115.401(n)</b></p> <ul data-bbox="352 1852 1393 2085" style="list-style-type: none"> <li>• Document review: <ul style="list-style-type: none"> <li>◦ The facility posted the Notice of Audit in English and Spanish throughout the facility and emailed proof photos to the auditor.</li> <li>◦ Notice of Audit included: <ul style="list-style-type: none"> <li>■ A confidentiality statement;</li> <li>■ Auditor's name;</li> <li>■ Auditor's mailing address;</li> </ul> </li> </ul> </li> </ul>

	<ul style="list-style-type: none"> <li> <ul style="list-style-type: none"> <li>■ Auditor's email address.</li> </ul> </li> <li>• Site review: <ul style="list-style-type: none"> <li>◦ Auditor verified that Notices of Audit were posted in prominent areas for offenders and staff.</li> <li>◦ Through informal conversations with the auditor, offenders indicated that the Notice of Audit had been posted for several weeks.</li> <li>◦ Offenders stated they had access to locked mail boxes to send mail so letters did not have to be given to staff.</li> </ul> </li> </ul> <p><b>Determination:</b></p> <p>The facility meets the standard.</p>
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<b>115.403</b>	<b>Audit contents and findings</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p><b>Evidence relied upon in making the compliance determinations:</b></p> <ul style="list-style-type: none"> <li>• <i>dac.nc.gov/information-and-services/prea-office</i></li> </ul> <p><b>Reasoning and analysis:</b></p> <p><b>115.403(f)</b></p> <ul style="list-style-type: none"> <li>• <i>dac.nc.gov/information-and-services/prea-office:</i> <ul style="list-style-type: none"> <li>◦ During audit cycle IV: <ul style="list-style-type: none"> <li>■ Final reports were posted for 17 facilities audited in Year 1</li> <li>■ Final reports were posted for 20 facilities audited in Year 2</li> <li>■ Final reports were posted for 22 facilities audited in Year 3</li> </ul> </li> </ul> </li> </ul> <p><b>Determination:</b></p> <p>The facility meets the standard.</p>

<b>Appendix: Provision Findings</b>		
<b>115.11 (a)</b>	<b>Zero tolerance of sexual abuse and sexual harassment; PREA coordinator</b>	
	Does the agency have a written policy mandating zero tolerance toward all forms of sexual abuse and sexual harassment?	yes
	Does the written policy outline the agency's approach to preventing, detecting, and responding to sexual abuse and sexual harassment?	yes
<b>115.11 (b)</b>	<b>Zero tolerance of sexual abuse and sexual harassment; PREA coordinator</b>	
	Has the agency employed or designated an agency-wide PREA Coordinator?	yes
	Is the PREA Coordinator position in the upper-level of the agency hierarchy?	yes
	Does the PREA Coordinator have sufficient time and authority to develop, implement, and oversee agency efforts to comply with the PREA standards in all of its facilities?	yes
<b>115.11 (c)</b>	<b>Zero tolerance of sexual abuse and sexual harassment; PREA coordinator</b>	
	If this agency operates more than one facility, has each facility designated a PREA compliance manager? (N/A if agency operates only one facility.)	yes
	Does the PREA compliance manager have sufficient time and authority to coordinate the facility's efforts to comply with the PREA standards? (N/A if agency operates only one facility.)	yes
<b>115.12 (a)</b>	<b>Contracting with other entities for the confinement of inmates</b>	
	If this agency is public and it contracts for the confinement of its inmates with private agencies or other entities including other government agencies, has the agency included the entity's obligation to comply with the PREA standards in any new contract or contract renewal signed on or after August 20, 2012? (N/A if the agency does not contract with private agencies or other entities for the confinement of inmates.)	yes
<b>115.12 (b)</b>	<b>Contracting with other entities for the confinement of inmates</b>	
	Does any new contract or contract renewal signed on or after August 20, 2012 provide for agency contract monitoring to ensure	yes

	that the contractor is complying with the PREA standards? (N/A if the agency does not contract with private agencies or other entities for the confinement of inmates.)	
<b>115.13 (a)</b>	<b>Supervision and monitoring</b>	
	Does the facility have a documented staffing plan that provides for adequate levels of staffing and, where applicable, video monitoring, to protect inmates against sexual abuse?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Generally accepted detention and correctional practices?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any judicial findings of inadequacy?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any findings of inadequacy from Federal investigative agencies?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any findings of inadequacy from internal or external oversight bodies?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: All components of the facility's physical plant (including "blind-spots" or areas where staff or inmates may be isolated)?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The composition of the inmate population?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The number and placement of supervisory staff?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The institution programs occurring on a particular shift?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into	yes

	consideration: Any applicable State or local laws, regulations, or standards?	
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The prevalence of substantiated and unsubstantiated incidents of sexual abuse?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any other relevant factors?	yes
<b>115.13 (b)</b>	<b>Supervision and monitoring</b>	
	In circumstances where the staffing plan is not complied with, does the facility document and justify all deviations from the plan? (N/A if no deviations from staffing plan.)	na
<b>115.13 (c)</b>	<b>Supervision and monitoring</b>	
	In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The staffing plan established pursuant to paragraph (a) of this section?	yes
	In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The facility's deployment of video monitoring systems and other monitoring technologies?	yes
	In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The resources the facility has available to commit to ensure adherence to the staffing plan?	yes
<b>115.13 (d)</b>	<b>Supervision and monitoring</b>	
	Has the facility/agency implemented a policy and practice of having intermediate-level or higher-level supervisors conduct and document unannounced rounds to identify and deter staff sexual abuse and sexual harassment?	yes
	Is this policy and practice implemented for night shifts as well as day shifts?	yes
	Does the facility/agency have a policy prohibiting staff from alerting other staff members that these supervisory rounds are occurring, unless such announcement is related to the legitimate operational functions of the facility?	yes

<b>115.14 (a)</b>	<b>Youthful inmates</b>	
	Does the facility place all youthful inmates in housing units that separate them from sight, sound, and physical contact with any adult inmates through use of a shared dayroom or other common space, shower area, or sleeping quarters? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
<b>115.14 (b)</b>	<b>Youthful inmates</b>	
	In areas outside of housing units does the agency maintain sight and sound separation between youthful inmates and adult inmates? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
	In areas outside of housing units does the agency provide direct staff supervision when youthful inmates and adult inmates have sight, sound, or physical contact? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
<b>115.14 (c)</b>	<b>Youthful inmates</b>	
	Does the agency make its best efforts to avoid placing youthful inmates in isolation to comply with this provision? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
	Does the agency, while complying with this provision, allow youthful inmates daily large-muscle exercise and legally required special education services, except in exigent circumstances? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
	Do youthful inmates have access to other programs and work opportunities to the extent possible? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
<b>115.15 (a)</b>	<b>Limits to cross-gender viewing and searches</b>	
	Does the facility always refrain from conducting any cross-gender strip or cross-gender visual body cavity searches, except in exigent circumstances or by medical practitioners?	yes
<b>115.15 (b)</b>	<b>Limits to cross-gender viewing and searches</b>	
	Does the facility always refrain from conducting cross-gender pat-down searches of female inmates, except in exigent circumstances? (N/A if the facility does not have female inmates.)	na
	Does the facility always refrain from restricting female inmates' access to regularly available programming or other out-of-cell opportunities in order to comply with this provision? (N/A if the	na

	facility does not have female inmates.)	
<b>115.15 (c)</b>	<b>Limits to cross-gender viewing and searches</b>	
	Does the facility document all cross-gender strip searches and cross-gender visual body cavity searches?	yes
	Does the facility document all cross-gender pat-down searches of female inmates (N/A if the facility does not have female inmates)?	na
<b>115.15 (d)</b>	<b>Limits to cross-gender viewing and searches</b>	
	Does the facility have policies that enables inmates to shower, perform bodily functions, and change clothing without nonmedical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks?	yes
	Does the facility have procedures that enables inmates to shower, perform bodily functions, and change clothing without nonmedical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks?	yes
	Does the facility require staff of the opposite gender to announce their presence when entering an inmate housing unit?	yes
<b>115.15 (e)</b>	<b>Limits to cross-gender viewing and searches</b>	
	This provision is no longer applicable to your compliance finding, please select N/A.	na
	This provision is no longer applicable to your compliance finding, please select N/A.	na
<b>115.15 (f)</b>	<b>Limits to cross-gender viewing and searches</b>	
	This provision is no longer applicable to your compliance finding, please select N/A.	na
	This provision is no longer applicable to your compliance finding, please select N/A.	na
<b>115.16 (a)</b>	<b>Inmates with disabilities and inmates who are limited English proficient</b>	
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who are deaf or hard of hearing?	yes

