

PREA Facility Audit Report: Final

Name of Facility: Rutherford Correctional Center

Facility Type: Prison / Jail

Date Interim Report Submitted: NA

Date Final Report Submitted: 03/30/2026

Auditor Certification	
The contents of this report are accurate to the best of my knowledge.	<input type="checkbox"/>
No conflict of interest exists with respect to my ability to conduct an audit of the agency under review.	<input type="checkbox"/>
I have not included in the final report any personally identifiable information (PII) about any inmate/resident/detainee or staff member, except where the names of administrative personnel are specifically requested in the report template.	<input type="checkbox"/>
Auditor Full Name as Signed: Aaron C. Uldricks	Date of Signature: 03/30/2026

AUDITOR INFORMATION	
Auditor name:	Uldricks, Aaron
Email:	confinementsolutions@gmail.com
Start Date of On-Site Audit:	01/22/2026
End Date of On-Site Audit:	01/23/2026

FACILITY INFORMATION	
Facility name:	Rutherford Correctional Center
Facility physical address:	549 Ledbetter Road , Spindale, North Carolina - 28160
Facility mailing address:	

Primary Contact

Name:	Cassandra Howell
Email Address:	cassandra.howell@dac.nc.gov
Telephone Number:	828-286-4121

Warden/Jail Administrator/Sheriff/Director	
Name:	Larry Godwin
Email Address:	larry.godwin@dac.nc.gov
Telephone Number:	828-286-4121

Facility PREA Compliance Manager	
Name:	Cassandra Howell
Email Address:	cassandra.howell@dac.nc.gov
Telephone Number:	8287551828
Name:	John Hemingway
Email Address:	john.hemingway@dac.nc.gov
Telephone Number:	(919) 830-9536
Name:	Genevieve Lohmeyer
Email Address:	genevieve.lohmeyer@dac.nc.gov
Telephone Number:	828-578-1169

Facility Health Service Administrator On-site	
Name:	Teresa Smith
Email Address:	teresa.h.smith@dac.nc.gov
Telephone Number:	828-286-4121

Facility Characteristics

Designed facility capacity:	242
Current population of facility:	234
Average daily population for the past 12 months:	220
Has the facility been over capacity at any point in the past 12 months?	No
What is the facility's population designation?	Men/boys
Age range of population:	18-99
Facility security levels/inmate custody levels:	Minimum
Does the facility hold youthful inmates?	No
Number of staff currently employed at the facility who may have contact with inmates:	55
Number of individual contractors who have contact with inmates, currently authorized to enter the facility:	5
Number of volunteers who have contact with inmates, currently authorized to enter the facility:	84

AGENCY INFORMATION

Name of agency:	North Carolina Department of Adult Correction
Governing authority or parent agency (if applicable):	
Physical Address:	214 West Jones Street , Raleigh , North Carolina - 27603
Mailing Address:	
Telephone number:	9198252739

Agency Chief Executive Officer Information:

Name:	
Email Address:	
Telephone Number:	

Agency-Wide PREA Coordinator Information			
Name:	Charlotte Jordan-Williams	Email Address:	charlotte.williams@dac.nc.gov

Facility AUDIT FINDINGS	
Summary of Audit Findings	
<p>The OAS automatically populates the number and list of Standards exceeded, the number of Standards met, and the number and list of Standards not met.</p> <p>Auditor Note: In general, no standards should be found to be "Not Applicable" or "NA." A compliance determination must be made for each standard. In rare instances where an auditor determines that a standard is not applicable, the auditor should select "Meets Standard" and include a comprehensive discussion as to why the standard is not applicable to the facility being audited.</p>	
Number of standards exceeded:	
1	<ul style="list-style-type: none"> 115.11 - Zero tolerance of sexual abuse and sexual harassment; PREA coordinator
Number of standards met:	
44	
Number of standards not met:	
0	

POST-AUDIT REPORTING INFORMATION

Please note: Question numbers may not appear sequentially as some questions are omitted from the report and used solely for internal reporting purposes.

GENERAL AUDIT INFORMATION

On-site Audit Dates

1. Start date of the onsite portion of the audit:	2026-01-22
2. End date of the onsite portion of the audit:	2026-01-23

Outreach

10. Did you attempt to communicate with community-based organization(s) or victim advocates who provide services to this facility and/or who may have insight into relevant conditions in the facility?	<input checked="" type="radio"/> Yes <input type="radio"/> No
a. Identify the community-based organization(s) or victim advocates with whom you communicated:	Path Shelter / Family Resources of Rutherford County, Inc.

AUDITED FACILITY INFORMATION

14. Designated facility capacity:	242
15. Average daily population for the past 12 months:	220
16. Number of inmate/resident/detainee housing units:	8
17. Does the facility ever hold youthful inmates or youthful/juvenile detainees?	<input type="radio"/> Yes <input checked="" type="radio"/> No <input type="radio"/> Not Applicable for the facility type audited (i.e., Community Confinement Facility or Juvenile Facility)

Audited Facility Population Characteristics on Day One of the Onsite Portion of the Audit

Inmates/Residents/Detainees Population Characteristics on Day One of the Onsite Portion of the Audit

23. Enter the total number of inmates/residents/detainees in the facility as of the first day of onsite portion of the audit:	233
25. Enter the total number of inmates/residents/detainees with a physical disability in the facility as of the first day of the onsite portion of the audit:	1
26. Enter the total number of inmates/residents/detainees with a cognitive or functional disability (including intellectual disability, psychiatric disability, or speech disability) in the facility as of the first day of the onsite portion of the audit:	0
27. Enter the total number of inmates/residents/detainees who are Blind or have low vision (visually impaired) in the facility as of the first day of the onsite portion of the audit:	0
28. Enter the total number of inmates/residents/detainees who are Deaf or hard-of-hearing in the facility as of the first day of the onsite portion of the audit:	1
29. Enter the total number of inmates/residents/detainees who are Limited English Proficient (LEP) in the facility as of the first day of the onsite portion of the audit:	0
30. Enter the total number of inmates/residents/detainees who identify as lesbian, gay, or bisexual in the facility as of the first day of the onsite portion of the audit:	2

<p>31. Enter the total number of inmates/residents/detainees who identify as transgender or intersex in the facility as of the first day of the onsite portion of the audit:</p>	<p>0</p>
<p>32. Enter the total number of inmates/residents/detainees who reported sexual abuse in the facility as of the first day of the onsite portion of the audit:</p>	<p>0</p>
<p>33. Enter the total number of inmates/residents/detainees who disclosed prior sexual victimization during risk screening in the facility as of the first day of the onsite portion of the audit:</p>	<p>0</p>
<p>34. Enter the total number of inmates/residents/detainees who were ever placed in segregated housing/isolation for risk of sexual victimization in the facility as of the first day of the onsite portion of the audit:</p>	<p>0</p>
<p>35. Provide any additional comments regarding the population characteristics of inmates/residents/detainees in the facility as of the first day of the onsite portion of the audit (e.g., groups not tracked, issues with identifying certain populations):</p>	<p>No text provided.</p>
<p>Staff, Volunteers, and Contractors Population Characteristics on Day One of the Onsite Portion of the Audit</p>	
<p>36. Enter the total number of STAFF, including both full- and part-time staff, employed by the facility as of the first day of the onsite portion of the audit:</p>	<p>55</p>
<p>37. Enter the total number of VOLUNTEERS assigned to the facility as of the first day of the onsite portion of the audit who have contact with inmates/residents/detainees:</p>	<p>84</p>

<p>38. Enter the total number of CONTRACTORS assigned to the facility as of the first day of the onsite portion of the audit who have contact with inmates/residents/detainees:</p>	<p>5</p>
<p>39. Provide any additional comments regarding the population characteristics of staff, volunteers, and contractors who were in the facility as of the first day of the onsite portion of the audit:</p>	<p>No text provided.</p>
<p>INTERVIEWS</p>	
<p>Inmate/Resident/Detainee Interviews</p>	
<p>Random Inmate/Resident/Detainee Interviews</p>	
<p>40. Enter the total number of RANDOM INMATES/RESIDENTS/DETAINEES who were interviewed:</p>	<p>17</p>
<p>41. Select which characteristics you considered when you selected RANDOM INMATE/RESIDENT/DETAINEE interviewees: (select all that apply)</p>	<p> <input type="checkbox"/> Age <input checked="" type="checkbox"/> Race <input checked="" type="checkbox"/> Ethnicity (e.g., Hispanic, Non-Hispanic) <input type="checkbox"/> Length of time in the facility <input checked="" type="checkbox"/> Housing assignment <input type="checkbox"/> Gender <input type="checkbox"/> Other <input type="checkbox"/> None </p>
<p>42. How did you ensure your sample of RANDOM INMATE/RESIDENT/DETAINEE interviewees was geographically diverse?</p>	<p>Selected multiple offenders from each housing unit.</p>
<p>43. Were you able to conduct the minimum number of random inmate/resident/detainee interviews?</p>	<p> <input checked="" type="radio"/> Yes <input type="radio"/> No </p>

44. Provide any additional comments regarding selecting or interviewing random inmates/residents/detainees (e.g., any populations you oversampled, barriers to completing interviews, barriers to ensuring representation):	Oversampled offenders without a job assignment, as those are typically offenders who are newer to the facility.
Targeted Inmate/Resident/Detainee Interviews	
45. Enter the total number of TARGETED INMATES/RESIDENTS/DETAINEES who were interviewed:	4
<p>As stated in the PREA Auditor Handbook, the breakdown of targeted interviews is intended to guide auditors in interviewing the appropriate cross-section of inmates/residents/detainees who are the most vulnerable to sexual abuse and sexual harassment. When completing questions regarding targeted inmate/resident/detainee interviews below, remember that an interview with one inmate/resident/detainee may satisfy multiple targeted interview requirements. These questions are asking about the number of interviews conducted using the targeted inmate/resident/detainee protocols. For example, if an auditor interviews an inmate who has a physical disability, is being held in segregated housing due to risk of sexual victimization, and disclosed prior sexual victimization, that interview would be included in the totals for each of those questions. Therefore, in most cases, the sum of all the following responses to the targeted inmate/resident/detainee interview categories will exceed the total number of targeted inmates/residents/detainees who were interviewed. If a particular targeted population is not applicable in the audited facility, enter "0".</p>	
47. Enter the total number of interviews conducted with inmates/residents/detainees with a physical disability using the "Disabled and Limited English Proficient Inmates" protocol:	1
48. Enter the total number of interviews conducted with inmates/residents/detainees with a cognitive or functional disability (including intellectual disability, psychiatric disability, or speech disability) using the "Disabled and Limited English Proficient Inmates" protocol:	0

<p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p>	<p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>
<p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p>	<p>Spoke with medical and programing staff to confirm response.</p>
<p>49. Enter the total number of interviews conducted with inmates/residents/detainees who are Blind or have low vision (i.e., visually impaired) using the "Disabled and Limited English Proficient Inmates" protocol:</p>	<p>0</p>
<p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p>	<p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>
<p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p>	<p>Spoke with medical and programing staff to confirm response.</p>
<p>50. Enter the total number of interviews conducted with inmates/residents/detainees who are Deaf or hard-of-hearing using the "Disabled and Limited English Proficient Inmates" protocol:</p>	<p>1</p>

<p>51. Enter the total number of interviews conducted with inmates/residents/detainees who are Limited English Proficient (LEP) using the "Disabled and Limited English Proficient Inmates" protocol:</p>	<p>0</p>
<p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p>	<p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>
<p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p>	<p>Spoke with medical and programing staff to confirm response. Oversampled offenders for interviews whose race was listed as "other" and those who had traditionally Hispanic last names.</p>
<p>52. Enter the total number of interviews conducted with inmates/residents/detainees who identify as lesbian, gay, or bisexual using the "Transgender and Intersex Inmates; Gay, Lesbian, and Bisexual Inmates" protocol:</p>	<p>2</p>
<p>53. Enter the total number of interviews conducted with inmates/residents/detainees who identify as transgender or intersex using the "Transgender and Intersex Inmates; Gay, Lesbian, and Bisexual Inmates" protocol:</p>	<p>0</p>
<p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p>	<p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>

<p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p>	<p>Spoke with screening and programing staff to confirm response.</p>
<p>54. Enter the total number of interviews conducted with inmates/residents/detainees who reported sexual abuse in this facility using the "Inmates who Reported a Sexual Abuse" protocol:</p>	<p>0</p>
<p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p>	<p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>
<p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p>	<p>Spoke with medical and investigative staff to confirm response.</p>
<p>55. Enter the total number of interviews conducted with inmates/residents/detainees who disclosed prior sexual victimization during risk screening using the "Inmates who Disclosed Sexual Victimization during Risk Screening" protocol:</p>	<p>0</p>
<p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p>	<p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>

<p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p>	<p>Spoke with screening and administrative staff to confirm response.</p>
<p>56. Enter the total number of interviews conducted with inmates/residents/detainees who are or were ever placed in segregated housing/isolation for risk of sexual victimization using the "Inmates Placed in Segregated Housing (for Risk of Sexual Victimization/Who Allege to have Suffered Sexual Abuse)" protocol:</p>	<p>0</p>
<p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p>	<p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>
<p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p>	<p>The warden confirmed the facility does not have segregated housing.</p>
<p>57. Provide any additional comments regarding selecting or interviewing targeted inmates/residents/detainees (e.g., any populations you oversampled, barriers to completing interviews):</p>	<p>No text provided.</p>
<p>Staff, Volunteer, and Contractor Interviews</p>	
<p>Random Staff Interviews</p>	
<p>58. Enter the total number of RANDOM STAFF who were interviewed:</p>	<p>12</p>

<p>59. Select which characteristics you considered when you selected RANDOM STAFF interviewees: (select all that apply)</p>	<p><input type="checkbox"/> Length of tenure in the facility</p> <p><input checked="" type="checkbox"/> Shift assignment</p> <p><input checked="" type="checkbox"/> Work assignment</p> <p><input checked="" type="checkbox"/> Rank (or equivalent)</p> <p><input type="checkbox"/> Other (e.g., gender, race, ethnicity, languages spoken)</p> <p><input type="checkbox"/> None</p>
<p>60. Were you able to conduct the minimum number of RANDOM STAFF interviews?</p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p>
<p>61. Provide any additional comments regarding selecting or interviewing random staff (e.g., any populations you oversampled, barriers to completing interviews, barriers to ensuring representation):</p>	<p>No text provided.</p>
<p>Specialized Staff, Volunteers, and Contractor Interviews</p>	
<p>Staff in some facilities may be responsible for more than one of the specialized staff duties. Therefore, more than one interview protocol may apply to an interview with a single staff member and that information would satisfy multiple specialized staff interview requirements.</p>	
<p>62. Enter the total number of staff in a SPECIALIZED STAFF role who were interviewed (excluding volunteers and contractors):</p>	<p>12</p>
<p>63. Were you able to interview the Agency Head?</p>	<p><input type="radio"/> Yes</p> <p><input checked="" type="radio"/> No</p>
<p>a. Explain why it was not possible to interview the Agency Head:</p>	<p>Interviewed the agency head designee.</p>

64. Were you able to interview the Warden/Facility Director/Superintendent or their designee?	<input checked="" type="radio"/> Yes <input type="radio"/> No
65. Were you able to interview the PREA Coordinator?	<input checked="" type="radio"/> Yes <input type="radio"/> No
66. Were you able to interview the PREA Compliance Manager?	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> NA (NA if the agency is a single facility agency or is otherwise not required to have a PREA Compliance Manager per the Standards)

67. Select which SPECIALIZED STAFF roles were interviewed as part of this audit from the list below: (select all that apply)

- Agency contract administrator
- Intermediate or higher-level facility staff responsible for conducting and documenting unannounced rounds to identify and deter staff sexual abuse and sexual harassment
- Line staff who supervise youthful inmates (if applicable)
- Education and program staff who work with youthful inmates (if applicable)
- Medical staff
- Mental health staff
- Non-medical staff involved in cross-gender strip or visual searches
- Administrative (human resources) staff
- Sexual Assault Forensic Examiner (SAFE) or Sexual Assault Nurse Examiner (SANE) staff
- Investigative staff responsible for conducting administrative investigations
- Investigative staff responsible for conducting criminal investigations
- Staff who perform screening for risk of victimization and abusiveness
- Staff who supervise inmates in segregated housing/residents in isolation
- Staff on the sexual abuse incident review team
- Designated staff member charged with monitoring retaliation
- First responders, both security and non-security staff
- Intake staff

	<input type="checkbox"/> Other
68. Did you interview VOLUNTEERS who may have contact with inmates/residents/detainees in this facility?	<input checked="" type="radio"/> Yes <input type="radio"/> No
a. Enter the total number of VOLUNTEERS who were interviewed:	2
b. Select which specialized VOLUNTEER role(s) were interviewed as part of this audit from the list below: (select all that apply)	<input type="checkbox"/> Education/programming <input type="checkbox"/> Medical/dental <input type="checkbox"/> Mental health/counseling <input checked="" type="checkbox"/> Religious <input type="checkbox"/> Other
69. Did you interview CONTRACTORS who may have contact with inmates/residents/detainees in this facility?	<input checked="" type="radio"/> Yes <input type="radio"/> No
a. Enter the total number of CONTRACTORS who were interviewed:	1
b. Select which specialized CONTRACTOR role(s) were interviewed as part of this audit from the list below: (select all that apply)	<input type="checkbox"/> Security/detention <input checked="" type="checkbox"/> Education/programming <input type="checkbox"/> Medical/dental <input type="checkbox"/> Food service <input type="checkbox"/> Maintenance/construction <input type="checkbox"/> Other
70. Provide any additional comments regarding selecting or interviewing specialized staff.	No text provided.

SITE REVIEW AND DOCUMENTATION SAMPLING

Site Review

PREA Standard 115.401 (h) states, "The auditor shall have access to, and shall observe, all areas of the audited facilities." In order to meet the requirements in this Standard, the site review portion of the onsite audit must include a thorough examination of the entire facility. The site review is not a casual tour of the facility. It is an active, inquiring process that includes talking with staff and inmates to determine whether, and the extent to which, the audited facility's practices demonstrate compliance with the Standards. Note: As you are conducting the site review, you must document your tests of critical functions, important information gathered through observations, and any issues identified with facility practices. The information you collect through the site review is a crucial part of the evidence you will analyze as part of your compliance determinations and will be needed to complete your audit report, including the Post-Audit Reporting Information.

71. Did you have access to all areas of the facility?	<input checked="" type="radio"/> Yes <input type="radio"/> No
Was the site review an active, inquiring process that included the following:	
72. Observations of all facility practices in accordance with the site review component of the audit instrument (e.g., signage, supervision practices, cross-gender viewing and searches)?	<input checked="" type="radio"/> Yes <input type="radio"/> No
73. Tests of all critical functions in the facility in accordance with the site review component of the audit instrument (e.g., risk screening process, access to outside emotional support services, interpretation services)?	<input checked="" type="radio"/> Yes <input type="radio"/> No
74. Informal conversations with inmates/residents/detainees during the site review (encouraged, not required)?	<input checked="" type="radio"/> Yes <input type="radio"/> No
75. Informal conversations with staff during the site review (encouraged, not required)?	<input checked="" type="radio"/> Yes <input type="radio"/> No

<p>76. Provide any additional comments regarding the site review (e.g., access to areas in the facility, observations, tests of critical functions, or informal conversations).</p>	<p>No text provided.</p>
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Documentation Sampling

Where there is a collection of records to review-such as staff, contractor, and volunteer training records; background check records; supervisory rounds logs; risk screening and intake processing records; inmate education records; medical files; and investigative files-auditors must self-select for review a representative sample of each type of record.

<p>77. In addition to the proof documentation selected by the agency or facility and provided to you, did you also conduct an auditor-selected sampling of documentation?</p>	<p><input checked="" type="radio"/> Yes <input type="radio"/> No</p>
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<p>78. Provide any additional comments regarding selecting additional documentation (e.g., any documentation you oversampled, barriers to selecting additional documentation, etc.).</p>	<p>No text provided.</p>
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SEXUAL ABUSE AND SEXUAL HARASSMENT ALLEGATIONS AND INVESTIGATIONS IN THIS FACILITY

Sexual Abuse and Sexual Harassment Allegations and Investigations Overview

Remember the number of allegations should be based on a review of all sources of allegations (e.g., hotline, third-party, grievances) and should not be based solely on the number of investigations conducted. Note: For question brevity, we use the term “inmate” in the following questions. Auditors should provide information on inmate, resident, or detainee sexual abuse allegations and investigations, as applicable to the facility type being audited.

79. Total number of SEXUAL ABUSE allegations and investigations overview during the 12 months preceding the audit, by incident type:

	# of sexual abuse allegations	# of criminal investigations	# of administrative investigations	# of allegations that had both criminal and administrative investigations
Inmate-on-inmate sexual abuse	0	0	0	0
Staff-on-inmate sexual abuse	0	0	0	0
Total	0	0	0	0

80. Total number of SEXUAL HARASSMENT allegations and investigations overview during the 12 months preceding the audit, by incident type:

	# of sexual harassment allegations	# of criminal investigations	# of administrative investigations	# of allegations that had both criminal and administrative investigations
Inmate-on-inmate sexual harassment	0	0	0	0
Staff-on-inmate sexual harassment	0	0	0	0
Total	0	0	0	0

Sexual Abuse and Sexual Harassment Investigation Outcomes

Sexual Abuse Investigation Outcomes

Note: these counts should reflect where the investigation is currently (i.e., if a criminal investigation was referred for prosecution and resulted in a conviction, that investigation outcome should only appear in the count for “convicted.”) Do not double count. Additionally, for question brevity, we use the term “inmate” in the following questions. Auditors should provide information on inmate, resident, and detainee sexual abuse investigation files, as applicable to the facility type being audited.

81. Criminal SEXUAL ABUSE investigation outcomes during the 12 months preceding the audit:

	Ongoing	Referred for Prosecution	Indicted/ Court Case Filed	Convicted/ Adjudicated	Acquitted
Inmate-on-inmate sexual abuse	0	0	0	0	0
Staff-on-inmate sexual abuse	0	0	0	0	0
Total	0	0	0	0	0

82. Administrative SEXUAL ABUSE investigation outcomes during the 12 months preceding the audit:

	Ongoing	Unfounded	Unsubstantiated	Substantiated
Inmate-on-inmate sexual abuse	0	0	0	0
Staff-on-inmate sexual abuse	0	0	0	0
Total	0	0	0	0

Sexual Harassment Investigation Outcomes

Note: these counts should reflect where the investigation is currently. Do not double count. Additionally, for question brevity, we use the term “inmate” in the following questions. Auditors should provide information on inmate, resident, and detainee sexual harassment investigation files, as applicable to the facility type being audited.

83. Criminal SEXUAL HARASSMENT investigation outcomes during the 12 months preceding the audit:

	Ongoing	Referred for Prosecution	Indicted/ Court Case Filed	Convicted/ Adjudicated	Acquitted
Inmate-on-inmate sexual harassment	0	0	0	0	0
Staff-on-inmate sexual harassment	0	0	0	0	0
Total	0	0	0	0	0

84. Administrative SEXUAL HARASSMENT investigation outcomes during the 12 months preceding the audit:

	Ongoing	Unfounded	Unsubstantiated	Substantiated
Inmate-on-inmate sexual harassment	0	0	0	0
Staff-on-inmate sexual harassment	0	0	0	0
Total	0	0	0	0

Sexual Abuse and Sexual Harassment Investigation Files Selected for Review

Sexual Abuse Investigation Files Selected for Review

85. Enter the total number of SEXUAL ABUSE investigation files reviewed/ sampled:	0
a. Explain why you were unable to review any sexual abuse investigation files:	The facility reported zero sexual abuse allegations in the past 12 months. A Correspondence Tracking System report of grievances from the past 12 months contained zero PREA-related grievances.

<p>86. Did your selection of SEXUAL ABUSE investigation files include a cross-section of criminal and/or administrative investigations by findings/outcomes?</p>	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input checked="" type="radio"/> NA (NA if you were unable to review any sexual abuse investigation files)</p>
<p>Inmate-on-inmate sexual abuse investigation files</p>	
<p>87. Enter the total number of INMATE-ON-INMATE SEXUAL ABUSE investigation files reviewed/sampled:</p>	<p>0</p>
<p>88. Did your sample of INMATE-ON-INMATE SEXUAL ABUSE investigation files include criminal investigations?</p>	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input checked="" type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual abuse investigation files)</p>
<p>89. Did your sample of INMATE-ON-INMATE SEXUAL ABUSE investigation files include administrative investigations?</p>	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input checked="" type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual abuse investigation files)</p>
<p>Staff-on-inmate sexual abuse investigation files</p>	
<p>90. Enter the total number of STAFF-ON-INMATE SEXUAL ABUSE investigation files reviewed/sampled:</p>	<p>0</p>
<p>91. Did your sample of STAFF-ON-INMATE SEXUAL ABUSE investigation files include criminal investigations?</p>	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input checked="" type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual abuse investigation files)</p>

<p>92. Did your sample of STAFF-ON-INMATE SEXUAL ABUSE investigation files include administrative investigations?</p>	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input checked="" type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual abuse investigation files)</p>
<p>Sexual Harassment Investigation Files Selected for Review</p>	
<p>93. Enter the total number of SEXUAL HARASSMENT investigation files reviewed/sampled:</p>	<p>0</p>
<p>a. Explain why you were unable to review any sexual harassment investigation files:</p>	<p>The facility reported zero sexual harassment allegations in the past 12 months.</p>
<p>94. Did your selection of SEXUAL HARASSMENT investigation files include a cross-section of criminal and/or administrative investigations by findings/outcomes?</p>	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input checked="" type="radio"/> NA (NA if you were unable to review any sexual harassment investigation files)</p>
<p>Inmate-on-inmate sexual harassment investigation files</p>	
<p>95. Enter the total number of INMATE-ON-INMATE SEXUAL HARASSMENT investigation files reviewed/sampled:</p>	<p>0</p>
<p>96. Did your sample of INMATE-ON-INMATE SEXUAL HARASSMENT files include criminal investigations?</p>	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input checked="" type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual harassment investigation files)</p>

<p>97. Did your sample of INMATE-ON-INMATE SEXUAL HARASSMENT investigation files include administrative investigations?</p>	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input checked="" type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual harassment investigation files)</p>
<p>Staff-on-inmate sexual harassment investigation files</p>	
<p>98. Enter the total number of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files reviewed/sampled:</p>	<p>0</p>
<p>99. Did your sample of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files include criminal investigations?</p>	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input checked="" type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual harassment investigation files)</p>
<p>100. Did your sample of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files include administrative investigations?</p>	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input checked="" type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual harassment investigation files)</p>
<p>101. Provide any additional comments regarding selecting and reviewing sexual abuse and sexual harassment investigation files.</p>	<p>No text provided.</p>

SUPPORT STAFF INFORMATION

DOJ-certified PREA Auditors Support Staff

102. Did you receive assistance from any DOJ-CERTIFIED PREA AUDITORS at any point during this audit? REMEMBER: the audit includes all activities from the pre-onsite through the post-onsite phases to the submission of the final report. Make sure you respond accordingly.

- Yes
 No

Non-certified Support Staff

103. Did you receive assistance from any NON-CERTIFIED SUPPORT STAFF at any point during this audit? REMEMBER: the audit includes all activities from the pre-onsite through the post-onsite phases to the submission of the final report. Make sure you respond accordingly.

- Yes
 No

a. Enter the TOTAL NUMBER OF NON-CERTIFIED SUPPORT who provided assistance at any point during this audit:

1

AUDITING ARRANGEMENTS AND COMPENSATION

108. Who paid you to conduct this audit?

- The audited facility or its parent agency
- My state/territory or county government employer (if you audit as part of a consortium or circular auditing arrangement, select this option)
- A third-party auditing entity (e.g., accreditation body, consulting firm)
- Other

Standards	
Auditor Overall Determination Definitions	
<ul style="list-style-type: none"> • Exceeds Standard (Substantially exceeds requirement of standard) • Meets Standard (substantial compliance; complies in all material ways with the stand for the relevant review period) • Does Not Meet Standard (requires corrective actions) 	
Auditor Discussion Instructions	
<p>Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor’s analysis and reasoning, and the auditor’s conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.</p>	

115.11	Zero tolerance of sexual abuse and sexual harassment; PREA coordinator
	Auditor Overall Determination: Exceeds Standard
	Auditor Discussion
	<p>Evidence relied upon in making the compliance determinations:</p> <ul style="list-style-type: none"> • Rutherford Correctional Center (RCC) Pre-Audit Questionnaire (PAQ) <ul style="list-style-type: none"> ◦ Facility response ◦ North Carolina Department of Adult Correction (NCDAC) Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022</i> ◦ NCDAC Policy and Procedure PREA-100 <i>PREA Prevention Planning and Screening, dated 09.04.2025</i> ◦ NCDAC Policy and Procedure PREA-300 <i>PREA Official Response and Investigations, dated 09.04.2025</i> ◦ RCC Procedure E.1700 <i>Sexual Abuse and Sexual Harassment, dated 04.09.2025</i> ◦ NCDAC Organizational Chart, <i>dated 12.02.2025</i> ◦ RCC Organizational Chart, <i>dated 11.17.2025</i> • Interview with PREA compliance manager (PCM) • Interview with PREA coordinator <p>Reasoning and analysis:</p>

115.11(a)

- Facility response:
 - The agency has a written policy mandating zero tolerance toward all forms of sexual abuse and sexual harassment in facilities it operates directly or under contract.
 - The facility has a policy outlining how it will implement the agency's approach to preventing, detecting, and responding to sexual abuse and sexual harassment.
 - The policy includes definitions of prohibited behaviors regarding sexual abuse and sexual harassment.
 - The policy includes sanctions for those found to have participated in prohibited behaviors.
 - The policy includes a description of agency strategies and responses to reduce and prevent sexual abuse and sexual harassment of offenders.
- North Carolina Department of Adult Correction (NCDAC) Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - DAC is committed to a standard of zero-tolerance of sexual abuse and sexual harassment toward offenders, by staff, contractors, volunteers, or by offenders.
 - Provided definitions of prohibited behaviors regarding sexual abuse and sexual harassment.
 - Explained sanctions for employees, contracting agents, volunteers, and offenders.
 - Included the PREA Standards definitions for sexual abuse and sexual harassment.
- RCC Procedure E.1700 *Sexual Abuse and Sexual Harassment*:
 - Described prevention efforts through staff training, offender education, screening; and detection efforts through multiple reporting options.
 - Outlined the facility's coordinated response efforts through actions to be taken by first responders, medical and mental health practitioners, investigators, PREA compliance manager (PCM), PREA support person (PSP), and facility/agency leadership.
- NCDAC Policy and Procedure PREA-300 *PREA Official Response and Investigations*:
 - Included disciplinary sanctions for offenders, employees, contractors, and volunteers who have engaged in substantiated sexual abuse or sexual harassment.

115.11(b)

- Facility response:
 - The agency employs an upper-level, agency-wide PREA coordinator.
 - The PREA coordinator has sufficient time and authority to develop, implement, and oversee all facility efforts to comply with the PREA standards.
- NCDAC Organizational Chart:
 - The PREA coordinator has the title of PREA director and reports to the NCDAC Institution Administration Programs and Standards Deputy Secretary.
- Interview with PREA coordinator:
 - Indicated sufficient time to manage all PREA-related responsibilities.
 - Oversees 56 PREA compliance managers (PCM). Interacts with PCM through continuous information sharing, trainings, and mock audits.
 - Develops and oversees a 30-day corrective action plan for any facility where a compliance issue is identified.
 - Supervises four PREA analysts and a PREA training coordinator who advise and assist facility staff by region with PREA compliance.

