

STATE OF NORTH CAROLINA

OFFICE OF THE STATE AUDITOR

BETH A. WOOD, CPA



NORTH CAROLINA DEPARTMENT OF PUBLIC SAFETY PRIVATE PROTECTIVE SERVICES

RALEIGH, NORTH CAROLINA

INVESTIGATIVE REPORT
DECEMBER 2016



NC  **OSA**
The Taxpayers' Watchdog

EXECUTIVE SUMMARY

PURPOSE

The Office of the State Auditor received a complaint through its Hotline alleging the Director of the North Carolina Department of Public Safety's (DPS) Private Protective Services (PPS) was using his state-owned vehicle for commuting and personal use. In addition, the complaint alleged a supervisor at PPS was also using his state-owned vehicle for commuting.

BACKGROUND

DPS's Private Protective Services is responsible for administering the licensing, education, and training requirements for persons, firms, associations, and corporations engaged in private protective services in North Carolina. PPS conducts background investigations for the licensing of private protective services occupations, registers employees of licensed companies, and enforces laws and rules through administrative disciplinary processes and the courts.¹

FINDINGS

- Director derived a \$2,898 personal benefit from unauthorized commuting in a state vehicle
- Supervisor derived a \$4,408 personal benefit from unauthorized commuting in a state vehicle

KEY RECOMMENDATIONS

- DPS should consider disciplinary action against the Director for using his state-assigned vehicle for commuting and other personal use
- DPS should consider disciplinary action against the Commissioner for overriding policy and approving inaccurate travel logs
- DPS should consider obtaining reimbursement from the Director for the improperly derived personal benefit of \$2,898
- DPS should consider a complete review of its assigned state-owned vehicles to ensure compliance with state policies
- DPS should consider obtaining reimbursement from the Supervisor for the improperly derived personal benefit of \$4,408
- DPS should enforce its travel policy and Motor Fleet Management's policy regarding the use of state-owned vehicles

Key recommendations are not inclusive of all recommendations in this report.

¹ www.ncdps.gov/About-DPS/Boards-Commissions/Private-Protective-Services-Board

STATE OF NORTH CAROLINA
Office of the State Auditor



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AUDITOR'S TRANSMITTAL

The Honorable Pat McCrory, Governor
Members of the North Carolina General Assembly
Frank Perry, Secretary, North Carolina Department of Public Safety

Ladies and Gentlemen:

Pursuant to *North Carolina General Statute §147-64.6(c)(16)*, we have completed an investigation of allegations concerning the North Carolina Department of Public Safety. The results of our investigation, along with recommendations for corrective action, are contained in this report.

Copies of this report have been provided to the Governor, the Attorney General and other appropriate officials in accordance with *G.S. §147-64.6(c)(12)*. We appreciate the cooperation received from the management and employees of the Department of Public Safety during our investigation.

Respectfully submitted,

A handwritten signature in cursive script that reads 'Beth A. Wood'.

Beth A. Wood, CPA
State Auditor



Beth A. Wood, CPA
State Auditor

TABLE OF CONTENTS

	PAGE
BACKGROUND.....	1
FINDINGS AND RECOMMENDATIONS	
1) DIRECTOR DERIVED A \$2,898 PERSONAL BENEFIT FROM UNAUTHORIZED COMMUTING IN A STATE VEHICLE	2
2) SUPERVISOR DERIVED A \$4,408 PERSONAL BENEFIT FROM UNAUTHORIZED COMMUTING IN A STATE VEHICLE	5
APPENDIX.....	8
RESPONSE FROM THE NORTH CAROLINA DEPARTMENT OF PUBLIC SAFETY	20
ORDERING INFORMATION.....	21

Article 5A, Chapter 147 of the North Carolina General Statutes, gives the Auditor broad powers to examine all books, records, files, papers, documents, and financial affairs of every state agency and any organization that receives public funding. The Auditor also has the power to summon people to produce records and to answer questions under oath.



BACKGROUND

The Office of the State Auditor received a complaint through its Hotline alleging the Director of the North Carolina Department of Public Safety's (DPS) Private Protective Services (PPS) was using his state-owned vehicle for commuting and personal use. In addition, the complaint alleged a supervisor at PPS was also using his state-owned vehicle for commuting.

An investigation of these allegations included the following procedures:

- Review of applicable North Carolina General Statutes, DPS policies and procedures, and North Carolina Motor Fleet Management (Motor Fleet) policies
- Interviews with the PPS Director, a PPS supervisor, DPS personnel and the Motor Fleet Deputy Director
- Examination and analysis of available documents, records, and GPS² tracking data

This report presents the results of the investigation. The investigation was conducted pursuant to *North Carolina General Statute § 147-64.6 (c) (16)*.

² Global Positioning System (GPS) is a radio navigation system that allows land, sea, and airborne users to determine the exact location, velocity, and time 24 hours a day.



FINDINGS AND RECOMMENDATIONS

1. DIRECTOR DERIVED A \$2,898 PERSONAL BENEFIT FROM UNAUTHORIZED COMMUTING IN A STATE VEHICLE

The Director of the Department of Public Safety's (DPS) Private Protective Services (PPS) improperly derived a personal benefit of at least \$2,898 by using a state vehicle for commuting and personal travel. The DPS Commissioner of Operations overrode DPS and Motor Fleet Management Division (Motor Fleet) policies and allowed the Director to commute in a state vehicle.

