Aldona Wos  
Secretary  
North Carolina Department of Health and Human Services  
Adams Building, 101 Blair Drive  
2001 Mail Service Center  
Raleigh, North Carolina, 27699-2001

Re: 2015A National Youth in Transition Database (NYTD) Report - Initial Determination of Compliance

Dear Dr. Wos:

The submission of NYTD data to the Administration for Children and Families (ACF) is required by section 477 of the Social Security Act (the Act). Federal regulations at 45 CFR 1356.80-86 set forth the NYTD data collection and reporting requirements for State agencies that administer or supervise the administration of the Chafee Foster Care Independence Program (CFCIP), including the report of information on youth who are receiving independent living services and the outcomes of certain youth who are in foster care or who have aged out of foster care. ACF determines whether a State agency’s data file for each reporting period is in compliance with these requirements. States that fail to meet the standards set forth in 45 CFR 1356.85 are considered to be out of compliance with NYTD requirements and are subject to penalties in accordance with 45 CFR 1356.86. The amount of the funds that are subject to a penalty are the CFCIP funds allocated or reallocated to the State agency under section 477(c)(1) of the Act for the Federal fiscal year that corresponds with the reporting period for which the State agency was required originally to submit NYTD data according to 45 CFR 1356.83(a).

Based on a review of the 2015A data file submitted by the State of North Carolina, ACF has determined that the State is not in compliance with NYTD requirements for the period ending March 31, 2015, as:

- The State submitted a data file that did not contain outcomes information on at least 60 percent of youth in the follow-up population who were not in foster care on the date of outcomes data collection (45 CFR 1356.85(b)(3)(ii)).
As a result of this determination, a 0.5% penalty of subject funds, or $14,813, has been calculated. This penalty will be suspended pending the State’s submission of a corrected NYTD data file for the period ending March 31, 2015 by September 30, 2015, in accordance with 45 CFR 1356.85(e). To address the above cited compliance standard(s) that the State failed, the corrected file must:

- Contain outcomes information on at least 60 percent of youth in the follow-up population who are no longer in foster care (45 CFR 1356.85(b)(3)(ii)).

Upon submission of a corrected 2015A data file as described in 45 CFR 1356.85(e), the State’s compliance will be reassessed and a final determination will be made regarding the State’s compliance with NYTD standards for the 2015A report period. A State that chooses not to submit a corrected data file or that submits a corrected data file that does not meet all standards at 45 CFR 1356.85 and all other NYTD requirements (45 CFR 1356.80 through 1356.86) is subject to penalties described in 45 CFR 1356.86.

To assist you in identifying and addressing errors in your 2015A data file, please use the NYTD Portal (https://nytd.acf.hhs.gov). As a reminder, the corrected data file must be in a format that meets ACF’s specifications in order to be processed. ACF will review the corrected data file and notify the State of ACF’s final determination as to whether the State is in compliance with the NYTD standards at 45 CFR 1356.85.

Technical assistance is available to the State from ACF to the extent feasible. If the State would like technical assistance, please contact your ACF Regional Office. For specific questions regarding this determination of compliance, please contact Miguel Vieyra of the Children’s Bureau at (202) 205-7277.

Sincerely,

Joo Yeun Chang  
Associate Commissioner  
Children’s Bureau

cc: Shalonda Cawthon, Child Welfare Regional Program Manager; CB, RO 4; Atlanta, GA