	<p>115.11(c)</p> <ul style="list-style-type: none"> • Facility response: <ul style="list-style-type: none"> ◦ The facility has a designated PREA compliance manager (PCM). ◦ The PCM has sufficient time and authority to coordinate the facility's PREA compliance effort. ◦ The PCM title is correctional programs director and reports to the warden. • RCC Organizational Chart: <ul style="list-style-type: none"> ◦ PCM listed as the correctional programs director and reports to the warden. • Interview with PREA compliance manager: <ul style="list-style-type: none"> ◦ Indicated sufficient time to manage all PREA related responsibilities. <p>Determination:</p> <p>The facility exceeds the standard.</p> <ul style="list-style-type: none"> • PREA coordinator’s framework for continual process improvement includes facility mock audits and corrective action plans.
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115.12	Contracting with other entities for the confinement of inmates
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Evidence relied upon in making the compliance determinations:</p> <ul style="list-style-type: none"> • RCC PAQ <ul style="list-style-type: none"> ◦ Facility response ◦ NCDAC contract <i>DPS-11836258-JEC amendment, dated 07.05.2023</i> • Supplemental documentation <ul style="list-style-type: none"> ◦ The Center for Women <i>PREA Facility Audit Report: Final, dated 07.21.2025</i> • Interview with agency's contract administrator <p>Reasoning and analysis:</p> <p>115.12(a)</p> <ul style="list-style-type: none"> • Facility response: <ul style="list-style-type: none"> ◦ One contract has been entered into or renewed by the agency for the confinement of its offenders with private agencies or other entities since the last PREA audit. ◦ The above contract requires contractors to adopt and comply with PREA standards. • NCDAC contract <i>DPS-11836258-JEC amendment</i>: <ul style="list-style-type: none"> ◦ Extends agreement between NCDAC and Center for Community Transitions until the subsequent execution of a new contractual agreement.

	<p>115.12(b)</p> <ul style="list-style-type: none"> • Facility response: <ul style="list-style-type: none"> ◦ The contract or contract renewal requires the agency to monitor contractor's compliance. • Interview with agency's contract administrator: <ul style="list-style-type: none"> ◦ PREA director indicated the agency has one contract with a non-profit entity for confinement of offenders. ◦ Indicated monitoring of facility compliance through ongoing communication and annual review of compliance. ◦ Indicated obligation to comply with the PREA standards stated in contract and renewals. • The Center for Women <i>PREA Facility Audit Report: Final</i>: <ul style="list-style-type: none"> ◦ The facility's auditor determined the facility was compliant with 40 PREA Standards and exceeded one PREA Standard during the previous three-year cycle audit. ◦ The facility is scheduled for a PREA audit during the current three-year audit cycle. <p>Determination:</p> <p>The facility meets the standard.</p>
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115.13	Supervision and monitoring
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Evidence relied upon in making the compliance determinations:</p> <ul style="list-style-type: none"> • RCC PAQ <ul style="list-style-type: none"> ◦ Facility response ◦ NCDAC Policy and Procedure PREA-100 <i>PREA Prevention Planning and Screening, dated 09.04.2025</i> ◦ NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022</i> ◦ RCC Organizational Chart, dated 11.18.2025 ◦ <i>Rutherford Correctional Center 115.13 PREA Staffing Analysis [2025], dated 07.15.2025</i> ◦ <i>NCDAC Prisons Post Chart - Rutherford Correctional Center, dated 07.29.2025</i> ◦ <i>RCC Shift Sergeant (OIC) Narratives, various dates</i> • Site review • Interview with warden • Interview with PREA coordinator • Interview with PREA Compliance Manager (PCM) • Interview with sergeant <p>Reasoning and analysis:</p> <p>115.13(a)</p> <ul style="list-style-type: none"> • Facility response:

- The agency requires each facility it operates to develop, document, and make its best efforts to comply on regular basis with a staffing plan that provides for adequate levels of staffing and, where applicable, video monitoring, to protect offenders against abuse.
- Since the last PREA audit, the average daily number of offenders is 218.
- Since the last PREA audit, the staffing plan was predicated on the average offender population of 235.
- *NCDAC Prisons Post Chart - Rutherford Correctional Center:*
 - Defines facility post assignments for correctional officers and supervisors.
 - Accounts for offender housing, canteen, clothes house, transportation, operations, support, yard, and supervisory roles.
- *RCC Organizational Chart:*
 - Displayed 45 staffed positions and 11 open positions at RCC.
- Site review:
 - Auditor observed:
 - Staff assigned to all housing units, kitchen, programming, vocational, and other areas of the facility where offenders were present.
 - Supervisors interacted regularly with staff and offenders.
 - A combination of camera and mirror placements were deployed to eliminate previously identified blind spots around the kitchen and on the yard. The warden noted that a significant number of additional cameras will be installed over the next 90-120 days.
- Interview with warden:
 - Indicated that adequate staffing levels to protect offenders against sexual abuse are part of the staffing plan. If a shift is short staffed, officers from other rotations are called in. Other facilities would also send staff if necessary.
 - Indicated that the facility takes all factors related to offender safety into account. A significant camera installation project is under way to further reduce blind spots.
 - Indicated that compliance is monitored through the review of post order logs, daily shift narratives, and an annual staffing analysis review with the NCDAC PREA office team.
- Interview with PCM:
 - Indicated that the assessment of adequate staffing levels and the need for video monitoring is based on several factors including the offender population, programming and vocational activities, and other operational requirements.

115.13(b)

- Facility response:
 - Not applicable. The facility has not deviated from the staffing plan.
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening:*
 - Each DAC work location shall document and justify all deviations from their plan in circumstances where the staffing plan is not complied with.
- Interview with warden:
 - Indicated there have not been any deviations from the staffing plan but that any deviations would be documented on the daily shift narrative and the facility's monthly report.

115.13(c)

- Facility response:
 - Annual agency/facility staffing plan reviews in collaboration with the PREA coordinator to determine if adjustments are needed to:
 - Staffing plan and patterns;
 - Deployment of monitoring technology; and/or
 - Allocation of resources to ensure compliance with staffing plan.
- *Rutherford Correctional Center 115.13 PREA Staffing Analysis [2025]*:
 - Staffing analysis evaluates a variety of factors including:
 - Operational areas of the facility;
 - Composition of offender population;
 - Prevalence of substantiated and unsubstantiated incidents of sexual abuse; and
 - The number of potentially violent infractions.
- Interview with PREA coordinator:
 - Indicated collaboration with warden and PCM for annual staffing plan assessments and adjustments.

115.13(d)

- Facility response:
 - Intermediate- or higher-level staff conduct unannounced rounds to identify and deter staff sexual abuse and sexual harassment.
 - Unannounced rounds are documented.
 - Unannounced rounds cover all shifts.
 - The facility prohibits staff from alerting other staff when unannounced rounds are being conducted.
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
 - Each DAC confinement or treatment facility shall implement a policy and practice for Wardens and/or the Facility Associate Wardens to conduct and document unannounced rounds to identify and deter sexual abuse and sexual harassment. Such rounds shall occur on all shifts weekly. The policy shall prohibit employees from alerting other employees that these rounds are occurring unless such announcement is related to the legitimate operational functions of the facility.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - Restated language of NCDAC Policy and Procedure PREA-100.
- *RCC Shift Sergeant (OIC) Narratives*:
 - Unannounced rounds were documented.
 - Unannounced rounds covered all shifts.
 - Supervisors varied times for unannounced rounds.
- Site review:
 - Auditor observed:
 - Shift narratives that included entries for all dorms.
 - Narratives contained unannounced round entries by multiple supervisors.
- Interview with sergeant:
 - Indicated unannounced rounds are conducted on all shifts.
 - Indicated unannounced rounds are documented in the shift narrative.
 - Indicated unannounced rounds are conducted in a different order each time.

Determination:

The facility meets the standard.

115.14 Youthful inmates
Auditor Overall Determination: Meets Standard
Auditor Discussion
<p>Evidence relied upon in making the compliance determinations:</p> <ul style="list-style-type: none"> • RCC PAQ <ul style="list-style-type: none"> ◦ Facility response • Site review <p>Reasoning and analysis:</p> <p>115.14(a)</p> <ul style="list-style-type: none"> • Facility response: <ul style="list-style-type: none"> ◦ The facility does not house youthful offenders. • Site Review: <ul style="list-style-type: none"> ◦ The auditor observed zero youthful offenders at RCC. <p>115.14(b)</p> <ul style="list-style-type: none"> • Facility response: <ul style="list-style-type: none"> ◦ The facility does not house youthful offenders. <p>115.14(c)</p> <ul style="list-style-type: none"> • Facility response: <ul style="list-style-type: none"> ◦ The facility does not house youthful offenders. <p>Determination:</p> <p>The standard is not applicable to the facility.</p>

115.15 Limits to cross-gender viewing and searches
Auditor Overall Determination: Meets Standard
Auditor Discussion
<p>Evidence relied upon in making the compliance determinations:</p> <ul style="list-style-type: none"> • RCC PAQ <ul style="list-style-type: none"> ◦ Facility response ◦ RCC Procedure F.0700 <i>Operational Searches, dated 02.24.2025</i> ◦ NCDAC Policy and Procedure PREA-100 <i>PREA Prevention Planning and Screening, dated 09.04.2025</i> ◦ NCDAC Form OPA-T30 <i>Cross-Gender Viewing, Announcement, and Acknowledgement, dated 01.12.2023</i> • Site Review • Interviews with random sample of staff

- Interviews with offenders

Reasoning and analysis:

115.15(a)

- Facility response:
 - The facility does not conduct cross-gender strip or cross-gender visual body cavity searches of offenders.
 - Zero cross-gender strip or cross-gender visual body cavity searches of offenders have been conducted in the past 12 months.
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
 - The facility shall not conduct cross-gender strip searches or cross-gender visual body cavity searches except in exigent circumstances or when performed by medical practitioners.
- Site Review:
 - Auditor observed screens or rooms with covered windows were used in receiving, medical, and other areas of the facility where offenders may be in a state of undress to obstruct possible cross-gender viewing by non-medical staff.

115.15(b)

- Facility response:
 - The facility does not house female offenders.

115.15(c)

- Facility response:
 - Facility policy requires all cross-gender strip and cross-gender visual body cavity searches be documented.
 - Not applicable. The facility does not house female offenders.
- RCC Procedure F.0700 *Operational Searches*:
 - Offenders housed at male facilities will be strip searched by a male correctional officer, except in exigent circumstances as determined by the shift supervisor. In such cases, the staff conducting the search will thereafter submit a statement by witness form, explaining the exigent circumstances that justified the search exception.
 - A supervisor will be responsible for completing an incident report.

115.15(d)

- Facility response:
 - Facility policy and procedures enable offenders to shower, perform bodily functions, and change clothing without opposite-gender, non-medical staff viewing their breasts, buttocks, or genitalia, absent exigent circumstances or incidental to routine cell checks.
 - Facility policy and procedures require opposite gender staff to announce their presence when entering a housing unit.
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
 - Facility opposite-gender staff are required to announce their presence when entering a housing unit.
 - Facility enables offenders to shower, perform bodily functions, and change clothing without opposite-gender, non-medical staff viewing

	<p style="text-align: center;">their breasts, buttocks, or genitalia, absent exigent circumstances or incidental to routine cell checks.</p> <ul style="list-style-type: none"> • NCDAC Form OPA-T30 <i>Cross-Gender Viewing, Announcement, and Acknowledgement</i>: <ul style="list-style-type: none"> ◦ Acknowledgement of requirement for opposite-gender staff to announce their presence when entering a housing unit. • Site review: <ul style="list-style-type: none"> ◦ Auditor observed: <ul style="list-style-type: none"> ■ Shower curtains across all openings to showers. ■ Female staff made loud cross-gender announcements when entering housing units. ■ Male staff made a loud announcement and checked bathroom and shower before female staff approached those areas. ■ Zero instances of areas where offenders were in a state of undress visible to staff. • Interviews with random sample of staff: <ul style="list-style-type: none"> ◦ Indicated that female staff announce their presence when entering a housing unit. ◦ Indicated that offenders are able to dress, shower, and toilet without being viewed by female staff. • Interviews with offenders: <ul style="list-style-type: none"> ◦ Indicated that female staff announce their presence when entering the offender's housing unit. ◦ Indicated that offenders are never naked in full view of female staff. <p>115.15(e)</p> <ul style="list-style-type: none"> • This provision is no longer applicable to the compliance finding. <p>115.15(f)</p> <ul style="list-style-type: none"> • This provision is no longer applicable to the compliance finding. <p>Determination:</p> <p>The facility meets the standard.</p>
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115.16	Inmates with disabilities and inmates who are limited English proficient
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Evidence relied upon in making the compliance determinations:</p> <ul style="list-style-type: none"> • RCC PAQ <ul style="list-style-type: none"> ◦ Facility response ◦ NCDAC Policy and Procedure PREA-100 <i>PREA Prevention Planning and Screening, dated 09.04.2025</i> ◦ NCDAC Policy and Procedure E.2600 <i>Reasonable Accommodations for Offenders with Disabilities, dated 02.25.2025</i> ◦ NCDAC Policy and Procedure P.0400 <i>Non-English-Speaking Offender</i>

Program, dated 10.10.2023

- Training Progress Summary - PREA 201 - *Sexual Abuse and Sexual Harassment (In-Service), undated*
- Training Progress Summary - PREA 101 - *Sexual Abuse and Sexual Harassment (In-Service), undated*
- *Acolad Interpretation & Translation Services "Access Contact Sheet", dated 12.2025*
- *Form OPA-T102S LAS DENUNCIAS RELACIONADAS CON LA PREA AYUDAN A PREVENIR LA VIOLENCIA SEXUAL, dated 01.24.2026*
- *NCDAC Form OPA-130S Ley Para La Eliminación De La Violación En Las Prisiones (PREA) Servicios De Apoyo, dated 01.01.2023*
- *NCDAC Policy and Procedure P.0400 Non-English-Speaking Offender, dated 10.10.2023*
- *NCDAC Form OPA-131S SERVICIOS DE APOYO DE LA LEY DE ELIMINACIÓN DE VIOLACIONES EN PRISIÓN (PREA): NOTIFICACIÓN DE ESTADO DE PERSONAS EN RECLUSIÓN, dated 01.01.2023*
- Supplemental documentation
 - *NC Department of Administration (NCDOA) Statewide Term Contract 961C Translation & Interpretation Services, exp. 12.31.2026*
 - *Form OPA-T100 Person In Confinement of Under Supervision, Education Acknowledgement Form, dated 01.19.2023*
- Site review
- Interview with agency head
- Interviews with offenders with disabilities

Reasoning and analysis:

115.16(a)

- Facility response:
 - The agency has established procedures that provide disabled offenders an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment.
- *NCDAC Policy and Procedure PREA-100 PREA Prevention Planning and Screening:*
 - Effective communication with deaf or hard of hearing offenders by providing access to interpreters.
 - Written materials are in formats or through methods that ensure effective communication with offenders with disabilities.
- *NCDAC Policy and Procedure E.2600 Reasonable Accommodations for offenders with Disabilities:*
 - Eligible otherwise qualified offenders with a disability shall have the same opportunity for access to jobs, programs, activities, or services as eligible otherwise qualified non-disabled offenders. Offenders in North Carolina institutions shall be provided the same equal effective access, including additional time where necessary, to properly operate the assistive devices/auxiliary aids as those offenders who are non-disabled in completing the same or similar activity.
- *Acolad Interpretation & Translation Services "Access Contact Sheet":*
 - Provided instructions for on-site and remote video American Sign Language (ASL) interpretation service.
- *Statewide Term Contract 961C Translation & Interpretation Services:*
 - Included American Sign Language (ASL) interpretation service.
- Site review:
 - Program staff were available to assist those with low vision, limited reading skills, or an intellectual disability.
- Interview with agency head designee:
 - Indicated that DAC works with the state's Americans with Disabilities

Act (ADA) Office. Receives ADA Office approval for offender education materials.

- Interviews with offenders with disabilities:
 - Indicated facility sexual abuse and sexual harassment information was understandable.
 - Indicated a program staff person was available to offer assistance if needed.

115.16(b)

- Facility response:
 - The agency has established procedures that provide limited English proficient offenders an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment.
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
 - Ensure effective communication with limited English proficient offenders by providing access to interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary.
- NCDAC Policy and Procedure P.0400 *Non-English-Speaking Offender*:
 - Facility signs that provide information and directions to the offender population will be posted in English and Spanish.
 - The case manager of a non-English-speaking offender will take the action necessary to help the offender understand the information being communicated in official memoranda that are posted on offender bulletin boards.
 - Case management services for non-English-speaking offenders should include assignment to a case manager who has the ability to communicate in other languages or who has access to staff or translation services which will enable effective communications.
 - Programs and services comparable to services provided to English speaking offenders should be provided to non-English-speaking offenders. At a minimum, all non-English-speaking offenders will receive primary services.
- Acolad *Interpretation & Translation Services "Access Contact Sheet"*:
 - Provides instructions for telephonic and remote video interpretation service with limited English proficient offenders.
- Form OPA-T100 *Person In Confinement of Under Supervision, Education Acknowledgement Form*:
 - Section II in Spanish:
 - Lists agency standard of zero-tolerance for sexual abuse or sexual harassment of offenders.
 - Requests signature to affirm understanding of information provided.
- Form OPA-T102S *LAS DENUNCIAS RELACIONADAS CON LA PREA AYUDAN A PREVENIR LA VIOLENCIA SEXUAL*:
 - Describes ways for offenders to report sexual abuse, sexual harassment, and retaliation.
 - Includes telephone number, mailing address, and email address.
 - States that the agency accepts anonymous and third-party reports.
- NCDAC Form OPA-I30S *Ley Para La Eliminación De La Violación En Las Prisiones (PREA) Servicios De Apoyo*:
 - Spanish language form describing the PREA Support Person's (PSP) role, investigation process, services available, and how to report retaliation.
- NCDAC Form OPA-I31S *SERVICIOS DE APOYO DE LA LEY DE ELIMINACIÓN DE VIOLACIONES EN PRISIÓN (PREA): NOTIFICACIÓN DE ESTADO DE PERSONAS*

EN RECLUSIÓN:

- Spanish language form for alleged abuser status changes reported to the alleged victim.
- Site review:
 - Auditor observed:
 - Zero offenders who were limited English proficient during interviews and informal conversations;
 - Information about sexual abuse and sexual harassment available in Spanish during intake; and
 - PREA-related posters in Spanish posted in housing units.
 - Auditor accessed an interpreter by phone, on-demand, using the prompts from the Acolad Interpretation & Translation Services "Access Contact Sheet".
 - Phone prompts asked for an OPUS number but auditor was able to input a random number.

115.16(c)

- Facility response:
 - Agency policy prohibits use of offender interpreters, offender readers, or other types of offender assistants except in limited circumstances when offender safety, first-responder staff duties, or investigations could be compromised.
 - In the past 12 months, zero instances where offender interpreters, readers, or other types of offender assistants have been used and it was not the case that an extended delay in obtaining another interpreter could compromise the offender's safety, the performance of first-response duties under §115.64, or the investigation of the offender's allegations:
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
 - Staff will not rely on offender interpreters, readers, or other types of assistants except in limited circumstances where delays could compromise offender safety, staff response, or the investigation process.
- Interview with random sample of staff:
 - Indicated professional interpretive services can be accessed when needed.
 - Indicated facility staff do not use offender interpreters to assist limited English proficient offenders when making an allegation of sexual abuse or sexual harassment.

Determination:

The facility meets the standard.

115.17	Hiring and promotion decisions
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	Evidence relied upon in making the compliance determinations:

- RCC PAQ
 - Facility response
 - NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening, dated 09.04.2025*
- Supplemental documentation
 - Form DAC-HR 005 *Applicant Verification, dated 07.2023*
 - Form DAC-HR 008 *Professional Reference Check, dated 07.2023*
 - Form DAC-HR 013 *DAC Employee Acknowledgement, dated 07.2023*
- Documentation review
- Interview with administrative (human resources) staff

Reasoning and analysis:

115.17(a)

- Facility response:
 - Agency policy prohibits hiring or promoting any staff or contractor who may have contact with offenders who:
 - Has engaged in sexual abuse in an institutional setting (as defined in 42 U.S.C. 1997);
 - Has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse; or
 - Has been civilly or administratively adjudicated to have engaged in the any activity listed in (2).
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
 - Restated the language of the provision.
- Form DAC-HR 005 *Applicant Verification*:
 - Stated "The NCDAC may not hire or promote anyone who may have contact with inmates, residents, or offenders under supervision who answers 'Yes' to any of the following questions."
 - Listed six PREA hiring and promotion prohibition questions.
 - Contained "yes" and "no" check boxes and a signature line to affirm understanding of requirements.
- Form DAC-HR 013 *DAC Employee Acknowledgement*:
 - Listed same prohibition questions as DAC-HR 005.
- Documentation review:
 - Seven of seven files reviewed by auditor contained completed *DAC PREA Hiring and Prohibitions* forms.

115.17(b)

- Facility response:
 - Agency policy requires the consideration of any incidents of sexual harassment in determining whether to hire or promote anyone, or to enlist the services of any contractor, who may have contact with offenders.
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
 - Restated the language of the provision.
- Form DAC-HR 005 *Applicant Verification*:
 - Stated "The NCDAC may not hire or promote anyone who may have contact with inmates, residents, or offenders under supervision who answers 'Yes' to any of the following questions."
 - Asked "Have you ever been accused of sexual harassment?"
 - Contained "yes" and "no" check boxes and a signature line to affirm

- understanding of requirements
- Form DAC-HR 013 *DAC Employee Acknowledgement*:
 - Asked same question as DAC-HR 005.
- Interview with administrative (human resources) staff:
 - Indicated the agency considers prior incidents of sexual harassment when determining whether to hire or promote anyone, or to enlist the services of any contractor, who may have contact with offenders.

115.17(c)

- Facility response:
 - Agency policy requires that before it hires any new employees who may have contact with offenders, it (a) conducts criminal background record checks, and (b) consistent with federal, state, and local law, makes its best efforts to contact all prior institutional employers for information on substantiated allegations of sexual abuse or any resignation during a pending investigation of an allegation of sexual abuse.
 - In the past 12 months, six persons hired who may have contact with offenders who have had criminal background record checks:
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
 - Perform a criminal and administrative background records check, to include any applicable North Carolina registry, criminal justice standards commission, or other licensing authorities or bodies.
 - Contained the language of clause (2) from this provision.
- Form DAC-HR 008 *Professional Reference Check*:
 - Requested information from applicant's former institutional employers about prohibited behaviors.
 - "Are you aware of your employee, being involved in any allegation of sexual abuse or sexual harassment that was found to be true or resigning during a pending investigation of any allegation of sexual abuse or sexual harassment before the investigation was finished?"
- Documentation review:
 - Six of six files reviewed contained background checks.
 - One of one applicable file reviewed contained professional reference check forms where prior institutional employer was contacted.
- Interview with administrative (human resources) staff:
 - Indicated criminal record background checks are conducted for all newly hired employees and employees considered for promotions, who may have contact with offenders. Background checks are completed before candidates come in for an interview.

115.17(d)

- Facility response:
 - Agency policy requires that a criminal background record check be completed before enlisting the services of any contractor who may have contact with offenders.
 - In the past 12 months, zero contracts for services where criminal background record checks were conducted on all staff covered in the contract who might have contact with offenders.
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
 - Paraphrased the language of this provision.
- Interview with administrative (human resources) staff:
 - Indicated criminal record background checks are conducted by

programs staff for all programming related contractors, who may have contact with offenders.

115.17(e)

- Facility response:
 - Agency policy requires that either criminal background record checks be conducted at least every five years for current employees and contractors who have contact with offenders, or that a system is in place for otherwise capturing such information for current employees.
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
 - For current employees and contractors who may have contact with offenders, DAC shall conduct criminal background records checks at least once every five years.
- Documentation review:
 - 13 of 13 applicable staff had five-year background checks completed.
- Interview with administrative (human resources) staff:
 - Indicated Division of Criminal Investigation (DCI) background checks are conducted both pre-employment and every five years.

115.17(f)

- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
 - For all applicants and employees who may have contact with offenders, DAC shall ask about previous misconduct described in this section in written applications, in interviews for hiring or promotions, and in any interviews or written self-evaluations conducted as part of reviews of current employees.
 - All employees shall have a continuing affirmative duty to disclose sexual misconduct.
- Interview with administrative (human resources) staff:
 - Indicated previous misconduct questions described in section (a) are asked on form DAC-HR 005 *Applicant Verification*.
 - Indicated employees have a continuing affirmative duty to disclose any such previous misconduct.

115.17(g)

- Facility response:
 - Agency policy states that material omissions regarding such misconduct, or the provision of materially false information, shall be grounds for termination.
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
 - Material omissions regarding such misconduct, or the provision of materially false information, shall be grounds for termination.

115.17(h)

- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
 - Unless prohibited by law, upon receiving a request from an institutional employer for whom an employee or former employee has applied to work, DAC shall provide information on substantiated

	<p style="text-align: center;">allegations of sexual abuse or sexual harassment involving the employee or former employee.</p> <ul style="list-style-type: none"> • Interview with administrative (human resources) staff: <ul style="list-style-type: none"> ◦ Indicated reference check forms would be completed according to agency policy. <p>Determination:</p> <p>The facility meets the standard.</p>
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115.18	Upgrades to facilities and technologies
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Evidence relied upon in making the compliance determinations:</p> <ul style="list-style-type: none"> • RCC PAQ <ul style="list-style-type: none"> ◦ Facility response • Interview with agency head • Interview with warden <p>Reasoning and analysis:</p> <p>115.18(a)</p> <ul style="list-style-type: none"> • Facility response: <ul style="list-style-type: none"> ◦ The agency/facility has not acquired a new facility or made a substantial expansion or modification to existing facilities since the last PREA audit. • Interview with agency head designee: <ul style="list-style-type: none"> ◦ Indicated maintenance and engineering staff receive training to think about safety and prevention when designing or altering spaces. PREA director has walked through spaces with maintenance and engineering staff to point out blind spots and identify areas of past incidents. • Interview with warden: <ul style="list-style-type: none"> ◦ Indicated no substantial modification or expansion to the facility since the last PREA audit. <p>115.18(b)</p> <ul style="list-style-type: none"> • Facility response: <ul style="list-style-type: none"> ◦ The agency/facility has not installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology since the last PREA audit. • Interview with agency head designee: <ul style="list-style-type: none"> ◦ Indicated video monitoring equipment cannot always replace staff, but it augments their ability to protect offenders. Monitoring equipment is also used post-incident for investigations and corroboration. • Interview with warden: <ul style="list-style-type: none"> ◦ Indicated upcoming camera installation project to reduce blind spots and increase the facility's ability to protect offenders from sexual

	<p>abuse.</p> <ul style="list-style-type: none"> ◦ Indicated previously installed a small number of cameras to ensure offender safety in kitchen and outside areas while waiting for larger number of cameras to be installed. <p>Determination:</p> <p>The facility meets the standard.</p>
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115.21	Evidence protocol and forensic medical examinations
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Evidence relied upon in making the compliance determinations:</p> <ul style="list-style-type: none"> • RCC PAQ <ul style="list-style-type: none"> ◦ Facility response ◦ NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022</i> ◦ NCDAC Policy and Procedure PREA-300 <i>PREA official Response and Investigations, dated 09.04.2025</i> ◦ RCC Procedure E.1700 <i>Sexual Abuse and Sexual Harassment, dated 04.09.2025</i> ◦ NCDAC Policy and Procedure S.1300 <i>Offender Co-Pay, dated 01.2016</i> ◦ RCC letter to Spindale Police Department, <i>dated 04.07.2025</i> ◦ https://myonline.forensicnurses.org/rolodex/searchOrganizationDirectory, <i>accessed 01.13.2026</i> ◦ Memorandum of Agreement (MOA) between Rutherford Correctional Center and The Path Shelter, Family Resources of Rutherford County, Inc., <i>dated 01.15.2025</i> ◦ The Path Shelter, Family Resources of Rutherford County, Inc. information sheet, undated ◦ NCDAC Form OPA-I30 <i>Support Services for Persons in Confinement, dated 01.01.2023</i> ◦ NCDAC Form OPA-I30S <i>Ley Para La Eliminación De La Violación En Las Prisiones (PREA) Servicios De Apoyo, dated 01.01.2023</i> ◦ NCDAC Form OPA-I31 <i>PREA Support Services Status Notification for Persons in Confinement, dated 01.01.2023</i> • Supplemental documentation <ul style="list-style-type: none"> ◦ NCDAC <i>Internal Investigation PREA Investigator Guidelines - Facility, dated 01.01.2023</i> ◦ NCDAC Staff Transcripts - <i>PREA Support Person Training, dated 01.14.2025 and 06.15.2025</i> ◦ NCDAC Course: <i>PREA Support Person Training, undated</i> ◦ NCDAC Memorandum: <i>Compliance with PREA Investigations Standards, dated 04.02.2025</i> • Interview with random sample of staff • Interview with PREA compliance manager <p>Reasoning and analysis:</p> <p>115.21(a)</p>

- Facility response:
 - The agency/facility is responsible for conducting administrative sexual abuse investigations (including offender-on-offender sexual abuse or staff sexual misconduct).
 - The Spindale Police Department is responsible for conducting criminal sexual abuse investigations (including offender-on-offender sexual abuse or staff sexual misconduct).
 - NCDAC investigators follow a uniform evidence protocol when conducting a sexual abuse investigation.
- RCC Procedure E.1700 *Sexual Abuse and Sexual Harassment*:
 - Investigators shall gather and preserve direct and circumstantial evidence, including any physical and DNA evidence and any available electronic monitoring data including video and/or audio recordings; shall interview alleged victims, suspected perpetrators, and witnesses.
- NCDAC Policy and Procedure PREA-300 *PREA Official Response and Investigations*:
 - A sexual abuse and sexual harassment (SAH) investigator is a DAC employee who has been assigned or designated to administratively investigate a report of alleged offender sexual abuse and/or sexual harassment; and has received specialized training in conducting such investigations in confinement settings.
 - Investigators shall gather and preserve direct and circumstantial evidence, including any available physical and DNA evidence.
 - Alleged offender victims of sexual abuse will be examined by DAC medical staff and transported to the local emergency department when appropriate for forensic evidence collection, sexually transmitted disease testing, counseling, and treatment.
 - In preparation for transporting the offender to the hospital's emergency room, medical protocol shall be followed in order to preserve any possible evidence with an appropriate chain of evidence form attached.
- NCDAC *Internal Investigation PREA Investigator Guidelines - Facility*:
 - Gather relevant documentation and evidence, such as:
 - OPUS screens;
 - written statements by staff and/or offenders if already provided;
 - photos;
 - videos telephone transcripts;
 - letters or other materials collected from offender's personal belongings; and
 - history of prior complaints and reports of sexual abuse involving the suspected perpetrator.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - A determination shall be made, based upon the amount of time that has passed since the alleged incident and other factors, whether there is a possibility of evidence still existing at the crime scene. If it is determined that evidence may still exist, when possible, the crime scene shall be secured, and any potential evidence shall remain in place for law enforcement examination and investigation. If the crime scene cannot be secured, the crime scene shall be photographed and/or videotaped, and the evidence, if any, collected, and placed in an evidence bag with an evidence form attached.
- Interview with random sample of staff:
 - Indicated that staff would follow the protocol for obtaining usable physical evidence:
 - Secure potential crime scene;
 - Request/ensure that alleged victim/ alleged abuser do not engage in hygiene activities; and

- Ensure alleged victim is seen promptly by medical.
- Indicated that the associate warden or officer in charge (OIC) would conduct the sexual abuse investigation.