Unauthorized Commuting

The Director acknowledged using a state vehicle for daily commuting between Rolesville and Raleigh. The Director said he frequently parked the vehicle at the Rolesville Fire Department less than a mile from his personal residence.

However, the Director was not properly authorized to use a state vehicle for commuting. The Director did not have the required approvals from DPS Purchasing and Logistics, the DPS Secretary, the Office of State Budget and Management, or Motor Fleet.

Furthermore, the Director misrepresented his use of the state vehicle on his vehicle travel logs. On days that he commuted from Rolesville, the Director inaccurately listed his departure and destination locations as "Raleigh."

Based on the way the Director documented his commuting, a review of the vehicle travel logs indicated that the Director commuted between Rolesville and Raleigh on at least 140 of the 193 days³ between April 20, 2015, and March 31, 2016. The distance between Rolesville and Raleigh is 18 miles one way or 36 miles round trip.

Personal Use

The Director also appeared to use his state-owned vehicle for personal travel. A GPS device, installed on the Director's state vehicle during March 2016, revealed the following:

- On March 2, the Director drove to Cary then to his home in Rolesville. He then drove to an animal hospital in Wake Forest, returned home, and went back to the animal hospital later that day using the state vehicle. He told investigators he had lunch in Cary with a Board member and that he met his wife at the animal hospital in Wake Forest.
- On March 3, the Director drove to Woodlake Country Club, a private community in Moore County. Initially, the Director could not remember the nature of this trip. He said he "sometimes meets with the Chief Deputy [Sheriff] of Moore County or [I] go to the sheriff's office to talk with police." During a second interview with the Director on a later date, he admitted that he has a residence in the Woodlake Country Club private community and that he drove there March 3 to get "protective gear."
- On March 21, the Director drove to a private residence in the Mt. Vernon Crossing subdivision in Raleigh. The Director said a Board member was "building a new house."

³ Days which the Director's travel logs indicated he traveled from Raleigh or Rolesville to Raleigh, his office, or the Raleigh surrounding area.

FINDINGS AND RECOMMENDATIONS

- On March 22, the Director drove to a private residence on Mark Creeks Drive in Knightdale. The Director said he gets his “hair cut by a lady that lives in Knightdale. Her salon is in her house.”
- Later on March 22, the Director went to the Bexley at Heritage Apartments in Wake Forest. The Director could not remember the purpose of this visit to the apartments.

The amount of the Director’s personal use of the state-owned vehicle may be greater because the vehicle travel logs don’t accurately reflect the vehicle’s use. An analysis of the Director’s monthly travel logs revealed discrepancies with the reported daily mileage (see Appendix). The recorded mileage frequently exceeded the estimated miles between the locations he reportedly traveled as illustrated by the following examples.

<u>Travel Date</u>	<u>From</u>	<u>To</u>	<u>Mileage Recorded</u>	<u>Estimated Mileage*</u>	<u>Mileage Difference</u>
8/14/2015	Raleigh	Moore County	256	120	136.0
9/21/2015	Raleigh	Office/Cary	189	24.2	164.8
10/24/2015	Raleigh	Raleigh	165	14.8	150.2
10/25/2015	Raleigh	Raleigh	198	14.8	183.2
12/4/2015	Raleigh	Raleigh	171	14.8	156.2

*Estimated miles according to Google Maps

Improper Personal Benefit

The Director improperly derived a personal benefit of at least \$2,898⁴ by using a state vehicle to commute between Rolesville and Raleigh from April 2015 through March 2016.

This personal benefit amount does not account for benefits associated with the Director’s personal use of the state vehicle.

Commissioner Override Policy

Despite DPS and Motor Fleet policies which prohibited commuting, the DPS Commissioner of Operations (Commissioner) said he allowed the Director to use the state vehicle and park it near his residence in Rolesville.

According to the DPS Commissioner, “[DPS] reviews authorization of vehicles on a case-by-case basis” based on certain criteria. These criteria include the following:

- “Is the vehicle subject to be called out at night? Does [the Director] have early morning responsibilities that he can go directly from a location to his assignment?” According to the Commissioner, “investigators may call [the Director or Supervisor] at night if they are at a nightclub and the situation escalates.”
- “Is there a benefit to the State?” The Commissioner said, “if there is a response time to keep the employee from having to come into the office to pick up a vehicle,” then “it benefits the State in many ways.”

⁴ 5,040 commuting miles (36 mile round trip x 140 days) x \$0.575 (IRS mileage rate) = \$2,898

However, neither the Commissioner nor the Director could provide any examples when the Director was called or needed to respond to an emergency.

The Commissioner approved the monthly travel logs that inaccurately indicated the Director parked the state vehicle in Raleigh. The Commissioner approved the logs knowing that he had allowed the Director to park the state vehicle in Rolesville. The travel log inaccuracies also suggest the Commissioner did not review the daily travel log mileage for reasonableness.

Regardless of the Commissioner's permission, the Director's commuting was not properly authorized in accordance with DPS or Motor Fleet policies.

DPS Policy

The DPS travel policy, "Transportation by State Vehicle, State-Owned Vehicles", prohibits employees from using state vehicles for commuting unless an exception is approved by the DPS Secretary and the Office of State Budget and Management. The policy also prohibits employees from using state vehicles for personal use.

