

NC MEDICAID 1915(c) APPENDIX K: DISASTER WAIVER FLEXIBILITIES

	INNOVATIONS	NC TBI Waiver	CAP/DA	CAP/C
PLAN OF CARE				
	Modify the person-centered service plan development process and individual(s) responsible for person-centered service plan development for 1915(c) enrollees specifically around extending timeframes for the person-centered plan	Modify the person-centered service plan development process and individual(s) responsible for person-centered service plan development for 1915(c) enrollees specifically around extending timeframes for the person-centered plan	Modify the person-centered service plan development process and individual(s) responsible for person-centered service plan development for 1915(c) HCBS participant specifically around extending timeframes for the person-centered plan and completing annual person-centered plans telephonically that are conducted in accordance with HIPAA regulations	Modify the person-centered service plan development process and individual(s) responsible for person-centered service plan development for 1915(c) HCBS participant specifically around extending timeframes for the person-centered plan and completing annual person-centered plans telephonically that are conducted in accordance with HIPAA regulations
	Waive \$135k individual limit on a case-by-case basis	Waive \$135k individual limit on a case-by-case basis	Waive budget neutrality for decisions for waiver entry and continued annual participation during the pandemic	Waive budget neutrality for decisions for waiver entry and continued annual participation during the pandemic
	Allow extensions of level of care (LOC) redeterminations during emergency period	Allow extensions of level of care (LOC) redeterminations during emergency period	Allow extensions of level of care (LOC) redeterminations during emergency period	Allow extensions of level of care (LOC) redeterminations during emergency period

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	Waive Support Intensity Scale Assessments/reassessment during the emergency			
PROVIDER REQUIREMENTS				
	Include retainer payments to direct care workers to address emergency related issues. Retainer payments are for direct care providers who normally provide services that include habilitation and personal care, but are currently unable to due to complications experienced during the COVID-19 pandemic. Retainer payments are approved in 30 consecutive days intervals	Include retainer payments to direct care workers to address emergency related issues. Retainer payments are for direct care providers who normally provide services that include habilitation and personal care, but are currently unable to due to complications experienced during the COVID-19 pandemic. Retainer payments are approved in 30 consecutive days intervals	Authorize retainer payments to retain an essential employee when the waiver participant is sequestered (a mandated stay-at-home order) due to COVID-19. Retainer payments are approved in 30 consecutive days intervals	Authorize retainer payments to retain an essential employee when the waiver participant is sequestered (a mandated stay-at-home order) due to COVID-19. Retainer payments are approved in 30 consecutive days intervals
	Allow for additional services to be provided by relatives who live in the home of the adult waiver beneficiary (current waiver only allows for Community Living and Supports) to include Community Networking and		Permits payment for services rendered by a legally responsible person and guardian, if not already permitted under the waiver	Permit payment for services rendered by a family member, a legally responsible person or a close kinship relative when all qualifying conditions are met per the approved Appendix K

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	Supported Employment for 90 days			
	Allow for relatives of adult waiver beneficiaries to provide services to beneficiaries in Supported Living arrangements prior to background checks and training for 90 days			
			Waive the criminal background check and CPR requirement for up to 30-days for a live-in relative. Requirement of a registry check, competency evaluation and consumer-direction lite program is mandated	Waive the criminal background check and CPR requirement for up to 30-days for a live-in relative. Requirement of a registry check, competency evaluation and consumer-direction lite program is mandated
			Waive the licensure requirements to permit an overnight stay at an Adult Day Health facility	
PLACE OF SERVICE				
	Direct care services may be provided in a hotel, shelter, church, or alternative facility-based setting or the home of a direct care worker because of COVID-19 related issues.	Direct care services may be provided in a hotel, shelter, church, or alternative facility-based setting or the home of a direct care worker	Authorize a waiver participant to receive services in alternative settings such as a hotel, shelter, church, or facility-based setting when the waiver participant is displaced from home because of COVID-19	Authorize a waiver participant to receive services in alternative settings such as a hotel, shelter, church, or facility-based setting when the waiver participant is displaced from home because of COVID-19

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		because of COVID-19 related issues.		
	<p>Expand 1915(c) waiver service settings, including to out-of-state settings</p> <p>Respite may be provided when family is out of state due to evacuation/displacement until they return home. Out of home Respite may be provided in excess of 30 days on a case by case basis.</p>	<p>Expand 1915(c) waiver service settings, including to out-of-state settings</p> <p>Respite may be provided when family is out of state due to evacuation/displacement until they return home. Out of home Respite may be provided in excess of 30 days on a case by case basis.</p>	Expand 1915(c) waiver service settings, including to out-of-state settings and providers	Expand 1915(c) waiver service settings, including to out-of-state settings and providers
	Allow payment for Community Living and Supports for purposes of supporting 1915(c) enrollees in acute care hospital or short-term institutional stay, when a waiver participant is displaced from home because of COVID-19 and such supports are not otherwise available for up to 30 consecutive days	Allow payment for Life Skills Training (for behavioral intervention) and Personal Care for purposes of supporting 1915(c) enrollees in acute care hospital or short-term institutional stay, when a waiver participant is displaced from home because of COVID-19 and such supports are not otherwise available for up to 30 consecutive days	Allow necessary supports including communication and personal care under the 1915(c) HCBS waiver to be provided in a hospital, adult day health or short-term institutional placement when a waiver participant is displaced from home because of COVID-19 and such supports are not otherwise available for up to 30 consecutive days.	Allow necessary supports including communication and personal care under the 1915(c) HCBS waiver to be provided in a hospital, adult day health or short-term institutional placement when a waiver participant is displaced from home because of COVID-19 and such supports are not otherwise available for up to 30 consecutive days.