115.21(b)

- Facility response:
 - Not applicable. Facility does not house youth.
 - The protocol was adapted from or otherwise based on the most recent edition of the DOJ's Office on Violence Against Women publication, "*A National Protocol for Sexual Assault Medical Forensic Examinations, Adults/Adolescents*".

115.21(c)

- Facility response:
 - The facility offers all offenders who experience sexual abuse access to forensic medical examinations.
 - The facility does not offer offenders who experience sexual abuse access to forensic medical examinations onsite.
 - The facility offers all offenders who experience sexual abuse access to forensic medical examinations by an outside facility.
 - Forensic medical examinations are offered without financial cost to the victim.
 - Where possible, examinations are conducted by Sexual Assault Forensic Examiners (SAFEs) or Sexual Assault Nurse Examiners (SANEs)
 - Nearest SAFE/SANE are approximately 1.5 hours from the facility. Would depend on severity of injuries.
 - When SANEs or SAFEs are not available, a qualified medical practitioner performs forensic medical examinations.
 - The facility documents efforts to provide SANEs or SAFEs.
 - Zero forensic medical exams have been conducted for LCC offenders during the past 12 months.
- NCDAC Policy and Procedure PREA-300 *PREA official Response and Investigations*:
 - Medical Services will follow medical protocol, which includes provisions for examination, documentation, and transport to the local emergency department when appropriate, where the following will occur, collection of forensic evidence, testing for sexually transmitted diseases, counseling, and prophylactic treatment.
- <https://myonline.forensicnurses.org/rolodex/searchOrganizationDirectory>:
 - Zero health systems that provide forensic nurses from a search of Spindale, NC.
 - Two health systems that provide forensic nurses from a search of Charlotte, NC.
- NCDAC Policy and Procedure S.1300 *Offender Co-Pay*:
 - If emergency evaluation and/or treatment is provided and the condition is determined to be a potential emergency, immediate medical treatment is required or there is an admission to an infirmary or hospital there will be no copayment charged.
- NCDOC Health Services Policy CP-18 *Sexual Abuse*:
 - All care for sexual abuse will be provided at no cost.

115.21(d)

- Facility response:

- The facility attempts to make a victim advocate from a rape crisis center available to the victim, either in person or by other means.
- These efforts are documented.
- If and when a rape crisis center is not available to provide victim advocate services, the facility provides a qualified staff member from a community-based organization or a qualified agency staff member.
- Memorandum of Agreement (MOA) between Rutherford Correctional Center and The Path Shelter, Family Resources of Rutherford County, Inc., *dated 01.15.2025*
 - The Path Shelter agreed to provide a counselor to survivors of sexual abuse and harassment who are incarcerated at the Rutherford Correctional Center.
- The Path Shelter, Family Resources of Rutherford County, Inc information sheet, *undated*:
 - Included page in the *RCC Offender Orientation Handbook* and listed Path Shelter's confidential support services.
- Supplemental documentation:
 - NCDAC *PREA Support Person Training*:
 - Transcripts of completed PREA support person training for two RCC staff persons assigned as qualified agency staff member to provide victim advocacy.
- Interview with PREA compliance manager:
 - Indicated one of the facility's PREA support persons (PSP) would provide emotional support, crisis intervention, and referrals to an offender victim of sexual abuse.
 - Indicated a victim advocate from the Path Shelter is available. Telephone number, address, and service information is given to the offender in the orientation packet at intake.

115.21(e)

- Facility response:
 - If requested by the victim, a victim advocate, qualified agency staff member, or qualified community-based organization staff member accompanies and supports the victim through the forensic medical examination process and investigatory interviews and provides emotional support, crisis intervention, information, and referrals.
- NCDAC Policy and Procedure F.3400 Offender Sexual Abuse and Sexual Harassment Policy:
 - Restated the language of the provision.
- NCDAC Form OPA-I30 *Support Services for Persons in Confinement*, *dated 01.01.2023*:
 - Described the role of the PSP and allowed the offender to accept or decline support services.
 - OPA-I30S - Spanish language form.
- NCDAC Form OPA-I31 *PREA Support Services Status Notification for Persons in Confinement*:
 - Notification to victim offender of aggressor's changes in status.
- Interview with PREA compliance manager:
 - Indicated the Path Shelter/Family Resources of Rutherford County is the local entity with the mission of providing advocacy services to the community. A Memorandum of Agreement was signed by RCC and Path Shelter outlining the responsibilities of each party.

115.21(f)

- Facility response:
 - The agency has requested that the local law enforcement agency

	<p>responsible for criminal investigations, Spindale Police Department, follow the requirements of paragraphs §115.21 (a) through (e) of the PREA Standards.</p> <ul style="list-style-type: none"> • NCDAC Memorandum: <i>Compliance with PREA Investigations Standards</i>: <ul style="list-style-type: none"> ◦ Requested that the local law enforcement agency responsible for criminal investigations, follow the requirements of paragraphs §115.21 (a) through (e) of the PREA Standards. <p>115.21(g)</p> <ul style="list-style-type: none"> • Auditor is not required to audit this provision. <p>115.21(h)</p> <ul style="list-style-type: none"> • NCDAC <i>PREA Support Person (PSP) Training</i>: <ul style="list-style-type: none"> ◦ Video based training modules covering: <ul style="list-style-type: none"> ■ Victim centered response to sexual abuse in confinement; ■ Understanding PREA Standards and victim services; ■ Understanding sexual abuse and trauma; ■ Reporting sexual abuse and sexual harassment; ■ First responder duties; ■ Sexual assault response teams (SART); and ■ Understanding your role as a PREA support person. ◦ 20 question quiz at the end of each module. • Documentation review: <ul style="list-style-type: none"> ◦ Each staff person selected as a PSP is a correctional officer with appropriate experience and training for the role. ◦ Each PSP has completed the NCDAC course <i>PREA Support Person (PSP) Training</i>. <p>Determination:</p> <p>The facility meets the standard.</p>
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115.22	Policies to ensure referrals of allegations for investigations
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Evidence relied upon in making the compliance determinations:</p> <ul style="list-style-type: none"> • RCC PAQ <ul style="list-style-type: none"> ◦ Facility response ◦ NCDAC Policy and Procedure PREA-300 <i>PREA Official Response and Investigations, dated 09.04.2025</i> ◦ NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022</i> • Supplemental documentation <ul style="list-style-type: none"> ◦ https://public.powerdms.com/NCDAC • Documentation review • Interview with agency head • Interview with investigative staff

Reasoning and analysis:

115.22(a)

- Facility response:
 - The agency ensures that an administrative or criminal investigation is completed for all allegations of sexual abuse and sexual harassment (including offender-on-offender sexual abuse and staff sexual misconduct).
 - Zero allegations of sexual abuse and sexual harassment received in the past 12 months.
 - Zero allegations resulted in an administrative investigation in the past 12 months.
 - Zero allegations were referred for criminal investigation.
 - All investigations were completed in the last 12 months.
- Documentation review:
 - Auditor reviewed four RCC Incident Reports from 2024:
 - Three unsubstantiated and one unfounded investigation outcomes.
 - RCC worked with the Spindale Police Department (local law enforcement) during the criminal investigation of one allegation.
- Interview with agency head designee:
 - Indicated an investigation is initiated for every allegation received.
 - Indicated facility notifies local law enforcement for criminal cases and documents law enforcement's response.
 - Indicated preliminary reviews determine who the allegation involves, what the allegation entails, and does the allegation meet the definition for offender-on-offender or staff-on-offender, contractor- or volunteer-on-offender sexual abuse and or sexual harassment.

155.22(b)

- Facility response:
 - The agency has a policy that requires that allegations of sexual abuse or sexual harassment be referred for investigation to an agency with the legal authority to conduct criminal investigations, including the agency if it conducts its own investigations, unless the allegation does not involve potentially criminal behavior.
 - The agency's policy regarding the referral of allegations of sexual abuse or sexual harassment for criminal investigation is published on the agency website or made publicly available via other means.
 - The agency documents all referrals of allegations of sexual abuse or sexual harassment for criminal investigation.
- Supplemental documentation:
 - <https://public.powerdms.com/NCDAC>:
 - Publicly accessible link
 - Included NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy* and PREA-300 *PREA Official Response and Investigations*.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - Local law enforcement shall be notified if there is evidence or suspicion that criminal conduct may have occurred.
 - PROSECUTIONS: Substantiated allegations of conduct that appears to be criminal shall be referred for prosecution. The facility investigator shall work with law enforcement and the district attorney's office to ensure appropriate criminal prosecution of cases of sexual abuse.
- *NCDAC Policy and Procedure PREA-300 PREA Official Response and*

	<p><i>Investigations:</i></p> <ul style="list-style-type: none"> ◦ DAC confinement or treatment facilities shall cooperate with non-DAC law enforcement agencies investigating sexual abuse, providing information upon request, unless prohibited by law. Facilities shall endeavor to remain informed about the progress of the investigation. • Interview with investigative staff: <ul style="list-style-type: none"> ◦ Indicated agency policy requires allegations involving potentially criminal behavior be referred to the Spindale Police Department. <p>115.22(c)</p> <ul style="list-style-type: none"> • Documentation review: <ul style="list-style-type: none"> ◦ https://public.powerdms.com/NCDAC: <ul style="list-style-type: none"> ■ Included NCDAC Policy and Procedure F.3400 which describes the responsibilities of both the agency and the investigating entity. <p>115.22(d)</p> <ul style="list-style-type: none"> • Auditor is not required to audit this provision. <p>115.22(e)</p> <ul style="list-style-type: none"> • Auditor is not required to audit this provision. <p>Determination:</p> <p>The facility meets the standard.</p>
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115.31	Employee training
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Evidence relied upon in making the compliance determinations:</p> <ul style="list-style-type: none"> • RCC PAQ <ul style="list-style-type: none"> ◦ Facility response ◦ NCDAC Office of Staff Development and Training, <i>PREA: Sexual Abuse and Sexual Harassment 101, dated 07.01.2025</i> ◦ NCDAC Office of Staff Development and Training, <i>PREA: Sexual Abuse and Sexual Harassment 201, dated 07.01.2025</i> • Supplemental documentation <ul style="list-style-type: none"> ◦ NCDAC Policy and Procedure PREA-100 <i>PREA Prevention Planning and Screening, dated 09.04.2025</i> ◦ NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022</i> ◦ NCDAC Form OPA-T10 <i>PREA Staff Training Acknowledgement of Understanding, dated 11.07.2023</i> • Documentation review • Interview of random sample of staff

Reasoning and analysis:

115.31(a)

- Facility response:
 - The agency trains all employees who may have contact with offenders on:
 - the agency's zero-tolerance policy for sexual abuse and sexual harassment;
 - how to fulfill their responsibilities under agency sexual abuse and sexual harassment prevention, detection, reporting, and response policies and procedures;
 - the right of offenders to be free from sexual abuse and sexual harassment;
 - the right of offenders and employees to be free from retaliation for reporting sexual abuse and sexual harassment;
 - the dynamics of sexual abuse and sexual harassment in confinement;
 - the common reactions of sexual abuse and sexual harassment victims;
 - how to detect and respond to signs of threatened and actual sexual abuse;
 - how to avoid inappropriate relationships with offenders;
 - how to communicate effectively and professionally with offenders, including lesbian, gay, bisexual, transgender, intersex, or gender-nonconforming offenders; and
 - how to comply with relevant laws related to mandatory reporting of sexual abuse to outside authorities.
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
 - All employees and contractors are required to receive the following training:
 - Sexual Abuse and Harassment (SAH) 101/201 training.
 - All employees shall receive annual refresher training as required by policy.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - New Employees: shall receive the Sexual Abuse and Harassment 101 training that addresses the following:
 - Restated elements one through 10 of this provision.
- NCDAC Office of Staff Development and Training, PREA: *Sexual Abuse and Sexual Harassment 101*:
 - Training objectives include:
 - Identify the "Prison Rape Elimination Act (PREA) of 2003" and the agency's zero-tolerance policy of sexual abuse and sexual harassment for offenders/persons under supervision.
 - Define sexual abuse and sexual harassment.
 - Define people in confinement and under supervision right to be free from sexual abuse and sexual harassment, and from retaliation for reporting.
 - Identify relevant laws.
 - Define employee responsibilities when responding to sexual abuse and sexual harassment.
 - Define the unique attributes of working with females in confinement/under supervision.
 - Define the unique attributes of working with males in confinement/under supervision.
 - Define the vulnerabilities of people in confinement/under supervision.
 - Identify the dynamics of sexual abuse and sexual harassment

- of people in confinement and under supervision.
 - Identify how to detect signs of threatened and actual sexual abuse of people in confinement and under supervision.
 - Identify the common reactions to sexual abuse and sexual harassment.
 - Identify methods of avoiding inappropriate relationships with people in confinement and under supervision.
 - Identify techniques for communicating effectively and professionally with people in confinement and under supervision including lesbian, gay, bisexual, transgender, intersex (LGBTI) and gender nonconforming populations.
- NCDAC Office of Staff Development and Training, *PREA: Sexual Abuse and Sexual Harassment 201*:
 - Training objectives include:
 - Identify the Prison Rape Elimination Act (PREA) and prevention strategies.
 - Define sexual abuse and sexual harassment of people in confinement and under supervision.
 - Define relevant North Carolina General Statutes.
 - Identify the NCDAC policies on sexual abuse and sexual harassment.
 - Identify ways to report sexual abuse and sexual harassment.
 - Define first responder duties.
 - Identify disciplinary sanctions.
- NCDAC Form OPA-T10 *PREA Staff Training Acknowledgement of Understanding, dated 11.07.2023*:
 - Zero-tolerance;
 - Professional boundaries;
 - PREA definitions of sexual abuse and sexual harassment;
 - North Carolina General Statute 14-27.31 - custodial victimization;
 - Staff reporting methods; and
 - Name and signature lines acknowledging understanding of material.
- Documentation review:
 - 16 of 16 staff files reviewed by auditor contained completion documentation for *PREA 101*.
- Interviews with random sample of staff:
 - Indicated that staff receive instruction for the 10 elements of the provision in initial basic training and in annual in-service training.

115.31(b)

- Facility response:
 - Training is tailored to the gender of the offenders at the facility.
 - Employees who are reassigned from facilities housing the opposite gender are not given additional training.
- North Carolina Department of Adult Correction (NCDAC) Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - New employees shall receive the Sexual Abuse and Harassment 101 training that addresses the following:
 - Unique attributes of working with males and/or females in confinement/supervision.
- NCDAC Office of Staff Development and Training, *PREA: Sexual Abuse and Sexual Harassment 101*:
 - Defined the unique attributes of working with females in confinement/under supervision.
 - Defined the unique attributes of working with males in confinement/under supervision.

115.31(c)

- Facility response:
 - Between trainings the agency provides employees who may have contact with offenders with refresher information about current policies regarding sexual abuse and sexual harassment.
 - Employees who may have contact with offenders receive annual refresher training on PREA requirements.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - Annual Refresher Training and Information:
 - All staff shall receive SAH 101 refresher training every two years;
 - All staff shall receive SAH 201 refresher information during the alternate years;
 - Emphasizing zero-tolerance, duty to report, and current sexual abuse and sexual harassment policies and procedures.
- NCDAC Office of Staff Development and Training, *PREA: Sexual Abuse and Sexual Harassment 201*:
 - Lesson Purpose:
 - To provide refresher training to NCDAC employees on current agency sexual abuse and sexual harassment (SAH) policies and procedures.
- Document review:
 - 12 of 12 applicable staff files reviewed by auditor contained completion documentation for *PREA: Sexual Abuse and Sexual Harassment 201* and/or *OPA-T10 PREA Staff Training Acknowledgement of Understanding*.

115.31(d)

- Facility response:
 - The agency documents that employees who may have contact with offenders understand the training they have received through employee signature or electronic verification.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - Certification of employee understanding of material shall be documented by signing the Form OPA-T10 PREA Acknowledgement; or electronic signature when completing the E-Learning course authorized by the agency.
- Documentation review:
 - DAC training is documented in each employee's electronic training records file.
 - 16 of 16 staff files reviewed by auditor contained completion documentation for *OPA-T10 PREA Staff Training Acknowledgement of Understanding* and/or *PREA: Sexual Abuse and Sexual Harassment 101 and/or 201*, including a knowledge check for comprehension.

Determination:

The facility meets the standard.

115.32	Volunteer and contractor training
	Auditor Overall Determination: Meets Standard
	Auditor Discussion

Evidence relied upon in making the compliance determinations:

- RCC PAQ
 - Facility response
 - NCDAC Office of Staff Development and Training, *PREA: Sexual Abuse and Sexual Harassment 101, dated 07.01.2025*
 - NCDAC Office of Staff Development and Training, *PREA: Sexual Abuse and Sexual Harassment 201, dated 07.01.2025*
 - NCDAC Form OPA-T10 *Prison Rape Elimination Act (PREA) Staff Training Acknowledgement of Understanding, dated 11.07.2023*
- Supplemental documentation:
 - NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022*
- Documentation review
- Interview with volunteer who has contact with offenders

Reasoning and analysis:

115.32(a):

- Facility response:
 - All volunteers and contractors who have contact with offenders have been trained on their responsibilities under the agency's policies and procedures regarding sexual abuse and sexual harassment prevention, detection, and response.
 - 84 volunteers have received this training.
 - Currently only have volunteers.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - Volunteers (with the exception of One-Time volunteers who have no direct contact with offenders), custodial agents, contractors and other persons providing services to offenders shall receive the Sexual Abuse and Harassment 101 training as part of initial orientation which addresses:
 - The agency's standard of zero-tolerance of sexual abuse and sexual harassment toward offenders, either by staff, contractors, volunteers, or by offenders; and
 - Applicable methods to report incidents of sexual abuse and sexual harassment.
- Document review:
 - Three of three volunteer files the auditor reviewed had completed:
 - *PREA: Sexual Abuse and Sexual Harassment 101* and/or
 - *Form OPA-T10 Prison Rape Elimination Act (PREA) Staff Training Acknowledgement of Understanding.*
- Interview with volunteer who has contact with offenders:
 - Indicated PREA training is conducted before interacting with offenders.

115.32(b)

- Facility response:
 - The level and type of training provided to volunteers and contractors is not based on the services they provide and level of contact they have with offenders.
 - Everyone receives the PREA101 training.
 - All volunteers and contractors who have contact with offenders have been notified of the agency's zero-tolerance policy regarding sexual abuse and sexual harassment and informed how to report such incidents.

- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - One-Time volunteers shall review information on Form OPA-T10 PREA Acknowledgement which addresses:
 - The agencies standard of zero-tolerance of sexual abuse and sexual harassment toward offenders, either by staff, contractors, volunteers, or by offenders;
 - Applicable methods to report incidents of sexual abuse and harassment.
 - Volunteers (with the exception of One-Time volunteers who have no direct contact with offenders), custodial agents, contractors and other persons providing services to offenders shall receive the Sexual Abuse and Harassment 101 training.
- Interview with volunteer who has contact with offenders:
 - Indicated training consisted of understanding zero-tolerance, how and to whom to report sexual abuse or sexual harassment, and definitions of sexual abuse and sexual harassment.

115.32(c)

- Facility response:
 - The agency maintains documentation confirming that volunteers and contractors understand the training they have received.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - The application process will not be complete until the volunteer, custodial agent, contractor and other person providing services to offenders verifies understanding of training by signing the PREA Acknowledgement Form and returning the form to the facility.
 - The application process for one-time volunteers will not be complete until the volunteer verifies understanding of information by signing the Form OPA-T10 PREA Acknowledgement and returning the form to the facility.
- Document review:
 - NCDAC Form OPA-T10 *Prison Rape Elimination Act (PREA) Staff Training Acknowledgement of Understanding*:
 - Volunteers completed form by affirming through signature:
 - Zero-tolerance policy;
 - Professional boundaries;
 - PREA sexual abuse and sexual harassment definitions;
 - NC General Statute 14-27.31: Sex act by institutional agent or employee; and
 - Duty to report and reporting methods.

Determination:

The facility meets the standard.

115.33	Inmate education
	Auditor Overall Determination: Meets Standard
	Auditor Discussion

Evidence relied upon in making the compliance determinations:

- RCC PAQ
 - Facility response
 - NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*, dated 06.01.2022
 - NCDAC Form OPA-T100 *Prison Rape Elimination Act (PREA) Person in Confinement or Under Supervision Education Acknowledgement*, dated 01.19.2023
 - NCDAC Factsheet OPA-T101 *Facilitator Talking Points: Offender Sexual Abuse & Sexual Harassment Intake and Orientation Upon Transfer for People in Confinement*, dated 01.01.2023
 - NCDAC Poster OPA-T102 *Prison Rape Elimination Act (PREA) Reporting, Help Prevent Prison Sexual Violence*, dated 01.07.2026
 - NCDAC Pamphlet *End the Silence: Zero Tolerance for Sexual Abuse and Sexual Harassment*, dated 01.2026
- Supplemental documentation:
 - Acolad Interpretation & Translation Services "Access Contact Sheet", dated 12.2025
 - NCDAC OPUS Information - *Case Management Notes*, various dates
- Site review
- Documentation review
- Interview with intake staff
- Interview with offenders

Reasoning and analysis:

115.33(a)

- Facility response:
 - Offenders receive information at time of intake about the zero-tolerance policy and how to report incidents or suspicions of sexual abuse or sexual harassment.
 - 474 offenders admitted during the past 12 months were given this information at intake.
 - All offenders receive orientation after arriving at facility.
- NCDAC Factsheet OPA-T101 *Facilitator Talking Points: Offender Sexual Abuse & Sexual Harassment Intake and Orientation Upon Transfer for People in Confinement*:
 - Right to be free from sexual abuse and sexual harassment;
 - Ways to report an allegation;
 - Agency and facility response to an allegation; and
 - Access to outside confidential support services.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - All offenders shall receive, during reception, information explaining the agency's zero-tolerance policy regarding sexual abuse and sexual harassment, how to report incidents or suspicions of sexual abuse and sexual harassment, prevention/intervention, self-protection, treatment, and counseling services.
- Site review:
 - Auditor observed RCC program staff:
 - Reviewed with new offenders the agency's zero-tolerance policy regarding sexual abuse and sexual harassment and how to report incidents or suspicions of sexual abuse or sexual harassment.
 - Included reading NCDAC Factsheet OPA-T101 *Facilitator Talking Points* and other documents aloud to make accommodations for offenders with low vision or limited reading skills.

- Had access to interpretation services including American Sign Language (ASL) through *Acolad Interpretation & Translation Services "Access Contact Sheet"*;
 - Had offender sign OPA-T100 form after reviewing material.
- Interview with intake staff:
 - Indicated zero-tolerance policy and how to report incidents of sexual abuse or sexual harassment are reviewed with each offender.
 - Indicated all offenders, upon transfer to the facility, are presented with required sexual safety information both verbally and in their orientation packet.
- Interview with offenders:
 - Indicated that information about sexual abuse and sexual harassment were given upon transfer to the facility.

115.33(b)

- Facility response:
 - 340 offenders admitted during the past 12 months (whose length of stay in the facility was for 30 days or more) received comprehensive education on their rights to be free from both sexual abuse and sexual harassment and retaliation for reporting such incidents and on agency policies and procedures for responding to such incidents within 30 days of intake.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - Restated the language of the provision.
- NCDAC OPUS Information - *Case Management Notes*:
 - Lists all case management screenings for an offender.
 - Case management screenings include:
 - Required offender PREA initial and comprehensive education sessions at a diagnostic facility; and
 - Required offender PREA information sessions at all other facilities.
- Interview with intake staff:
 - Indicated offenders received required information in written formats that were reviewed with facility staff. Staff review information verbally to ensure offenders understand the information presented.
 - Indicated offenders received sexual safety information during the intake process upon arrival to the facility.
- Interview with offenders:
 - Indicated received information about reporting and right to be free from sexual abuse, sexual harassment, and retaliation for reporting on the day of arrival.

115.33(c)

- Facility response:
 - All offenders are educated during facility orientation.
 - Agency policy requires that offenders who are transferred from one facility to another be educated regarding their rights to be free from both sexual abuse and sexual harassment and retaliation for reporting such incidents and on agency policies and procedures for responding to such incidents, to the extent that the policies and procedures of the new facility differ from those of the previous facility.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - All offenders shall receive education about sexual abuse and sexual harassment upon transfer to a different facility.

- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
 - Within a set time period, not to exceed 30 days from the offender's arrival at the facility, the facility will reassess the offender's risk of victimization or abusiveness based upon any additional, relevant information received by the facility since the intake screening.
- Site review observations:
 - Offenders are screened upon transfer to RCC from another facility.
 - Offenders are asked three questions to update screening information initially captured at a diagnostic facility:
 - Have you experienced sexual victimization that you have not already reported to the agency?
 - You are listed as heterosexual. Is that still accurate?
 - Do you feel you are at risk for being attacked or harmed, either physically, emotionally, or sexually?
 - Offenders sign NCDAC Form OPA-T100 after completing PREA-related education and rescreen process:
 - Zero-tolerance for sexual abuse and sexual harassment of offenders;
 - Right to be free from sexual abuse, sexual harassment, and retaliation for reporting;
 - Encouraged to report threats or allegations to staff;
 - Acknowledged through signature the receipt and comprehension of PREA information.
- Documentation review:
 - 15 of 15 offender files contained documentation of sexual safety education upon transfer to RCC.
- Interview with intake staff:
 - Indicated all offenders, upon transfer to the facility, are presented with required sexual safety information.

115.33(d)

- Facility response:
 - Offender PREA education is available in formats accessible to all offenders, including:
 - Those who are limited English proficient;
 - Those who are deaf;
 - Those who are visually impaired;
 - Those who are otherwise disabled; and
 - Those who are limited in their reading skills
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
 - Appropriate provisions shall be made as necessary for offenders not fluent in English, persons with disabilities and those with low literacy levels.
- Site review:
 - Spanish language copies of offender education materials available:
 - Section II of NCDAC Form OPA-T100 Prison Rape Elimination Act (PREA) Person in Confinement or Under Supervision Education Acknowledgement;
 - NCDAC Poster OPA-T102S Prison Rape Elimination Act (PREA) Reporting, Help Prevent Prison Sexual Violence:
 - Described ways for offenders to report sexual abuse, sexual harassment, and retaliation.
 - Included telephone number, mailing address, and email address.
 - Stated that the agency accepts anonymous and third-party reports.

- Staff had access to the *Acolad Interpretation & Translation Services "Access Contact Sheet"* which included American Sign Language (ASL).
- Program staff were available for assistance with reading forms to offenders who have low vision, limited reading skills and/or a cognitive disability.

115.33(e)

- Facility response:
 - The agency maintains documentation of offender participation in PREA education sessions.
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
 - Each offender shall sign the Person in Confinement Education Acknowledgement (OPAT100) on the department issued tablet or a hardcopy and placed in their field jacket.
- Documentation review:
 - Offenders signed NCDAC Form OPA-T100 *Prison Rape Elimination Act (PREA) Person in Confinement or Under Supervision Education Acknowledgement* upon completion of education session.
 - Offenders signed Rutherford Correctional Center Offender Orientation cover sheet:
 - Affirmed receipt of PREA educational materials.
 - Affirmed receipt of information for victim support services through Path Shelter - Family Resources of Rutherford County.

115.33(f)

- Facility response:
 - The agency ensures that key information about the agency's PREA policies is continuously and readily available or visible through posters, offender handbooks, or other written formats.
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
 - Additional information on sexual abuse and sexual harassment shall be provided to offenders through targeted educational materials, including brochures detailing prevention strategies and reporting procedures, handbooks outlining offender rights and institutional responsibilities under PREA, and posters reinforcing zero-tolerance messaging and available reporting channels.
- Site review:
 - NCDAC Poster OPA-T102 *Prison Rape Elimination Act (PREA) Reporting, Help Prevent Prison Sexual Violence* was posted on housing unit bulletin boards and in other high traffic areas.
 - OPA-T102S - Spanish language poster posted beside English language version.
 - NCDAC Pamphlet *End the Silence: Zero Tolerance for Sexual Abuse and Sexual Harassment* was posted on housing unit bulletin boards and in other high traffic areas.
 - Spanish language poster posted beside English language version.

Determination:

The facility meets standard.

115.34 Specialized training: Investigations

Auditor Overall Determination: Meets Standard

Auditor Discussion**Evidence relied upon in making the compliance determinations:**

- RCC PAQ
 - Facility response
 - NCDAC Policy and Procedure PREA-300 *PREA Official Response and Investigations, dated 09.04.2025*
 - NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening, dated 09.04.2025*
 - NCDAC Employee Training Transcript, undated
- Supplemental documentation:
 - *PREA: Investigating Sexual Abuse in a Confinement Setting* course, undated
- Interview with investigative staff

Reasoning and analysis:**115.34(a)**

- Facility response:
 - Agency policy requires that investigators are trained in conducting sexual abuse investigations in confinement settings.
- NCDAC Policy and Procedure PREA-300 *Official Response and Investigation*:
 - A DAC employee who has been assigned or designated to administratively investigate a report of alleged offender sexual abuse and/or sexual harassment; and has received specialized training in conducting such investigations in confinement settings.
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
 - Shall receive training on conducting sexual abuse and harassment investigations in a confinement setting. Such training shall include:
 - Techniques for interviewing sexual abuse victims;
 - Proper use of Miranda and Garrity Warnings;
 - Sexual abuse evidence collection in a confinement setting; and
 - Criteria and evidence required to substantiate a case for administrative action or prosecution referral.
- Interview with investigative staff:
 - Indicated investigator training included:
 - Conducting respectful interviews;
 - Collecting physical and testimonial evidence;
 - Working with law enforcement when applicable; and
 - Writing a thorough report including all relevant information collected.

115.34(b)

- *PREA: Investigating Sexual Abuse in a Confinement Setting* training topics included:
 - Sexual abuse in confinement;
 - Investigative process;
 - Working with victims;
 - Interview techniques;

- Institutional culture;
- N.C. specific sexual assault and harassment investigator training; and
- Quiz at the end of each chapter.
- Interview with investigative staff:
 - Indicated investigator training included:
 - Proper use of Miranda and Garrity warnings;
 - Preponderance of the evidence standard to substantiate an administrative investigation.

115.34(c)

- Facility response:
 - The agency maintains documentation showing that investigators have completed the required training.
 - One investigator currently employed who has completed the required training.
- NCDAC Employee Training Transcript, *undated*:
 - Displayed the designated facility investigator's completed *PREA: Investigation Sexual Abuse in a Confinement Setting* course.

115.34(d)

Auditor is not required to audit this provision.

Determination:

The facility meets the standard.

115.35	Specialized training: Medical and mental health care
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Evidence relied upon in making the compliance determinations:</p> <ul style="list-style-type: none"> • RCC PAQ <ul style="list-style-type: none"> ◦ Facility response ◦ NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022</i> ◦ NCDAC Employee Training Transcript, <i>undated</i> • Interview with medical staff <p>Reasoning and analysis:</p> <p>115.35 (a)</p> <ul style="list-style-type: none"> • Facility response: <ul style="list-style-type: none"> ◦ The agency has a policy related to the training of medical and mental health practitioners who work regularly in its facilities. ◦ One medical practitioner who work regularly at this facility who received the training required by agency policy. ◦ 100 percent of medical and mental health care practitioners who work

regularly at this facility received the training required by agency policy.

- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - All full- and part-time medical and mental health care practitioners who work regularly in its facilities shall be trained in:
 - Detecting and assessing signs of sexual abuse and sexual harassment.
 - Preserving physical evidence of sexual abuse.
 - Responding effectively and professionally to victims of sexual abuse and sexual harassment.
 - How and to whom to report allegations or suspicions of sexual abuse and sexual harassment.
- Interview with medical staff:
 - Indicated completion of *PREA - for Health Services* course which covered detecting and assessing signs of sexual abuse and sexual harassment, preserving physical evidence, responding effectively to victims, and how to report allegations.