Specifically, the policy states:

"Permanently assigned vehicles - **Individuals assigned a state-owned vehicle, shall not use such vehicle for commuting or other personal use.** Exceptions may be requested through the chain of command to the head of DPS Purchasing and Logistics. The head of DPS Purchasing and Logistics will make recommendations to the Secretary. **The Secretary's approved exceptions will be submitted to the Office of State Budget and Management for approval.**" *[Emphasis Added]*

"State-Owned Vehicles - State-owned vehicles shall be used for official state business only. N.C. Gen. Stat. § 14-247 prohibits the private use of publicly owned vehicles. 'It shall be **unlawful** for any officer, agent or employee of the State of North Carolina, or of any county or of any institution or agency of the state, **to use for any private purpose whatsoever** any motor vehicle of any type or description whatsoever belonging to the state, or to any county, or to any institution or agency of the state.'" *[Emphasis Added]*

Motor Fleet Policy

Motor Fleet policy also prohibits employees from using state vehicles for commuting unless an exception is properly approved. The policy also prohibits employees from using state vehicles for personal use.

Specifically, "Motor Fleet Management Regulations" states:

- **"Commuting privilege requires prior approval of Agency Head and MFM [Motor Fleet Management]."** *[Emphasis Added]*
- State-owned vehicles should be "used for official state business only."
- "It shall be **unlawful** for any state employee **to use a state-owned vehicle for any private purpose whatsoever.**" *[Emphasis Added]*
- "Log entries should accurately reflect the use of the vehicle."

Furthermore, Motor Fleet policy requires employees to reimburse the state for commuting in state vehicles.

Specifically, "Motor Fleet Management Regulations" states:

"Employees who routinely drive any state-owned vehicle between their home and work station shall reimburse the state for mileage. Reimbursement shall be made by payroll deduction. The amount of reimbursement shall approximate the benefit derived from the use of the vehicle as prescribed by federal law at a rate established by Motor Fleet Management and shall be for 20 days per month." [Emphasis Added]

Additionally, according to Motor Fleet's Deputy Director, "the only state employees eligible for commuting are agency heads. Regular state employees are not eligible to use vehicles for commuting."

RECOMMENDATIONS

- DPS should consider disciplinary action against the Director for using his state-assigned vehicle for commuting and other personal use
- DPS should consider disciplinary action against the Commissioner for overriding policy and approving inaccurate travel logs
- DPS should consider obtaining reimbursement from the Director for the improperly derived personal benefit of \$2,898
- DPS should enforce its travel policy and Motor Fleet Management's policy regarding the use of state-owned vehicles
- DPS should consider a complete review of its assigned state-owned vehicles to ensure compliance with state policies

2. SUPERVISOR DERIVED A \$4,408 PERSONAL BENEFIT FROM UNAUTHORIZED COMMUTING IN A STATE VEHICLE

A supervisor in the Department of Public Safety's (DPS) Private Protective Services (PPS) improperly derived a personal benefit of at least \$4,408 by commuting in a state vehicle. The PPS Director overrode DPS and Motor Fleet Management Division (Motor Fleet) policies and allowed the Supervisor to commute in a state vehicle.

Unauthorized Commuting

The Supervisor acknowledged using a state vehicle for daily commuting between Fuquay-Varina and Raleigh. The Supervisor said that he drove the vehicle home daily to protect audio equipment stored in the vehicle for meetings and presentations.

However, the Supervisor was not properly authorized to use a state vehicle for commuting. The Supervisor did not have the required approvals from DPS Purchasing and Logistics, the DPS Secretary, the Office of State Budget and Management, or Motor Fleet.

The Supervisor documented his commuting in the vehicle travel logs. The Supervisor generally documented the daily departure location as “Fuquay” and the destination as “Raleigh.” Alternatively, he documented the purpose of a trip as “Report for Duty” with no departure or destination location recorded.

Based on the way the Supervisor documented his commuting, a review of the vehicle travel logs indicated the Supervisor commuted between Fuquay-Varina and Raleigh on at least 148 days between July 2015 and March 2016. The distance between Fuquay-Varina and Raleigh is 25.9 miles one way or 51.8 miles round trip.

Improper Personal Benefit

The Supervisor improperly derived a personal benefit of at least \$4,408⁵ by commuting between Fuquay-Varina and Raleigh almost daily from July 2015 through March 2016.

Director Overrode Policies

Despite DPS and Motor Fleet policies which prohibited commuting, the Director allowed the Supervisor to commute in a state vehicle. The Supervisor said the Director told him he could drive the vehicle home daily because of the audio equipment stored in the vehicle for meetings and presentations.

When asked about the need to keep the equipment in the vehicle rather than storing it in the office, the Supervisor said, “a lot of it is just wear and tear on [the equipment], hauling it back and forth...It’s heavy equipment in cases...Getting it in and out the van sometimes is difficult, banging it up and beating it up.”

In a statement to investigators, the Director wrote the following:

“[The Supervisor] situation is a bit unique in that the vehicle he is assigned is also used to store over five thousand dollars’ worth of display and sound system equipment used at [Private Protective Services] and [Alarm System Licensing] board meetings and other functions... Because of this, rather than leave the vehicle assigned to [the Supervisor] a half mile away at a public venue, I made the decision to ask him if he would keep the vehicle at his residence, where he has a large parking area with good lighting that is visible from his house and NOT visible from the road.”