	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who are blind or have low vision?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have intellectual disabilities?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have psychiatric disabilities?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have speech disabilities?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: Other (if "other," please explain in overall determination notes.)	yes
	Do such steps include, when necessary, ensuring effective communication with inmates who are deaf or hard of hearing?	yes
	Do such steps include, when necessary, providing access to interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary?	yes
	Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with inmates with disabilities including inmates who: Have intellectual disabilities?	yes
	Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with inmates with disabilities including inmates who: Have limited reading skills?	yes
	Does the agency ensure that written materials are provided in	yes

	formats or through methods that ensure effective communication with inmates with disabilities including inmates who: are blind or have low vision?	
<b>115.16 (b)</b>	<b>Inmates with disabilities and inmates who are limited English proficient</b>	
	Does the agency take reasonable steps to ensure meaningful access to all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment to inmates who are limited English proficient?	yes
	Do these steps include providing interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary?	yes
<b>115.16 (c)</b>	<b>Inmates with disabilities and inmates who are limited English proficient</b>	
	Does the agency always refrain from relying on inmate interpreters, inmate readers, or other types of inmate assistance except in limited circumstances where an extended delay in obtaining an effective interpreter could compromise the inmate's safety, the performance of first-response duties under §115.64, or the investigation of the inmate's allegations?	yes
<b>115.17 (a)</b>	<b>Hiring and promotion decisions</b>	
	Does the agency prohibit the hiring or promotion of anyone who may have contact with inmates who has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997)?	yes
	Does the agency prohibit the hiring or promotion of anyone who may have contact with inmates who has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse?	yes
	Does the agency prohibit the hiring or promotion of anyone who may have contact with inmates who has been civilly or administratively adjudicated to have engaged in the activity described in the two bullets immediately above?	yes
	Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42	yes

	U.S.C. 1997)?	
	Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse?	yes
	Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has been civilly or administratively adjudicated to have engaged in the activity described in the two bullets immediately above?	yes
<b>115.17 (b) Hiring and promotion decisions</b>		
	Does the agency consider any incidents of sexual harassment in determining whether to hire or promote anyone who may have contact with inmates?	yes
	Does the agency consider any incidents of sexual harassment in determining whether to enlist the services of any contractor who may have contact with inmates?	yes
<b>115.17 (c) Hiring and promotion decisions</b>		
	Before hiring new employees who may have contact with inmates, does the agency perform a criminal background records check?	yes
	Before hiring new employees who may have contact with inmates, does the agency, consistent with Federal, State, and local law, make its best efforts to contact all prior institutional employers for information on substantiated allegations of sexual abuse or any resignation during a pending investigation of an allegation of sexual abuse?	yes
<b>115.17 (d) Hiring and promotion decisions</b>		
	Does the agency perform a criminal background records check before enlisting the services of any contractor who may have contact with inmates?	yes
<b>115.17 (e) Hiring and promotion decisions</b>		
	Does the agency either conduct criminal background records checks at least every five years of current employees and contractors who may have contact with inmates or have in place a system for otherwise capturing such information for current employees?	yes

<b>115.17 (f)</b>	<b>Hiring and promotion decisions</b>	
	Does the agency ask all applicants and employees who may have contact with inmates directly about previous misconduct described in paragraph (a) of this section in written applications or interviews for hiring or promotions?	yes
	Does the agency ask all applicants and employees who may have contact with inmates directly about previous misconduct described in paragraph (a) of this section in any interviews or written self-evaluations conducted as part of reviews of current employees?	yes
	Does the agency impose upon employees a continuing affirmative duty to disclose any such misconduct?	yes
<b>115.17 (g)</b>	<b>Hiring and promotion decisions</b>	
	Does the agency consider material omissions regarding such misconduct, or the provision of materially false information, grounds for termination?	yes
<b>115.17 (h)</b>	<b>Hiring and promotion decisions</b>	
	Does the agency provide information on substantiated allegations of sexual abuse or sexual harassment involving a former employee upon receiving a request from an institutional employer for whom such employee has applied to work? (N/A if providing information on substantiated allegations of sexual abuse or sexual harassment involving a former employee is prohibited by law.)	yes
<b>115.18 (a)</b>	<b>Upgrades to facilities and technologies</b>	
	If the agency designed or acquired any new facility or planned any substantial expansion or modification of existing facilities, did the agency consider the effect of the design, acquisition, expansion, or modification upon the agency's ability to protect inmates from sexual abuse? (N/A if agency/facility has not acquired a new facility or made a substantial expansion to existing facilities since August 20, 2012, or since the last PREA audit, whichever is later.)	na
<b>115.18 (b)</b>	<b>Upgrades to facilities and technologies</b>	
	If the agency installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology, did the agency consider how such technology may enhance the agency's ability to protect inmates from sexual abuse? (N/A if agency/facility has not installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology since August 20, 2012, or since the last PREA audit,	yes

	whichever is later.)	
<b>115.21 (a)</b>	<b>Evidence protocol and forensic medical examinations</b>	
	If the agency is responsible for investigating allegations of sexual abuse, does the agency follow a uniform evidence protocol that maximizes the potential for obtaining usable physical evidence for administrative proceedings and criminal prosecutions? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.)	yes
<b>115.21 (b)</b>	<b>Evidence protocol and forensic medical examinations</b>	
	Is this protocol developmentally appropriate for youth where applicable? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.)	yes
	Is this protocol, as appropriate, adapted from or otherwise based on the most recent edition of the U.S. Department of Justice’s Office on Violence Against Women publication, “A National Protocol for Sexual Assault Medical Forensic Examinations, Adults/ Adolescents,” or similarly comprehensive and authoritative protocols developed after 2011? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.)	yes
<b>115.21 (c)</b>	<b>Evidence protocol and forensic medical examinations</b>	
	Does the agency offer all victims of sexual abuse access to forensic medical examinations, whether on-site or at an outside facility, without financial cost, where evidentiarily or medically appropriate?	yes
	Are such examinations performed by Sexual Assault Forensic Examiners (SAFEs) or Sexual Assault Nurse Examiners (SANEs) where possible?	yes
	If SAFEs or SANEs cannot be made available, is the examination performed by other qualified medical practitioners (they must have been specifically trained to conduct sexual assault forensic exams)?	yes
	Has the agency documented its efforts to provide SAFEs or SANEs?	yes
<b>115.21 (d)</b>	<b>Evidence protocol and forensic medical examinations</b>	
	Does the agency attempt to make available to the victim a victim advocate from a rape crisis center?	yes

	If a rape crisis center is not available to provide victim advocate services, does the agency make available to provide these services a qualified staff member from a community-based organization, or a qualified agency staff member? (N/A if the agency always makes a victim advocate from a rape crisis center available to victims.)	yes
	Has the agency documented its efforts to secure services from rape crisis centers?	yes
<b>115.21 (e)</b>	<b>Evidence protocol and forensic medical examinations</b>	
	As requested by the victim, does the victim advocate, qualified agency staff member, or qualified community-based organization staff member accompany and support the victim through the forensic medical examination process and investigatory interviews?	yes
	As requested by the victim, does this person provide emotional support, crisis intervention, information, and referrals?	yes
<b>115.21 (f)</b>	<b>Evidence protocol and forensic medical examinations</b>	
	If the agency itself is not responsible for investigating allegations of sexual abuse, has the agency requested that the investigating agency follow the requirements of paragraphs (a) through (e) of this section? (N/A if the agency/facility is responsible for conducting criminal AND administrative sexual abuse investigations.)	yes
<b>115.21 (h)</b>	<b>Evidence protocol and forensic medical examinations</b>	
	If the agency uses a qualified agency staff member or a qualified community-based staff member for the purposes of this section, has the individual been screened for appropriateness to serve in this role and received education concerning sexual assault and forensic examination issues in general? (N/A if agency always makes a victim advocate from a rape crisis center available to victims.)	yes
<b>115.22 (a)</b>	<b>Policies to ensure referrals of allegations for investigations</b>	
	Does the agency ensure an administrative or criminal investigation is completed for all allegations of sexual abuse?	yes
	Does the agency ensure an administrative or criminal investigation is completed for all allegations of sexual harassment?	yes
<b>115.22 (b)</b>	<b>Policies to ensure referrals of allegations for investigations</b>	