115.35(b)

- Facility response:
 - Medical staff at this facility do not conduct forensic medical exams.

115.35(c)

- Facility response:
 - The agency maintains documentation showing that medical and mental health practitioners have completed the required training.
- NCDAC Employee Training Transcript:
 - The medical practitioner completed *PREA - for Health Services* course.

115.35(d)

- NCDAC Employee Training Transcript:
 - The medical practitioner completed the training mandated from employees under § 115.31 including the following:
 - DAC-PREA-OPA 10 *Information for Person(s) with Direct and Indirect Contact*;
 - PREA 101-*Sexual Abuse and Sexual Harassment (In-Service)*;
 - PREA 201-*Sexual Abuse and Sexual Harassment (In-Service)*;
 - and
 - PREA Hiring and Promotions Prohibitions.

Determination:

The facility meets the standard.

115.41	Screening for risk of victimization and abusiveness
	Auditor Overall Determination: Meets Standard
	Auditor Discussion

Evidence relied upon in making the compliance determinations:

- RCC PAQ
 - Facility response
 - NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy, dated 06.09.2022*
 - NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening, dated 09.04.2025*
 - NCDAC *CM Screening List, undated*
- Supplemental documentation
 - NCDAC OPA-S010 *Screening for Risk, dated 10.22.2024*
- Site review
- Documentation review
- Interview with staff responsible for risk screening
- Interviews with offenders

Reasoning and analysis:

115.41(a)

- Facility response:
 - The agency has a policy that requires screening (upon admission to a facility or transfer to another facility) for risk of sexual abuse victimization or sexual abusiveness toward other offenders.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - The case manager/screener will reassess the offender's risk by completing the screening upon transfer. The screening questions include the offender's own perception of risk, and the case manager's/ screener's perception of risk relating to gender identity/sexual orientation since the last completed screening. This screening shall be conducted using the Offender Population Unified System (OPUS). Based on the responses, a referral may be generated to mental health for any concerns.
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
 - The case manager/screener shall reassess the offender's risk by completing the screening upon transfer. The screening questions include the offender's own perception of risk, and the case manager's/ screener's perception of risk relating to gender identity/sexual orientation since the last completed screening.
- Interview with staff responsible for risk screening:
 - Indicated offenders, upon transfer from another facility, complete an update of their risk screening within 24 hours of arrival.
- Interviews with offenders:
 - Indicated offenders were asked screening questions the first day at the facility.
- Site review:
 - Offenders wrote answers to three questions during screening review:
 - Any sexual victimization not already reported to agency or facility?
 - Current sexual orientation still accurate?
 - Feel at risk for being attacked or harmed, physically, emotionally, or sexually?
 - Screening was conducted away from other offenders.
 - Staff were able to answer questions asked by offenders.

115.41(b)

- Facility response:
 - The policy requires that offenders be screened for risk of sexual victimization or risk of sexually abusing other offenders within 72 hours of their intake.
 - 438 offenders entering the facility (either through intake or transfer) within the past 12 months, whose length of stay in the facility was for 72 hours or more, were screened for risk of sexual victimization or risk of sexually abusing other offenders within 72 hours of their entry into the facility.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - Upon transfer to another facility, within 24 hours, the PCM and/or OIC shall review an offender's risk of victimization and abusiveness by reviewing the dashboard and acknowledging housing placement is appropriate.
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
 - All offenders in a DAC confinement or treatment facility shall receive a screening inventory, administered via the web-based OPUS intake system, within 24 hours after admission to a facility.
- Documentation review:
 - NCDAC *CM Screening List*:
 - 15 of 15 offender files reviewed by auditor contained rescreening dates within 72 hours of transfer to RCC.
- Interview with staff responsible for risk screening:
 - Indicated offenders are screened within 24 hours of transfer to the facility.
- Interviews with offenders:
 - Indicated screening questions included being asked about prior sexual abuse, sexual orientation, and perceived safety.

115.41(c)

- Facility response:
 - Risk assessment is conducted using an objective screening instrument.
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
 - The screening tool shall utilize a standardized, objective screening instrument established by DAC and implemented uniformly across all DAC facilities.

115.41(d) and (e)

- Documentation review:
 - Diagnostic facilities use the NCDAC OPA-S010 *Screening for Risk* objective screening instrument.
 - Screening instrument questions included:
 - Have you ever been a victim of sexual abuse?
 - Have you ever been charged or convicted of a sex offense of any kind?
 - Have you ever engaged in violent behavior that has caused injuries to another individual and/or has caused property damage?
 - Where you in special classes in school?
 - What is your sexual orientation?
 - Do you feel you are at risk for being attacked or harmed, either physically, emotionally, or sexually?

- Screening inventory also asked the offender and/or included data from offender information screen regarding:
 - Age;
 - Body build;
 - Previous incarceration;
 - Prior convictions for sex offenses against an adult or child;
 - Criminal history;
 - Any ADA status requirements;
 - If the offender is developmentally disabled; and
 - If the offender is gay, lesbian, bisexual, transgender, intersex, or gender nonconforming.
 - Screening staff's perception of offender being lesbian, gay, bisexual, transgender, intersex, or gender nonconforming.
 - Rescreening at RCC consists of asking the three questions listed in (a).
- Interview with staff responsible for risk screening:
 - Indicated the three rescreening questions are used to update offender's risk for victimization.

115.41(f)

- Facility response:
 - The policy requires that the facility reassess each offenders' risk of victimization or abusiveness within a set time period, not to exceed 30 days after the offenders' arrival at the facility, based upon any additional, relevant information received by the facility since the intake screening.
 - 438 offenders, who entered the facility within the past 12 months and whose length of stay in the facility was over 30 days or more, were reassessed for their risk of sexual victimization or of being sexually abusive within 30 days after their arrival at the facility based upon any additional, relevant information received since intake.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - Within a set time period, not to exceed 30 days from the offender's arrival at the facility, the facility will reassess the offender's risk of victimization or abusiveness based upon any additional, relevant information received by the facility since the intake screening.
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
 - After 15 days of arrival at the facility, and not to exceed 30 days, the facility shall reassess the offender's risk of victimization or abusiveness based upon any additional, relevant information received by the facility since the intake screening.
- Documentation review:
 - NCDAC *CM Screening List*:
 - 15 of 15 offender files reviewed by auditor contained risk rescreening dates within 30 days of transfer screening date.
- Interview with staff responsible for risk screening:
 - Indicated offenders are rescreened within 30 days of initial screening.
- Interviews with offenders:
 - Indicated offenders were asked similar questions during a second meeting with screening staff or case manager.

115.41(g)

- Facility response:
 - The policy requires that an offender's risk level be reassessed when

warranted due to a referral, request, incident of sexual abuse, or receipt of additional information that bears on the offender's risk of sexual victimization or abusiveness.

- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - Restated the language of the provision.
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
 - Restated the language of the provision.
- Interview with staff responsible for risk screening:
 - Indicated reassessments are completed based on referral, request, incident of sexual abuse, or any other relevant information.

115.41(h)

- Facility response:
 - The policy prohibits disciplining offenders for refusing to answer (or for not disclosing complete information related to) questions regarding: (a) whether or not the offender has a mental, physical, or developmental disability; (b) whether or not the offender is or is perceived to be gay, lesbian, bisexual, transgender, intersex, or gender non-conforming; (c) whether or not the offender has previously experienced sexual victimization; and (d) the offender's own perception of vulnerability.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - Offenders may not be disciplined for refusing to answer or for not disclosing complete information during screening or assessment.
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
 - Restated the relevant language of NCDAC Policy and Procedure F.3400.
- Interview with staff responsible for risk screening:
 - Indicated offenders are never disciplined for refusing to respond to a question. Screening staff documents the offender's response, or lack thereof.

115.41(i)

- Site review:
 - Auditor observed access to electronic files is profile based.
 - Auditor observed access to physical file storage is restricted by locks.
- Interview with PREA coordinator:
 - Indicated access to offender risk assessments are based on staff roles and profiles to protect sensitive information. The warden, PREA compliance staff, and screening staff have access to this information.
- Interview with PREA compliance manager:
 - Indicated that access is limited to those staff who are responsible for PREA compliance such as administrators and the compliance specialist.
- Interview with staff responsible for risk screening:
 - Indicated screening information access is restricted to administrators, supervisors, and staff responsible for bed and job placements.

Determination:

The facility meets the standard.

115.42 Use of screening information

Auditor Overall Determination: Meets Standard

Auditor Discussion

Evidence relied upon in making the compliance determinations:

- RCC PAQ
 - Facility response
 - NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening, dated 09.04.2025*
 - RCC Procedure E.1700 *Sexual Abuse and Sexual Harassment, dated 04.09.2025*
 - *PREA Report - High Risk for Victimization, dated 01.12.2026*
 - *PREA Report - High Risk for Abusiveness, dated 01.12.2026*
- Supplemental documentation:
 - NCDAC OPA-S010 *Screening for Risk, dated 10.22.2024*
- Interview with PREA compliance manager
- Interview with staff responsible for risk screening

Reasoning and analysis:

115.42(a)

- Facility response:
 - The agency/facility uses information from the risk screening required by §115.41 to inform housing, bed, work, education, and program assignments with the goal of keeping separate those offenders at high risk of being sexually victimized from those at high risk of being sexually abusive.
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
 - Restated the language of the provision.
- RCC Procedure E.1700 *Sexual Abuse and Sexual Harassment*:
 - Restated the language of the provision.:
- NCDAC OPA-S010 *Screening for Risk, dated 10.22.2024*:
 - Electronic dashboard contained:
 - List of offenders who are high risk for victimization (HRV) and those at high risk of abusiveness (HRA); and
 - Link to view current facility bed assignments that shows where HRV and HRA offenders are housed as well as available open beds to help coordinate changes.
- *PREA Report - High Risk for Victimization*:
 - Listed name, bed assignment, and work assignment for three HRV offenders.
- *PREA Report - High Risk for Abusiveness*:
 - Listed name, bed assignment, and work assignment for four HRA offenders.
- Interview with PREA compliance manager:
 - Indicated use of electronic dashboard which displays bed and work assignments for HRV and HRA offenders to ensure separation. PCM checks dashboard daily to assess if bed assignments are appropriate.

115.42(b)

- Facility response:

- The agency/facility makes individualized determinations about how to ensure the safety of each offender.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - The facility shall make individualized determination for bed assignments, based on facility housing designs, to ensure the safety of each offender.
 - Facilities will consider such factors as the amount of staff supervision in the area, the presence or absence of surveillance equipment, and whether the job is in an isolated area prior to making assignments for high-risk abusers.
 - Facilities shall take appropriate action to ensure all program assignments are appropriate for high-risk abusers.
- NCDAC Policy and Procedure PREA-100 PREA Prevention Planning and Screening:
 - Restated the language of the provision.
- Interview with staff responsible for risk screening:
 - Indicated offender's screening information is used to determine bed assignments. HRV offenders are placed at the front of a dorm and not near a HRA offender.

115.42(c)

Auditor no longer audits this provision.

115.42(d)

Auditor no longer audits this provision.

115.42(e)

Auditor no longer audits this provision.

115.42(f)

Auditor no longer audits this provision.

115.42(g)

Auditor no longer audits this provision.

Determination:

The facility meets the standard.

115.43	Protective Custody
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	Evidence relied upon in making the compliance determinations: <ul style="list-style-type: none"> • RCC PAQ <ul style="list-style-type: none"> ◦ Facility response

- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022*
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening, dated 09.04.2025*
- Supplemental documentation
 - PREA Report - *High Risk for Victimization, dated 01.16.2026*
- Interview with warden

Reasoning and analysis:

115.43(a)

- Facility response:
 - The agency has a policy prohibiting the placement of offenders at high risk for sexual victimization in involuntary segregated housing unless an assessment of all available alternatives has been made and a determination has been made that there is no available alternative means of separation from likely abusers.
 - Zero offenders at risk for sexual victimization were held in involuntary segregated housing in the past 12 months.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy:*
 - Restated the language of the provision.
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening:*
 - Restated the language of the provision.
- *PREA Report - High Risk for Victimization:*
 - All three offenders listed were assigned to beds on various dorms.
- Interview with warden:
 - Indicated the facility does not have segregated housing. Separation includes assigning HRA and HRV offenders to different dorms.

115.43(b)

- Not applicable. Facility does not have segregated housing.

115.43(c)

- Facility response:
 - In the past 12 months, zero offenders at risk of sexual victimization were assigned to involuntary segregated housing.

115.43(d)

- Facility response:
 - From a review of case files, zero offenders at risk for sexual victimization were held in involuntary segregated housing in the past 12 months.

115.43(e)

- Facility response:
 - Not applicable. Facility does not have restrictive housing.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy:*

	<ul style="list-style-type: none"> ◦ Restated language of the provision. <p>Determination:</p> <p>The facility meets the standard.</p>
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115.51	Inmate reporting
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Evidence relied upon in making the compliance determinations:</p> <ul style="list-style-type: none"> • RCC PAQ <ul style="list-style-type: none"> ◦ Facility response ◦ NCDAC Policy PREA 200 - <i>PREA Reporting, dated 09.04.2025</i> ◦ NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022</i> ◦ RCC Procedure E.1700 <i>Sexual Abuse and Sexual Harassment, dated 04.09.2025</i> ◦ NCDAC Policy and Procedure PREA-100 <i>PREA Prevention Planning and Screening, dated 09.04.2025</i> ◦ NCDAC Office of Staff Development and Training - <i>PREA: Sexual Abuse and Sexual Harassment 101, dated 07.01.2025</i> ◦ NCDAC Office of Staff Development and Training - <i>PREA: Sexual Abuse and Sexual Harassment 201, dated 07.01.2025</i> ◦ NCDAC Poster OPA-T102 <i>Prison Rape Elimination Act (PREA) Reporting, Help Prevent Prison Sexual Violence, dated 01.07.2026</i> ◦ NCDAC Pamphlet <i>End the Silence - Zero Tolerance for Sexual Abuse and Sexual Harassment: Prison Rape Elimination Act, dated 03.24.2023</i> • Site review • Interviews with random sample of staff • Interviews with offenders • Interview with PREA compliance manager <p>Reasoning and analysis:</p> <p>115.51(a)</p> <ul style="list-style-type: none"> • Facility response: <ul style="list-style-type: none"> ◦ The agency has established procedures allowing for multiple internal ways for offenders to report privately to agency officials about: sexual abuse or sexual harassment; retaliation by other offenders or staff for reporting sexual abuse and sexual harassment; and staff neglect or violation of responsibilities that may have contributed to such incidents. • NCDAC Policy PREA-200 <i>PREA Reporting</i>: <ul style="list-style-type: none"> ◦ Offenders may report sexual abuse or sexual harassment allegations via the following methods: <ul style="list-style-type: none"> ■ Notifying any DAC employee; ■ Administrative remedy process; ■ PREA/Grievance locked box located at each DAC confinement

- or treatment facility; or
 - Contacting the DAC PREA Office by email at *PREA@NCDAC.gov*.
- NCDAC Poster OPA-T102 Prison Rape Elimination Act (PREA) *Reporting, Help Prevent Prison Sexual Violence*:
 - Included internal ways for offenders to report sexual abuse, sexual harassment, or retaliation:
 - To any departmental employee; or
 - Through the administrative remedy process (grievance).
 - Stated employees have a responsibility to do whatever is reasonable and necessary to reduce incidents of sexual abuse, sexual harassment, and to respond appropriately when they do occur.
 - OPA-T102S – Spanish language poster.
- NCDAC Pamphlet *End the Silence - Zero Tolerance for Sexual Abuse and Sexual Harassment: Prison Rape Elimination Act*:
 - Internal reporting includes:
 - Reporting to any staff, volunteer, contractor, medical, or mental health staff;
 - Submitting a grievance or sick call slip;
 - Reporting to the PREA coordinator or PREA compliance manager;
 - Submitting a third-party report on behalf of another offender;
 - By writing to the PREA Office at MSC 4265, Raleigh, NC; or
 - Through a third-party email to the PREA Office at *SVC_dac.prea@dac.nc.gov*.
- Site review:
 - Auditor observed:
 - Poster OPA-T102, OPA-T102S, and *End the Silence* (English and Spanish) posters in housing units, programs area, intake, and dining hall.
 - Signage that was clear with appropriately sized text, and placed at a suitable height.
 - A locked grievance box outside of dining hall.
 - Offenders and staff indicated, during informal conversations with the auditor, offenders could report to shift staff, to medical, to the administration, or through the grievance process.
 - Interviews with random sample of staff:
 - Indicated that offenders can report privately to staff, the officer in charge (OIC), or through the grievance process.
 - Interviews with offenders:
 - Indicated reports could be made to officers, OIC, administrators, medical staff, program staff, or by submitting a grievance.

115.51(b)

- Facility response:
 - The agency provides at least one way for offenders to report abuse or harassment to a public or private entity or office that is not part of the agency.
 - The agency has a policy requiring offenders detained solely for civil immigration purposes be provided information on how to contact relevant consular officials and relevant officials of the Department of Homeland Security.
- NCDAC Policy PREA-200 *PREA Reporting*:
 - Offenders may report sexual abuse or sexual harassment allegations via the following methods:
 - Contacting the external organization at (972) 535-3499 which is only accessible by offender phones.
 - Contacting the anonymous DAC Fraud, Waste, Abuse and

Misconduct Hotline at (844) 208-4018.

- Contacting a community-based organization that provides services to victims of sexual abuse or sexual harassment. A signed consent form is required before reporting on behalf of an offender.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - Offenders detained solely for civil immigration purposes shall be provided information on how to contact relevant consular officials and relevant officials at the US Department of Homeland Security.
- NCDAC Poster OPA-T102 Prison Rape Elimination Act (PREA) Reporting, Help Prevent Prison Sexual Violence:
 - Included external options for offenders to report sexual abuse, sexual harassment, or retaliation:
 - By calling the external hotline for people in confinement. Dial 0-972-535-3499;
 - To the local rape crisis center (requires offender consent before facility can be informed)
- NCDAC Pamphlet *End the Silence - Zero Tolerance for Sexual Abuse and Sexual Harassment: Prison Rape Elimination Act*:
 - By calling the Offender Reporting Sexual Abuse and Sexual Harassment Hotline at 972-535-3499.
- Site review:
 - Auditor tested the external hotline for people in confinement and was able to make a test call.
 - Auditor's test call message was routed to the NCDAC office, who then emailed confirmation to the auditor.
 - Auditor tested the number posted for the local rape crisis center, Path Shelter/Family Resources of Rutherford County:
 - Individual who answered test call stated an offender would have to sign a consent form before the Path Shelter/Family Resources of Rutherford County could report to the RCC administration on behalf of the offender.
 - Phone calls did not require use of a pin number and were toll-free.
 - Auditor was informed that facility does not detain offenders solely for civil immigration purposes.
 - Grievance box is near dining hall and is locked.
 - Mail and grievance boxes are accessible only by designated staff.
- Interviews with offenders:
 - Indicated phone numbers posted near the phones for the hotline and rape crisis center.
- Interview with PREA compliance manager:
 - Indicated phone numbers posted by the phones and on the posters throughout the facility for offenders to make a report by phone.

115.51(c)

- Facility response:
 - The agency has a policy mandating that staff accept reports of sexual abuse and sexual harassment made verbally, in writing, anonymously, and from third parties.
 - Staff are required to immediately document verbal reports.
- NCDAC Policy PREA-200 *PREA Reporting*:
 - Offenders may report sexual abuse or sexual harassment allegations via the following methods:
 - Notifying any DAC employee;
 - Administrative remedy process; or
 - PREA/Grievance locked box located at each DAC confinement or treatment facility.

- NCDAC Pamphlet *End the Silence - Zero Tolerance for Sexual Abuse and Sexual Harassment: Prison Rape Elimination Act*:
 - Third-party reporting for offenders:
 - Tell a family member, friend, legal counsel, or anyone else outside the facility.
 - They can report on your behalf by calling 1(844) 208-4018.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - All reports of sexual abuse and sexual harassment, however made, are to be reported to the warden and the DAC PREA Office.
- Interviews with random sample of staff:
 - Indicated offenders can make reports verbally, in writing, anonymously, and through third parties.
 - Indicated reports are documented immediately or as soon as possible.
- Interviews with offenders:
 - Indicated reports can be made in person, in writing, and through a third party.

115.51(d)

- Facility response:
 - The agency has established procedures for staff to privately report sexual abuse and sexual harassment of offenders.
 - Staff can call the Fraud, Waste, and Abuse hotline or the NCDAC PREA Office.
 - Staff can email the NCDAC PREA Office.
- RCC Procedure E.1700 Sexual Abuse and Sexual Harassment:
 - Staff may report allegations privately including but not limited to:
 - NCDAC PREA Office by email or telephone;
 - Anonymously by contacting the Fraud, Waste, Abuse & Misconduct Hotline; or
 - Local law enforcement agency.
- NCDAC Policy PREA-200 *PREA Reporting*:
 - Employees, volunteers, contractors, custodial agents, and third parties may report sexual abuse or sexual harassment allegations privately. Ways to report include but are not limited to the following methods:
 - To the DAC PREA Office by email at PREA@NCDAC.gov or by telephone at (919) 825-2754;
 - Anonymously by contacting DAC at (919) 825-2754; or
 - To their local law enforcement agency.
- NCDAC Office of Staff Development and Training – *PREA: Sexual Abuse and Sexual Harassment 101*:
 - In-service course includes private options for staff reporting.
- NCDAC Office of Staff Development and Training – *PREA: Sexual Abuse and Sexual Harassment 201*:
 - Biennial refresher course includes private options for staff reporting.
- NCDAC Poster OPA-T102 *Prison Rape Elimination Act (PREA) Reporting, Help Prevent Prison Sexual Violence*:
 - Reports can be made immediately by contacting the PREA Office via telephone or email.
- Interview with random sample of staff:
 - Indicated staff could report privately directly to the warden, associate warden, the office-in-charge (OIC), the PCM, or to the NCDAC PREA Office.
- Site review:
 - Multiple staff persons each stated were comfortable reporting privately to either the OIC, PCM, or warden if needed.

Determination:

	The facility meets the standard.
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115.52	Exhaustion of administrative remedies
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Evidence relied upon in making the compliance determinations:</p> <ul style="list-style-type: none"> • RCC PAQ <ul style="list-style-type: none"> ◦ Facility response ◦ NCDAC Policy G.0300 <i>Administrative Remedy Procedures</i>, dated 10.01.2023 • Documentation review <p>Reasoning and analysis:</p> <p>115.52(a)</p> <ul style="list-style-type: none"> • Facility response: <ul style="list-style-type: none"> ◦ The agency has an administrative procedure for dealing with offender grievances regarding sexual abuse. • NCDAC Policy G.0300 <i>Administrative Remedy Procedures</i>: <ul style="list-style-type: none"> ◦ If a grievance complains about sexual abuse or sexual harassment of an offender(s), immediate notification shall be made to the facility PREA Compliance Manager and a PREA investigation shall be initiated, if not already in the process. DAC’s PREA office may review offender grievances to ensure compliance with PREA standards. <p>115.52(b)</p> <ul style="list-style-type: none"> • Facility response: <ul style="list-style-type: none"> ◦ Agency policy or procedure allows an offender to submit a grievance regarding an allegation of sexual abuse at any time, regardless of when the incident is alleged to have occurred. ◦ Agency policy requires an offender to use an informal grievance process, or otherwise to attempt to resolve with staff, an alleged incident of sexual abuse (Erroneous answer confirmed by auditor during site review). ◦ The grievance is used predominately by the offender. They are not required to report any incidents through the grievance process. They can report in multiple ways as indicated in the brochure. • NCDAC Policy G.0300 <i>Administrative Remedy Procedures</i>: <ul style="list-style-type: none"> ◦ No offender grievance alleging sexual abuse or harassment shall be rejected. ◦ No employee who appears to be involved in a grievance shall participate in any capacity in the resolution process, except as a witness where necessary. <p>115.52(c)</p> <ul style="list-style-type: none"> • Facility response:

- The agency's policy and procedure allow an offender to submit a grievance alleging sexual abuse without submitting it to the staff member who is the subject of the complaint.
- The agency's policy and procedure require that an offender grievance alleging sexual abuse not be referred to the staff member who is the subject of the complaint.
- NCDAC Policy G.0300 *Administrative Remedy Procedures*:
 - Any aggrieved offender in the custody of DAC may submit a paper grievance Form DC-410 at their housing facility. Paper forms may be submitted to custody staff, through facility mail, or in designated drop-box locations.
 - In addition, no employee who appears to be involved in an offender sexual abuse or harassment allegation shall accept a grievance which suggests such personal involvement or shall participate in any capacity in the response to the grievance.

115.52 (d)

- Facility response:
 - The agency's policy and procedure require that a decision on the merits of any grievance or portion of a grievance alleging sexual abuse be made within 90 days of the filing of the grievance.
 - Zero grievances filed that alleged sexual abuse in the past 12 months.
 - The agency always notifies an offender in writing when the agency files for an extension, including notice of the date by which a decision will be made.
- NCDAC Policy G.0300 *Administrative Remedy Procedures*:
 - The staff responsible for investigation and response to the offender grievance shall provide this formal Step 1 response within 15 days.
 - The warden/designee shall investigate the grievance and review records gathered at Step 1 and complete the investigation within 20 days after the appeal to Step 2.
 - The IGE shall forward their decision to the offender within 30 days from the date of the offender's appeal from Step 2.
- Documentation review:
 - Facility provided a grievance search list with zero sexual victimization related grievances for the previous 36 months.

115.52(e)

- Facility response:
 - Agency policy and procedure permits third parties, including fellow offenders, staff members, family members, attorneys, and outside advocates, to assist offenders in filing requests for administrative remedies relating to allegations of sexual abuse and to file such requests on behalf of offenders.
 - Agency policy and procedure requires that if an offender declines to have third-party assistance in filing a grievance alleging sexual abuse, the agency documents the offender's decision to decline.
 - Zero grievances alleging sexual abuse filed by offenders in the past 12 months in which the offender declined third-party assistance, containing documentation of the offender's decision to decline.
- NCDAC Policy G.0300 *Administrative Remedy Procedures*:
 - Only PREA-related grievances regarding allegations of sexual abuse may be submitted by third parties, including fellow offenders, staff members, family members, attorneys, and outside advocates, on an offender's behalf.
 - Such PREA related grievances from third parties must be submitted to

the warden of the facility where the offender is housed.

- A facility may require, as a condition of processing the third-party grievance, that the alleged victim consent to have the grievance submitted on their behalf and require their participation in subsequent steps in the grievance process.
- If the offender declines to have the third-party grievance processed on their behalf, the facility shall document the offender's decision.

115.52(f)

- Facility response:
 - The agency has a policy and established procedures for filing an emergency grievance alleging that an offender is subject to a substantial risk of imminent sexual abuse.
 - The agency's policy and procedure for emergency grievances alleging substantial risk of imminent sexual abuse requires an initial response within 48 hours.
 - Zero emergency grievances alleging substantial risk of imminent sexual abuse that were filed in the past 12 months.
 - The agency's policy and procedure for emergency grievances alleging substantial risk of imminent sexual abuse requires that a final agency decision be issued within 5 days.
- NCDAC Policy G.0300 *Administrative Remedy Procedures*:
 - Emergency grievances must be labeled as such and are grievances regarding matters which pose imminent substantial risk of personal injury or serious and irreparable harm to an offender.
 - Emergency grievances shall be submitted at an offender's housing facility and forwarded immediately, without substantive review, to the level at which corrective action can be taken by the facility screening officer.
 - Review at the corrective action level shall conclude with a Step 2 response, including any action taken in response to the grievance, within five days.

115.52(g)

- Facility response:
 - The agency has a written policy that limits its ability to discipline an offender for filing a grievance alleging sexual abuse to occasions where the agency demonstrates that the offender filed the grievance in bad faith.
 - In the past 12 months, zero offender grievances alleging sexual abuse that resulted in disciplinary action by the agency against the offender for having filed the grievance in bad faith.

Determination:

The facility meets the standard.

115.53	Inmate access to outside confidential support services
	Auditor Overall Determination: Meets Standard
	Auditor Discussion

Evidence relied upon in making the compliance determinations:

- RCC PAQ
 - Facility response
 - NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022*
 - NCDAC Policy PREA-200 *PREA Reporting, dated 09.04.2025*
 - NCDAC Policy and Procedure PREA-300 *PREA Official Response and Investigations, dated 09.04.2025*
 - Memorandum of Agreement between Rutherford Correctional Center and The Path Shelter, Family Resources of Rutherford County, Inc., *dated 01.15.2025*
 - The Path Shelter, Family Resources of Rutherford County, Inc information sheet, *undated*
 - NCDAC Pamphlet *End the Silence: Zero Tolerance for Sexual Abuse and Sexual Harassment, dated 01.2026*
 - Poster OPA-T102 *PREA REPORTING HELP PREVENT SEXUAL VIOLENCE, dated 01.07.2026*
- Site review
- Interview with offenders

Reasoning and analysis:

115.53(a)

- Facility response:
 - The facility provides offenders with access to outside victim advocates for emotional support services related to sexual abuse.
 - Offenders are given mailing addresses and telephone numbers (including toll-free hotline numbers where available) for local, state, or national victim advocacy or rape crisis organizations.
 - The facility provides access to mailing address and telephone numbers for immigrant services agencies for offenders detained solely for civil immigration purposes.
 - The facility provides offenders with access to such services by enabling reasonable communication between offenders and these organizations in as confidential a manner as possible.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - Restates the language of the provision.
- Memorandum of Agreement between Rutherford Correctional Center and The Path Shelter, Family Resources of Rutherford County, Inc:
 - Path Shelter will provide counseling to survivors of sexual abuse and harassment who are incarcerated at Rutherford Correctional Center.
- The Path Shelter, Family Resources of Rutherford County, Inc information sheet:
 - Listed victim advocacy mailing address, phone number, and services provided.
 - Given to RCC offenders in the orientation packet at facility intake.
- NCDAC Pamphlet *End the Silence: Zero Tolerance for Sexual Abuse and Sexual Harassment*:
 - Listed number for local rape crisis center that can be reached from a dorm phone.
- Site review:
 - Auditor observed signage containing number to reach emotional support services posted by the phone and in the orientation packet given to offenders.
 - Auditor completed a test call and was connected to a Path Shelter advocate.

- Auditor verified that the facility does not detain persons solely for civil immigration purposes.
- Interview with offenders:
 - Indicated there is a number for emotional support services posted by the phones and is included in the offender orientation packet.
 - Indicated that the number is free to call and phones are accessible all day.

115.53(b)

- Facility response:
 - The facility informs offenders, prior to giving them access to outside support services:
 - The extent to which such communications will be monitored.
 - The mandatory reporting rules governing privacy, confidentiality, and/or privilege that apply to disclosures of sexual abuse made to outside victim advocates, including any limits to confidentiality under relevant federal, state, or local law.
 - NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - Restated the language of the provision.
 - NCDAC Policy and Procedure PREA-300 *PREA Official Response and Investigations*:
 - Restated the language of the provision.
- Site review:
 - Initial announcement when placing a phone call: "This call will be monitored and recorded."
- Interview with offenders:
 - Indicated that there is an announcement on the phones about being recorded.

115.53(c)

- Facility response:
 - The facility maintains memorandum of understanding (MOUs) or other agreements with community service providers that are able to provide offenders with emotional support services related to sexual abuse.
 - The facility maintains copies of the MOU.

Determination:

The facility meets the standard.