The Supervisor claimed he parked the vehicle at his personal residence in Fuquay-Varina to protect the equipment. However, the vehicle was not always protected. For example, the Supervisor used this same vehicle, with the equipment inside, to respond to emergencies involving other investigators at night and on weekends. Also, the Supervisor used the vehicle to attend staff meetings and training throughout the state. In addition, other Private Protective Services staff members drove the vehicle to other state agencies during the work day.

Regardless of the Director’s permission, the Supervisor’s commuting was not properly authorized in accordance with DPS or Motor Fleet policies.

⁵ 7,666 commuting miles (51.8 mile round trip x 148 days) x \$0.575 IRS mileage rate = \$4,408

DPS Policy

The DPS travel policy, "Transportation by State Vehicle, State-Owned Vehicles", prohibits employees from using state vehicles for commuting unless an exception is approved by the DPS Secretary and the Office of State Budget and Management.

Specifically, the policy states:

"Permanently assigned vehicles - Individuals assigned a state-owned vehicle, shall not use such vehicle for commuting or other personal use. Exceptions may be requested through the chain of command to the head of DPS Purchasing and Logistics. The head of DPS Purchasing and Logistics will make recommendations to the Secretary. The Secretary's approved exceptions will be submitted to the Office of State Budget and Management for approval."
[Emphasis Added]

Motor Fleet Policy

Motor Fleet policy also prohibits employees from using state vehicles for commuting unless an exception is properly approved.

Specifically, "Motor Fleet Management Regulations" states,

"Commuting privilege requires prior approval of Agency Head and MFM [Motor Fleet Management]." *[Emphasis Added]*

Furthermore, Motor Fleet policy requires employees to reimburse the state for commuting in state vehicles.

Specifically, "Motor Fleet Management Regulations" states:

"Employees who routinely drive any state-owned vehicle between their home and work station shall reimburse the state for mileage. Reimbursement shall be made by payroll deduction. The amount of reimbursement shall approximate the benefit derived from the use of the vehicle as prescribed by federal law at a rate established by Motor Fleet Management and shall be for 20 days per month." *[Emphasis Added]*

Additionally, according to Motor Fleet's Deputy Director, "the only state employees eligible for commuting are agency heads. Regular state employees are not eligible to use vehicles for commuting."

RECOMMENDATIONS

- DPS should consider obtaining reimbursement from the Supervisor for the improperly derived personal benefit of \$4,408
- DPS should enforce its travel policy and Motor Fleet Management's policy regarding the use of state-owned vehicles



APPENDIX

DEPARTMENT OF ADMINISTRATION Motor Fleet Management Division, Raleigh						Total Miles	1742		
TRAVEL LOG FOR PERMANENTLY ASSIGNED VEHICLES						Rate per Mile	0.38		
						Mileage Charge	\$661.96		
						Monthly Fee	\$35.00		
						Total Amount	\$696.96		
Department	NC Dept. of Public Safety		Agency	Law Enforcement/PPS		Dist./Off. No.	1907		
Individual Responsible for Vehicle:			Approval of Agency Head/Supervisor:		Vehicle No.	Fund No.	RCC No.		
					240984	5020			
TRIP DATES		OFFICIAL TRAVEL				For Month Of		May	
Leave	Return	From	To	Purpose of Trip	Signature	MILEAGE			
						Out	In	Miles	
1-May	1-May	Raleigh	Raleigh	SBI pickup fp results		579	591	12	
6-May	6-May	Raleigh	Raleigh	DPS / IT Meeting		591	605	14	
7-May	7-May	Raleigh	Raleigh	Investigation		605	620	15	
7-May	7-May	Raleigh	Raleigh	Staples; pickup supplies		620	624	4	
8-May	8-May	Raleigh	Fuquay	Meet w/Comm. Baker		624	682	58	
11-May	11-May	Raleigh	Raleigh	Attend monthly comm. Meeting		682	700	18	
12-May	12-May	Raleigh	Lumberton	Meet w/board member		700	926	226	
13-May	13-May	Raleigh	Raleigh/Garner	Meet w/Comm./Wako, Co Sheriff		926	992	66	
14-May	14-May	Rolesville	Clemmons	Meet w/board member		992	1238	246	
15-May	15-May	Rolesville	Raleigh	Highway Patrol Training		1238	1347	109	
15-May	15-May	Raleigh	Fayetteville	Assist ABC Agents		1347	1525	178	
18-May	18-May	Raleigh	Cary Crossroads	Gas/met with board member		1525	1551	26	
19-May	19-May	Raleigh	Clemmons	ASLB Meeting		1551	1700	149	
21-May	21-May	Clemmons	Raleigh	ASLB Meeting		1700	1823	123	
22-May	22-May	Raleigh	Raleigh	DPS /drop off documents		1823	1840	17	
27-May	27-May	Raleigh	Durham	Meet with sheriff		1840	1900	60	
28-May	28-May	Raleigh	N. Raleigh	Ck. on real estate for office space		1900	1961	61	
29-May	29-May	Raleigh	Charlotte	Mecklenburg Co. Sheriff		1961	2321	360	
								0	
								0	
								0	
								0	
								0	
								0	
								0	
No. of Trips	18	No. of Trip Days	Local Trips	Odometer Readings:	Beginning of Month	579	End of Month	2321	1742