	Does the agency have a policy and practice in place to ensure that allegations of sexual abuse or sexual harassment are referred for investigation to an agency with the legal authority to conduct criminal investigations, unless the allegation does not involve potentially criminal behavior?	yes
	Has the agency published such policy on its website or, if it does not have one, made the policy available through other means?	yes
	Does the agency document all such referrals?	yes
<b>115.22 (c)</b>	<b>Policies to ensure referrals of allegations for investigations</b>	
	If a separate entity is responsible for conducting criminal investigations, does the policy describe the responsibilities of both the agency and the investigating entity? (N/A if the agency/facility is responsible for criminal investigations. See 115.21(a).)	yes
<b>115.31 (a)</b>	<b>Employee training</b>	
	Does the agency train all employees who may have contact with inmates on its zero-tolerance policy for sexual abuse and sexual harassment?	yes
	Does the agency train all employees who may have contact with inmates on how to fulfill their responsibilities under agency sexual abuse and sexual harassment prevention, detection, reporting, and response policies and procedures?	yes
	Does the agency train all employees who may have contact with inmates on inmates' right to be free from sexual abuse and sexual harassment?	yes
	Does the agency train all employees who may have contact with inmates on the right of inmates and employees to be free from retaliation for reporting sexual abuse and sexual harassment?	yes
	Does the agency train all employees who may have contact with inmates on the dynamics of sexual abuse and sexual harassment in confinement?	yes
	Does the agency train all employees who may have contact with inmates on the common reactions of sexual abuse and sexual harassment victims?	yes
	Does the agency train all employees who may have contact with inmates on how to detect and respond to signs of threatened and actual sexual abuse?	yes
	Does the agency train all employees who may have contact with	yes

	inmates on how to avoid inappropriate relationships with inmates?	
	The subsection of this provision is no longer applicable to your compliance finding, please select N/A.	na
	Does the agency train all employees who may have contact with inmates on how to comply with relevant laws related to mandatory reporting of sexual abuse to outside authorities?	yes
<b>115.31 (b)</b>	<b>Employee training</b>	
	Is such training tailored to the gender of the inmates at the employee's facility?	yes
	Have employees received additional training if reassigned from a facility that houses only male inmates to a facility that houses only female inmates, or vice versa?	yes
<b>115.31 (c)</b>	<b>Employee training</b>	
	Have all current employees who may have contact with inmates received such training?	yes
	Does the agency provide each employee with refresher training every two years to ensure that all employees know the agency's current sexual abuse and sexual harassment policies and procedures?	yes
	In years in which an employee does not receive refresher training, does the agency provide refresher information on current sexual abuse and sexual harassment policies?	yes
<b>115.31 (d)</b>	<b>Employee training</b>	
	Does the agency document, through employee signature or electronic verification, that employees understand the training they have received?	yes
<b>115.32 (a)</b>	<b>Volunteer and contractor training</b>	
	Has the agency ensured that all volunteers and contractors who have contact with inmates have been trained on their responsibilities under the agency's sexual abuse and sexual harassment prevention, detection, and response policies and procedures?	yes
<b>115.32 (b)</b>	<b>Volunteer and contractor training</b>	
	Have all volunteers and contractors who have contact with inmates been notified of the agency's zero-tolerance policy regarding sexual abuse and sexual harassment and informed how	yes

	to report such incidents (the level and type of training provided to volunteers and contractors shall be based on the services they provide and level of contact they have with inmates)?	
<b>115.32 (c)</b>	<b>Volunteer and contractor training</b>	
	Does the agency maintain documentation confirming that volunteers and contractors understand the training they have received?	yes
<b>115.33 (a)</b>	<b>Inmate education</b>	
	During intake, do inmates receive information explaining the agency's zero-tolerance policy regarding sexual abuse and sexual harassment?	yes
	During intake, do inmates receive information explaining how to report incidents or suspicions of sexual abuse or sexual harassment?	yes
<b>115.33 (b)</b>	<b>Inmate education</b>	
	Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Their rights to be free from sexual abuse and sexual harassment?	yes
	Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Their rights to be free from retaliation for reporting such incidents?	yes
	Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Agency policies and procedures for responding to such incidents?	yes
<b>115.33 (c)</b>	<b>Inmate education</b>	
	Have all inmates received the comprehensive education referenced in 115.33(b)?	yes
	Do inmates receive education upon transfer to a different facility to the extent that the policies and procedures of the inmate's new facility differ from those of the previous facility?	yes
<b>115.33 (d)</b>	<b>Inmate education</b>	
	Does the agency provide inmate education in formats accessible to all inmates including those who are limited English proficient?	yes
	Does the agency provide inmate education in formats accessible to all inmates including those who are deaf?	yes

	Does the agency provide inmate education in formats accessible to all inmates including those who are visually impaired?	yes
	Does the agency provide inmate education in formats accessible to all inmates including those who are otherwise disabled?	yes
	Does the agency provide inmate education in formats accessible to all inmates including those who have limited reading skills?	yes
<b>115.33 (e)</b>	<b>Inmate education</b>	
	Does the agency maintain documentation of inmate participation in these education sessions?	yes
<b>115.33 (f)</b>	<b>Inmate education</b>	
	In addition to providing such education, does the agency ensure that key information is continuously and readily available or visible to inmates through posters, inmate handbooks, or other written formats?	yes
<b>115.34 (a)</b>	<b>Specialized training: Investigations</b>	
	In addition to the general training provided to all employees pursuant to §115.31, does the agency ensure that, to the extent the agency itself conducts sexual abuse investigations, its investigators receive training in conducting such investigations in confinement settings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
<b>115.34 (b)</b>	<b>Specialized training: Investigations</b>	
	Does this specialized training include techniques for interviewing sexual abuse victims? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
	Does this specialized training include proper use of Miranda and Garrity warnings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
	Does this specialized training include sexual abuse evidence collection in confinement settings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
	Does this specialized training include the criteria and evidence required to substantiate a case for administrative action or	yes

	prosecution referral? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	
<b>115.34 (c)</b>	<b>Specialized training: Investigations</b>	
	Does the agency maintain documentation that agency investigators have completed the required specialized training in conducting sexual abuse investigations? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
<b>115.35 (a)</b>	<b>Specialized training: Medical and mental health care</b>	
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to detect and assess signs of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to preserve physical evidence of sexual abuse? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to respond effectively and professionally to victims of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how and to whom to report allegations or suspicions of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes
<b>115.35 (b)</b>	<b>Specialized training: Medical and mental health care</b>	
	If medical staff employed by the agency conduct forensic examinations, do such medical staff receive appropriate training to conduct such examinations? (N/A if agency medical staff at the facility do not conduct forensic exams or the agency does not employ medical staff.)	na