115.54	Third-party reporting
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	Evidence relied upon in making the compliance determinations:
	<ul style="list-style-type: none"> • RCC PAQ

- Facility responses
- NCDAC Policy and Procedure PREA-200 *Professional Standards - PREA, dated 09.04.2025*
- NCDAC Pamphlet – *A Guide To Prevention And Reporting Of Undue Familiarity, And Sexual Abuse And Sexual Harassment With Offenders And Residents, dated 04.04.2024*
- Supplemental documentation
 - NCDAC Poster OPA-T102 Prison Rape Elimination Act (PREA) Reporting, *Help Prevent Prison Sexual Violence, dated 03.24.2023*
 - NCDAC Poster *END THE SILENCE Zero Tolerance for Sexual Abuse and Sexual Harassment: Prison Rape Elimination Act, dated 2023.03.24*
- Site review

Reasoning and analysis:

115.54(a)

- Facility responses:
 - The agency or facility provides a method to receive third-party reports of offender sexual abuse or sexual harassment.
 - The agency or facility publicly distributes information on how to report offender sexual abuse or sexual harassment on behalf of offenders.
- NCDAC Policy and Procedure PREA-200 Professional Standards -PREA:
 - Third party reporting can be made via email, phone or letter.
- NCDAC Poster OPA-T102 Prison Rape Elimination Act (PREA) Reporting, Help Prevent Prison Sexual Violence:
 - Family members and friends of offenders can report by email to SVC_dac.prea@dac.nc.gov.
- NCDAC Poster *END THE SILENCE Zero Tolerance for Sexual Abuse and Sexual Harassment: Prison Rape Elimination Act:*
 - Third-party reporting by telling a family member, friend, legal counsel, or anyone else outside the facility. They can report on offender’s behalf by calling (844) 208-4018.
- Site review:
 - NCDAC Posters OPA-T102 and *END THE SILENCE* are posted and contain third-party reporting options.
 - Auditor submitted a third-party report which the facility confirmed was received.

Determination:

The facility meets the standard.

115.61	Staff and agency reporting duties
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	Evidence relied upon in making the compliance determinations: <ul style="list-style-type: none"> • RCC PAQ <ul style="list-style-type: none"> ◦ Facility response ◦ NCDAC Policy and Procedure PREA-300 <i>PREA Official Response and</i>

Investigations, dated 09.04.2025

- NCDAC Policy PREA-200 *PREA Reporting, dated 09.04.2025*
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022*
- Interviews with random sample of staff
- Site review

Reasoning and analysis:

115.61(a)

- Facility response:
 - The agency requires all staff to report immediately and according to agency policy:
 - Any knowledge, suspicion, or information they receive regarding an incident of sexual abuse or sexual harassment that occurred in a facility, whether or not it is part of the agency.
 - Any retaliation against offenders or staff who reported such an incident.
 - Any staff neglect or violation of responsibilities that may have contributed to an incident or retaliation.
- NCDAC Policy PREA-200 *PREA Reporting*:
 - Employees, volunteers, contractors, and custodial agents shall immediately report:
 - Any knowledge, suspicion, or information regarding an incident of offender sexual abuse or sexual harassment involving an offender.
 - Any allegation that an offender is having a sexual relationship with another offender, or with an employee, volunteer, contractor or custodial agent.
 - Any retaliation against offenders, or employees, volunteers, contractors, and custodial agents who reported such an incident.
 - Any employee neglect or violation of responsibilities that may have contributed to an incident or retaliation.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - Restated the relevant language of NCDAC Policy PREA-200 *PREA Reporting*.
- Interviews with random sample of staff:
 - Indicated all staff are required to report any and all knowledge or suspicion about incidents of sexual abuse or sexual harassment, retaliation, or staff neglect that led to an incident.
- Site review:
 - Staff discussed with the auditor that a verbal report to the Officer in Charge (OIC) or their direct supervisor is the most immediate way to begin the facility response to an allegation, retaliation, or staff neglect.

115.61(b)

- Facility response:
 - Apart from reporting to designated supervisors or officials and designated state or local services agencies, agency policy prohibits staff from revealing any information related to a sexual abuse report to anyone other than to the extent necessary to make treatment, investigation, and other security and management decisions.

- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - The facility investigator and all others involved in the PREA process, to the extent possible, will ensure the confidentiality of PREA complaints as well as all data collected through the investigation of those complaints except as required in the following circumstances:
 - To cooperate with law enforcement in any investigation and prosecution of the incidents alleged in such complaints.
 - To take and enforce disciplinary action against any staff member as a result of the incidents alleged in the complaints.
 - To defend against claims brought by the offender for violation of the offender’s rights for having been subjected to sexual abuse.
 - To otherwise comply with the law.
- Interviews with random sample of staff:
 - Indicated report information is only shared with staff involved in the response to an allegation.

115.61(c)

- Interviews with medical staff:
 - Indicated limitations of confidentiality and mandatory reporting duties are disclosed prior to providing services.
 - Indicated duty to report all information about sexual victimization to the OIC, supervisor, and PREA investigator.
 - Indicated has not needed to report but would do so immediately.

115.61(d)

- Interview with warden:
 - Indicated youthful offenders are not housed at the facility.
 - Indicated that zero offenders considered vulnerable adults are currently housed at RCC but the Department of Social Services and local law enforcement would be notified if there were an allegation made by an offender considered a vulnerable adult.

115.61(e)

- Interview with warden:
 - Indicated all allegations are reported to a facility investigator including third-party and anonymous reports.
- Documentation review:
 - Zero allegations of sexual abuse or sexual harassment in the past 12 months.
 - Allegation notifications in 2024 to investigators included two anonymous reports through the people in confinement hotline.

Determination:

The facility meets the standard.

115.62	Agency protection duties
	Auditor Overall Determination: Meets Standard

	<p>Auditor Discussion</p> <p>Evidence relied upon in making the compliance determinations:</p> <ul style="list-style-type: none"> • RCC PAQ <ul style="list-style-type: none"> ◦ Facility response ◦ NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022</i> ◦ NCDAC Policy and Procedure PREA-200 <i>Professional Standards - PREA, dated 09.04.2025</i> • Interview with agency head designee • Interview with warden • Interview with random sample of staff <p>Reasoning and analysis:</p> <p>115.62(a)</p> <ul style="list-style-type: none"> • Facility response: <ul style="list-style-type: none"> ◦ When the agency or facility learns that an offender is subject to a substantial risk of imminent sexual abuse, it takes immediate action to protect the offender (i.e., it takes some action to assess and implement appropriate protective measures without unreasonable delay). ◦ Zero times when the agency or facility determined that an offender was subject to a substantial risk of imminent sexual abuse in the past 12 months. • NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy:</i> <ul style="list-style-type: none"> ◦ When the staff learns that an offender is subject to a substantial risk of imminent sexual abuse immediate action shall be taken to protect the offender. • NCDAC Policy and Procedure PREA-200 <i>Professional Standards - PREA:</i> <ul style="list-style-type: none"> ◦ When an employee, volunteer, contractor, or custodial agent learns that an offender is subject to a substantial risk of imminent sexual abuse, immediate action shall be taken to protect the offender. • Interview with agency head designee: <ul style="list-style-type: none"> ◦ Indicated the coordinated facility response plan would be initiated immediately. Offender would be separated from the imminent threat. • Interview with warden: <ul style="list-style-type: none"> ◦ Indicated offender would be removed from the threat, whether that is to another dorm, closer to the officer desk, or transferring threatening offender to another facility. • Interview with random sample of staff: <ul style="list-style-type: none"> ◦ Indicated immediate removal of offender from threat, communicate with OIC or supervisor, and monitor the offender. <p>Determination:</p> <p>The facility meets the standard.</p>
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115.63	Reporting to other confinement facilities
	Auditor Overall Determination: Meets Standard
	Auditor Discussion

Evidence relied upon in making the compliance determinations:

- RCC PAQ
 - Facility response
 - NCDAC Policy PREA-200 *PREA Reporting, dated 09.04.2025*
 - NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022*
- Interview with warden
- Interview with PREA coordinator

Reasoning and analysis:

115.63(a)

- Facility response:
 - The agency has a policy requiring that, upon receiving an allegation that an offender was sexually abused while confined at another facility, the head of the facility must notify the head of the facility or appropriate office of the agency or facility where sexual abuse is alleged to have occurred.
 - The facility received zero allegations that a current offender in its custody was sexually abused while confined at another facility.
 - Any allegations would be forwarded to the warden and the PREA compliance manager in the CTS system to ensure confidentiality and that it is fully investigated.

115.63(b)

- Facility response:
 - Agency policy requires that the facility head provide such notification as soon as possible, but no later than 72 hours after receiving the allegation.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - When reporting to other agencies or facilities, such notification shall be provided as soon as possible, but no later than 72 hours after receiving the allegation.

115.63(c)

- Facility response:
 - The agency or facility documents that it has provided such notification within 72 hours of receiving the allegation.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - Reported allegations, including persons involved and preliminary steps taken, shall be documented in OPUS on the PR (PREA) Incident Report within 72 hours of receiving the report.

115.63(d)

- Facility response:
 - The agency or facility policy requires that allegations received from other facilities and agencies are investigated in accordance with the PREA standards.
 - The facility received zero allegations of sexual abuse from other

	<p>facilities during the past 12 months.</p> <ul style="list-style-type: none"> • NCDAC Policy PREA-200 <i>PREA Reporting</i>: <ul style="list-style-type: none"> ◦ Upon receiving notification from another facility or agency that an allegation of sexual abuse and/or sexual harassment has been reported, the warden shall ensure the allegation is investigated in accordance with the DAC-PREA-200 <i>PREA Investigations</i> policy and applicable Division policies. • Interview with agency head designee: <ul style="list-style-type: none"> ◦ Indicated the NCDAC PREA office is the designated point of contact as well as notifying the warden of the facility where the allegation occurred. ◦ Indicated the NCDAC PREA office ensures the facility completes an investigation of the allegation. No such notifications were made to the NCDAC PREA office in the past 12 months. • Interview with warden: <ul style="list-style-type: none"> ◦ Indicated a notice of allegation from another facility would start an immediate investigation no different that an allegation made at the facility. ◦ Indicated zero relevant notifications from other facilities. <p>Determination:</p> <p>The facility meets the standard.</p>
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115.64	Staff first responder duties
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Evidence relied upon in making the compliance determinations:</p> <ul style="list-style-type: none"> • RCC PAQ <ul style="list-style-type: none"> ◦ Facility response ◦ NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022</i> ◦ NCDAC Policy and Procedure PREA-200 <i>Professional Standards - PREA, dated 09.04.2025</i> • Documentation review • Interviews with random sample of staff <p>Reasoning and analysis:</p> <p>115.64(a)</p> <ul style="list-style-type: none"> • Facility response: <ul style="list-style-type: none"> ◦ The agency has a first responder policy for allegations of sexual abuse. ◦ The policy requires that, upon learning of an allegation that an offender was sexually abused, the first security staff member to respond to the report: <ul style="list-style-type: none"> ■ Separate the alleged victim and abuser; and ■ Preserve and protect any crime scene until appropriate steps can be taken to collect any evidence. ◦ The policy requires that, if the abuse occurred within a time period

that still allows for the collection of physical evidence, the first security staff member to respond to the report:

- Request that the alleged victim not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating; and
- Ensure that the alleged abuser does not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating.
- Zero allegations of offender sexual abuse were reported in the past 12 months.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - Restated the language of the provision.
- Documentation review:
 - Zero allegations of sexual abuse reported in the previous 12 months.
 - Zero allegations of sexual abuse reported in 2024 where the first responder duties were applicable.
- Interviews with random sample of staff (due to zero first responder staff to an allegation of sexual abuse):
 - Indicated separation of alleged victim and abuser, contact OIC and supervisor, ensure evidence is not destroyed on victim or abuser, secure scene, and write statement.

115.64(b)

- Facility response:
 - Agency policy requires that if the first staff responder is not a security staff member, that responder shall be required to request that the alleged victim not take any actions that could destroy physical evidence.
 - Agency policy requires that if the first staff responder is not a security staff member, that responder shall be required to notify security staff.
 - Zero allegations of sexual abuse where a non-security staff member was the first responder.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - Restated the language of the provision.
- Documentation review:
 - Zero allegations of sexual abuse reported in the previous 12-months.
 - Zero allegations of sexual abuse reported in 2024 where the first responder duties were applicable.
- Interview with random sample of non-security staff:
 - Indicated staff would stay with victim, request victim not take evidence destroying actions, contact custody staff, supervisor, and/or OIC, and write statement.

Determination:

The facility meets the standard.

115.65	Coordinated response
	Auditor Overall Determination: Meets Standard

	<p>Auditor Discussion</p> <p>Evidence relied upon in making the compliance determinations:</p> <ul style="list-style-type: none"> • RCC PAQ <ul style="list-style-type: none"> ◦ Facility response ◦ <i>Rutherford Correctional Center PREA Sexual Abuse Institutional Response Plan, dated 01.08.2026</i> • Interview with warden <p>Reasoning and analysis:</p> <p>115.65(a)</p> <ul style="list-style-type: none"> • Facility response: <ul style="list-style-type: none"> ◦ The facility has developed a written institutional plan to coordinate actions taken in response to an incident of sexual abuse among staff first responders, medical and mental health practitioners, investigators, and facility leadership. • <i>Rutherford Correctional Center PREA Sexual Abuse Institutional Response Plan:</i> <ul style="list-style-type: none"> ◦ Provides guidelines for offender safety, evidence preservation, notifications, response, and investigation of offender on offender and staff on offender sexual abuse and sexual harassment at RCC. ◦ Outlines response duties of RCC staff including: <ul style="list-style-type: none"> ■ First responder; ■ Medical and mental health practitioners; ■ PREA support person (PSP); ■ PREA Compliance Manager (PCM); ■ Investigator; and ■ Facility leadership / Post Incident Review (PIR) Team. ◦ Lists response and investigation documents and forms. ◦ Identifies and includes contact information for: <ul style="list-style-type: none"> ■ Facility leadership; ■ Investigator; ■ PREA compliance manager; ■ PREA support staff; ■ Local law enforcement agency; ■ Victim advocacy entity; and ■ Hospital. • Interview with warden: <ul style="list-style-type: none"> ◦ Indicated a coordinated response that includes first responding staff, supervisors, medical, mental health, investigator, PSP, PCM, and additional administrative staff. Coordinated response plan is attached to sexual abuse and harassment policy. <p>Determination:</p> <p>The facility meets the standard.</p>
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115.66	Preservation of ability to protect inmates from contact with abusers
	Auditor Overall Determination: Meets Standard

	<p>Auditor Discussion</p> <p>Evidence relied upon in making the compliance determinations:</p> <ul style="list-style-type: none"> • RCC PAQ <ul style="list-style-type: none"> ◦ Facility response • Interview with agency head designee <p>Reasoning and analysis:</p> <p>115.66(a)</p> <ul style="list-style-type: none"> • Facility response: <ul style="list-style-type: none"> ◦ The agency, facility, or any other governmental entity responsible for collective bargaining on the agency's behalf has not entered into or renewed any collective bargaining agreement or other agreement since the last PREA audit. • Interview with agency head designee: <ul style="list-style-type: none"> ◦ Indicated North Carolina is not a collective bargaining state. <p>115.66(b)</p> <ul style="list-style-type: none"> • Auditor is not required to audit this provision. <p>Determination:</p> <p>The facility meets the standard.</p>
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115.67	Agency protection against retaliation
	<p>Auditor Overall Determination: Meets Standard</p> <p>Auditor Discussion</p> <p>Evidence relied upon in making the compliance determinations:</p> <ul style="list-style-type: none"> • RCC PAQ <ul style="list-style-type: none"> ◦ Facility response ◦ NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022</i> ◦ NCDAC Policy and Procedure PREA-300 <i>PREA Official Response and Investigations, dated 09.04.2025</i> • Documentation review • Interview with agency head designee • Interview with warden • Interviews with staff member charged with monitoring <p>Reasoning and analysis:</p> <p>115.67(a)</p> <ul style="list-style-type: none"> • Facility response:

- The agency has a policy to protect all offenders and staff who report sexual abuse or sexual harassment or cooperate with sexual abuse or sexual harassment investigations from retaliation by other offenders or staff.
- The agency designates staff member(s) or charges department(s) with monitoring for possible retaliation.
- Three correctional officers have been designated as PREA support persons (PSP).
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - The PCM shall monitor for retaliation against staff that either report or cooperate with investigations, of sexual abuse or sexual harassment.
 - The PSP shall monitor retaliation against the victim and the offender who either report allegations, or cooperate with investigations, of sexual abuse or sexual harassment.
- NCDAC Policy and Procedure PREA-300 *PREA Official Response and Investigations*:
 - Retaliation against employees or offenders making an allegation of offender sexual abuse or sexual harassment is prohibited.
 - Offender monitoring – to be completed by PREA Support Persons who provides victim support.
 - Employee monitoring – to be completed by PREA compliance manager.

115.67(b)

- Interview with agency head designee:
 - Indicated PSP is tasked with monitoring any offender who alleges to be a victim, who reported, or who participates in the investigation. The PCM is tasked with monitoring retaliation for a staff member who may have reported and or been involved in that investigative process.
- Interview with warden:
 - Indicated separating and protecting victim offender. PSP will monitor victim offender for retaliation including weekly periodic meetings for first month and then monthly for a minimum of 90 days.
- Interview with staff member charged with monitoring:
 - Indicated as PSP would address any housing, programming, or work assignment concerns the victim offender expressed.
 - Indicated checking in with victim offender every week for first month and then monthly for 90 days, or more if warranted.

115.67(c)

- Facility response:
 - The agency/facility monitors the conduct or treatment of offenders or staff who reported sexual abuse and of offenders who were reported to have suffered sexual abuse to see if there are any changes that may suggest possible retaliation by offenders or staff.
 - The PSP monitors for 90 days.
 - The agency/facility acts promptly to remedy any such retaliation.
 - The agency/facility continues such monitoring beyond 90 days if the initial monitoring indicates a continuing need.
 - Zero incidents of retaliation occurred in the past 12 months.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - Continue monitoring for a minimum of 90 days or beyond 90 days if the initial monitoring indicates a continuing need.
 - In the case of offenders, such monitoring shall also include periodic status checks.

	<ul style="list-style-type: none"> • NCDAC Policy and Procedure PREA-300 PREA Official Response and Investigations: <ul style="list-style-type: none"> ◦ Restated the relevant language of NCDAC Policy and Procedure F.3400. • Documentation review: <ul style="list-style-type: none"> ◦ Auditor observed zero investigations, during the previous 12 months, that alleged sexual abuse. ◦ Auditor observed zero 2024 investigations that were applicable for retaliation monitoring. • Interview with warden: <ul style="list-style-type: none"> ◦ Indicated PSP would document retaliation and the retaliating offender would be moved. • Interview with staff member charged with monitoring retaliation: <ul style="list-style-type: none"> ◦ Indicated PSP asks victim if there have been any physical harm, verbal threats, or other types of retaliation. Checking shift, incident, and dorm logs for any issues involving the offender. ◦ Indicated monitors for 90 days but would extend the time if there was a concern. <p>115.67(d)</p> <ul style="list-style-type: none"> • Interview with staff member charged with monitoring retaliation: <ul style="list-style-type: none"> ◦ Indicated would check in periodically and document on retaliation monitoring form. <p>115.67(e)</p> <ul style="list-style-type: none"> • Interview with warden: <ul style="list-style-type: none"> ◦ Indicated PSP will monitor victim offender and check in with him several times for at least 90 days. ◦ Indicated individual who retaliated against any other offender would be moved or transferred. <p>115.67(f)</p> <ul style="list-style-type: none"> • Auditor is not required to audit this provision. <p>Determination:</p> <p>The facility meets the standard.</p>
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115.68	Post-allegation protective custody
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Evidence relied upon in making the compliance determinations:</p> <ul style="list-style-type: none"> • RCC PAQ <ul style="list-style-type: none"> ◦ Facility response ◦ NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022</i>

- NCDAC Policy and Procedure PREA-200 *Professional Standards - PREA, dated 09.04.2025*
- Supplemental documentation:
 - NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening, dated 09.04.2025*
- Interview with warden

Reasoning and analysis:

115.68(a)

- Facility response:
 - The agency has a policy prohibiting the placement of offenders who allege to have suffered sexual abuse in involuntary segregated housing unless an assessment of all available alternatives has been made and a determination has been made that there is no available alternative means of separation from likely abusers.
 - Zero offenders who allege to have suffered sexual abuse who were held in involuntary segregated housing in the past 12 months for one to 24 hours awaiting completion of assessment.
 - Rutherford Correctional Center does not have a restrictive housing unit.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - The warden has the discretion regarding how separation occurs, who is moved, and where. Should the alleged victim be placed in restrictive housing, then an analysis of 1) information from the preliminary review to include the type of allegation, 2) prior complaints filed against the alleged abuser, 3) will the movement appear punitive toward an alleged victim shall be considered, and 4) documentation of the decision to move the alleged victim.
- NCDAC Policy and Procedure PREA-200 *Professional Standards - PREA*:
 - Restates the relevant language of NCDAC Policy and Procedure F.3400.
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
 - Offenders at high risk for sexual victimization shall not be placed in restrictive housing unless an assessment of all available alternatives has been made, and a determination has been made that there is no available alternative means of separation from likely abusers. If a facility cannot conduct such an assessment immediately, the facility may hold the offender in restrictive housing for less than 24 hours while completing the assessment.
 - Alternative placements can include, but are not limited to, the following temporary options:
 - Relocation to a different housing unit;
 - Placement in a cell or bed closer to the corrections officer's desk within the unit; or
 - Any other housing area that the warden or designee deems appropriate to separate from likely abusers.
- Interview with warden:
 - Indicated the facility does not have segregated housing.

Determination:

The facility meets the standard.

Auditor Overall Determination: Meets Standard

Auditor Discussion

Evidence relied upon in making the compliance determinations:

- RCC PAQ
 - Facility response
 - NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022*
 - NCDAC Policy and Procedure PREA-300 *PREA Official Response and Investigations, dated 09.04.2025*
- Interview with investigative staff
- Interview with warden
- Interview with PREA coordinator
- Documentation review

Reasoning and analysis:

115.71(a)

- Facility response:
 - The agency/facility has a policy related to criminal and administrative agency investigations.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy:*
 - Investigators shall gather and preserve direct and circumstantial evidence, including any available physical and DNA evidence and any available electronic monitoring data including video and/or audio recordings; shall interview alleged victims, suspected perpetrators, and witnesses.
 - In order to preserve the integrity of the investigation, one person, or a specific team, shall be designated to investigate an incident, and only that person (or team) shall be involved in the collection of evidence and interviewing of potential witnesses. A thorough investigation is necessary to ensure the potential for prosecution if it is determined that a crime has been committed.
- NCDAC Policy and Procedure PREA-300 *PREA Official Response and Investigations:*
 - If an alleged act of sexual abuse or sexual harassment is reported or discovered, an immediate preliminary review shall be conducted by a SAH investigator to determine if the incident meets the standards of PREA.
 - DAC confinement or treatment facilities shall cooperate with non-DAC law enforcement agencies investigating sexual abuse, providing information upon request, unless prohibited by law. Facilities shall endeavor to remain informed about the progress of the investigation.
- Interview with investigative staff:
 - Indicated investigations begin as soon as possible after the allegation or incident is reported.
 - Indicated third-party and anonymous reports are investigated in the same manner as any other report.
- Documentation review:
 - Zero investigations occurred in the previous 12 months.
 - Auditor's review of investigation files from 2024 included two anonymous reports through the persons in confinement hotline.

115.71(b)

- Interview with investigative staff:
 - Indicated completion of specialized investigator training, including interviewing all individuals involved, collecting physical and all other evidence, evaluating the allegation using the preponderance of evidence standard, and documenting all actions taken in a report.
 - Indicated proper use of Miranda and Garrity warnings were reviewed but criminal aspects of an investigation are handled by the Spindale Police Department.

115.71(c)

- Interview with investigative staff:
 - Indicated investigations begin with ensuring safety of alleged victim and letting victim explain what happened.
 - Indicated investigations include interviewing alleged victim, medical assessment of alleged victim, protecting and collecting physical evidence, sending alleged victim to hospital if appropriate, calling law enforcement for criminal cases.
 - Indicated evidence includes any relevant material found at the scene or on alleged victim or abuser, statements by offenders and staff, and video footage if available.
- Documentation review:
 - Staff-on-offender incident report from 2024 lists actions including interviewing both parties involved and completing a review for previous allegations involving the alleged victim or the alleged abuser.

115.71(d)

- Interview with investigative staff:
 - Indicated that the Spindale Police Department was consulted for the one relevant allegation in 2024.

115.71(e)

- Interview with investigative staff:
 - Indicated credibility is assessed by comparing statements of alleged victim, alleged abuser, and any witnesses to the evidence that is available.
 - Indicated polygraph examinations are never administered as part of the administrative investigation.

115.71(f)

- Interview with investigative staff:
 - Indicated staff actions or failure to act are documented if shown to occur.
 - Indicated written reports include a description of the allegation, any statements given by alleged victim, alleged abuser, or witnesses, evidence gathered, staff actions and responses, investigator actions, and an outcome determination based on the *preponderance of the evidence*.

115.71(g)

- Interview with investigative staff:

- Indicated criminal reports would be documented by the Spindale Police Department.
- Documentation review:
 - Zero criminal investigation reports during the past 12 months for auditor to review.

115.71(h)

- Facility response:
 - Substantiated allegations of conduct that appear to be criminal are referred for prosecution.
 - Zero substantiated allegations of conduct that appear to be criminal that were referred for prosecution since the last PREA audit.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - Restated the language of the provision.
- NCDAC Policy and Procedure PREA-300 *PREA Official Response and Investigations*:
 - Restated the language of the provision.
- Interview with investigative staff:
 - Indicated if the allegation includes possible criminal acts it is referred to Spindale Police Department.

115.71(i)

- Facility response:
 - The agency retains all written reports pertaining to the administrative or criminal investigation of alleged sexual abuse or sexual harassment for as long as the alleged abuser is incarcerated or employed by the agency, plus five years.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - All written reports referenced in paragraph (g) of this section shall be retained for as long as the alleged abuser is incarcerated or employed by the agency, plus five years.
- NCDAC Policy and Procedure PREA-300 *PREA Official Response and Investigations*:
 - All written PREA related reports shall be retained in the Offender Population Unified System (OPUS) for as long as the alleged abuser is incarcerated or employed by DAC, plus five years.

115.71(j)

- Interview with investigative staff:
 - Indicated an investigation is still completed when an alleged abuser, whether offender or staff, is no longer at the facility.

115.71(k)

- Auditor is not required to audit this provision.

115.71(l)

- Interview with warden:
 - Indicated facility investigator and/or warden would be the facility

	<p>liaison and work with the criminal investigator as needed.</p> <ul style="list-style-type: none"> • Interview with PREA coordinator: <ul style="list-style-type: none"> ◦ Indicated facility wardens and the office of internal affairs liaise with investigators to stay updated on case status. • Interview with PREA compliance manager: <ul style="list-style-type: none"> ◦ Indicated facility investigators would reach out to Spindale Police Department investigators for updates. • Interview with investigative staff: <ul style="list-style-type: none"> ◦ Indicated contacting the Spindale Police Department investigator for periodic updates. <p>Determination:</p> <p>The facility meets the standard.</p>
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115.72	Evidentiary standard for administrative investigations
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Evidence relied upon in making the compliance determinations:</p> <ul style="list-style-type: none"> • RCC PAQ <ul style="list-style-type: none"> ◦ Facility response ◦ NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022</i> ◦ NCDAC Policy and Procedure PREA-300 <i>PREA Official Response and Investigations, dated 09.04.2025</i> • Documentation review • Interview with investigative staff <p>Reasoning and analysis:</p> <p>115.72(a)</p> <ul style="list-style-type: none"> • Facility response: <ul style="list-style-type: none"> ◦ The agency imposes a standard of a preponderance of the evidence or a lower standard of proof when determining whether allegations of sexual abuse or sexual harassment are substantiated. • NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy:</i> <ul style="list-style-type: none"> ◦ Restated the language of the provision. • NCDAC Policy and Procedure PREA-300 <i>PREA Official Response and Investigations:</i> <ul style="list-style-type: none"> ◦ Restated the language of the provision. • Documentation review: <ul style="list-style-type: none"> ◦ Zero investigations were completed in the previous 12 months. ◦ Two investigations completed in 2024 used the <i>preponderance of the evidence</i> standard for determination of investigation outcome. • Interview with investigative staff: <ul style="list-style-type: none"> ◦ Indicated <i>preponderance of the evidence</i> is the evidentiary standard used in administrative investigations.

	<p>Determination:</p> <p>The facility meets the standard.</p>
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115.73	Reporting to inmates
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Evidence relied upon in making the compliance determinations:</p> <ul style="list-style-type: none"> • RCC PAQ <ul style="list-style-type: none"> ◦ Facility response ◦ NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022</i> ◦ NCDAC Policy and Procedure PREA-300 <i>PREA Official Response and Investigations, dated 09.04.2025</i> ◦ RCC Procedure E.1700 <i>Sexual Abuse and Sexual Harassment, dated 04.09.2025</i> ◦ NCDAC Form OPA-130 <i>Prison Rape Elimination Act (PREA) Support Services for Persons in Confinement, dated 01.01.2023</i> ◦ NCDAC Form OPA-131 <i>Prison Rape Elimination Act (PREA) Support Services – Status Notification for Persons in Confinement, dated 01.01.2023</i> • Documentation review • Interview with warden • Interview with investigative staff <p>Reasoning and analysis:</p> <p>115.73(a)</p> <ul style="list-style-type: none"> • Facility response: <ul style="list-style-type: none"> ◦ The agency has a policy requiring that any offender who makes an allegation that he or she suffered sexual abuse in an agency facility is informed, verbally or in writing, as to whether the allegation has been determined to be substantiated, unsubstantiated, or unfounded following an investigation by the agency. ◦ Zero criminal and/or administrative investigations of alleged offender sexual abuse that were completed by the agency/facility in the past 12 months. • NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy:</i> <ul style="list-style-type: none"> ◦ Following an investigation into an offender’s allegation that he or she suffered sexual abuse in a facility, the PSP shall inform the offender as to whether the allegation has been determined to be substantiated, unsubstantiated, or unfounded. ◦ Notification shall be documented on Form OPA-130 <i>Support Services</i>. ◦ If the DAC did not conduct the investigation, the PSP shall request, through the chain of command, the relevant information from the investigative agency in order to inform the offender. • NCDAC Policy and Procedure PREA-300 <i>PREA Official Response and Investigations:</i> <ul style="list-style-type: none"> ◦ Restated the relevant language of NCDAC Policy and Procedure F.3400.

- Interview with warden:
 - Indicated outcome notification is provided by PSP to victim offender upon completion of the investigation.
- Interview with investigative staff:
 - Indicated the alleged victim is notified of the investigation outcome at the conclusion of the investigation.

115.73(b)

- Facility response:
 - If an outside entity conducts such investigations, the agency requests the relevant information from the investigative entity in order to inform the offender of the outcome of the investigation.
 - Zero investigations of alleged offender sexual abuse in the facility that were completed by an outside agency in the past 12 months.

115.73(c)

- Facility response:
 - Following an offender's allegation that a staff member has committed sexual abuse against the offender, the agency/facility subsequently informs the offender (unless the agency has determined that the allegation is unfounded) whenever:
 - The staff member is no longer posted within the offender's unit;
 - The staff member is no longer employed at the facility;
 - The agency learns that the staff member has been indicted on a charge related to sexual abuse within the facility; or
 - The agency learns that the staff member has been convicted on a charge related to sexual abuse within the facility.
 - There have been zero substantiated or unsubstantiated complaints (i.e., not unfounded) of sexual abuse committed by a staff member against an offender in an agency facility in the previous 12 months.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - Restated the relevant language of the provision.
- Documentation review:
 - Zero investigations completed in the previous 12 months.