DEPARTMENT OF ADMINISTRATION Motor Fleet Management Division, Raleigh TRAVEL LOG FOR PERMANENTLY ASSIGNED VEHICLES										Total Miles	2539	
										Rate per Mile	0.38	
										Mileage Charge	\$964.82	
										Monthly Fee	\$38.00	
										Total Amount	\$999.82	
Department: NC Dept. of Public Safety			Agency: Law Enforcement/PPS			Dept. Loc. No.: 1907		Vehicle No.: 240984		Fund No.: 8020	RCC No.:	
Individual Responsible for Vehicle:			Approval of Agency Head/Supervisor:									
TRIP DATES		OFFICIAL TRAVEL				For Month Of June						
Leave	Return	From	To	Purpose of Trip	Signature	MILEAGE						
						Out	In	Miles				
1-Jun	1-Jun	Raleigh	Granville and Franklin County	Meet Sheriff's of both Counties	[Redacted Signature]	2321	2396	75				
2-Jun	2-Jun	Raleigh	Raleigh	Commissioner and Board meetings		2396	2500	104				
3-Jun	3-Jun	Raleigh	Winston-Salem	Meet with Board Members		2500	2561	61				
4-Jun	4-Jun	Winston-Salem	Winston-Salem	Meet with Board Members		2561	2770	209				
5-Jun	5-Jun	Raleigh	Raleigh	Meet with Board Member		2770	2792	22				
8-Jun	8-Jun	Raleigh	Greensboro	Investigator Evaluation		2792	2960	168				
9-Jun	9-Jun	Raleigh	Raleigh	Meetings		2960	3000	40				
10-Jun	10-Jun	Raleigh	Wilmington	Sheriff's Office Meeting		3000	3330	330				
11-Jun	11-Jun	Raleigh	Sanford	Meetings		3330	3366	36				
12-Jun	12-Jun	Raleigh	Sanford	Meet with Lee County Sheriff		3366	3466	100				
15-Jun	15-Jun	Raleigh	Cary	Meet with Board Member		3466	3504	38				
16-Jun	16-Jun	Raleigh	Sanford	Sheriff's Office Meeting		3504	3680	176				
17-Jun	17-Jun	Raleigh	Raleigh	Legislature Meeting		3680	3731	51				
18-Jun	18-Jun	Raleigh	Beaufort, NC	Sheriff's Office Meeting		3731	4017	286				
19-Jun	19-Jun	Raleigh	Garner/Cary/ Raleigh	Meetings		4017	4112	95				
22-Jun	22-Jun	Raleigh	Raleigh/Cary	Pick up uniforms		4112	4161	49				
23-Jun	24-Jun	Raleigh	Fuquay - Raleigh	PPS Board Meeting		4161	4271	110				
24-Jun	24-Jun	Raleigh	Raleigh	PPS Board Meeting		4271	4289	18				
24-Jun	24-Jun	Raleigh	Raleigh	Holiday Inn/PPSB		4289	4301	12				
25-Jun	25-Jun	Raleigh	Raleigh	PPSB Meeting		4301	4317	16				
25-Jun	25-Jun	Raleigh	Raleigh/Greensboro	Wake Med check on staff Meet Speaker Moore		4317	4517	200				
26-Jun	26-Jun	Raleigh	Raleigh	Meeting at Archdale Bldg.		4517	4580	63				
29-Jun	29-Jun	Raleigh	Raleigh - Smithfield	Meetings		4580	4660	80				
30-Jun	30-Jun	Raleigh	Raleigh - Sanford	Sheriff's Office Meeting		4660	4860	200				
No. of Trips	24	No. of Trip Days		Local Trips			Odometer Readings:	Beginning of Month	2321	End of Month	4860	2539