<b>115.35 (c)</b>	<b>Specialized training: Medical and mental health care</b>	
	Does the agency maintain documentation that medical and mental health practitioners have received the training referenced in this standard either from the agency or elsewhere? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes
<b>115.35 (d)</b>	<b>Specialized training: Medical and mental health care</b>	
	Do medical and mental health care practitioners employed by the agency also receive training mandated for employees by §115.31? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners employed by the agency.)	yes
	Do medical and mental health care practitioners contracted by or volunteering for the agency also receive training mandated for contractors and volunteers by §115.32? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners contracted by or volunteering for the agency.)	yes
<b>115.41 (a)</b>	<b>Screening for risk of victimization and abusiveness</b>	
	Are all inmates assessed during an intake screening for their risk of being sexually abused by other inmates or sexually abusive toward other inmates?	yes
	Are all inmates assessed upon transfer to another facility for their risk of being sexually abused by other inmates or sexually abusive toward other inmates?	yes
<b>115.41 (b)</b>	<b>Screening for risk of victimization and abusiveness</b>	
	Do intake screenings ordinarily take place within 72 hours of arrival at the facility?	yes
<b>115.41 (c)</b>	<b>Screening for risk of victimization and abusiveness</b>	
	Are all PREA screening assessments conducted using an objective screening instrument?	yes
<b>115.41 (d)</b>	<b>Screening for risk of victimization and abusiveness</b>	
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (1) Whether the inmate has a mental, physical, or developmental disability?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (2) The age of the inmate?	yes

	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (3) The physical build of the inmate?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (4) Whether the inmate has previously been incarcerated?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (5) Whether the inmate's criminal history is exclusively nonviolent?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (6) Whether the inmate has prior convictions for sex offenses against an adult or child?	yes
	The subsection of this provision is no longer applicable to your compliance finding, please select N/A.	na
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (8) Whether the inmate has previously experienced sexual victimization?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (9) The inmate's own perception of vulnerability?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (10) Whether the inmate is detained solely for civil immigration purposes?	yes
<b>115.41 (e)</b>	<b>Screening for risk of victimization and abusiveness</b>	
	In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, as known to the agency: prior acts of sexual abuse?	yes
	In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, as known to the agency: prior convictions for violent offenses?	yes
	In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, as known to the agency: history of prior institutional violence or sexual abuse?	yes
<b>115.41 (f)</b>	<b>Screening for risk of victimization and abusiveness</b>	

	Within a set time period not more than 30 days from the inmate's arrival at the facility, does the facility reassess the inmate's risk of victimization or abusiveness based upon any additional, relevant information received by the facility since the intake screening?	yes
<b>115.41 (g) Screening for risk of victimization and abusiveness</b>		
	Does the facility reassess an inmate's risk level when warranted due to a referral?	yes
	Does the facility reassess an inmate's risk level when warranted due to a request?	yes
	Does the facility reassess an inmate's risk level when warranted due to an incident of sexual abuse?	yes
	Does the facility reassess an inmate's risk level when warranted due to receipt of additional information that bears on the inmate's risk of sexual victimization or abusiveness?	yes
<b>115.41 (h) Screening for risk of victimization and abusiveness</b>		
	Is it the case that inmates are not ever disciplined for refusing to answer, or for not disclosing complete information in response to, questions asked pursuant to paragraphs (d)(1), (d)(7), (d)(8), or (d)(9) of this section?	yes
<b>115.41 (i) Screening for risk of victimization and abusiveness</b>		
	Has the agency implemented appropriate controls on the dissemination within the facility of responses to questions asked pursuant to this standard in order to ensure that sensitive information is not exploited to the inmate's detriment by staff or other inmates?	yes
<b>115.42 (a) Use of screening information</b>		
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Housing Assignments?	yes
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Bed assignments?	yes
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of	yes

	being sexually abusive, to inform: Work Assignments?	
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Education Assignments?	yes
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Program Assignments?	yes
<b>115.42 (b)</b>	<b>Use of screening information</b>	
	Does the agency make individualized determinations about how to ensure the safety of each inmate?	yes
<b>115.42 (c)</b>	<b>Use of screening information</b>	
	This provision is no longer applicable to your compliance finding, please select N/A.	na
	This provision is no longer applicable to your compliance finding, please select N/A.	na
<b>115.42 (d)</b>	<b>Use of screening information</b>	
	This provision is no longer applicable to your compliance finding, please select N/A.	na
<b>115.42 (e)</b>	<b>Use of screening information</b>	
	This provision is no longer applicable to your compliance finding, please select N/A.	na
<b>115.42 (f)</b>	<b>Use of screening information</b>	
	This provision is no longer applicable to your compliance finding, please select N/A.	na
<b>115.42 (g)</b>	<b>Use of screening information</b>	
	This provision is no longer applicable to your compliance finding, please select N/A.	na
	This provision is no longer applicable to your compliance finding, please select N/A.	na
	This provision is no longer applicable to your compliance finding, please select N/A.	na
<b>115.43 (a)</b>	<b>Protective Custody</b>	

	Does the facility always refrain from placing inmates at high risk for sexual victimization in involuntary segregated housing unless an assessment of all available alternatives has been made, and a determination has been made that there is no available alternative means of separation from likely abusers?	yes
	If a facility cannot conduct such an assessment immediately, does the facility hold the inmate in involuntary segregated housing for less than 24 hours while completing the assessment?	yes
<b>115.43 (b) Protective Custody</b>		
	Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Programs to the extent possible?	yes
	Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Privileges to the extent possible?	yes
	Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Education to the extent possible?	yes
	Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Work opportunities to the extent possible?	yes
	If the facility restricts any access to programs, privileges, education, or work opportunities, does the facility document the opportunities that have been limited? (N/A if the facility never restricts access to programs, privileges, education, or work opportunities.)	na
	If the facility restricts access to programs, privileges, education, or work opportunities, does the facility document the duration of the limitation? (N/A if the facility never restricts access to programs, privileges, education, or work opportunities.)	na
	If the facility restricts access to programs, privileges, education, or work opportunities, does the facility document the reasons for such limitations? (N/A if the facility never restricts access to programs, privileges, education, or work opportunities.)	na
<b>115.43 (c) Protective Custody</b>		
	Does the facility assign inmates at high risk of sexual victimization to involuntary segregated housing only until an alternative means of separation from likely abusers can be arranged?	yes

	Does such an assignment not ordinarily exceed a period of 30 days?	yes
<b>115.43 (d) Protective Custody</b>		
	If an involuntary segregated housing assignment is made pursuant to paragraph (a) of this section, does the facility clearly document: The basis for the facility's concern for the inmate's safety?	yes
	If an involuntary segregated housing assignment is made pursuant to paragraph (a) of this section, does the facility clearly document: The reason why no alternative means of separation can be arranged?	yes
<b>115.43 (e) Protective Custody</b>		
	In the case of each inmate who is placed in involuntary segregation because he/she is at high risk of sexual victimization, does the facility afford a review to determine whether there is a continuing need for separation from the general population EVERY 30 DAYS?	yes
<b>115.51 (a) Inmate reporting</b>		
	Does the agency provide multiple internal ways for inmates to privately report: Sexual abuse and sexual harassment?	yes
	Does the agency provide multiple internal ways for inmates to privately report: Retaliation by other inmates or staff for reporting sexual abuse and sexual harassment?	yes
	Does the agency provide multiple internal ways for inmates to privately report: Staff neglect or violation of responsibilities that may have contributed to such incidents?	yes
<b>115.51 (b) Inmate reporting</b>		
	Does the agency also provide at least one way for inmates to report sexual abuse or sexual harassment to a public or private entity or office that is not part of the agency?	yes
	Is that private entity or office able to receive and immediately forward inmate reports of sexual abuse and sexual harassment to agency officials?	yes
	Does that private entity or office allow the inmate to remain anonymous upon request?	yes
	Are inmates detained solely for civil immigration purposes provided information on how to contact relevant consular officials	na