115.73(d)

- Facility response:
 - Following an offender's allegation that he or she has been sexually abused by another offender in an agency facility, the agency subsequently informs the alleged victim whenever:
 - The agency learns that the alleged abuser has been indicted on a charge related to sexual abuse within the facility; or
 - The agency learns that the alleged abuser has been convicted on a charge related to sexual abuse within the facility.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - Restated the language of the provision.
- NCDAC Form OPA-I31 *Prison Rape Elimination Act (PREA) Support Services - Status Notification for Persons in Confinement*:
 - Listed the required staff or offender aggressor status notifications.
- Document review:
 - The auditor observed zero allegations of sexual abuse in grievances or

other documentation provided by the facility.

115.73(e)

- Facility response:
 - The agency has a policy that all notifications to offenders described under this standard are documented.
 - In the past 12 months, zero notifications to offenders that were provided pursuant to this standard.
 - In the past 12 months, zero notifications documented.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - All such notifications or attempted notifications shall be documented.
- RCC Procedure E.1700 *Sexual Abuse and Sexual Harassment*:
 - Restated the relevant language of NCDAC Policy and Procedure F.3400.
- NCDAC Form OPA-I30 *Prison Rape Elimination Act (PREA) Support Services for Persons in Confinement*:
 - Section II contained:
 - Checkboxes for unfounded, unsubstantiated, and substantiated investigation outcomes; and
 - Signature and date lines for alleged victim and PSP.

115.73(f)

- Auditor is not required to audit this provision.

Determination:

The facility meets the standard.

115.76 Disciplinary sanctions for staff

Auditor Overall Determination: Meets Standard

Auditor Discussion

Evidence relied upon in making the compliance determinations:

- RCC PAQ
 - Facility response
 - NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*, dated 06.01.2022
 - NCDAC Policy and Procedure PREA-300 *PREA Official Response and Investigations*, dated 09.04.2025
- Documentation review

Reasoning and analysis:

115.76(a)

- Facility response:
 - Staff is subject to disciplinary sanctions up to and including

termination for violating agency sexual abuse or sexual harassment policies.

- NCDAC Policy and Procedure PREA-300 *PREA Official Response and Investigations*:
 - Employees shall be subject to disciplinary sanctions up to and including termination for violating agency sexual abuse or sexual harassment policies.
 - Any contractor or volunteer who engages in sexual abuse shall be immediately prohibited from contact with offenders and shall be reported to law enforcement agencies, unless the activity was clearly not criminal, and to relevant licensing bodies.

115.76(b)

- Facility response:
 - In the past 12 months, zero staff from the facility have violated agency sexual abuse or sexual harassment policies.
 - In the past 12 months, zero staff from the facility have been terminated (or resigned prior to termination) for violating agency sexual abuse or sexual harassment policies.
- Documentation review:
 - Auditor observed zero records of disciplinary sanctions taken against staff in the past 12 months.

115.76(c)

- Facility response:
 - The disciplinary sanctions for violations of agency policies relating to sexual abuse or sexual harassment (other than actually engaging in sexual abuse) are commensurate with the nature and circumstances of the acts committed, the staff member's disciplinary history, and the sanctions imposed for comparable offenses by other staff with similar histories.
 - In the past 12 months, zero staff from the facility who have been disciplined, short of termination, for violation of agency sexual abuse or sexual harassment policies (other than actually engaging in sexual abuse).
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - Disciplinary sanctions for violations of agency policies relating to sexual abuse or sexual harassment shall be commensurate with the nature and circumstances of the acts committed, the employee's disciplinary history, and the sanctions imposed for comparable offenses by other employees with similar histories.

115.76(d)

- Facility response:
 - All terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, are reported to law enforcement agencies (unless the activity was clearly not criminal) and to any relevant licensing bodies.
 - In the past 12 months, zero staff from the facility that have been reported to law enforcement or licensing boards following their termination (or resignation prior to termination) for violating agency sexual abuse or sexual harassment policies.

	<ul style="list-style-type: none"> • NCDAC Policy and Procedure PREA-300 <i>PREA Official Response and Investigations</i>: <ul style="list-style-type: none"> ◦ All terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by employees who would have been terminated if not for their resignation, shall be reported to law enforcement agencies, unless the activity was clearly not criminal, and to any relevant licensing bodies. <p>Determination:</p> <p>The facility meets the standard.</p>
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115.77	Corrective action for contractors and volunteers
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Evidence relied upon in making the compliance determinations:</p> <ul style="list-style-type: none"> • RCC PAQ <ul style="list-style-type: none"> ◦ Facility response ◦ NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022</i> ◦ NCDAC Policy and Procedure PREA-300 <i>PREA Official Response and Investigations, dated 09.04.2025</i> • Documentation review <p>Reasoning and analysis:</p> <p>115.77(a)</p> <ul style="list-style-type: none"> • Facility response: <ul style="list-style-type: none"> ◦ Agency policy requires that any contractor or volunteer who engages in sexual abuse be reported to law enforcement agencies (unless the activity was clearly not criminal) and to relevant licensing bodies. ◦ Agency policy requires that any contractor or volunteer who engages in sexual abuse be prohibited from contact with offenders. ◦ In the past 12 months, zero contractors or volunteers have been reported to law enforcement agencies and relevant licensing bodies for engaging in sexual abuse of offenders. • NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy</i>: <ul style="list-style-type: none"> ◦ Any contractor or volunteer who engages in sexual abuse shall be immediately prohibited from contact with offenders and shall be reported to law enforcement agencies, unless the activity was clearly not criminal, and to relevant licensing bodies. • NCDAC Policy and Procedure PREA-300 <i>PREA Official Response and Investigations</i>: <ul style="list-style-type: none"> ◦ Restated the relevant language of NCDAC Policy and Procedure F.3400. • Documentation review: <ul style="list-style-type: none"> ◦ Zero documentation of allegations of contractor or volunteer sexual abuse in the past 12 months.

	<p>115.77(b)</p> <ul style="list-style-type: none"> • Facility response: <ul style="list-style-type: none"> ◦ The facility takes appropriate remedial measures and considers whether to prohibit further contact with offenders in the case of any other violation of agency sexual abuse or sexual harassment policies by a contractor or volunteer. • NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy</i>: <ul style="list-style-type: none"> ◦ Volunteers /Contracting agents: <ul style="list-style-type: none"> ■ Appropriate remedial measures shall be considered whether to prohibit further contact with offenders in the case of any other violation of sexual abuse or sexual harassment policies. • NCDAC Policy and Procedure PREA-300 <i>PREA Official Response and Investigations</i>: <ul style="list-style-type: none"> ◦ Restated the relevant language of NCDAC Policy and Procedure F.3400. • Interview with warden: <ul style="list-style-type: none"> ◦ Indicated contractor or volunteer would be barred from contact with offenders pending the outcome of the investigation. <p>Determination:</p> <p>The facility meets the standard.</p>
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115.78	Disciplinary sanctions for inmates
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Evidence relied upon in making the compliance determinations:</p> <ul style="list-style-type: none"> • RCC PAQ <ul style="list-style-type: none"> ◦ Facility response ◦ NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022</i> ◦ NCDAC Policy and Procedure PREA-300 <i>PREA Official Response and Investigations, dated 09.04.2025</i> ◦ NCDAC Policy and Procedure B.0300 <i>Offender Conduct Rules, dated 12.14.2021</i> • Supplemental documentation <ul style="list-style-type: none"> ◦ NCDAC Policy and Procedure B.0200 <i>Offender Disciplinary Procedures, dated 12.04.2024</i> • Documentation review • Interview with warden • Interview with medical staff <p>Reasoning and analysis:</p> <p>115.78(a)</p> <ul style="list-style-type: none"> • Facility response: <ul style="list-style-type: none"> ◦ Offenders are subject to disciplinary sanctions only pursuant to a formal disciplinary process following an administrative finding that an

- offender engaged in offender-on-offender sexual abuse.
- Offenders are subject to disciplinary sanctions only pursuant to a formal disciplinary process following a criminal finding of guilt for offender-on-offender sexual abuse.
- In the past 12 months, zero administrative findings of offender-on-offender sexual abuse that have occurred at the facility.
- In the past 12 months, zero criminal findings of guilt for offender-on-offender sexual abuse that have occurred at the facility.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - Restated the language of the provision.
- NCDAC Policy and Procedure PREA-300 *PREA Official Response and Investigations*:
 - Restated the language of the provision.

115.78(b)

- NCDAC Policy and Procedure B.0200 *Offender Disciplinary Procedures*:
 - Class A, Category I Offenses:
 - (A05) Commit an assault on another offender with intent to commit any sexual act.
 - (A11) Commit an assault on a staff member with intent to commit any sexual act.
 - (A17) Commit an assault on any person, other than an employee or offender, with intent to commit any sexual act.
 - (A25) Commit, solicit, or incite others to commit any sexual act or indecently expose oneself, or touch the sexual or other intimate parts of oneself or another person for the purpose of sexual gratification.
- Interview with warden:
 - Indicated a substantiated investigation outcome would lead to a disciplinary process for the abuser.

115.78(c)

- Interview with warden:
 - Indicated disciplinary process would consider whether the offender's mental disabilities or mental illness contributed to their behavior when determining if and what type of sanction should be imposed.
 - Indicated the mental health provider would give input for determining the sanction given.

115.78(d)

- Facility response:
 - The facility offers therapy, counseling, or other interventions designed to address and correct the underlying reasons or motivations for abuse.
 - The facility considers whether to require the offending offender to participate in such interventions as a condition of access to programming or other benefits.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - A mental health evaluation shall be conducted after a substantiated incident and the offender shall be offered treatment when deemed appropriate by mental health practitioners. If the facility offers therapy, counseling, or other interventions designed to address and

correct underlying reasons or motivations for the abuse, the facility shall consider whether to require the offending offender to participate in such interventions as a condition of access to programming or other benefits.

- NCDAC Policy and Procedure PREA-300 *PREA Official Response and Investigations*:
 - Restated the relevant language of NCDAC Policy and Procedure F.3400.
- Interview with medical staff:
 - Indicated the facility offers counseling or other interventions to the abusing offender.
 - Indicated the facility considers whether to require the abusing offender to participate but participation in the intervention needs to be voluntary.

115.78(e)

- Facility response:
 - The agency disciplines offenders for sexual conduct with staff only upon finding that the staff member did not consent to such contact.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - The agency may not discipline an offender victim for sexual contact with staff unless a finding that the staff member did not consent to such contact.
- Documentation review:
 - The auditor noted zero incidents of offender discipline relevant to this provision.

115.78(f)

- Facility response:
 - The agency prohibits disciplinary action for a report of sexual abuse made in good faith based upon a reasonable belief that the alleged conduct occurred, even if an investigation does not establish evidence sufficient to substantiate the allegation.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - Restated the language of the provision.

115.78(g)

- Facility response:
 - The agency prohibits all sexual activity between offenders.
 - If the agency prohibits all sexual activity between offenders and disciplines offenders for such activity, the agency deems such activity to constitute sexual abuse only if it determines that the activity is coerced.
- NCDAC Policy and Procedure B.0200 *Offender Disciplinary Procedures*:
 - Class A, Category I Offenses:
 - (A05) Commit an assault on another offender with intent to commit any sexual act.
 - (A11) Commit an assault on a staff member with intent to commit any sexual act.
 - (A17) Commit an assault on any person, other than an employee or offender, with intent to commit any sexual act.
 - (A25) Commit, solicit, or incite others to commit any sexual act or indecently expose oneself, or touch the sexual or other

	<p style="text-align: center;">intimate parts of oneself or another person for the purpose of sexual gratification.</p> <ul style="list-style-type: none"> • NCDAC Policy and Procedure B.0300 <i>Offender Conduct Rules</i>: <ul style="list-style-type: none"> ◦ Sexual Misconduct: Committing, soliciting, or inciting others to commit a sexual act will be subject to disciplinary action. • NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy</i>: <ul style="list-style-type: none"> ◦ Sexual abuse of an offender, detainee, or resident by another offender, detainee, or resident includes any of the following acts, if the victim does not consent, is coerced into such act by overt or implied threats of violence, or is unable to consent or refuse: <ul style="list-style-type: none"> ■ Contact between the penis and the vulva or the penis and the anus, including penetration, however slight. ■ Contact between the mouth and the penis, vulva, or anus. ■ Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument; and ■ Any other intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or the buttocks of another person, excluding contact incidental to a physical altercation. <p>Determination:</p> <p>The facility meets the standard.</p>
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115.81	Medical and mental health screenings; history of sexual abuse
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Evidence relied upon in making the compliance determinations:</p> <ul style="list-style-type: none"> • RCC PAQ <ul style="list-style-type: none"> ◦ Facility response ◦ NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022</i> ◦ RCC Procedure E.1700 <i>Sexual Abuse and Sexual Harassment, dated 04.09.2025</i> ◦ NCDAC Policy and Procedure PREA-100 <i>PREA Prevention Planning and Screening, dated 09.04.2025</i> ◦ NCDPS Health Services Policy & Procedure CP-18 <i>Sexual Abuse, dated 02.2014.</i> • Supplemental documentation: <ul style="list-style-type: none"> ◦ Form DC-945 <i>Confidentiality and Privileged Information, dated 10.222</i> • Site review • Documentation review • Interview with staff responsible for risk screening <p>Reasoning and analysis:</p> <p>115.81(a)</p>

- Facility response:
 - All offenders at this facility who have disclosed any prior sexual victimization during a screening pursuant to §115.41 are offered a follow-up meeting with a medical or mental health practitioner.
 - The follow-up meeting was offered within 14 days of the intake screening.
 - In the past 12 months, zero offenders who disclosed prior victimization during screening who were offered a follow-up meeting with a medical or mental health practitioner.
 - Medical and mental health staff maintain secondary materials (e.g., form, log) documenting compliance with the above required services.
 - Documentation is stored in the HERO electronic medical database.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - Restated the language of the provision.
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
 - Restated the language of the provision.
- Documentation review:
 - Zero offenders disclosed prior victimization during screening.
- Site review:
 - Auditor confirmed with staff that a referral is made if an offender discloses prior sexual victimization during a screening.
 - Offenders who disclosed prior victimization during initial or follow-up screenings at a diagnostic facility, prior to transfer to RCC, are offered a follow-up meeting at the diagnostic facility.
- Interview with staff responsible for risk screening:
 - Indicated that a referral to mental health is made when the screening indicates that an offender affirmatively answers the prior sexual victimization question.

115.81(b)

- Facility response:
 - All prison offenders who have previously perpetrated sexual abuse, as indicated during the screening pursuant to § 115.41, are offered a follow-up meeting with a mental health practitioner.
 - The follow-up meeting was offered within 14 days of the intake screening.
 - In the past 12 months, zero percent of offenders who have previously perpetrated sexual abuse, as indicated during the screening, who were offered a follow-up meeting with a mental health practitioner.
 - Mental health staff maintain secondary materials (e.g., form, log) documenting compliance with the above required services.
 - Documentation is stored in the HERO electronic medical database.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - Restated the language of the provision.
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
 - Restated the language of the provision.
- Interview with staff responsible for risk screening:
 - Indicated that a referral is made at the diagnostic facility when the initial or follow-up screening indicates that an offender previously perpetrated sexual abuse.

115.81(c)

1. Not applicable to prisons.

115.81(d)

- Facility response:
 - Information related to sexual victimization or abusiveness that occurred in an institutional setting is not strictly limited to medical and mental health practitioners.
 - The information shared with other staff is strictly limited to informing security and management decisions, including treatment plans, housing, bed, work, education, and program assignments, or as otherwise required by federal, state, or local law.
- RCC Procedure E.1700 *Sexual Abuse and Sexual Harassment*:
 - Restated the language of the provision.
- NCDAC Policy and Procedure PREA-100 *PREA Prevention Planning and Screening*:
 - Restated the language of the provision.
- Site review:
 - Physical storage of hard-copy PREA-related documentation was secured by locks.
 - Electronic storage of PREA-related documentation was secured through profile-based access and password protection.

115.81(e)

- Facility response:
 - Medical and mental health practitioners obtain informed consent from offenders before reporting information about prior sexual victimization that did not occur in an institutional setting, unless the offender is under the age of 18.
- NCDPS Health Services Policy & Procedure CP-18 *Sexual Abuse*:
 - Restated the language of the provision.
- Form DC-945 *Confidentiality and Privileged Information*:
 - Explains limits to the confidentiality of offender behavioral health information.
 - Includes signature line affirming the offender has:
 - Read the form or had it read to them;
 - Had an opportunity to ask questions and have questions answered; and
 - Fully understand the limits of confidentiality.
- Interview with medical staff:
 - Indicated informed consent is obtained from an offender before reporting about prior sexual victimization that did not occur in an institutional setting.
 - Indicated the facility does not house youthful offenders.

Determination:

The facility meets the standard.

115.82	Access to emergency medical and mental health services
	Auditor Overall Determination: Meets Standard
	Auditor Discussion

Evidence relied upon in making the compliance determinations:

- RCC PAQ
 - Facility response
 - NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022*
 - NCDAC Policy and Procedure PREA-300 *PREA Official Response and Investigations, dated 09.04.2025*
 - NCDPS Health Services Policy and Procedure AD III-1 *Offender Co-Pay, dated 01.2016*
 - NCDPS Health Services Policy & Procedure *CP-18 Sexual Abuse, dated 02.2014*
- Interview with medical staff
- Interviews with random sample of staff

Reasoning and analysis:

115.82(a)

- Facility response:
 - Offender victims of sexual abuse receive timely, unimpeded access to emergency medical treatment and crisis intervention services.
 - The nature and scope of such services are determined by medical and mental health practitioners according to their professional judgment.
 - Documentation is stored in the HERO electronic medical database.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - If an alleged act of sexual abuse has occurred and there may be forensic medical evidence, the offender may need medical assistance, or other circumstances dictate, arrangements shall be promptly made to have the alleged offender-victim examined by medical services.
- NCDPS Health Services Policy & Procedure *CP-18 Sexual Abuse*:
 - It is the policy of the Division of Adult Correction to provide to all offenders who allege sexual abuse a prompt medical evaluation and to offer a referral to Mental Health Services.
 - This protocol is not intended to be applicable to every clinical situation which practitioners may encounter and is not meant as a substitute for individual judgment and professional expertise.
- Interview with medical staff:
 - Indicated that offender victims of sexual abuse are assessed by the facility's medical practitioner and receive timely and unimpeded access to emergency medical treatment as soon as medical is notified.
 - Indicated that treatment provided is determined according to medical staff's professional judgement, training, experience, and medical policies.

115.82(b)

- Interviews with random sample of staff:
 - Indicated that the Officer in Charge would notify the on-site medical or on-call medical practitioner immediately.

115.82(c)

- Facility response:
 - Offender victims of sexual abuse while incarcerated are offered timely information about and timely access to emergency contraception and

	<p>sexually transmitted infections prophylaxis, in accordance with professionally accepted standards of care, where medically appropriate.</p> <ul style="list-style-type: none"> • NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy</i>: <ul style="list-style-type: none"> ◦ Medical Services will follow medical protocol, which includes provisions for examination, documentation, and transport to the local emergency department when appropriate, where the following will occur, collection of forensic evidence, testing for sexually transmitted diseases, counseling, and prophylactic treatment. Medical Services will ensure that the offender receives medical follow-up and is offered a referral for mental health services. • NCDAC Policy and Procedure PREA-300 <i>PREA Official Response and Investigations</i>: <ul style="list-style-type: none"> ◦ Restated the language of NCDAC Policy and Procedure F.3400. • Interview with medical staff: <ul style="list-style-type: none"> ◦ Indicated victims of sexual abuse are offered timely information about access to sexually transmitted infection prophylaxis. <p>115.82(d)</p> <ul style="list-style-type: none"> • Facility response: <ul style="list-style-type: none"> ◦ Treatment services are provided to every victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident. • NCDPS Health Services Policy and Procedure AD III-1 <i>Offender Co-Pay</i>: <ul style="list-style-type: none"> ◦ If emergency evaluation and/or treatment is provided and the condition is determined to be a potential emergency, immediate medical treatment is required or there is an admission to an infirmary or hospital there will be no copayment charged. • NCDPS Health Services Policy & Procedure CP-18 <i>Sexual Abuse</i>: <ul style="list-style-type: none"> ◦ All care for sexual abuse will be provided at no cost. <p>Determination:</p> <p>The facility meets the standard.</p>
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115.83	Ongoing medical and mental health care for sexual abuse victims and abusers
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Evidence relied upon in making the compliance determinations:</p> <ul style="list-style-type: none"> • RCC PAQ <ul style="list-style-type: none"> ◦ Facility response ◦ NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022</i> ◦ NCDPS Health Services Policy & Procedure CP-18 <i>Sexual Abuse, dated 02.2014</i> • Documentation review • Interview with medical staff

Reasoning and analysis:

115.83(a)

- Facility response
 - The facility offers medical and mental health evaluation and, as appropriate, treatment to all offenders who have been victimized by sexual abuse in any prison, jail, lockup, or juvenile facility.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - Medical Services will follow medical protocol, which includes provisions for examination, documentation, and transport to the local emergency department when appropriate, where the following will occur, collection of forensic evidence, testing for sexually transmitted diseases, counseling, and prophylactic treatment. Medical Services will ensure that the offender receives medical follow-up and is offered a referral for mental health services.
- NCDPS Health Services Policy & Procedure CP-18 *Sexual Abuse*:
 - NCDAC will provide all offenders who allege sexual abuse a prompt medical evaluation and to offer a referral to mental health services.

115.83(b)

- Interview with medical staff:
 - Indicated victimized offenders receive initial assessment and treatment of injuries as needed, additional services at hospital and/or facility as appropriate, mental health referral, and continuation of care based on discharge summary and provider orders.

115.83(c)

- Interview with medical staff:
 - Indicated that medical services provided are consistent with the community level of care.

115.83(d)

- Facility response:
 - Not applicable. Rutherford Correctional Center is an all-male facility.
- Documentation review:
 - Auditor noted zero transgender men from facility information received.

115.83(e)

- Facility response:
 - Not applicable. Rutherford Correctional Center is an all-male facility.
- Documentation review:
 - Auditor noted zero transgender men from facility information received.

115.83(f)

- Facility response:
 - Offender victims of sexual abuse while incarcerated are offered tests for sexually transmitted infections as medically appropriate.
 - NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual*

Harassment Policy:

- Medical Services will follow medical protocol, which includes provisions for examination, documentation, and transport to the local emergency department when appropriate, where the following will occur, collection of forensic evidence, testing for sexually transmitted diseases, counseling, and prophylactic treatment.

115.83(g)

- Facility response:
 - Treatment services are provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident.
- NCDPS Health Services Policy & Procedure CP-18 *Sexual Abuse*:
 - All care for sexual abuse will be provided at no cost.

115.83(h)

- Facility response:
 - The facility attempts to conduct a mental health evaluation of all known offender-on-offender abusers within 60 days of learning of such abuse history and offers treatment when deemed appropriate by mental health practitioners.
- NCDPS Health Services Policy & Procedure CP-18 *Sexual Abuse*:
 - Once an investigation has been completed and an offender has been determined to be an abuser, within 60 days, a mental health provider will attempt to conduct an evaluation and offer treatment when deemed appropriate.
- Interview with medical staff:
 - A mental health referral is made for known offender-on-offender abusers.

Determination:

The facility meets the standard.

115.86 Sexual abuse incident reviews

Auditor Overall Determination: Meets Standard

Auditor Discussion

Evidence relied upon in making the compliance determinations:

- RCC PAQ
 - Facility response
 - NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022*
 - NCDAC Policy and Procedure PREA-300 *PREA Official Response and Investigations, dated 09.04.2025*
 - Form OPA-I10 *Post Incident Review (PIR), revised 01.01.2023*
- Documentation review

- Interview with warden
- Interview with PREA compliance manager

Reasoning and analysis:

115.86(a)

- Facility response:
 - The facility conducts a sexual abuse incident review at the conclusion of every criminal or administrative sexual abuse investigation, unless the allegation has been determined to be unfounded.
 - In the past 12 months, zero criminal and/or administrative investigations of alleged sexual abuse completed at the facility, excluding only "unfounded" incidents.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - A PIR shall be completed for all substantiated and unsubstantiated allegations of sexual abuse and documented on Form OPA-110 Post Incident Review (PIR).
- Documentation review:
 - Auditor noted zero allegations of sexual abuse in the past 12 months.

115.86(b)

- Facility response:
 - The facility ordinarily conducts a sexual abuse incident review within 30 days of the conclusion of the criminal or administrative sexual abuse investigation.
 - In the past 12 months, zero criminal and/or administrative investigations of alleged sexual abuse completed at the facility that were followed by a sexual abuse incident review within 30 days, excluding only "unfounded" incidents.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - The PIR shall be completed by the facility within 30 days of the conclusion of the sexual abuse investigation.
- NCDAC Policy and Procedure PREA-300 *PREA Official Response and Investigations*:
 - Restated the relevant language of NCDAC Policy and Procedure F.3400.

115.86(c)

- Facility response:
 - The sexual abuse incident review team includes upper-level management officials and allows for input from line supervisors, investigators, and medical or mental health practitioners.
- NCDAC Policy and Procedure PREA-300 *PREA Official Response and Investigations*:
 - The PIR is completed with input from upper-level management officials, investigators, or medical or mental health practitioners, and any other relevant employee/participant.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - Restated the relevant language of NCDAC Policy and Procedure PREA-300.
- Interview with warden:
 - Indicated have not had an investigation in the past 12 months so there

was not a subsequent sexual abuse incident review.

- Indicated the incident review team looks at operational, offender dynamics, video monitoring and blind spots, staffing, policy, and procedural issues that may have contributed to the incident.

115.86(d)

- Facility response:
 - The facility prepares a report of its findings from sexual abuse incident reviews including, but not necessarily limited to, determinations made pursuant to paragraphs (d)(1)-(d)(5) of this section and any recommendations for improvement, and submits such report to the facility head and PREA compliance manager.
- NCDAC Policy and Procedure PREA-300 *PREA Official Response and Investigations*:
 - Restated the language of the provision.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - Restated the language of the provision.
- Form OPA-110 *Post Incident Review (PIR)*:
 - Contains all six requirements of the provision.
- Interview with PREA compliance manager:
 - Indicated have not had an investigation in the past 12 months so there was not a subsequent sexual abuse incident review.
 - Indicated results of incident reviews are documented on the PIR form.
 - Indicated the incident review team would look at prevention techniques, possible blind spots, staffing levels, staff positioning, and staff actions taken during the incident.
 - Indicated corrective actions would be implemented as soon as feasible.

115.86(e)

- Facility response:
 - The facility implements the recommendations for improvement or documents its reasons for not doing so.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - Report containing recommendations for improvement is submitted to the warden and PREA compliance manager.

Determination:

The facility meets the standard.

115.87	Data collection
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	Evidence relied upon in making the compliance determinations:

- RCC PAQ
 - Facility response
 - NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022*
 - NCDAC Policy and Procedure PREA-300 *PREA Official Response and Investigations, dated 09.04.2025*
 - NCDAC Prison Rape Elimination Act (PREA) of 2003, *Sexual Abuse Annual Report 2022-2023, undated*
- Supplemental files:
 - The Center for Women *PREA Facility Audit Report: Final, dated 07.21.2025*

Reasoning and analysis:

115.87(a)

- Facility response:
 - The agency collects accurate, uniform data for every allegation of sexual abuse at facilities under its direct control using a standardized instrument and set of definitions.
- NCDAC Policy and Procedure F.3400 *Offender Sexual Abuse and Sexual Harassment Policy*:
 - Accurate, uniform data for every allegation of sexual abuse shall be documented in OPUS by all facilities.
- NCDAC Policy and Procedure PREA-300 *PREA Official Response and Investigations*:
 - Restated relevant language of NCDAC Policy and Procedure F.3400.

115.87(b)

- Facility response:
 - The agency aggregates the incident-based sexual abuse data at least annually.
- NCDAC Prison Rape Elimination Act (PREA) of 2003, *Sexual Abuse Annual Report 2022-2023*:
 - 2023 aggregated data by incident type and investigation outcome.
 - 2022 aggregated data by incident type and investigation outcome.
 - Comparison charts for 2022 and 2023 by:
 - Type of reported allegation;
 - Type of substantiated case;
 - Type of alleged perpetrator;
 - Gender of alleged victim; and
 - Victims that identify as transgender offender.

115.87(c)

- Facility response:
 - The standardized instrument includes, at a minimum, the data necessary to answer all questions from the most recent version of the Survey of Sexual Violence (SSV) conducted by the Department of Justice.

115.87(d)

- Facility response:
 - The agency maintains, reviews, and collects data as needed from all

	<p>available incident-based documents, including reports, investigation files, and sexual abuse incident reviews.</p> <ul style="list-style-type: none"> • NCDAC Policy and Procedure PREA-300 <i>PREA Official Response and Investigations</i>: <ul style="list-style-type: none"> ◦ All written investigation reports will be retained as long as the alleged abuser is incarcerated or employed by the agency, plus five years; or sexual abuse data collected for at least 10 years after the date of the initial collection unless Federal, State, or local law requires otherwise, whichever is greater. ◦ Upon completion of the Post Incident Review (PIR), a copy of the PIR will be attached to the OPUS incident report for data collection and analysis. <p>115.87(e)</p> <ul style="list-style-type: none"> • Facility response: <ul style="list-style-type: none"> ◦ Not applicable. The agency does not contract for the confinement of its offenders. • The Center for Women <i>PREA Facility Audit Report: Final</i>: <ul style="list-style-type: none"> ◦ The agency obtains incident-based and aggregated data from the one private facility with which it contracts for the confinement of offenders. <p>115.87(f)</p> <ul style="list-style-type: none"> • Facility response: <ul style="list-style-type: none"> ◦ The agency provided the Department of Justice (DOJ) with data from the previous calendar year upon request. • NCDAC Prison Rape Elimination Act (PREA) of 2003, Sexual Abuse Annual Report 2022-2023: <ul style="list-style-type: none"> ◦ Displayed aggregated sexual abuse and sexual harassment data for 2023 and 2022. <p>Determination:</p> <p>The facility meets the standard.</p>
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115.88	Data review for corrective action
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Evidence relied upon in making the compliance determinations:</p> <ul style="list-style-type: none"> • RCC PAQ <ul style="list-style-type: none"> ◦ Facility response ◦ NCDAC <i>Prison Rape Elimination Act (PREA) of 2003, Sexual Abuse Annual Report 2022-2023, undated</i> • Supplemental documentation: <ul style="list-style-type: none"> ◦ NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022</i>

Reasoning and analysis:

115.88(a)

- The agency reviews data collected and aggregated pursuant to §115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, response policies, and training, including:
 - Identifying problem areas;
 - Taking corrective action on an ongoing basis; and
 - Preparing an annual report of its findings from its data review and any corrective actions for each facility, as well as the agency as a whole.
- NCDAC *Prison Rape Elimination Act (PREA) of 2023, Sexual Abuse Annual Report 2022-2023*:
 - Described corrective actions taken at each facility that had a substantiated sexual abuse allegation in 2022 or 2023.
- Interview with agency head designee:
 - Use post-incident sexual abuse data to determine if there is a need for policy changes, training modifications, or practice adjustments.
- Interview with PREA coordinator:
 - Indicated the agency takes corrective action after identifying an issue and lists corrective actions by facility in the annual reports.
- Interview with PREA compliance manager:
 - Indicated the facility provides facility data and works with the NCDAC PREA Office to develop corrective actions when needed.