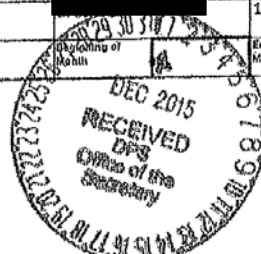


DEPARTMENT OF ADMINISTRATION Motor Fleet Management Division, Raleigh										Total Miles	3962
TRAVEL LOG FOR PERMANENTLY ASSIGNED VEHICLES										Rate per Mile	0.38
Department: NC Dept. of Public Safety Agency: Law Enforcement/PPS Dept. File No.: 1907										Mileage Charge	\$1,505.56
Individual Responsible for Vehicle: [Redacted] Approval of Agency Head/Supervisor: [Redacted] Vehicle No.: 240984 Fund No.: 5020 RCC No.:										Monthly Fee	\$35.00
										Total Amount	\$1,540.56
TRIP DATES		OFFICIAL TRAVEL			Purpose of Trip	Signature	For Month Of August				
Leave	Return	From	To	MILEAGE							
							Out	In	Miles		
3-Aug	3-Aug	Raleigh	Raleigh	Business	[Redacted]	6621	6729	108			
4-Aug	4-Aug	Raleigh	Raleigh	Business	[Redacted]	6729	6729	0			
5-Aug	5-Aug	Raleigh	Raleigh	Business	[Redacted]	6729	6830	101			
6-Aug	6-Aug	Raleigh	Raleigh	Business	[Redacted]	6830	6852	22			
7-Aug	7-Aug	Raleigh	Greenville	Meeting	[Redacted]	6852	7024	172			
10-Aug	10-Aug	Raleigh	Raleigh	Office/Business Mtg.	[Redacted]	7024	7065	41			
11-Aug	11-Aug	Raleigh	Smithfield	Meeting	[Redacted]	7065	7198	133			
12-Aug	12-Aug	Raleigh	Wilson	Meeting	[Redacted]	7198	7277	79			
13-Aug	13-Aug	Raleigh	Wilmington and Morehead City	Meeting	[Redacted]	7277	7630	353			
14-Aug	14-Aug	Raleigh	Moore County	Sheriff's Meeting	[Redacted]	7630	7886	256			
17-Aug	17-Aug	Raleigh	Raleigh	Meetings	[Redacted]	7886	7929	43			
19-Aug	19-Aug	Raleigh	Raleigh/Cary	Office/Business Mtg.	[Redacted]	7929	7971	42			
20-Aug	20-Aug	Raleigh	Office/Smithfield	Meetings	[Redacted]	7971	8052	81			
21-Aug	21-Aug	Raleigh	Durham/Cary	Business	[Redacted]	8052	8156	104			
24-Aug	24-Aug	Raleigh	Pinehurst	Business	[Redacted]	8156	8342	186			
25-Aug	25-Aug	Raleigh	Raleigh	Business	[Redacted]	8342	8396	54			
26-Aug	26-Aug	Raleigh	Raleigh	Smithfield	[Redacted]	8396	8462	66			
27-Aug	27-Aug	Raleigh	Raleigh	Business	[Redacted]	8462	8509	47			
28-Aug	28-Aug	Raleigh	Raleigh	Business	[Redacted]	8509	8560	51			
31-Aug	31-Aug	Raleigh	Raleigh	Cary/ Office	[Redacted]	8560	8602	42			
								0			
								0			
								0			
								0			
No. of Trips	20	No. of Trip Days		Local Trips		Odometer Readings:	Beginning of Month	6621	End of Month	8602	1981

DEPARTMENT OF ADMINISTRATION Motor Fleet Management Division, Raleigh										Total Miles	2256	
TRAVEL LOG FOR PERMANENTLY ASSIGNED VEHICLES										Rate per Mile	0.38	
Agency: NC Dept. of Public Safety										Mileage Charge	\$1,047.28	
Individual Responsible for Vehicle: [Redacted]										Monthly Fee	\$38.00	
Approval of Agency Head/Supervisor: [Redacted]										Total Amount	\$1,082.28	
Vehicle No. 240984										Fund No.	8020	
OFFICIAL TRAVEL										ICC No.		
TRIP DATES										For Month Of		September
Leave	Return	From	TO	Purpose of Trip	Signature	MILEAGE						
						Out	In	Miles				
20-Aug	20-Aug	Raleigh	Office/Smithfield	Meetings	[Redacted]	7971	8052	81				
21-Aug	21-Aug	Raleigh	Durham/Cary	Business	[Redacted]	8052	8156	104				
24-Aug	24-Aug	Raleigh	Pinehurst	Business	[Redacted]	8156	8342	186				
25-Aug	25-Aug	Raleigh	Raleigh	Business	[Redacted]	8342	8396	54				
26-Aug	26-Aug	Raleigh	Raleigh	Smithfield	[Redacted]	8396	8462	66				
27-Aug	27-Aug	Raleigh	Raleigh	Business	[Redacted]	8462	8509	47				
28-Aug	28-Aug	Raleigh	Raleigh	Business	[Redacted]	8509	8560	51				
31-Aug	31-Aug	Raleigh	Raleigh	Cary/ Office	[Redacted]	8560	8602	42				
1-Sep	1-Sep	Raleigh	Raleigh	Archdale Building for meeting	[Redacted]	8602	8652	50				
2-Sep	2-Sep	Raleigh	Lee County	Sheriff's Meeting	[Redacted]	8652	8690	38				
3-Sep	3-Sep	Raleigh	Raleigh	Controllers' Office	[Redacted]	8690	8702	12				
4-Sep	4-Sep	Raleigh	Garner	Finance Meeting	[Redacted]	8702	8717	15				
8-Sep	8-Sep	Raleigh	Edenton	Business	[Redacted]	8717	8822	105				
9-Sep	9-Sep	Raleigh	Cary/Raleigh	Business	[Redacted]	8822	8891	69				
10-Sep	10-Sep	Raleigh	Raleigh	Business	[Redacted]	8891	8958	67				
11-Sep	11-Sep	Raleigh	Smithfield	Johnston County Sheriff's Office	[Redacted]	8958	9070	112				
14-Sep	14-Sep	Raleigh	Raleigh	Business	[Redacted]	9070	9109	39				
15-Sep	15-Sep	Raleigh	Raleigh	Business	[Redacted]	9109	9151	42				
16-Sep	16-Sep	Raleigh	Sonate	Business	[Redacted]	9151	9191	40				
17-Sep	17-Sep	Raleigh	Sonate	Business	[Redacted]	9191	9251	60				
18-Sep	18-Sep	Raleigh	Sanford	Meeting with Board Member	[Redacted]	9251	9349	98				
No. of Trips	21	No. of Trip Days		Local Trips		Beginning of Month	7971	End of Month	9349	1378		

