

NC DIVISION OF AGING AND ADULT SERVICES
Service Operations Section
SFY 2020 AAA SELF-ASSESSMENT:
Subcontractor Information Follow-up and the Local County Planning Process

Part A: Receipt of Subcontractor Information from Service Providers

Per sec. 308.2 A of the AAA Policies and Procedures Manual, when community service providers carry out a contracting process for the delivery of Title III services, they are required to list the subcontractors on an Exhibit 14A and submit the list annually to the Area Agency on Aging. Providers also are required to submit a copy of each subcontract to the AAA. In addition, community service providers have been required since 2014 to complete a Subcontractor Performance Evaluation on all subcontractors between Jan. 1 and June 1 of each fiscal year and submit them to the Area Agency on Aging (sec. 308.2 F of the AAA manual). These annual evaluations verify that subcontractors have met the terms and conditions of their subcontracts. Please describe what AAA staff do with this information submitted annually.

1. What is the AAA's process for obtaining subcontractor information from providers?
2. What steps, if any, do you take to evaluate what providers submit? How does the AAA use this information to determine risk and frequency of monitoring?
3. Describe any trainings or informal discussions the AAA has provided since 2014 to support local subcontracting as part of the AAA's oversight role.

Part B: Local County Planning Process for HCCBG \$

For many years the local HCCBG planning process has followed a familiar process based on regional and local approaches to identification of aging service needs and funding priorities. While the AAA, the County Board of Commissioners, the Lead Agency, and Advisory Committee all have clear and basic responsibilities in the process, the development of each county funding plan can be quite different from county to county. Please indicate below how well this approach has been working in the counties across your region and whether the overall approach still serves the goal of targeting funds under the Older Americans Act and the Home and Community Care Block Grant.

1. Describe any process the AAA uses to onboard new and/or maintain existing Advisory Committee members in support of the local HCCBG planning process.

2. Do you have any thoughts or suggestions related to the HCCBG county planning process in general where minor tweaking of the process would make it more productive or constructive?

3. Do you think the county planning process is in line for a major overhaul? If yes, please explain.