115.88(b)

- Facility response:
 - The annual report includes a comparison of the current year's data and corrective actions with those from prior years.
 - The annual report provides an assessment of the agency's progress in addressing sexual abuse.
- NCDAC *Prison Rape Elimination Act (PREA) of 2003, Sexual Abuse Annual Report 2022-2023*:
 - Described departmental accomplishments in prevention, response, training and education.

115.88(c)

- Facility response:
 - The agency makes its annual report readily available to the public at least annually through its website.
 - Listed <https://www.dac.nc.gov/divisions-and-sections/administrative/prison-rape-elimination-act-prea-office>
 - The annual reports are approved by the agency head.
- Interview with agency head designee:
 - Indicated the Secretary of the NC Department of Adult Correction approves annual reports.

115.88(d)

- Facility response:
 - When the agency redacts material from an annual report for publication, the redactions are limited to specific materials where publication would present a clear and specific threat to the safety and security of the facility.
 - The agency indicates the nature of material redacted.

	<ul style="list-style-type: none"> • NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy</i>: <ul style="list-style-type: none"> ◦ CONFIDENTIALITY: The facility investigator and all others involved in the PREA process, to the extent possible, will ensure the confidentiality of PREA complaints as well as all data collected through the investigation of those complaints except as required in the following circumstances: (1) to cooperate with law enforcement in any investigation and prosecution of the incidents alleged in such complaints; (2) to take and enforce disciplinary action against any staff member as a result of the incidents alleged in the complaints; (3) to defend against claims brought by the offender for violation of the offender’s rights for having been subjected to sexual abuse; and (4) to otherwise comply with the law. • Interview with PREA coordinator: <ul style="list-style-type: none"> ◦ Indicated the annual report does not include sensitive information as it is a public document. <p>Determination:</p> <p>The facility meets the standard.</p>
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115.89	Data storage, publication, and destruction
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Evidence relied upon in making the compliance determinations:</p> <ul style="list-style-type: none"> • RCC PAQ <ul style="list-style-type: none"> ◦ Facility response ◦ NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy, dated 06.01.2022</i> ◦ NCDAC Policy and Procedure PREA-300 <i>PREA Official Response and Investigations, dated 09.04.2025</i> ◦ https://www.dac.nc.gov/divisions-and-sections/administrative/prison-rape-elimination-act-prea-office • Supplemental documentation <ul style="list-style-type: none"> ◦ NCDAC Prison Rape Elimination Act (PREA) of 2003, <i>Sexual Abuse Annual Report 2022-2023, undated</i> • Site review • Interview with PREA coordinator <p>Reasoning and analysis:</p> <p>115.89(a)</p> <ul style="list-style-type: none"> • Facility response: <ul style="list-style-type: none"> ◦ The agency ensures that incident-based and aggregate data are securely retained. • NCDAC Policy and Procedure F.3400 <i>Offender Sexual Abuse and Sexual Harassment Policy</i>: <ul style="list-style-type: none"> ◦ Ensure that data is securely retained. • Site review:

- Facility physical storage of hard-copy PREA-related documentation was secured by locks.
- Facility electronic storage of PREA-related documentation was secured through role-based access and password protection.
- Interview with PREA coordinator:
 - Indicated electronic data is securely retained through the web-based system, OPUS, and roles-based access.

115.89(b)

- Facility response:
 - Agency policy requires that aggregated sexual abuse data from facilities under its direct control and private facilities with which it contracts be made readily available to the public at least annually through its website.
 - Listed <https://www.dac.nc.gov/divisions-and-sections/administrative/prison-rape-elimination-act-prea-office>
- <https://www.dac.nc.gov/divisions-and-sections/administrative/prison-rape-elimination-act-prea-office>:
 - Contained links to annual reports with aggregated sexual abuse data from its facilities.
 - Contained links to facility PREA audit final reports.

115.89(c)

- Facility response:
 - Before making aggregated sexual abuse data publicly available, the agency removes all personal identifiers.
 - The agency maintains sexual abuse data collected pursuant to §115.87 for at least 10 years after the date of initial collection, unless federal, state, or local law requires otherwise.
- NCDAC Policy and Procedure PREA-300 *PREA Official Response and Investigations*:
 - All written investigation reports will be retained as long as the alleged abuser is incarcerated or employed by the agency, plus five years; or sexual abuse data collected for at least 10 years after the date of the initial collection unless Federal, State, or local law requires otherwise, whichever is greater.
- NCDAC Prison Rape Elimination Act (PREA) of 2003, *Sexual Abuse Annual Report 2022-2023*:
 - All personal identifiers removed from aggregated sexual abuse data.

115.89(d)

- <https://www.dac.nc.gov/divisions-and-sections/administrative/prison-rape-elimination-act-prea-office> contained links to:
 - Sexual abuse annual reports for 2015-2024; and
 - Facility PREA audit reports for 2014-2025.

Determination:

The facility meets the standard.

Auditor Overall Determination: Meets Standard

Auditor Discussion

Evidence relied upon in making the compliance determinations:

- <https://www.dac.nc.gov/divisions-and-sections/administrative/prison-rape-elimination-act-prea-office>
- Document review
- Site review

Reasoning and analysis:

115.401(a)

- <https://www.dac.nc.gov/divisions-and-sections/administrative/prison-rape-elimination-act-prea-office>
 - Contained links to facility PREA audit reports for 2014-2025.
 - Facilities were audited once every three-year cycle.
 - The agency met this standard during Cycle IV.

115.401(b)

- <https://www.dac.nc.gov/divisions-and-sections/administrative/prison-rape-elimination-act-prea-office>
 - The facility met this provision in the prior year.
 - During audit cycle IV:
 - 17 facilities were audited in Year 1
 - 20 facilities were audited in Year 2
 - 22 facilities were audited in Year 3

115.401(c) - (g)

1. Not applicable.

115.401(h)

- The facility provided the auditor with full access to all areas of the audited facility.

115.401(i)

- The auditor was permitted to requested and receive copies of all relevant documents and information.

115.401(m)

- The facility provided the auditor a private setting to conduct interviews with offenders selected by the auditor.

115.401(n)

- Document review:
 - The facility posted the Notice of Audit in English and Spanish

	<p>throughout the facility and emailed proof photos to the auditor.</p> <ul style="list-style-type: none"> ◦ Notice of Audit included: <ul style="list-style-type: none"> ■ Dates for the onsite portion of the facility’s PREA audit; ■ A confidentiality statement; ■ Auditor’s name; ■ Auditor's mailing address; and ■ Auditor's email address. • Site review: <ul style="list-style-type: none"> ◦ Auditor verified that Notices of Audit were posted in housing units and other high traffic areas for offenders and staff. ◦ Through informal conversations with the auditor, offenders indicated that the Notice of Audit had been posted for several weeks. ◦ Offenders stated they had access to locked mail boxes to send mail so letters did not have to be given to staff. <p>Determination:</p> <p>The facility meets the standard.</p>
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115.403	Audit contents and findings
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Evidence relied upon in making the compliance determinations:</p> <ul style="list-style-type: none"> • https://www.dac.nc.gov/divisions-and-sections/administrative/prison-rape-elimination-act-prea-office <p>Reasoning and analysis:</p> <p>115.403(f)</p> <ul style="list-style-type: none"> • https://www.dac.nc.gov/divisions-and-sections/administrative/prison-rape-elimination-act-prea-office: <ul style="list-style-type: none"> ◦ During audit cycle IV: <ul style="list-style-type: none"> ■ Final reports were posted for 17 facilities audited in Year 1 ■ Final reports were posted for 20 facilities audited in Year 2 ■ Final reports were posted for 22 facilities audited in Year 3 <p>Determination:</p> <p>The facility meets the standard.</p>

Appendix: Provision Findings		
115.11 (a)	Zero tolerance of sexual abuse and sexual harassment; PREA coordinator	
	Does the agency have a written policy mandating zero tolerance toward all forms of sexual abuse and sexual harassment?	yes
	Does the written policy outline the agency's approach to preventing, detecting, and responding to sexual abuse and sexual harassment?	yes
115.11 (b)	Zero tolerance of sexual abuse and sexual harassment; PREA coordinator	
	Has the agency employed or designated an agency-wide PREA Coordinator?	yes
	Is the PREA Coordinator position in the upper-level of the agency hierarchy?	yes
	Does the PREA Coordinator have sufficient time and authority to develop, implement, and oversee agency efforts to comply with the PREA standards in all of its facilities?	yes
115.11 (c)	Zero tolerance of sexual abuse and sexual harassment; PREA coordinator	
	If this agency operates more than one facility, has each facility designated a PREA compliance manager? (N/A if agency operates only one facility.)	yes
	Does the PREA compliance manager have sufficient time and authority to coordinate the facility's efforts to comply with the PREA standards? (N/A if agency operates only one facility.)	yes
115.12 (a)	Contracting with other entities for the confinement of inmates	
	If this agency is public and it contracts for the confinement of its inmates with private agencies or other entities including other government agencies, has the agency included the entity's obligation to comply with the PREA standards in any new contract or contract renewal signed on or after August 20, 2012? (N/A if the agency does not contract with private agencies or other entities for the confinement of inmates.)	yes
115.12 (b)	Contracting with other entities for the confinement of inmates	
	Does any new contract or contract renewal signed on or after August 20, 2012 provide for agency contract monitoring to ensure	yes

	that the contractor is complying with the PREA standards? (N/A if the agency does not contract with private agencies or other entities for the confinement of inmates.)	
115.13 (a)	Supervision and monitoring	
	Does the facility have a documented staffing plan that provides for adequate levels of staffing and, where applicable, video monitoring, to protect inmates against sexual abuse?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Generally accepted detention and correctional practices?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any judicial findings of inadequacy?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any findings of inadequacy from Federal investigative agencies?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any findings of inadequacy from internal or external oversight bodies?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: All components of the facility's physical plant (including "blind-spots" or areas where staff or inmates may be isolated)?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The composition of the inmate population?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The number and placement of supervisory staff?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The institution programs occurring on a particular shift?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into	yes

	consideration: Any applicable State or local laws, regulations, or standards?	
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The prevalence of substantiated and unsubstantiated incidents of sexual abuse?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any other relevant factors?	yes
115.13 (b)	Supervision and monitoring	
	In circumstances where the staffing plan is not complied with, does the facility document and justify all deviations from the plan? (N/A if no deviations from staffing plan.)	na
115.13 (c)	Supervision and monitoring	
	In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The staffing plan established pursuant to paragraph (a) of this section?	yes
	In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The facility's deployment of video monitoring systems and other monitoring technologies?	yes
	In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The resources the facility has available to commit to ensure adherence to the staffing plan?	yes
115.13 (d)	Supervision and monitoring	
	Has the facility/agency implemented a policy and practice of having intermediate-level or higher-level supervisors conduct and document unannounced rounds to identify and deter staff sexual abuse and sexual harassment?	yes
	Is this policy and practice implemented for night shifts as well as day shifts?	yes
	Does the facility/agency have a policy prohibiting staff from alerting other staff members that these supervisory rounds are occurring, unless such announcement is related to the legitimate operational functions of the facility?	yes

115.14 (a)	Youthful inmates	
	Does the facility place all youthful inmates in housing units that separate them from sight, sound, and physical contact with any adult inmates through use of a shared dayroom or other common space, shower area, or sleeping quarters? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
115.14 (b)	Youthful inmates	
	In areas outside of housing units does the agency maintain sight and sound separation between youthful inmates and adult inmates? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
	In areas outside of housing units does the agency provide direct staff supervision when youthful inmates and adult inmates have sight, sound, or physical contact? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
115.14 (c)	Youthful inmates	
	Does the agency make its best efforts to avoid placing youthful inmates in isolation to comply with this provision? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
	Does the agency, while complying with this provision, allow youthful inmates daily large-muscle exercise and legally required special education services, except in exigent circumstances? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
	Do youthful inmates have access to other programs and work opportunities to the extent possible? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
115.15 (a)	Limits to cross-gender viewing and searches	
	Does the facility always refrain from conducting any cross-gender strip or cross-gender visual body cavity searches, except in exigent circumstances or by medical practitioners?	yes
115.15 (b)	Limits to cross-gender viewing and searches	
	Does the facility always refrain from conducting cross-gender pat-down searches of female inmates, except in exigent circumstances? (N/A if the facility does not have female inmates.)	na
	Does the facility always refrain from restricting female inmates' access to regularly available programming or other out-of-cell opportunities in order to comply with this provision? (N/A if the	na

	facility does not have female inmates.)	
115.15 (c)	Limits to cross-gender viewing and searches	
	Does the facility document all cross-gender strip searches and cross-gender visual body cavity searches?	yes
	Does the facility document all cross-gender pat-down searches of female inmates (N/A if the facility does not have female inmates)?	na
115.15 (d)	Limits to cross-gender viewing and searches	
	Does the facility have policies that enables inmates to shower, perform bodily functions, and change clothing without nonmedical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks?	yes
	Does the facility have procedures that enables inmates to shower, perform bodily functions, and change clothing without nonmedical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks?	yes
	Does the facility require staff of the opposite gender to announce their presence when entering an inmate housing unit?	yes
115.15 (e)	Limits to cross-gender viewing and searches	
	This provision is no longer applicable to your compliance finding, please select N/A.	na
	This provision is no longer applicable to your compliance finding, please select N/A.	na
115.15 (f)	Limits to cross-gender viewing and searches	
	This provision is no longer applicable to your compliance finding, please select N/A.	na
	This provision is no longer applicable to your compliance finding, please select N/A.	na
115.16 (a)	Inmates with disabilities and inmates who are limited English proficient	
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who are deaf or hard of hearing?	yes

	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who are blind or have low vision?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have intellectual disabilities?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have psychiatric disabilities?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have speech disabilities?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: Other (if "other," please explain in overall determination notes.)	yes
	Do such steps include, when necessary, ensuring effective communication with inmates who are deaf or hard of hearing?	yes
	Do such steps include, when necessary, providing access to interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary?	yes
	Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with inmates with disabilities including inmates who: Have intellectual disabilities?	yes
	Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with inmates with disabilities including inmates who: Have limited reading skills?	yes
	Does the agency ensure that written materials are provided in	yes

	formats or through methods that ensure effective communication with inmates with disabilities including inmates who: are blind or have low vision?	
115.16 (b)	Inmates with disabilities and inmates who are limited English proficient	
	Does the agency take reasonable steps to ensure meaningful access to all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment to inmates who are limited English proficient?	yes
	Do these steps include providing interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary?	yes
115.16 (c)	Inmates with disabilities and inmates who are limited English proficient	
	Does the agency always refrain from relying on inmate interpreters, inmate readers, or other types of inmate assistance except in limited circumstances where an extended delay in obtaining an effective interpreter could compromise the inmate's safety, the performance of first-response duties under §115.64, or the investigation of the inmate's allegations?	yes
115.17 (a)	Hiring and promotion decisions	
	Does the agency prohibit the hiring or promotion of anyone who may have contact with inmates who has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997)?	yes
	Does the agency prohibit the hiring or promotion of anyone who may have contact with inmates who has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse?	yes
	Does the agency prohibit the hiring or promotion of anyone who may have contact with inmates who has been civilly or administratively adjudicated to have engaged in the activity described in the two bullets immediately above?	yes
	Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42	yes

	U.S.C. 1997)?	
	Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse?	yes
	Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has been civilly or administratively adjudicated to have engaged in the activity described in the two bullets immediately above?	yes
115.17 (b) Hiring and promotion decisions		
	Does the agency consider any incidents of sexual harassment in determining whether to hire or promote anyone who may have contact with inmates?	yes
	Does the agency consider any incidents of sexual harassment in determining whether to enlist the services of any contractor who may have contact with inmates?	yes
115.17 (c) Hiring and promotion decisions		
	Before hiring new employees who may have contact with inmates, does the agency perform a criminal background records check?	yes
	Before hiring new employees who may have contact with inmates, does the agency, consistent with Federal, State, and local law, make its best efforts to contact all prior institutional employers for information on substantiated allegations of sexual abuse or any resignation during a pending investigation of an allegation of sexual abuse?	yes
115.17 (d) Hiring and promotion decisions		
	Does the agency perform a criminal background records check before enlisting the services of any contractor who may have contact with inmates?	yes
115.17 (e) Hiring and promotion decisions		
	Does the agency either conduct criminal background records checks at least every five years of current employees and contractors who may have contact with inmates or have in place a system for otherwise capturing such information for current employees?	yes

115.17 (f)	Hiring and promotion decisions	
	Does the agency ask all applicants and employees who may have contact with inmates directly about previous misconduct described in paragraph (a) of this section in written applications or interviews for hiring or promotions?	yes
	Does the agency ask all applicants and employees who may have contact with inmates directly about previous misconduct described in paragraph (a) of this section in any interviews or written self-evaluations conducted as part of reviews of current employees?	yes
	Does the agency impose upon employees a continuing affirmative duty to disclose any such misconduct?	yes
115.17 (g)	Hiring and promotion decisions	
	Does the agency consider material omissions regarding such misconduct, or the provision of materially false information, grounds for termination?	yes
115.17 (h)	Hiring and promotion decisions	
	Does the agency provide information on substantiated allegations of sexual abuse or sexual harassment involving a former employee upon receiving a request from an institutional employer for whom such employee has applied to work? (N/A if providing information on substantiated allegations of sexual abuse or sexual harassment involving a former employee is prohibited by law.)	yes
115.18 (a)	Upgrades to facilities and technologies	
	If the agency designed or acquired any new facility or planned any substantial expansion or modification of existing facilities, did the agency consider the effect of the design, acquisition, expansion, or modification upon the agency's ability to protect inmates from sexual abuse? (N/A if agency/facility has not acquired a new facility or made a substantial expansion to existing facilities since August 20, 2012, or since the last PREA audit, whichever is later.)	na
115.18 (b)	Upgrades to facilities and technologies	
	If the agency installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology, did the agency consider how such technology may enhance the agency's ability to protect inmates from sexual abuse? (N/A if agency/facility has not installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology since August 20, 2012, or since the last PREA audit,	na

	whichever is later.)	
115.21 (a)	Evidence protocol and forensic medical examinations	
	If the agency is responsible for investigating allegations of sexual abuse, does the agency follow a uniform evidence protocol that maximizes the potential for obtaining usable physical evidence for administrative proceedings and criminal prosecutions? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.)	yes
115.21 (b)	Evidence protocol and forensic medical examinations	
	Is this protocol developmentally appropriate for youth where applicable? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.)	yes
	Is this protocol, as appropriate, adapted from or otherwise based on the most recent edition of the U.S. Department of Justice’s Office on Violence Against Women publication, “A National Protocol for Sexual Assault Medical Forensic Examinations, Adults/ Adolescents,” or similarly comprehensive and authoritative protocols developed after 2011? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.)	yes
115.21 (c)	Evidence protocol and forensic medical examinations	
	Does the agency offer all victims of sexual abuse access to forensic medical examinations, whether on-site or at an outside facility, without financial cost, where evidentiarily or medically appropriate?	yes
	Are such examinations performed by Sexual Assault Forensic Examiners (SAFEs) or Sexual Assault Nurse Examiners (SANEs) where possible?	yes
	If SAFEs or SANEs cannot be made available, is the examination performed by other qualified medical practitioners (they must have been specifically trained to conduct sexual assault forensic exams)?	yes
	Has the agency documented its efforts to provide SAFEs or SANEs?	yes
115.21 (d)	Evidence protocol and forensic medical examinations	
	Does the agency attempt to make available to the victim a victim advocate from a rape crisis center?	yes

	If a rape crisis center is not available to provide victim advocate services, does the agency make available to provide these services a qualified staff member from a community-based organization, or a qualified agency staff member? (N/A if the agency always makes a victim advocate from a rape crisis center available to victims.)	yes
	Has the agency documented its efforts to secure services from rape crisis centers?	yes
115.21 (e)	Evidence protocol and forensic medical examinations	
	As requested by the victim, does the victim advocate, qualified agency staff member, or qualified community-based organization staff member accompany and support the victim through the forensic medical examination process and investigatory interviews?	yes
	As requested by the victim, does this person provide emotional support, crisis intervention, information, and referrals?	yes
115.21 (f)	Evidence protocol and forensic medical examinations	
	If the agency itself is not responsible for investigating allegations of sexual abuse, has the agency requested that the investigating agency follow the requirements of paragraphs (a) through (e) of this section? (N/A if the agency/facility is responsible for conducting criminal AND administrative sexual abuse investigations.)	yes
115.21 (h)	Evidence protocol and forensic medical examinations	
	If the agency uses a qualified agency staff member or a qualified community-based staff member for the purposes of this section, has the individual been screened for appropriateness to serve in this role and received education concerning sexual assault and forensic examination issues in general? (N/A if agency always makes a victim advocate from a rape crisis center available to victims.)	yes
115.22 (a)	Policies to ensure referrals of allegations for investigations	
	Does the agency ensure an administrative or criminal investigation is completed for all allegations of sexual abuse?	yes
	Does the agency ensure an administrative or criminal investigation is completed for all allegations of sexual harassment?	yes
115.22 (b)	Policies to ensure referrals of allegations for investigations	

	Does the agency have a policy and practice in place to ensure that allegations of sexual abuse or sexual harassment are referred for investigation to an agency with the legal authority to conduct criminal investigations, unless the allegation does not involve potentially criminal behavior?	yes
	Has the agency published such policy on its website or, if it does not have one, made the policy available through other means?	yes
	Does the agency document all such referrals?	yes
115.22 (c)	Policies to ensure referrals of allegations for investigations	
	If a separate entity is responsible for conducting criminal investigations, does the policy describe the responsibilities of both the agency and the investigating entity? (N/A if the agency/facility is responsible for criminal investigations. See 115.21(a).)	yes
115.31 (a)	Employee training	
	Does the agency train all employees who may have contact with inmates on its zero-tolerance policy for sexual abuse and sexual harassment?	yes
	Does the agency train all employees who may have contact with inmates on how to fulfill their responsibilities under agency sexual abuse and sexual harassment prevention, detection, reporting, and response policies and procedures?	yes
	Does the agency train all employees who may have contact with inmates on inmates' right to be free from sexual abuse and sexual harassment?	yes
	Does the agency train all employees who may have contact with inmates on the right of inmates and employees to be free from retaliation for reporting sexual abuse and sexual harassment?	yes
	Does the agency train all employees who may have contact with inmates on the dynamics of sexual abuse and sexual harassment in confinement?	yes
	Does the agency train all employees who may have contact with inmates on the common reactions of sexual abuse and sexual harassment victims?	yes
	Does the agency train all employees who may have contact with inmates on how to detect and respond to signs of threatened and actual sexual abuse?	yes
	Does the agency train all employees who may have contact with	yes

	inmates on how to avoid inappropriate relationships with inmates?	
	The subsection of this provision is no longer applicable to your compliance finding, please select N/A.	na
	Does the agency train all employees who may have contact with inmates on how to comply with relevant laws related to mandatory reporting of sexual abuse to outside authorities?	yes
115.31 (b)	Employee training	
	Is such training tailored to the gender of the inmates at the employee's facility?	yes
	Have employees received additional training if reassigned from a facility that houses only male inmates to a facility that houses only female inmates, or vice versa?	yes
115.31 (c)	Employee training	
	Have all current employees who may have contact with inmates received such training?	yes
	Does the agency provide each employee with refresher training every two years to ensure that all employees know the agency's current sexual abuse and sexual harassment policies and procedures?	yes
	In years in which an employee does not receive refresher training, does the agency provide refresher information on current sexual abuse and sexual harassment policies?	yes
115.31 (d)	Employee training	
	Does the agency document, through employee signature or electronic verification, that employees understand the training they have received?	yes
115.32 (a)	Volunteer and contractor training	
	Has the agency ensured that all volunteers and contractors who have contact with inmates have been trained on their responsibilities under the agency's sexual abuse and sexual harassment prevention, detection, and response policies and procedures?	yes
115.32 (b)	Volunteer and contractor training	
	Have all volunteers and contractors who have contact with inmates been notified of the agency's zero-tolerance policy regarding sexual abuse and sexual harassment and informed how	yes

	to report such incidents (the level and type of training provided to volunteers and contractors shall be based on the services they provide and level of contact they have with inmates)?	
115.32 (c)	Volunteer and contractor training	
	Does the agency maintain documentation confirming that volunteers and contractors understand the training they have received?	yes
115.33 (a)	Inmate education	
	During intake, do inmates receive information explaining the agency's zero-tolerance policy regarding sexual abuse and sexual harassment?	yes
	During intake, do inmates receive information explaining how to report incidents or suspicions of sexual abuse or sexual harassment?	yes
115.33 (b)	Inmate education	
	Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Their rights to be free from sexual abuse and sexual harassment?	yes
	Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Their rights to be free from retaliation for reporting such incidents?	yes
	Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Agency policies and procedures for responding to such incidents?	yes
115.33 (c)	Inmate education	
	Have all inmates received the comprehensive education referenced in 115.33(b)?	yes
	Do inmates receive education upon transfer to a different facility to the extent that the policies and procedures of the inmate's new facility differ from those of the previous facility?	yes
115.33 (d)	Inmate education	
	Does the agency provide inmate education in formats accessible to all inmates including those who are limited English proficient?	yes
	Does the agency provide inmate education in formats accessible to all inmates including those who are deaf?	yes

	Does the agency provide inmate education in formats accessible to all inmates including those who are visually impaired?	yes
	Does the agency provide inmate education in formats accessible to all inmates including those who are otherwise disabled?	yes
	Does the agency provide inmate education in formats accessible to all inmates including those who have limited reading skills?	yes
115.33 (e)	Inmate education	
	Does the agency maintain documentation of inmate participation in these education sessions?	yes
115.33 (f)	Inmate education	
	In addition to providing such education, does the agency ensure that key information is continuously and readily available or visible to inmates through posters, inmate handbooks, or other written formats?	yes
115.34 (a)	Specialized training: Investigations	
	In addition to the general training provided to all employees pursuant to §115.31, does the agency ensure that, to the extent the agency itself conducts sexual abuse investigations, its investigators receive training in conducting such investigations in confinement settings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
115.34 (b)	Specialized training: Investigations	
	Does this specialized training include techniques for interviewing sexual abuse victims? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
	Does this specialized training include proper use of Miranda and Garrity warnings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
	Does this specialized training include sexual abuse evidence collection in confinement settings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
	Does this specialized training include the criteria and evidence required to substantiate a case for administrative action or	yes

	prosecution referral? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	
115.34 (c)	Specialized training: Investigations	
	Does the agency maintain documentation that agency investigators have completed the required specialized training in conducting sexual abuse investigations? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
115.35 (a)	Specialized training: Medical and mental health care	
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to detect and assess signs of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to preserve physical evidence of sexual abuse? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to respond effectively and professionally to victims of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how and to whom to report allegations or suspicions of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes
115.35 (b)	Specialized training: Medical and mental health care	
	If medical staff employed by the agency conduct forensic examinations, do such medical staff receive appropriate training to conduct such examinations? (N/A if agency medical staff at the facility do not conduct forensic exams or the agency does not employ medical staff.)	na

115.35 (c)	Specialized training: Medical and mental health care	
	Does the agency maintain documentation that medical and mental health practitioners have received the training referenced in this standard either from the agency or elsewhere? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes
115.35 (d)	Specialized training: Medical and mental health care	
	Do medical and mental health care practitioners employed by the agency also receive training mandated for employees by §115.31? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners employed by the agency.)	yes
	Do medical and mental health care practitioners contracted by or volunteering for the agency also receive training mandated for contractors and volunteers by §115.32? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners contracted by or volunteering for the agency.)	yes
115.41 (a)	Screening for risk of victimization and abusiveness	
	Are all inmates assessed during an intake screening for their risk of being sexually abused by other inmates or sexually abusive toward other inmates?	yes
	Are all inmates assessed upon transfer to another facility for their risk of being sexually abused by other inmates or sexually abusive toward other inmates?	yes
115.41 (b)	Screening for risk of victimization and abusiveness	
	Do intake screenings ordinarily take place within 72 hours of arrival at the facility?	yes
115.41 (c)	Screening for risk of victimization and abusiveness	
	Are all PREA screening assessments conducted using an objective screening instrument?	yes
115.41 (d)	Screening for risk of victimization and abusiveness	
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (1) Whether the inmate has a mental, physical, or developmental disability?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (2) The age of the inmate?	yes

	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (3) The physical build of the inmate?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (4) Whether the inmate has previously been incarcerated?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (5) Whether the inmate's criminal history is exclusively nonviolent?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (6) Whether the inmate has prior convictions for sex offenses against an adult or child?	yes
	The subsection of this provision is no longer applicable to your compliance finding, please select N/A.	na
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (8) Whether the inmate has previously experienced sexual victimization?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (9) The inmate's own perception of vulnerability?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (10) Whether the inmate is detained solely for civil immigration purposes?	yes
115.41 (e)	Screening for risk of victimization and abusiveness	
	In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, as known to the agency: prior acts of sexual abuse?	yes
	In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, as known to the agency: prior convictions for violent offenses?	yes
	In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, as known to the agency: history of prior institutional violence or sexual abuse?	yes
115.41 (f)	Screening for risk of victimization and abusiveness	

	Within a set time period not more than 30 days from the inmate's arrival at the facility, does the facility reassess the inmate's risk of victimization or abusiveness based upon any additional, relevant information received by the facility since the intake screening?	yes
115.41 (g) Screening for risk of victimization and abusiveness		
	Does the facility reassess an inmate's risk level when warranted due to a referral?	yes
	Does the facility reassess an inmate's risk level when warranted due to a request?	yes
	Does the facility reassess an inmate's risk level when warranted due to an incident of sexual abuse?	yes
	Does the facility reassess an inmate's risk level when warranted due to receipt of additional information that bears on the inmate's risk of sexual victimization or abusiveness?	yes
115.41 (h) Screening for risk of victimization and abusiveness		
	Is it the case that inmates are not ever disciplined for refusing to answer, or for not disclosing complete information in response to, questions asked pursuant to paragraphs (d)(1), (d)(7), (d)(8), or (d)(9) of this section?	yes
115.41 (i) Screening for risk of victimization and abusiveness		
	Has the agency implemented appropriate controls on the dissemination within the facility of responses to questions asked pursuant to this standard in order to ensure that sensitive information is not exploited to the inmate's detriment by staff or other inmates?	yes
115.42 (a) Use of screening information		
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Housing Assignments?	yes
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Bed assignments?	yes
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of	yes

	being sexually abusive, to inform: Work Assignments?	
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Education Assignments?	yes
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Program Assignments?	yes
115.42 (b)	Use of screening information	
	Does the agency make individualized determinations about how to ensure the safety of each inmate?	yes
115.42 (c)	Use of screening information	
	This provision is no longer applicable to your compliance finding, please select N/A.	na
	This provision is no longer applicable to your compliance finding, please select N/A.	na
115.42 (d)	Use of screening information	
	This provision is no longer applicable to your compliance finding, please select N/A.	na
115.42 (e)	Use of screening information	
	This provision is no longer applicable to your compliance finding, please select N/A.	na
115.42 (f)	Use of screening information	
	This provision is no longer applicable to your compliance finding, please select N/A.	na
115.42 (g)	Use of screening information	
	This provision is no longer applicable to your compliance finding, please select N/A.	na
	This provision is no longer applicable to your compliance finding, please select N/A.	na
	This provision is no longer applicable to your compliance finding, please select N/A.	na
115.43 (a)	Protective Custody	