DEPARTMENT OF ADMINISTRATION Motor Fleet Management Division, Raleigh							Total Miles	3702
TRAVEL LOG FOR PERMANENTLY ASSIGNED VEHICLES							Rate per Mile	0.38
							Mileage Charge	\$1,486.76
							Monthly Fee	\$95.00
							Total Amount	\$1,441.76
Department	NC Dept. of Public Safety		Agency	Law Enforcement/PPS		Vehicle No.	240984	
Individual Responsible for Vehicle:			Approval of Agency Head/Supervisor:			Fund No.	5020	
							NCC No.	
TRIP DATES		OFFICIAL TRAVEL					For Month Of September/October	
Leave	Return	From	To	Purpose of Trip	Signature	MILEAGE		
						Out	In	Miles
21-Sep	21-Sep	Raleigh	Office/Cary	Meetings	[Redacted]	9349	9538	189
22-Sep	22-Sep	Raleigh	Cary/Raleigh	Meetings	[Redacted]	9538	9618	80
23-Sep	23-Sep	Raleigh	Raleigh/Garner	Business	[Redacted]	9618	9678	60
24-Sep	24-Sep	Raleigh	Moore County	Meetings/Business	[Redacted]	9678	9738	60
25-Sep	25-Sep	Raleigh	Franklin Co.	Sheriff's Office	[Redacted]	9738	9807	69
28-Sep	28-Sep	Raleigh	Wake Forest	Meetings	[Redacted]	9807	9869	62
29-Sep	29-Sep	Raleigh	Cary/Garner	Business	[Redacted]	9869	9938	69
30-Sep	30-Sep	Raleigh	Office	Meetings	[Redacted]	9930	10,045	107
1-Oct	1-Oct	Raleigh	Office	Finance Meeting	[Redacted]	10,045	10,093	48
2-Oct	2-Oct	Raleigh	Morganton	Meet with board member	[Redacted]	10,093	10,093	0
5-Oct	5-Oct	Raleigh	Raleigh	Business	[Redacted]	10,093	10,164	71
6-Oct	6-Oct	Raleigh	Office	Business	[Redacted]	10,164	10,258	94
7-Oct	7-Oct	Raleigh	Office/Cary	Business	[Redacted]	10,258	10,307	49
9-Oct	9-Oct	Raleigh	Office/Meeting	DPS Yonkers Road	[Redacted]	10,307	10,420	113
9-Oct	9-Oct	Raleigh	Wilmington	Meet with board member	[Redacted]	10,420	10,456	36
12-Oct	12-Oct	Raleigh	Cary/Garner	Meetings	[Redacted]	10,456	10,557	101
13-Oct	13-Oct	Raleigh	Raleigh	Office	[Redacted]	10,557	10,574	17
14-Oct	14-Oct	Raleigh	Cary/Raleigh	Office /Medical Appointment	[Redacted]	10,574	10,712	138
15-Oct	15-Oct	Raleigh	Cary/Raleigh	Office	[Redacted]	10,712	10,886	174
16-Oct	16-Oct	Raleigh	Wilmington	Meet with board member	[Redacted]	10,836	11,164	328
19-Oct	19-Oct	Raleigh	Office	Meeting	[Redacted]	11,164	11,182	18
20-Oct	20-Oct	Raleigh	Office	Meeting	[Redacted]	11,182	11,200	18
No. of Trips	22	No. of Trip Days		Local Trips		Odometer Readings:	Beginning of Month 9349	End of Month 11,200
								1851

DEPARTMENT OF ADMINISTRATION Motor Fleet Management Division, Raleigh										Total Miles	
TRAVEL LOG FOR PERMANENTLY ASSIGNED VEHICLES										Rate per Mile	0.38
										Mileage Charge	\$0.00
										Monthly Fee	\$36.00
										Total Amount	\$35.00
Department: NC Dept. of Public Safety			Agency: Division of Operations/PPS			Dept. Code No.: 1907					
Individual Responsible for Vehicle:			Approval of Agency Head/Supervisor:			Vehicle No.: 240884		Fund No.: 5020		RCC No.:	
TRIP DATES		OFFICIAL TRAVEL						For Month Of		Oct/Nov.	
Leave	Return	From	To	Purpose of Trip	Signature	MILEAGE					
						Out	In	Miles			
1-Oct	21-Oct	Raleigh	Raleigh	Attend PPSB Meeting	[Redacted]	11,200	11279	79			
2-Oct	22-Oct	Raleigh	Raleigh	Business Meeting	[Redacted]	11279	11331	52			
3-Oct	23-Oct	Raleigh	Raleigh	Business Meeting	[Redacted]	11331	11397	66			
4-Oct	24-Oct	Raleigh	Raleigh	Business Meeting	[Redacted]	11397	11562	165			
5-Oct	25-Oct	Raleigh	Raleigh	Business Meeting	[Redacted]	11562	11760	198			
6-Oct	26-Oct				[Redacted]	11760	11760	0			
7-Oct	27-Oct	Raleigh	Raleigh	Business Meeting	[Redacted]	11760	11799	39			
8-Oct	28-Oct			out sick	[Redacted]	11799	11799	0			
9-Oct	29-Oct	Raleigh	Raleigh	Business Meeting	[Redacted]	11799	11831	32			
0-Oct	30-Oct	Raleigh	Raleigh	Business Meeting	[Redacted]	11831	11865	34			
-Nov	2-Nov	Raleigh	Raleigh	Business Meeting	[Redacted]	11865	11959	94			
-Nov	3-Nov	Raleigh	Raleigh	Business Meeting	[Redacted]	11959	12023	64			
-Nov	4-Nov	Raleigh	New Bern	Attend NCAPI Conference	[Redacted]	12023	12062	39			
-Nov	5-Nov	New Bern	New Bern	Attend NCAPI Conference	[Redacted]	12062	12173	111			
-Nov	6-Nov	New Bern	New Bern	Attend NCAPI Conference	[Redacted]	12173	12312	139			
-Nov	9-Nov	Raleigh	Office	Meetings	[Redacted]	12312	12350	46			
0-Nov	10-Nov	Raleigh	Office	Meetings	[Redacted]	12350	12381	31			
1-Nov	11-Nov	Raleigh	Raleigh	DPS/Drop off Invoices SBI/pickup fingerprint cards	[Redacted]	12381	12398	17			
2-Nov	12-Nov			Attending ASIR Conf. in New Orleans	[Redacted]	12398	12398	0			
3-Nov	13-Nov	Raleigh	Raleigh	Picked up vehicle from Raleigh Office	[Redacted]	12398	12414	16			
6-Nov	16-Nov	Raleigh	Office/Franklin County Sheriff's Office	Business Meeting	[Redacted]	12414	12459	45			
7-Nov	17-Nov	Raleigh	Office	Business Meeting	[Redacted]	12459	12528	69			
8-Nov	18-Nov	Raleigh	Raleigh	ASLB Meeting	[Redacted]	12528	12560	32			
9-Nov	19-Nov	Raleigh	Raleigh	ASLB Meeting	[Redacted]	12560	12603	43			
End of Trip	21	No. of Trip Days		Local Trips		Odometer Readings:		End of Month		1403	