	and relevant officials at the Department of Homeland Security? (N/A if the facility never houses inmates detained solely for civil immigration purposes.)	
<b>115.51 (c)</b>	<b>Inmate reporting</b>	
	Does staff accept reports of sexual abuse and sexual harassment made verbally, in writing, anonymously, and from third parties?	yes
	Does staff promptly document any verbal reports of sexual abuse and sexual harassment?	yes
<b>115.51 (d)</b>	<b>Inmate reporting</b>	
	Does the agency provide a method for staff to privately report sexual abuse and sexual harassment of inmates?	yes
<b>115.52 (a)</b>	<b>Exhaustion of administrative remedies</b>	
	Is the agency exempt from this standard? NOTE: The agency is exempt ONLY if it does not have administrative procedures to address inmate grievances regarding sexual abuse. This does not mean the agency is exempt simply because an inmate does not have to or is not ordinarily expected to submit a grievance to report sexual abuse. This means that as a matter of explicit policy, the agency does not have an administrative remedies process to address sexual abuse.	no
<b>115.52 (b)</b>	<b>Exhaustion of administrative remedies</b>	
	Does the agency permit inmates to submit a grievance regarding an allegation of sexual abuse without any type of time limits? (The agency may apply otherwise-applicable time limits to any portion of a grievance that does not allege an incident of sexual abuse.) (N/A if agency is exempt from this standard.)	yes
	Does the agency always refrain from requiring an inmate to use any informal grievance process, or to otherwise attempt to resolve with staff, an alleged incident of sexual abuse? (N/A if agency is exempt from this standard.)	yes
<b>115.52 (c)</b>	<b>Exhaustion of administrative remedies</b>	
	Does the agency ensure that: An inmate who alleges sexual abuse may submit a grievance without submitting it to a staff member who is the subject of the complaint? (N/A if agency is exempt from this standard.)	yes
	Does the agency ensure that: Such grievance is not referred to a staff member who is the subject of the complaint? (N/A if agency	yes

	is exempt from this standard.)	
<b>115.52 (d)</b>	<b>Exhaustion of administrative remedies</b>	
	Does the agency issue a final agency decision on the merits of any portion of a grievance alleging sexual abuse within 90 days of the initial filing of the grievance? (Computation of the 90-day time period does not include time consumed by inmates in preparing any administrative appeal.) (N/A if agency is exempt from this standard.)	yes
	If the agency claims the maximum allowable extension of time to respond of up to 70 days per 115.52(d)(3) when the normal time period for response is insufficient to make an appropriate decision, does the agency notify the inmate in writing of any such extension and provide a date by which a decision will be made? (N/A if agency is exempt from this standard.)	yes
	At any level of the administrative process, including the final level, if the inmate does not receive a response within the time allotted for reply, including any properly noticed extension, may an inmate consider the absence of a response to be a denial at that level? (N/A if agency is exempt from this standard.)	yes
<b>115.52 (e)</b>	<b>Exhaustion of administrative remedies</b>	
	Are third parties, including fellow inmates, staff members, family members, attorneys, and outside advocates, permitted to assist inmates in filing requests for administrative remedies relating to allegations of sexual abuse? (N/A if agency is exempt from this standard.)	yes
	Are those third parties also permitted to file such requests on behalf of inmates? (If a third party files such a request on behalf of an inmate, the facility may require as a condition of processing the request that the alleged victim agree to have the request filed on his or her behalf, and may also require the alleged victim to personally pursue any subsequent steps in the administrative remedy process.) (N/A if agency is exempt from this standard.)	yes
	If the inmate declines to have the request processed on his or her behalf, does the agency document the inmate's decision? (N/A if agency is exempt from this standard.)	yes
<b>115.52 (f)</b>	<b>Exhaustion of administrative remedies</b>	
	Has the agency established procedures for the filing of an emergency grievance alleging that an inmate is subject to a substantial risk of imminent sexual abuse? (N/A if agency is exempt from this standard.)	yes

	After receiving an emergency grievance alleging an inmate is subject to a substantial risk of imminent sexual abuse, does the agency immediately forward the grievance (or any portion thereof that alleges the substantial risk of imminent sexual abuse) to a level of review at which immediate corrective action may be taken? (N/A if agency is exempt from this standard.)	yes
	After receiving an emergency grievance described above, does the agency provide an initial response within 48 hours? (N/A if agency is exempt from this standard.)	yes
	After receiving an emergency grievance described above, does the agency issue a final agency decision within 5 calendar days? (N/A if agency is exempt from this standard.)	yes
	Does the initial response and final agency decision document the agency's determination whether the inmate is in substantial risk of imminent sexual abuse? (N/A if agency is exempt from this standard.)	yes
	Does the initial response document the agency's action(s) taken in response to the emergency grievance? (N/A if agency is exempt from this standard.)	yes
	Does the agency's final decision document the agency's action(s) taken in response to the emergency grievance? (N/A if agency is exempt from this standard.)	yes
<b>115.52 (g)</b>	<b>Exhaustion of administrative remedies</b>	
	If the agency disciplines an inmate for filing a grievance related to alleged sexual abuse, does it do so ONLY where the agency demonstrates that the inmate filed the grievance in bad faith? (N/A if agency is exempt from this standard.)	yes
<b>115.53 (a)</b>	<b>Inmate access to outside confidential support services</b>	
	Does the facility provide inmates with access to outside victim advocates for emotional support services related to sexual abuse by giving inmates mailing addresses and telephone numbers, including toll-free hotline numbers where available, of local, State, or national victim advocacy or rape crisis organizations?	yes
	Does the facility provide persons detained solely for civil immigration purposes mailing addresses and telephone numbers, including toll-free hotline numbers where available of local, State, or national immigrant services agencies? (N/A if the facility never has persons detained solely for civil immigration purposes.)	na
	Does the facility enable reasonable communication between	yes

	inmates and these organizations and agencies, in as confidential a manner as possible?	
<b>115.53 (b)</b>	<b>Inmate access to outside confidential support services</b>	
	Does the facility inform inmates, prior to giving them access, of the extent to which such communications will be monitored and the extent to which reports of abuse will be forwarded to authorities in accordance with mandatory reporting laws?	yes
<b>115.53 (c)</b>	<b>Inmate access to outside confidential support services</b>	
	Does the agency maintain or attempt to enter into memoranda of understanding or other agreements with community service providers that are able to provide inmates with confidential emotional support services related to sexual abuse?	yes
	Does the agency maintain copies of agreements or documentation showing attempts to enter into such agreements?	yes
<b>115.54 (a)</b>	<b>Third-party reporting</b>	
	Has the agency established a method to receive third-party reports of sexual abuse and sexual harassment?	yes
	Has the agency distributed publicly information on how to report sexual abuse and sexual harassment on behalf of an inmate?	yes
<b>115.61 (a)</b>	<b>Staff and agency reporting duties</b>	
	Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding an incident of sexual abuse or sexual harassment that occurred in a facility, whether or not it is part of the agency?	yes
	Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding retaliation against inmates or staff who reported an incident of sexual abuse or sexual harassment?	yes
	Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding any staff neglect or violation of responsibilities that may have contributed to an incident of sexual abuse or sexual harassment or retaliation?	yes
<b>115.61 (b)</b>	<b>Staff and agency reporting duties</b>	
	Apart from reporting to designated supervisors or officials, does staff always refrain from revealing any information related to a	yes

	sexual abuse report to anyone other than to the extent necessary, as specified in agency policy, to make treatment, investigation, and other security and management decisions?	
<b>115.61 (c)</b>	<b>Staff and agency reporting duties</b>	
	Unless otherwise precluded by Federal, State, or local law, are medical and mental health practitioners required to report sexual abuse pursuant to paragraph (a) of this section?	yes
	Are medical and mental health practitioners required to inform inmates of the practitioner's duty to report, and the limitations of confidentiality, at the initiation of services?	yes
<b>115.61 (d)</b>	<b>Staff and agency reporting duties</b>	
	If the alleged victim is under the age of 18 or considered a vulnerable adult under a State or local vulnerable persons statute, does the agency report the allegation to the designated State or local services agency under applicable mandatory reporting laws?	yes
<b>115.61 (e)</b>	<b>Staff and agency reporting duties</b>	
	Does the facility report all allegations of sexual abuse and sexual harassment, including third-party and anonymous reports, to the facility's designated investigators?	yes
<b>115.62 (a)</b>	<b>Agency protection duties</b>	
	When the agency learns that an inmate is subject to a substantial risk of imminent sexual abuse, does it take immediate action to protect the inmate?	yes
<b>115.63 (a)</b>	<b>Reporting to other confinement facilities</b>	
	Upon receiving an allegation that an inmate was sexually abused while confined at another facility, does the head of the facility that received the allegation notify the head of the facility or appropriate office of the agency where the alleged abuse occurred?	yes
<b>115.63 (b)</b>	<b>Reporting to other confinement facilities</b>	
	Is such notification provided as soon as possible, but no later than 72 hours after receiving the allegation?	yes
<b>115.63 (c)</b>	<b>Reporting to other confinement facilities</b>	
	Does the agency document that it has provided such notification?	yes
<b>115.63 (d)</b>	<b>Reporting to other confinement facilities</b>	