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	Allow increase in service from what is in the person-centered plan without prior authorization	Allow increase in service from what is in the person-centered plan without prior authorization	Allow increases in the service in amount, frequency and duration from what is in the person-centered plan with post-authorization when a CAP/DA service is urgently needed, excluding the enrollment in consumer direction lite program and the approval of retainer payments	Allow increases in the service in amount, frequency and duration from what is in the person-centered plan with post-authorization when a CAP/DA service is urgently needed, excluding the enrollment in consumer direction lite program and the approval of retainer payments
	Allow for additional services to be provided by relatives who live in the home of the adult waiver beneficiary (current waiver only allows for Community Living and Supports) to include Community Networking, Day Supports and Supported Employment for 90 days.		Permit payment for services rendered by a legally responsible person and guardian, if not already permitted under the waiver	Permit payment for services rendered by a family member, a legally responsible person or a close kinship relative when all qualifying conditions are met per the approved Appendix K
	Allow Day Supports, Community Living and Supports, Supported Employment and Community Networking to be provided in the home of the participant, the home of the direct care worker, or the residential setting.	Allow Day Supports, Life Skills Training, Personal Care, Supported Employment and Community Networking to be provided in the home of the participant, the home of the direct care worker, or the residential setting.		

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SERVICE REQUIREMENTS				
	Allow beneficiaries to receive fewer than one service per month for a period of ninety days without being subject to discharge	Allow beneficiaries to receive fewer than one service per month for a period of ninety days without being subject to discharge	Allow a waiver participant to only use case management services during the pandemic, without being subject to disenrollment, when other waiver services are not accessible, and the waiver participant's needs can be met by the informal support system	Allow a waiver participant to only use case management services during the pandemic, without being subject to disenrollment, when other waiver services are not accessible, and the waiver participant's needs can be met by the informal support system
	Waive requirement for a beneficiary to attend the Day Supports provider once per week	Waive requirement for a beneficiary to attend the Day Supports provider once per week		
	Waive the face-to-face requirements for monthly and quarterly care coordination/beneficiary meetings for individuals receiving residential supports, new to waiver, or relative as provider during this amendment. Waive the face-to-face requirements for quarterly care coordinator/beneficiary meetings. Individuals who do not receive at least one service per	Waive the face-to-face requirements for monthly and quarterly care coordination/beneficiary meetings for individuals receiving residential supports or new to waiver during this amendment. Waive the face-to-face requirements for quarterly care coordinator/beneficiary meetings. Individuals who do not receive at least one		

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	<p>monthly will receive monthly monitoring (which can be telephonic) as quarterly meetings are not sufficient for such individuals. Monthly and quarterly monitoring will occur telephonically. Telephonic assessment/ monitoring will be conducted in accordance with HIPAA requirements.</p>	<p>service per monthly will receive monthly monitoring (which can be telephonic) as quarterly meetings are not sufficient for such individuals. Monthly and quarterly monitoring will occur telephonically. Telephonic assessment/ monitoring will be conducted in accordance with HIPAA requirements.</p>		
			<p>Waive the service utilization limits for specific waiver services when the maximum fiscal year or waiver approval year limit is reached, and the waiver participant needs the service to mitigate COVID risks or the spread of the virus</p>	<p>Waive the service utilization limits for specific waiver services when the maximum fiscal year or waiver approval year limit is reached, and the waiver participant needs the service to mitigate COVID risks or the spread of the virus</p>

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			Waive the timeline to utilize community transition services to a less than 90-day consecutive institutional stay when the transition to a home setting is recommended to be the safest placement for the waiver participant to reduce the spread of COVID-19 and mitigate risk	Waive the timeline to utilize community transition services to a less than 90-day consecutive institutional stay when the transition to a home setting is recommended to be the safest placement for the waiver participant to reduce the spread of COVID-19 and mitigate risk
	Allow for existing staff to continue to provide service, for 90 days, when CPR and NCI re-certification has lapsed. This applies to Community Living and Supports, Crisis Services, Community Networking, Day Supports, Respite, Residential Supports, Supported Living, and Supported Employment.	Allow for existing staff to continue to provide service, for 90 days, when CPR and NCI re-certification has lapsed		
			Waive the minimal hours of adult day health services to less than four	
OTHER GOODS AND SERVICES				

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			Allow for specific PPE such as disinfect wipes and spray, hand sanitizer, facial tissue, thermometer and specific colored trash liners to be covered through goods and services to manage the spread of COVID-19 and mitigate risks, as according to the approved Appendix K	Allow for specific PPE such as disinfect wipes and spray, hand sanitizer, facial tissue, thermometer and specific colored trash liners to be covered through goods and services to manage the spread of COVID-19 and mitigate risks, as according to the approved Appendix K
			Allow PPE, safety material sheets and bloodborne pathogens specific training and the coverage of the CPR certification to be authorized for a family member, a legally responsible person or a close kinship relative to safety provide care to the waiver participants to prevent the spread of COVID-19 and mitigate risks, as according to the approved Appendix K	Allow PPE, safety material sheets and bloodborne pathogens specific training and the coverage of the CPR certification to be authorized for a family member, a legally responsible person or a close kinship relative to safety provide care to the waiver participants to prevent the spread of COVID-19 and mitigate risks, as according to the approved Appendix K
			Cover germicidal air filters	Cover germicidal air filters