DEPARTMENT OF ADMINISTRATION Motor Fleet Management Division, Raleigh							Total Miles			
TRAVEL LOG FOR PERMANENTLY ASSIGNED VEHICLES							Rate per Mile	0.38		
							Mileage Charge	\$0.00		
							Monthly Fee	\$35.00		
							Total Amount	\$35.00		
Department	NC Dept. of Public Safety		Agency	Division of Operations/PPS		Dept./Off. No.	1907			
Individual Responsible for Vehicle:			Approval of Agency Head/Supervisor:			Vehicle No.	240984		Fund No.	5020
									RCC No.	
TRIP DATES		OFFICIAL TRAVEL			Signature		For Month Of			
Leave	Return	From	To	Purpose of Trip			Dec/Jan.			
							MILEAGE			
							Out	In	Miles	
21-Dec	21-Dec	Raleigh	Fayetteville	Funeral	[REDACTED]		13,860	13907	47	
22-Dec	22-Dec	Raleigh	Fayetteville				13907	14091	184	
28-Dec	28-Dec	Raleigh	Raleigh	Office			14091	14140	49	
29-Dec	29-Dec	Raleigh	Raleigh	Office/OAH			14140	14199	59	
4-Jan	4-Jan	Raleigh	Garner	Meeting			14199	14281	82	
5-Jan	5-Jan	Raleigh	Cary	Meeting			14281	14362	81	
6-Jan	6-Jan	Raleigh	High Point	Department Funeral			14362	14602	240	
8-Jan	8-Jan	Raleigh	Greensboro	Speaking Engagement			14602	14,829	227	
12-Jan	12-Jan	Raleigh	Raleigh	Office			14829	14870	41	
13-Jan	13-Jan	Raleigh	Raleigh	ASLB Meeting			14870	14954	84	
14-Jan	14-Jan	Raleigh	Cary/Office	ASLB Meeting			14954	15016	62	
15-Jan	15-Jan	Raleigh	Raleigh	Board Meeting Followup			15016	15406	390	
16-Jan	16-Jan	Raleigh	Raleigh	Office			15046	15083	37	
19-Jan	19-Jan	Raleigh	Office/Lee County	Meeting			15083	15171	88	
20-Jan	20-Jan	Raleigh	Raleigh	Office			15171	15234	63	
										0
										0
										0
										0
										0
								0		
								0		
								0		
								0		
								0		
No. of Trips	15	No. of Trip Days		Local Trips		Odometer Readings:	Beginning of Month	End of Month	1734	



RESPONSE FROM THE DEPARTMENT OF PUBLIC SAFETY



Pat McCrory, Governor

Frank L. Perry, Secretary

December 5, 2016

The Honorable Beth A. Wood, CPA
Office of the State Auditor
2 South Salisbury Street
20600 Mail Service Center
Raleigh, NC 27699-0600

Dear State Auditor Wood:

This letter is in response to the allegation, findings and recommendations made by your investigator in a confidential draft concerning an allegation of misuse of a state vehicle by the Director of the Private Protective Services Board (PPSB). After thoroughly reviewing the report, I will ensure implementation of the recommendations and appropriately address and rectify the issues addressed in the findings.

Please know the critical work and mission of your agency staff are greatly appreciated by my entire executive team and me. I always have believed that all of the work conducted by your outstanding team of auditors and investigators has been thorough and fair.

Sincerely,

Frank L. Perry
Secretary, NC Department of Public Safety

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Raleigh, NC 27699-4201
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For additional information contact:
Bill Holmes
Director of External Affairs
919-807-7513