	Does the facility head or agency office that receives such notification ensure that the allegation is investigated in accordance with these standards?	yes
<b>115.64 (a)</b>	<b>Staff first responder duties</b>	
	Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Separate the alleged victim and abuser?	yes
	Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Preserve and protect any crime scene until appropriate steps can be taken to collect any evidence?	yes
	Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Request that the alleged victim not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating, if the abuse occurred within a time period that still allows for the collection of physical evidence?	yes
	Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Ensure that the alleged abuser does not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating, if the abuse occurred within a time period that still allows for the collection of physical evidence?	yes
<b>115.64 (b)</b>	<b>Staff first responder duties</b>	
	If the first staff responder is not a security staff member, is the responder required to request that the alleged victim not take any actions that could destroy physical evidence, and then notify security staff?	yes
<b>115.65 (a)</b>	<b>Coordinated response</b>	
	Has the facility developed a written institutional plan to coordinate actions among staff first responders, medical and mental health practitioners, investigators, and facility leadership taken in response to an incident of sexual abuse?	yes
<b>115.66 (a)</b>	<b>Preservation of ability to protect inmates from contact with abusers</b>	
	Are both the agency and any other governmental entities	yes

	responsible for collective bargaining on the agency's behalf prohibited from entering into or renewing any collective bargaining agreement or other agreement that limit the agency's ability to remove alleged staff sexual abusers from contact with any inmates pending the outcome of an investigation or of a determination of whether and to what extent discipline is warranted?	
<b>115.67 (a)</b>	<b>Agency protection against retaliation</b>	
	Has the agency established a policy to protect all inmates and staff who report sexual abuse or sexual harassment or cooperate with sexual abuse or sexual harassment investigations from retaliation by other inmates or staff?	yes
	Has the agency designated which staff members or departments are charged with monitoring retaliation?	yes
<b>115.67 (b)</b>	<b>Agency protection against retaliation</b>	
	Does the agency employ multiple protection measures, such as housing changes or transfers for inmate victims or abusers, removal of alleged staff or inmate abusers from contact with victims, and emotional support services for inmates or staff who fear retaliation for reporting sexual abuse or sexual harassment or for cooperating with investigations?	yes
<b>115.67 (c)</b>	<b>Agency protection against retaliation</b>	
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor the conduct and treatment of inmates or staff who reported the sexual abuse to see if there are changes that may suggest possible retaliation by inmates or staff?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor the conduct and treatment of inmates who were reported to have suffered sexual abuse to see if there are changes that may suggest possible retaliation by inmates or staff?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Act promptly to remedy any such retaliation?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report	yes

	of sexual abuse, does the agency: Monitor any inmate disciplinary reports?	
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor inmate housing changes?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor inmate program changes?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor negative performance reviews of staff?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor reassignments of staff?	yes
	Does the agency continue such monitoring beyond 90 days if the initial monitoring indicates a continuing need?	yes
<b>115.67 (d) Agency protection against retaliation</b>		
	In the case of inmates, does such monitoring also include periodic status checks?	yes
<b>115.67 (e) Agency protection against retaliation</b>		
	If any other individual who cooperates with an investigation expresses a fear of retaliation, does the agency take appropriate measures to protect that individual against retaliation?	yes
<b>115.68 (a) Post-allegation protective custody</b>		
	Is any and all use of segregated housing to protect an inmate who is alleged to have suffered sexual abuse subject to the requirements of § 115.43?	yes
<b>115.71 (a) Criminal and administrative agency investigations</b>		
	When the agency conducts its own investigations into allegations of sexual abuse and sexual harassment, does it do so promptly, thoroughly, and objectively? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations. See 115.21(a).)	yes
	Does the agency conduct such investigations for all allegations,	yes

	including third party and anonymous reports? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations. See 115.21(a).)	
<b>115.71 (b)</b>	<b>Criminal and administrative agency investigations</b>	
	Where sexual abuse is alleged, does the agency use investigators who have received specialized training in sexual abuse investigations as required by 115.34?	yes
<b>115.71 (c)</b>	<b>Criminal and administrative agency investigations</b>	
	Do investigators gather and preserve direct and circumstantial evidence, including any available physical and DNA evidence and any available electronic monitoring data?	yes
	Do investigators interview alleged victims, suspected perpetrators, and witnesses?	yes
	Do investigators review prior reports and complaints of sexual abuse involving the suspected perpetrator?	yes
<b>115.71 (d)</b>	<b>Criminal and administrative agency investigations</b>	
	When the quality of evidence appears to support criminal prosecution, does the agency conduct compelled interviews only after consulting with prosecutors as to whether compelled interviews may be an obstacle for subsequent criminal prosecution?	yes
<b>115.71 (e)</b>	<b>Criminal and administrative agency investigations</b>	
	Do agency investigators assess the credibility of an alleged victim, suspect, or witness on an individual basis and not on the basis of that individual's status as inmate or staff?	yes
	Does the agency investigate allegations of sexual abuse without requiring an inmate who alleges sexual abuse to submit to a polygraph examination or other truth-telling device as a condition for proceeding?	yes
<b>115.71 (f)</b>	<b>Criminal and administrative agency investigations</b>	
	Do administrative investigations include an effort to determine whether staff actions or failures to act contributed to the abuse?	yes
	Are administrative investigations documented in written reports that include a description of the physical evidence and testimonial evidence, the reasoning behind credibility assessments, and investigative facts and findings?	yes

<b>115.71 (g)</b>	<b>Criminal and administrative agency investigations</b>	
	Are criminal investigations documented in a written report that contains a thorough description of the physical, testimonial, and documentary evidence and attaches copies of all documentary evidence where feasible?	yes
<b>115.71 (h)</b>	<b>Criminal and administrative agency investigations</b>	
	Are all substantiated allegations of conduct that appears to be criminal referred for prosecution?	yes
<b>115.71 (i)</b>	<b>Criminal and administrative agency investigations</b>	
	Does the agency retain all written reports referenced in 115.71(f) and (g) for as long as the alleged abuser is incarcerated or employed by the agency, plus five years?	yes
<b>115.71 (j)</b>	<b>Criminal and administrative agency investigations</b>	
	Does the agency ensure that the departure of an alleged abuser or victim from the employment or control of the agency does not provide a basis for terminating an investigation?	yes
<b>115.71 (l)</b>	<b>Criminal and administrative agency investigations</b>	
	When an outside entity investigates sexual abuse, does the facility cooperate with outside investigators and endeavor to remain informed about the progress of the investigation? (N/A if an outside agency does not conduct administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
<b>115.72 (a)</b>	<b>Evidentiary standard for administrative investigations</b>	
	Is it true that the agency does not impose a standard higher than a preponderance of the evidence in determining whether allegations of sexual abuse or sexual harassment are substantiated?	yes
<b>115.73 (a)</b>	<b>Reporting to inmates</b>	
	Following an investigation into an inmate's allegation that he or she suffered sexual abuse in an agency facility, does the agency inform the inmate as to whether the allegation has been determined to be substantiated, unsubstantiated, or unfounded?	yes
<b>115.73 (b)</b>	<b>Reporting to inmates</b>	
	If the agency did not conduct the investigation into an inmate's allegation of sexual abuse in an agency facility, does the agency request the relevant information from the investigative agency in	yes

	order to inform the inmate? (N/A if the agency/facility is responsible for conducting administrative and criminal investigations.)	
<b>115.73 (c) Reporting to inmates</b>		
	Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the inmate has been released from custody, does the agency subsequently inform the resident whenever: The staff member is no longer posted within the inmate's unit?	yes
	Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The staff member is no longer employed at the facility?	yes
	Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The agency learns that the staff member has been indicted on a charge related to sexual abuse in the facility?	yes
	Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The agency learns that the staff member has been convicted on a charge related to sexual abuse within the facility?	yes
<b>115.73 (d) Reporting to inmates</b>		
	Following an inmate's allegation that he or she has been sexually abused by another inmate, does the agency subsequently inform the alleged victim whenever: The agency learns that the alleged abuser has been indicted on a charge related to sexual abuse within the facility?	yes
	Following an inmate's allegation that he or she has been sexually abused by another inmate, does the agency subsequently inform the alleged victim whenever: The agency learns that the alleged abuser has been convicted on a charge related to sexual abuse within the facility?	yes