	Does the facility always refrain from placing inmates at high risk for sexual victimization in involuntary segregated housing unless an assessment of all available alternatives has been made, and a determination has been made that there is no available alternative means of separation from likely abusers?	yes
	If a facility cannot conduct such an assessment immediately, does the facility hold the inmate in involuntary segregated housing for less than 24 hours while completing the assessment?	yes
115.43 (b) Protective Custody		
	Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Programs to the extent possible?	yes
	Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Privileges to the extent possible?	yes
	Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Education to the extent possible?	yes
	Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Work opportunities to the extent possible?	yes
	If the facility restricts any access to programs, privileges, education, or work opportunities, does the facility document the opportunities that have been limited? (N/A if the facility never restricts access to programs, privileges, education, or work opportunities.)	na
	If the facility restricts access to programs, privileges, education, or work opportunities, does the facility document the duration of the limitation? (N/A if the facility never restricts access to programs, privileges, education, or work opportunities.)	na
	If the facility restricts access to programs, privileges, education, or work opportunities, does the facility document the reasons for such limitations? (N/A if the facility never restricts access to programs, privileges, education, or work opportunities.)	na
115.43 (c) Protective Custody		
	Does the facility assign inmates at high risk of sexual victimization to involuntary segregated housing only until an alternative means of separation from likely abusers can be arranged?	yes

	Does such an assignment not ordinarily exceed a period of 30 days?	yes
115.43 (d) Protective Custody		
	If an involuntary segregated housing assignment is made pursuant to paragraph (a) of this section, does the facility clearly document: The basis for the facility's concern for the inmate's safety?	yes
	If an involuntary segregated housing assignment is made pursuant to paragraph (a) of this section, does the facility clearly document: The reason why no alternative means of separation can be arranged?	yes
115.43 (e) Protective Custody		
	In the case of each inmate who is placed in involuntary segregation because he/she is at high risk of sexual victimization, does the facility afford a review to determine whether there is a continuing need for separation from the general population EVERY 30 DAYS?	yes
115.51 (a) Inmate reporting		
	Does the agency provide multiple internal ways for inmates to privately report: Sexual abuse and sexual harassment?	yes
	Does the agency provide multiple internal ways for inmates to privately report: Retaliation by other inmates or staff for reporting sexual abuse and sexual harassment?	yes
	Does the agency provide multiple internal ways for inmates to privately report: Staff neglect or violation of responsibilities that may have contributed to such incidents?	yes
115.51 (b) Inmate reporting		
	Does the agency also provide at least one way for inmates to report sexual abuse or sexual harassment to a public or private entity or office that is not part of the agency?	yes
	Is that private entity or office able to receive and immediately forward inmate reports of sexual abuse and sexual harassment to agency officials?	yes
	Does that private entity or office allow the inmate to remain anonymous upon request?	yes
	Are inmates detained solely for civil immigration purposes provided information on how to contact relevant consular officials	na

	and relevant officials at the Department of Homeland Security? (N/A if the facility never houses inmates detained solely for civil immigration purposes.)	
115.51 (c)	Inmate reporting	
	Does staff accept reports of sexual abuse and sexual harassment made verbally, in writing, anonymously, and from third parties?	yes
	Does staff promptly document any verbal reports of sexual abuse and sexual harassment?	yes
115.51 (d)	Inmate reporting	
	Does the agency provide a method for staff to privately report sexual abuse and sexual harassment of inmates?	yes
115.52 (a)	Exhaustion of administrative remedies	
	Is the agency exempt from this standard? NOTE: The agency is exempt ONLY if it does not have administrative procedures to address inmate grievances regarding sexual abuse. This does not mean the agency is exempt simply because an inmate does not have to or is not ordinarily expected to submit a grievance to report sexual abuse. This means that as a matter of explicit policy, the agency does not have an administrative remedies process to address sexual abuse.	no
115.52 (b)	Exhaustion of administrative remedies	
	Does the agency permit inmates to submit a grievance regarding an allegation of sexual abuse without any type of time limits? (The agency may apply otherwise-applicable time limits to any portion of a grievance that does not allege an incident of sexual abuse.) (N/A if agency is exempt from this standard.)	yes
	Does the agency always refrain from requiring an inmate to use any informal grievance process, or to otherwise attempt to resolve with staff, an alleged incident of sexual abuse? (N/A if agency is exempt from this standard.)	yes
115.52 (c)	Exhaustion of administrative remedies	
	Does the agency ensure that: An inmate who alleges sexual abuse may submit a grievance without submitting it to a staff member who is the subject of the complaint? (N/A if agency is exempt from this standard.)	yes
	Does the agency ensure that: Such grievance is not referred to a staff member who is the subject of the complaint? (N/A if agency	yes

	is exempt from this standard.)	
115.52 (d)	Exhaustion of administrative remedies	
	Does the agency issue a final agency decision on the merits of any portion of a grievance alleging sexual abuse within 90 days of the initial filing of the grievance? (Computation of the 90-day time period does not include time consumed by inmates in preparing any administrative appeal.) (N/A if agency is exempt from this standard.)	yes
	If the agency claims the maximum allowable extension of time to respond of up to 70 days per 115.52(d)(3) when the normal time period for response is insufficient to make an appropriate decision, does the agency notify the inmate in writing of any such extension and provide a date by which a decision will be made? (N/A if agency is exempt from this standard.)	yes
	At any level of the administrative process, including the final level, if the inmate does not receive a response within the time allotted for reply, including any properly noticed extension, may an inmate consider the absence of a response to be a denial at that level? (N/A if agency is exempt from this standard.)	yes
115.52 (e)	Exhaustion of administrative remedies	
	Are third parties, including fellow inmates, staff members, family members, attorneys, and outside advocates, permitted to assist inmates in filing requests for administrative remedies relating to allegations of sexual abuse? (N/A if agency is exempt from this standard.)	yes
	Are those third parties also permitted to file such requests on behalf of inmates? (If a third party files such a request on behalf of an inmate, the facility may require as a condition of processing the request that the alleged victim agree to have the request filed on his or her behalf, and may also require the alleged victim to personally pursue any subsequent steps in the administrative remedy process.) (N/A if agency is exempt from this standard.)	yes
	If the inmate declines to have the request processed on his or her behalf, does the agency document the inmate's decision? (N/A if agency is exempt from this standard.)	yes
115.52 (f)	Exhaustion of administrative remedies	
	Has the agency established procedures for the filing of an emergency grievance alleging that an inmate is subject to a substantial risk of imminent sexual abuse? (N/A if agency is exempt from this standard.)	yes

	After receiving an emergency grievance alleging an inmate is subject to a substantial risk of imminent sexual abuse, does the agency immediately forward the grievance (or any portion thereof that alleges the substantial risk of imminent sexual abuse) to a level of review at which immediate corrective action may be taken? (N/A if agency is exempt from this standard.)	yes
	After receiving an emergency grievance described above, does the agency provide an initial response within 48 hours? (N/A if agency is exempt from this standard.)	yes
	After receiving an emergency grievance described above, does the agency issue a final agency decision within 5 calendar days? (N/A if agency is exempt from this standard.)	yes
	Does the initial response and final agency decision document the agency's determination whether the inmate is in substantial risk of imminent sexual abuse? (N/A if agency is exempt from this standard.)	yes
	Does the initial response document the agency's action(s) taken in response to the emergency grievance? (N/A if agency is exempt from this standard.)	yes
	Does the agency's final decision document the agency's action(s) taken in response to the emergency grievance? (N/A if agency is exempt from this standard.)	yes
115.52 (g) Exhaustion of administrative remedies		
	If the agency disciplines an inmate for filing a grievance related to alleged sexual abuse, does it do so ONLY where the agency demonstrates that the inmate filed the grievance in bad faith? (N/A if agency is exempt from this standard.)	yes
115.53 (a) Inmate access to outside confidential support services		
	Does the facility provide inmates with access to outside victim advocates for emotional support services related to sexual abuse by giving inmates mailing addresses and telephone numbers, including toll-free hotline numbers where available, of local, State, or national victim advocacy or rape crisis organizations?	yes
	Does the facility provide persons detained solely for civil immigration purposes mailing addresses and telephone numbers, including toll-free hotline numbers where available of local, State, or national immigrant services agencies? (N/A if the facility never has persons detained solely for civil immigration purposes.)	na
	Does the facility enable reasonable communication between	yes

	inmates and these organizations and agencies, in as confidential a manner as possible?	
115.53 (b)	Inmate access to outside confidential support services	
	Does the facility inform inmates, prior to giving them access, of the extent to which such communications will be monitored and the extent to which reports of abuse will be forwarded to authorities in accordance with mandatory reporting laws?	yes
115.53 (c)	Inmate access to outside confidential support services	
	Does the agency maintain or attempt to enter into memoranda of understanding or other agreements with community service providers that are able to provide inmates with confidential emotional support services related to sexual abuse?	yes
	Does the agency maintain copies of agreements or documentation showing attempts to enter into such agreements?	yes
115.54 (a)	Third-party reporting	
	Has the agency established a method to receive third-party reports of sexual abuse and sexual harassment?	yes
	Has the agency distributed publicly information on how to report sexual abuse and sexual harassment on behalf of an inmate?	yes
115.61 (a)	Staff and agency reporting duties	
	Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding an incident of sexual abuse or sexual harassment that occurred in a facility, whether or not it is part of the agency?	yes
	Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding retaliation against inmates or staff who reported an incident of sexual abuse or sexual harassment?	yes
	Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding any staff neglect or violation of responsibilities that may have contributed to an incident of sexual abuse or sexual harassment or retaliation?	yes
115.61 (b)	Staff and agency reporting duties	
	Apart from reporting to designated supervisors or officials, does staff always refrain from revealing any information related to a	yes

	sexual abuse report to anyone other than to the extent necessary, as specified in agency policy, to make treatment, investigation, and other security and management decisions?	
115.61 (c)	Staff and agency reporting duties	
	Unless otherwise precluded by Federal, State, or local law, are medical and mental health practitioners required to report sexual abuse pursuant to paragraph (a) of this section?	yes
	Are medical and mental health practitioners required to inform inmates of the practitioner's duty to report, and the limitations of confidentiality, at the initiation of services?	yes
115.61 (d)	Staff and agency reporting duties	
	If the alleged victim is under the age of 18 or considered a vulnerable adult under a State or local vulnerable persons statute, does the agency report the allegation to the designated State or local services agency under applicable mandatory reporting laws?	yes
115.61 (e)	Staff and agency reporting duties	
	Does the facility report all allegations of sexual abuse and sexual harassment, including third-party and anonymous reports, to the facility's designated investigators?	yes
115.62 (a)	Agency protection duties	
	When the agency learns that an inmate is subject to a substantial risk of imminent sexual abuse, does it take immediate action to protect the inmate?	yes
115.63 (a)	Reporting to other confinement facilities	
	Upon receiving an allegation that an inmate was sexually abused while confined at another facility, does the head of the facility that received the allegation notify the head of the facility or appropriate office of the agency where the alleged abuse occurred?	yes
115.63 (b)	Reporting to other confinement facilities	
	Is such notification provided as soon as possible, but no later than 72 hours after receiving the allegation?	yes
115.63 (c)	Reporting to other confinement facilities	
	Does the agency document that it has provided such notification?	yes
115.63 (d)	Reporting to other confinement facilities	

	Does the facility head or agency office that receives such notification ensure that the allegation is investigated in accordance with these standards?	yes
115.64 (a)	Staff first responder duties	
	Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Separate the alleged victim and abuser?	yes
	Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Preserve and protect any crime scene until appropriate steps can be taken to collect any evidence?	yes
	Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Request that the alleged victim not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating, if the abuse occurred within a time period that still allows for the collection of physical evidence?	yes
	Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Ensure that the alleged abuser does not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating, if the abuse occurred within a time period that still allows for the collection of physical evidence?	yes
115.64 (b)	Staff first responder duties	
	If the first staff responder is not a security staff member, is the responder required to request that the alleged victim not take any actions that could destroy physical evidence, and then notify security staff?	yes
115.65 (a)	Coordinated response	
	Has the facility developed a written institutional plan to coordinate actions among staff first responders, medical and mental health practitioners, investigators, and facility leadership taken in response to an incident of sexual abuse?	yes
115.66 (a)	Preservation of ability to protect inmates from contact with abusers	
	Are both the agency and any other governmental entities	yes

	responsible for collective bargaining on the agency's behalf prohibited from entering into or renewing any collective bargaining agreement or other agreement that limit the agency's ability to remove alleged staff sexual abusers from contact with any inmates pending the outcome of an investigation or of a determination of whether and to what extent discipline is warranted?	
115.67 (a)	Agency protection against retaliation	
	Has the agency established a policy to protect all inmates and staff who report sexual abuse or sexual harassment or cooperate with sexual abuse or sexual harassment investigations from retaliation by other inmates or staff?	yes
	Has the agency designated which staff members or departments are charged with monitoring retaliation?	yes
115.67 (b)	Agency protection against retaliation	
	Does the agency employ multiple protection measures, such as housing changes or transfers for inmate victims or abusers, removal of alleged staff or inmate abusers from contact with victims, and emotional support services for inmates or staff who fear retaliation for reporting sexual abuse or sexual harassment or for cooperating with investigations?	yes
115.67 (c)	Agency protection against retaliation	
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor the conduct and treatment of inmates or staff who reported the sexual abuse to see if there are changes that may suggest possible retaliation by inmates or staff?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor the conduct and treatment of inmates who were reported to have suffered sexual abuse to see if there are changes that may suggest possible retaliation by inmates or staff?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Act promptly to remedy any such retaliation?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report	yes

	of sexual abuse, does the agency: Monitor any inmate disciplinary reports?	
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor inmate housing changes?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor inmate program changes?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor negative performance reviews of staff?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor reassignments of staff?	yes
	Does the agency continue such monitoring beyond 90 days if the initial monitoring indicates a continuing need?	yes
115.67 (d)	Agency protection against retaliation	
	In the case of inmates, does such monitoring also include periodic status checks?	yes
115.67 (e)	Agency protection against retaliation	
	If any other individual who cooperates with an investigation expresses a fear of retaliation, does the agency take appropriate measures to protect that individual against retaliation?	yes
115.68 (a)	Post-allegation protective custody	
	Is any and all use of segregated housing to protect an inmate who is alleged to have suffered sexual abuse subject to the requirements of § 115.43?	yes
115.71 (a)	Criminal and administrative agency investigations	
	When the agency conducts its own investigations into allegations of sexual abuse and sexual harassment, does it do so promptly, thoroughly, and objectively? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations. See 115.21(a).)	yes
	Does the agency conduct such investigations for all allegations,	yes

	including third party and anonymous reports? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations. See 115.21(a).)	
115.71 (b)	Criminal and administrative agency investigations	
	Where sexual abuse is alleged, does the agency use investigators who have received specialized training in sexual abuse investigations as required by 115.34?	yes
115.71 (c)	Criminal and administrative agency investigations	
	Do investigators gather and preserve direct and circumstantial evidence, including any available physical and DNA evidence and any available electronic monitoring data?	yes
	Do investigators interview alleged victims, suspected perpetrators, and witnesses?	yes
	Do investigators review prior reports and complaints of sexual abuse involving the suspected perpetrator?	yes
115.71 (d)	Criminal and administrative agency investigations	
	When the quality of evidence appears to support criminal prosecution, does the agency conduct compelled interviews only after consulting with prosecutors as to whether compelled interviews may be an obstacle for subsequent criminal prosecution?	yes
115.71 (e)	Criminal and administrative agency investigations	
	Do agency investigators assess the credibility of an alleged victim, suspect, or witness on an individual basis and not on the basis of that individual's status as inmate or staff?	yes
	Does the agency investigate allegations of sexual abuse without requiring an inmate who alleges sexual abuse to submit to a polygraph examination or other truth-telling device as a condition for proceeding?	yes
115.71 (f)	Criminal and administrative agency investigations	
	Do administrative investigations include an effort to determine whether staff actions or failures to act contributed to the abuse?	yes
	Are administrative investigations documented in written reports that include a description of the physical evidence and testimonial evidence, the reasoning behind credibility assessments, and investigative facts and findings?	yes

115.71 (g)	Criminal and administrative agency investigations	
	Are criminal investigations documented in a written report that contains a thorough description of the physical, testimonial, and documentary evidence and attaches copies of all documentary evidence where feasible?	yes
115.71 (h)	Criminal and administrative agency investigations	
	Are all substantiated allegations of conduct that appears to be criminal referred for prosecution?	yes
115.71 (i)	Criminal and administrative agency investigations	
	Does the agency retain all written reports referenced in 115.71(f) and (g) for as long as the alleged abuser is incarcerated or employed by the agency, plus five years?	yes
115.71 (j)	Criminal and administrative agency investigations	
	Does the agency ensure that the departure of an alleged abuser or victim from the employment or control of the agency does not provide a basis for terminating an investigation?	yes
115.71 (l)	Criminal and administrative agency investigations	
	When an outside entity investigates sexual abuse, does the facility cooperate with outside investigators and endeavor to remain informed about the progress of the investigation? (N/A if an outside agency does not conduct administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
115.72 (a)	Evidentiary standard for administrative investigations	
	Is it true that the agency does not impose a standard higher than a preponderance of the evidence in determining whether allegations of sexual abuse or sexual harassment are substantiated?	yes
115.73 (a)	Reporting to inmates	
	Following an investigation into an inmate's allegation that he or she suffered sexual abuse in an agency facility, does the agency inform the inmate as to whether the allegation has been determined to be substantiated, unsubstantiated, or unfounded?	yes
115.73 (b)	Reporting to inmates	
	If the agency did not conduct the investigation into an inmate's allegation of sexual abuse in an agency facility, does the agency request the relevant information from the investigative agency in	yes

	order to inform the inmate? (N/A if the agency/facility is responsible for conducting administrative and criminal investigations.)	
115.73 (c) Reporting to inmates		
	Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the inmate has been released from custody, does the agency subsequently inform the resident whenever: The staff member is no longer posted within the inmate's unit?	yes
	Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The staff member is no longer employed at the facility?	yes
	Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The agency learns that the staff member has been indicted on a charge related to sexual abuse in the facility?	yes
	Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The agency learns that the staff member has been convicted on a charge related to sexual abuse within the facility?	yes
115.73 (d) Reporting to inmates		
	Following an inmate's allegation that he or she has been sexually abused by another inmate, does the agency subsequently inform the alleged victim whenever: The agency learns that the alleged abuser has been indicted on a charge related to sexual abuse within the facility?	yes
	Following an inmate's allegation that he or she has been sexually abused by another inmate, does the agency subsequently inform the alleged victim whenever: The agency learns that the alleged abuser has been convicted on a charge related to sexual abuse within the facility?	yes

115.73 (e)	Reporting to inmates	
	Does the agency document all such notifications or attempted notifications?	yes
115.76 (a)	Disciplinary sanctions for staff	
	Are staff subject to disciplinary sanctions up to and including termination for violating agency sexual abuse or sexual harassment policies?	yes
115.76 (b)	Disciplinary sanctions for staff	
	Is termination the presumptive disciplinary sanction for staff who have engaged in sexual abuse?	yes
115.76 (c)	Disciplinary sanctions for staff	
	Are disciplinary sanctions for violations of agency policies relating to sexual abuse or sexual harassment (other than actually engaging in sexual abuse) commensurate with the nature and circumstances of the acts committed, the staff member's disciplinary history, and the sanctions imposed for comparable offenses by other staff with similar histories?	yes
115.76 (d)	Disciplinary sanctions for staff	
	Are all terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, reported to: Law enforcement agencies(unless the activity was clearly not criminal)?	yes
	Are all terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, reported to: Relevant licensing bodies?	yes
115.77 (a)	Corrective action for contractors and volunteers	
	Is any contractor or volunteer who engages in sexual abuse prohibited from contact with inmates?	yes
	Is any contractor or volunteer who engages in sexual abuse reported to: Law enforcement agencies (unless the activity was clearly not criminal)?	yes
	Is any contractor or volunteer who engages in sexual abuse reported to: Relevant licensing bodies?	yes
115.77 (b)	Corrective action for contractors and volunteers	

	In the case of any other violation of agency sexual abuse or sexual harassment policies by a contractor or volunteer, does the facility take appropriate remedial measures, and consider whether to prohibit further contact with inmates?	yes
115.78 (a)	Disciplinary sanctions for inmates	
	Following an administrative finding that an inmate engaged in inmate-on-inmate sexual abuse, or following a criminal finding of guilt for inmate-on-inmate sexual abuse, are inmates subject to disciplinary sanctions pursuant to a formal disciplinary process?	yes
115.78 (b)	Disciplinary sanctions for inmates	
	Are sanctions commensurate with the nature and circumstances of the abuse committed, the inmate's disciplinary history, and the sanctions imposed for comparable offenses by other inmates with similar histories?	yes
115.78 (c)	Disciplinary sanctions for inmates	
	When determining what types of sanction, if any, should be imposed, does the disciplinary process consider whether an inmate's mental disabilities or mental illness contributed to his or her behavior?	yes
115.78 (d)	Disciplinary sanctions for inmates	
	If the facility offers therapy, counseling, or other interventions designed to address and correct underlying reasons or motivations for the abuse, does the facility consider whether to require the offending inmate to participate in such interventions as a condition of access to programming and other benefits?	yes
115.78 (e)	Disciplinary sanctions for inmates	
	Does the agency discipline an inmate for sexual contact with staff only upon a finding that the staff member did not consent to such contact?	yes
115.78 (f)	Disciplinary sanctions for inmates	
	For the purpose of disciplinary action does a report of sexual abuse made in good faith based upon a reasonable belief that the alleged conduct occurred NOT constitute falsely reporting an incident or lying, even if an investigation does not establish evidence sufficient to substantiate the allegation?	yes
115.78 (g)	Disciplinary sanctions for inmates	
	If the agency prohibits all sexual activity between inmates, does	yes

	the agency always refrain from considering non-coercive sexual activity between inmates to be sexual abuse? (N/A if the agency does not prohibit all sexual activity between inmates.)	
115.81 (a)	Medical and mental health screenings; history of sexual abuse	
	If the screening pursuant to § 115.41 indicates that a prison inmate has experienced prior sexual victimization, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a medical or mental health practitioner within 14 days of the intake screening? (N/A if the facility is not a prison).	yes
115.81 (b)	Medical and mental health screenings; history of sexual abuse	
	If the screening pursuant to § 115.41 indicates that a prison inmate has previously perpetrated sexual abuse, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a mental health practitioner within 14 days of the intake screening? (N/A if the facility is not a prison.)	yes
115.81 (c)	Medical and mental health screenings; history of sexual abuse	
	If the screening pursuant to § 115.41 indicates that a jail inmate has experienced prior sexual victimization, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a medical or mental health practitioner within 14 days of the intake screening? (N/A if the facility is not a jail).	na
115.81 (d)	Medical and mental health screenings; history of sexual abuse	
	Is any information related to sexual victimization or abusiveness that occurred in an institutional setting strictly limited to medical and mental health practitioners and other staff as necessary to inform treatment plans and security management decisions, including housing, bed, work, education, and program assignments, or as otherwise required by Federal, State, or local law?	yes
115.81 (e)	Medical and mental health screenings; history of sexual abuse	
	Do medical and mental health practitioners obtain informed consent from inmates before reporting information about prior sexual victimization that did not occur in an institutional setting, unless the inmate is under the age of 18?	yes
115.82 (a)	Access to emergency medical and mental health services	

	Do inmate victims of sexual abuse receive timely, unimpeded access to emergency medical treatment and crisis intervention services, the nature and scope of which are determined by medical and mental health practitioners according to their professional judgment?	yes
115.82 (b)	Access to emergency medical and mental health services	
	If no qualified medical or mental health practitioners are on duty at the time a report of recent sexual abuse is made, do security staff first responders take preliminary steps to protect the victim pursuant to § 115.62?	yes
	Do security staff first responders immediately notify the appropriate medical and mental health practitioners?	yes
115.82 (c)	Access to emergency medical and mental health services	
	Are inmate victims of sexual abuse offered timely information about and timely access to emergency contraception and sexually transmitted infections prophylaxis, in accordance with professionally accepted standards of care, where medically appropriate?	yes
115.82 (d)	Access to emergency medical and mental health services	
	Are treatment services provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident?	yes
115.83 (a)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	Does the facility offer medical and mental health evaluation and, as appropriate, treatment to all inmates who have been victimized by sexual abuse in any prison, jail, lockup, or juvenile facility?	yes
115.83 (b)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	Does the evaluation and treatment of such victims include, as appropriate, follow-up services, treatment plans, and, when necessary, referrals for continued care following their transfer to, or placement in, other facilities, or their release from custody?	yes
115.83 (c)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	Does the facility provide such victims with medical and mental health services consistent with the community level of care?	yes

115.83 (d)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	Are inmate victims of sexually abusive vaginal penetration while incarcerated offered pregnancy tests? (N/A if "all male" facility. Note: in "all male" facilities there may be inmates who identify as transgender men who may have female genitalia. Auditors should be sure to know whether such individuals may be in the population and whether this provision may apply in specific circumstances.)	na
115.83 (e)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	If pregnancy results from the conduct described in paragraph § 115.83(d), do such victims receive timely and comprehensive information about and timely access to all lawful pregnancy-related medical services? (N/A if "all male" facility. Note: in "all male" facilities there may be inmates who identify as transgender men who may have female genitalia. Auditors should be sure to know whether such individuals may be in the population and whether this provision may apply in specific circumstances.)	na
115.83 (f)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	Are inmate victims of sexual abuse while incarcerated offered tests for sexually transmitted infections as medically appropriate?	yes
115.83 (g)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	Are treatment services provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident?	yes
115.83 (h)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	If the facility is a prison, does it attempt to conduct a mental health evaluation of all known inmate-on-inmate abusers within 60 days of learning of such abuse history and offer treatment when deemed appropriate by mental health practitioners? (NA if the facility is a jail.)	yes
115.86 (a)	Sexual abuse incident reviews	
	Does the facility conduct a sexual abuse incident review at the conclusion of every sexual abuse investigation, including where the allegation has not been substantiated, unless the allegation	yes

	has been determined to be unfounded?	
115.86 (b)	Sexual abuse incident reviews	
	Does such review ordinarily occur within 30 days of the conclusion of the investigation?	yes
115.86 (c)	Sexual abuse incident reviews	
	Does the review team include upper-level management officials, with input from line supervisors, investigators, and medical or mental health practitioners?	yes
115.86 (d)	Sexual abuse incident reviews	
	Does the review team: Consider whether the allegation or investigation indicates a need to change policy or practice to better prevent, detect, or respond to sexual abuse?	yes
	The subsection of this provision is no longer applicable to your compliance finding, please select N/A.	na
	Does the review team: Examine the area in the facility where the incident allegedly occurred to assess whether physical barriers in the area may enable abuse?	yes
	Does the review team: Assess the adequacy of staffing levels in that area during different shifts?	yes
	Does the review team: Assess whether monitoring technology should be deployed or augmented to supplement supervision by staff?	yes
	Does the review team: Prepare a report of its findings, including but not necessarily limited to determinations made pursuant to §§ 115.86(d)(1)-(d)(5), and any recommendations for improvement and submit such report to the facility head and PREA compliance manager?	yes
115.86 (e)	Sexual abuse incident reviews	
	Does the facility implement the recommendations for improvement, or document its reasons for not doing so?	yes
115.87 (a)	Data collection	
	Does the agency collect accurate, uniform data for every allegation of sexual abuse at facilities under its direct control using a standardized instrument and set of definitions?	yes
115.87 (b)	Data collection	

	Does the agency aggregate the incident-based sexual abuse data at least annually?	yes
115.87 (c)	Data collection	
	Does the incident-based data include, at a minimum, the data necessary to answer all questions from the most recent version of the Survey of Sexual Violence conducted by the Department of Justice?	yes
115.87 (d)	Data collection	
	Does the agency maintain, review, and collect data as needed from all available incident-based documents, including reports, investigation files, and sexual abuse incident reviews?	yes
115.87 (e)	Data collection	
	Does the agency also obtain incident-based and aggregated data from every private facility with which it contracts for the confinement of its inmates? (N/A if agency does not contract for the confinement of its inmates.)	yes
115.87 (f)	Data collection	
	Does the agency, upon request, provide all such data from the previous calendar year to the Department of Justice no later than June 30? (N/A if DOJ has not requested agency data.)	yes
115.88 (a)	Data review for corrective action	
	Does the agency review data collected and aggregated pursuant to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Identifying problem areas?	yes
	Does the agency review data collected and aggregated pursuant to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Taking corrective action on an ongoing basis?	yes
	Does the agency review data collected and aggregated pursuant to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Preparing an annual report of its findings and corrective actions for each facility, as well as the agency as a whole?	yes
115.88 (b)	Data review for corrective action	

	Does the agency's annual report include a comparison of the current year's data and corrective actions with those from prior years and provide an assessment of the agency's progress in addressing sexual abuse?	yes
115.88 (c)	Data review for corrective action	
	Is the agency's annual report approved by the agency head and made readily available to the public through its website or, if it does not have one, through other means?	yes
115.88 (d)	Data review for corrective action	
	Does the agency indicate the nature of the material redacted where it redacts specific material from the reports when publication would present a clear and specific threat to the safety and security of a facility?	yes
115.89 (a)	Data storage, publication, and destruction	
	Does the agency ensure that data collected pursuant to § 115.87 are securely retained?	yes
115.89 (b)	Data storage, publication, and destruction	
	Does the agency make all aggregated sexual abuse data, from facilities under its direct control and private facilities with which it contracts, readily available to the public at least annually through its website or, if it does not have one, through other means?	yes
115.89 (c)	Data storage, publication, and destruction	
	Does the agency remove all personal identifiers before making aggregated sexual abuse data publicly available?	yes
115.89 (d)	Data storage, publication, and destruction	
	Does the agency maintain sexual abuse data collected pursuant to § 115.87 for at least 10 years after the date of the initial collection, unless Federal, State, or local law requires otherwise?	yes
115.401 (a)	Frequency and scope of audits	
	During the prior three-year audit period, did the agency ensure that each facility operated by the agency, or by a private organization on behalf of the agency, was audited at least once? (Note: The response here is purely informational. A "no" response does not impact overall compliance with this standard.)	yes
115.401	Frequency and scope of audits	

(b)		
	Is this the first year of the current audit cycle? (Note: a “no” response does not impact overall compliance with this standard.)	yes
	If this is the second year of the current audit cycle, did the agency ensure that at least one-third of each facility type operated by the agency, or by a private organization on behalf of the agency, was audited during the first year of the current audit cycle? (N/A if this is not the second year of the current audit cycle.)	na
	If this is the third year of the current audit cycle, did the agency ensure that at least two-thirds of each facility type operated by the agency, or by a private organization on behalf of the agency, were audited during the first two years of the current audit cycle? (N/A if this is not the third year of the current audit cycle.)	na
115.401 (h)	Frequency and scope of audits	
	Did the auditor have access to, and the ability to observe, all areas of the audited facility?	yes
115.401 (i)	Frequency and scope of audits	
	Was the auditor permitted to request and receive copies of any relevant documents (including electronically stored information)?	yes
115.401 (m)	Frequency and scope of audits	
	Was the auditor permitted to conduct private interviews with inmates, residents, and detainees?	yes
115.401 (n)	Frequency and scope of audits	
	Were inmates permitted to send confidential information or correspondence to the auditor in the same manner as if they were communicating with legal counsel?	yes
115.403 (f)	Audit contents and findings	
	The agency has published on its agency website, if it has one, or has otherwise made publicly available, all Final Audit Reports. The review period is for prior audits completed during the past three years PRECEDING THIS AUDIT. The pendency of any agency appeal pursuant to 28 C.F.R. § 115.405 does not excuse	yes

	noncompliance with this provision. (N/A if there have been no Final Audit Reports issued in the past three years, or, in the case of single facility agencies, there has never been a Final Audit Report issued.)	
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