<b>115.73 (e)</b>	<b>Reporting to inmates</b>	
	Does the agency document all such notifications or attempted notifications?	yes
<b>115.76 (a)</b>	<b>Disciplinary sanctions for staff</b>	
	Are staff subject to disciplinary sanctions up to and including termination for violating agency sexual abuse or sexual harassment policies?	yes
<b>115.76 (b)</b>	<b>Disciplinary sanctions for staff</b>	
	Is termination the presumptive disciplinary sanction for staff who have engaged in sexual abuse?	yes
<b>115.76 (c)</b>	<b>Disciplinary sanctions for staff</b>	
	Are disciplinary sanctions for violations of agency policies relating to sexual abuse or sexual harassment (other than actually engaging in sexual abuse) commensurate with the nature and circumstances of the acts committed, the staff member's disciplinary history, and the sanctions imposed for comparable offenses by other staff with similar histories?	yes
<b>115.76 (d)</b>	<b>Disciplinary sanctions for staff</b>	
	Are all terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, reported to: Law enforcement agencies (unless the activity was clearly not criminal)?	yes
	Are all terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, reported to: Relevant licensing bodies?	yes
<b>115.77 (a)</b>	<b>Corrective action for contractors and volunteers</b>	
	Is any contractor or volunteer who engages in sexual abuse prohibited from contact with inmates?	yes
	Is any contractor or volunteer who engages in sexual abuse reported to: Law enforcement agencies (unless the activity was clearly not criminal)?	yes
	Is any contractor or volunteer who engages in sexual abuse reported to: Relevant licensing bodies?	yes
<b>115.77 (b)</b>	<b>Corrective action for contractors and volunteers</b>	

	In the case of any other violation of agency sexual abuse or sexual harassment policies by a contractor or volunteer, does the facility take appropriate remedial measures, and consider whether to prohibit further contact with inmates?	yes
<b>115.78 (a)</b>	<b>Disciplinary sanctions for inmates</b>	
	Following an administrative finding that an inmate engaged in inmate-on-inmate sexual abuse, or following a criminal finding of guilt for inmate-on-inmate sexual abuse, are inmates subject to disciplinary sanctions pursuant to a formal disciplinary process?	yes
<b>115.78 (b)</b>	<b>Disciplinary sanctions for inmates</b>	
	Are sanctions commensurate with the nature and circumstances of the abuse committed, the inmate's disciplinary history, and the sanctions imposed for comparable offenses by other inmates with similar histories?	yes
<b>115.78 (c)</b>	<b>Disciplinary sanctions for inmates</b>	
	When determining what types of sanction, if any, should be imposed, does the disciplinary process consider whether an inmate's mental disabilities or mental illness contributed to his or her behavior?	yes
<b>115.78 (d)</b>	<b>Disciplinary sanctions for inmates</b>	
	If the facility offers therapy, counseling, or other interventions designed to address and correct underlying reasons or motivations for the abuse, does the facility consider whether to require the offending inmate to participate in such interventions as a condition of access to programming and other benefits?	yes
<b>115.78 (e)</b>	<b>Disciplinary sanctions for inmates</b>	
	Does the agency discipline an inmate for sexual contact with staff only upon a finding that the staff member did not consent to such contact?	yes
<b>115.78 (f)</b>	<b>Disciplinary sanctions for inmates</b>	
	For the purpose of disciplinary action does a report of sexual abuse made in good faith based upon a reasonable belief that the alleged conduct occurred NOT constitute falsely reporting an incident or lying, even if an investigation does not establish evidence sufficient to substantiate the allegation?	yes
<b>115.78 (g)</b>	<b>Disciplinary sanctions for inmates</b>	
	If the agency prohibits all sexual activity between inmates, does	yes

	the agency always refrain from considering non-coercive sexual activity between inmates to be sexual abuse? (N/A if the agency does not prohibit all sexual activity between inmates.)	
<b>115.81 (a)</b>	<b>Medical and mental health screenings; history of sexual abuse</b>	
	If the screening pursuant to § 115.41 indicates that a prison inmate has experienced prior sexual victimization, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a medical or mental health practitioner within 14 days of the intake screening? (N/A if the facility is not a prison).	yes
<b>115.81 (b)</b>	<b>Medical and mental health screenings; history of sexual abuse</b>	
	If the screening pursuant to § 115.41 indicates that a prison inmate has previously perpetrated sexual abuse, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a mental health practitioner within 14 days of the intake screening? (N/A if the facility is not a prison.)	yes
<b>115.81 (c)</b>	<b>Medical and mental health screenings; history of sexual abuse</b>	
	If the screening pursuant to § 115.41 indicates that a jail inmate has experienced prior sexual victimization, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a medical or mental health practitioner within 14 days of the intake screening? (N/A if the facility is not a jail).	na
<b>115.81 (d)</b>	<b>Medical and mental health screenings; history of sexual abuse</b>	
	Is any information related to sexual victimization or abusiveness that occurred in an institutional setting strictly limited to medical and mental health practitioners and other staff as necessary to inform treatment plans and security management decisions, including housing, bed, work, education, and program assignments, or as otherwise required by Federal, State, or local law?	yes
<b>115.81 (e)</b>	<b>Medical and mental health screenings; history of sexual abuse</b>	
	Do medical and mental health practitioners obtain informed consent from inmates before reporting information about prior sexual victimization that did not occur in an institutional setting, unless the inmate is under the age of 18?	yes
<b>115.82 (a)</b>	<b>Access to emergency medical and mental health services</b>	

	Do inmate victims of sexual abuse receive timely, unimpeded access to emergency medical treatment and crisis intervention services, the nature and scope of which are determined by medical and mental health practitioners according to their professional judgment?	yes
<b>115.82 (b)</b>	<b>Access to emergency medical and mental health services</b>	
	If no qualified medical or mental health practitioners are on duty at the time a report of recent sexual abuse is made, do security staff first responders take preliminary steps to protect the victim pursuant to § 115.62?	yes
	Do security staff first responders immediately notify the appropriate medical and mental health practitioners?	yes
<b>115.82 (c)</b>	<b>Access to emergency medical and mental health services</b>	
	Are inmate victims of sexual abuse offered timely information about and timely access to emergency contraception and sexually transmitted infections prophylaxis, in accordance with professionally accepted standards of care, where medically appropriate?	yes
<b>115.82 (d)</b>	<b>Access to emergency medical and mental health services</b>	
	Are treatment services provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident?	yes
<b>115.83 (a)</b>	<b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>	
	Does the facility offer medical and mental health evaluation and, as appropriate, treatment to all inmates who have been victimized by sexual abuse in any prison, jail, lockup, or juvenile facility?	yes
<b>115.83 (b)</b>	<b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>	
	Does the evaluation and treatment of such victims include, as appropriate, follow-up services, treatment plans, and, when necessary, referrals for continued care following their transfer to, or placement in, other facilities, or their release from custody?	yes
<b>115.83 (c)</b>	<b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>	
	Does the facility provide such victims with medical and mental health services consistent with the community level of care?	yes

<b>115.83 (d)</b>	<b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>	
	Are inmate victims of sexually abusive vaginal penetration while incarcerated offered pregnancy tests? (N/A if "all male" facility. Note: in "all male" facilities there may be inmates who identify as transgender men who may have female genitalia. Auditors should be sure to know whether such individuals may be in the population and whether this provision may apply in specific circumstances.)	na
<b>115.83 (e)</b>	<b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>	
	If pregnancy results from the conduct described in paragraph § 115.83(d), do such victims receive timely and comprehensive information about and timely access to all lawful pregnancy-related medical services? (N/A if "all male" facility. Note: in "all male" facilities there may be inmates who identify as transgender men who may have female genitalia. Auditors should be sure to know whether such individuals may be in the population and whether this provision may apply in specific circumstances.)	na
<b>115.83 (f)</b>	<b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>	
	Are inmate victims of sexual abuse while incarcerated offered tests for sexually transmitted infections as medically appropriate?	yes
<b>115.83 (g)</b>	<b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>	
	Are treatment services provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident?	yes
<b>115.83 (h)</b>	<b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>	
	If the facility is a prison, does it attempt to conduct a mental health evaluation of all known inmate-on-inmate abusers within 60 days of learning of such abuse history and offer treatment when deemed appropriate by mental health practitioners? (NA if the facility is a jail.)	yes
<b>115.86 (a)</b>	<b>Sexual abuse incident reviews</b>	
	Does the facility conduct a sexual abuse incident review at the conclusion of every sexual abuse investigation, including where the allegation has not been substantiated, unless the allegation	no

	has been determined to be unfounded?	
<b>115.86 (b)</b>	<b>Sexual abuse incident reviews</b>	
	Does such review ordinarily occur within 30 days of the conclusion of the investigation?	no
<b>115.86 (c)</b>	<b>Sexual abuse incident reviews</b>	
	Does the review team include upper-level management officials, with input from line supervisors, investigators, and medical or mental health practitioners?	yes
<b>115.86 (d)</b>	<b>Sexual abuse incident reviews</b>	
	Does the review team: Consider whether the allegation or investigation indicates a need to change policy or practice to better prevent, detect, or respond to sexual abuse?	yes
	The subsection of this provision is no longer applicable to your compliance finding, please select N/A.	na
	Does the review team: Examine the area in the facility where the incident allegedly occurred to assess whether physical barriers in the area may enable abuse?	yes
	Does the review team: Assess the adequacy of staffing levels in that area during different shifts?	yes
	Does the review team: Assess whether monitoring technology should be deployed or augmented to supplement supervision by staff?	yes
	Does the review team: Prepare a report of its findings, including but not necessarily limited to determinations made pursuant to §§ 115.86(d)(1)-(d)(5), and any recommendations for improvement and submit such report to the facility head and PREA compliance manager?	yes
<b>115.86 (e)</b>	<b>Sexual abuse incident reviews</b>	
	Does the facility implement the recommendations for improvement, or document its reasons for not doing so?	yes
<b>115.87 (a)</b>	<b>Data collection</b>	
	Does the agency collect accurate, uniform data for every allegation of sexual abuse at facilities under its direct control using a standardized instrument and set of definitions?	yes
<b>115.87 (b)</b>	<b>Data collection</b>	

	Does the agency aggregate the incident-based sexual abuse data at least annually?	yes
<b>115.87 (c)</b>	<b>Data collection</b>	
	Does the incident-based data include, at a minimum, the data necessary to answer all questions from the most recent version of the Survey of Sexual Violence conducted by the Department of Justice?	yes
<b>115.87 (d)</b>	<b>Data collection</b>	
	Does the agency maintain, review, and collect data as needed from all available incident-based documents, including reports, investigation files, and sexual abuse incident reviews?	yes
<b>115.87 (e)</b>	<b>Data collection</b>	
	Does the agency also obtain incident-based and aggregated data from every private facility with which it contracts for the confinement of its inmates? (N/A if agency does not contract for the confinement of its inmates.)	yes
<b>115.87 (f)</b>	<b>Data collection</b>	
	Does the agency, upon request, provide all such data from the previous calendar year to the Department of Justice no later than June 30? (N/A if DOJ has not requested agency data.)	yes
<b>115.88 (a)</b>	<b>Data review for corrective action</b>	
	Does the agency review data collected and aggregated pursuant to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Identifying problem areas?	yes
	Does the agency review data collected and aggregated pursuant to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Taking corrective action on an ongoing basis?	yes
	Does the agency review data collected and aggregated pursuant to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Preparing an annual report of its findings and corrective actions for each facility, as well as the agency as a whole?	yes
<b>115.88 (b)</b>	<b>Data review for corrective action</b>	

	Does the agency's annual report include a comparison of the current year's data and corrective actions with those from prior years and provide an assessment of the agency's progress in addressing sexual abuse?	yes
<b>115.88 (c)</b>	<b>Data review for corrective action</b>	
	Is the agency's annual report approved by the agency head and made readily available to the public through its website or, if it does not have one, through other means?	yes
<b>115.88 (d)</b>	<b>Data review for corrective action</b>	
	Does the agency indicate the nature of the material redacted where it redacts specific material from the reports when publication would present a clear and specific threat to the safety and security of a facility?	yes
<b>115.89 (a)</b>	<b>Data storage, publication, and destruction</b>	
	Does the agency ensure that data collected pursuant to § 115.87 are securely retained?	yes
<b>115.89 (b)</b>	<b>Data storage, publication, and destruction</b>	
	Does the agency make all aggregated sexual abuse data, from facilities under its direct control and private facilities with which it contracts, readily available to the public at least annually through its website or, if it does not have one, through other means?	yes
<b>115.89 (c)</b>	<b>Data storage, publication, and destruction</b>	
	Does the agency remove all personal identifiers before making aggregated sexual abuse data publicly available?	yes
<b>115.89 (d)</b>	<b>Data storage, publication, and destruction</b>	
	Does the agency maintain sexual abuse data collected pursuant to § 115.87 for at least 10 years after the date of the initial collection, unless Federal, State, or local law requires otherwise?	yes
<b>115.401 (a)</b>	<b>Frequency and scope of audits</b>	
	During the prior three-year audit period, did the agency ensure that each facility operated by the agency, or by a private organization on behalf of the agency, was audited at least once? (Note: The response here is purely informational. A "no" response does not impact overall compliance with this standard.)	yes
<b>115.401</b>	<b>Frequency and scope of audits</b>	

<b>(b)</b>		
	Is this the first year of the current audit cycle? (Note: a “no” response does not impact overall compliance with this standard.)	yes
	If this is the second year of the current audit cycle, did the agency ensure that at least one-third of each facility type operated by the agency, or by a private organization on behalf of the agency, was audited during the first year of the current audit cycle? (N/A if this is not the second year of the current audit cycle.)	no
	If this is the third year of the current audit cycle, did the agency ensure that at least two-thirds of each facility type operated by the agency, or by a private organization on behalf of the agency, were audited during the first two years of the current audit cycle? (N/A if this is not the third year of the current audit cycle.)	no
<b>115.401 (h)</b>	<b>Frequency and scope of audits</b>	
	Did the auditor have access to, and the ability to observe, all areas of the audited facility?	yes
<b>115.401 (i)</b>	<b>Frequency and scope of audits</b>	
	Was the auditor permitted to request and receive copies of any relevant documents (including electronically stored information)?	yes
<b>115.401 (m)</b>	<b>Frequency and scope of audits</b>	
	Was the auditor permitted to conduct private interviews with inmates, residents, and detainees?	yes
<b>115.401 (n)</b>	<b>Frequency and scope of audits</b>	
	Were inmates permitted to send confidential information or correspondence to the auditor in the same manner as if they were communicating with legal counsel?	yes
<b>115.403 (f)</b>	<b>Audit contents and findings</b>	
	The agency has published on its agency website, if it has one, or has otherwise made publicly available, all Final Audit Reports. The review period is for prior audits completed during the past three years PRECEDING THIS AUDIT. The pendency of any agency appeal pursuant to 28 C.F.R. § 115.405 does not excuse	yes

	noncompliance with this provision. (N/A if there have been no Final Audit Reports issued in the past three years, or, in the case of single facility agencies, there has never been a Final Audit Report issued.)	
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