

G.S. 150B-21.3A Report for 11 NCAC 01, DEPARTMENTAL RULES

Agency - Department of Insurance

Comment Period - September 13 - November 16, 2018

Date Submitted to APO - February 25, 2019

| Subchapter | Rule Section | Rule Citation | Rule Name | Date and Last Agency Action on the Rule | Agency Determination [150B-21.3A(c)(1)a] | Implements or Conforms to Federal Regulation [150B-21.3A(e)] | Federal Regulation Citation | Public Comment Received [150B-21.3A(c)(1)] | Agency Determination Following Public Comment [150B-21.3A(c)(1)] | RRC Determination of Public Comments [150B-21.3A(c)(2)] | RRC Final Determination of Status of Rule for Report to APO [150B-21.3A(c)(2)] | OAH Next Steps |
|------------|--|------------------|--|---|---|--|-----------------------------|--|--|---|--|------------------------------------|
| | SECTION .0100 - GENERAL PROVISIONS | 11 NCAC 01 .0103 | LOCATION AND MAILING ADDRESS | Amended Eff. November 1, 2005 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest | No comments with merit | Necessary without substantive public interest and should remain in effect without further action | Keep in Code - Update History Note |
| | | 11 NCAC 01 .0107 | PUBLIC INFORMATION AND DEPARTMENTAL RECORDS | Amended Eff. August 1, 1988 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest | No comments with merit | Necessary without substantive public interest and should remain in effect without further action | Keep in Code - Update History Note |
| | | 11 NCAC 01 .0108 | DEFINITIONS AND RULES OF CONSTRUCTION FOR THIS TITLE | Eff. January 1, 1993 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest | No comments with merit | Necessary without substantive public interest and should remain in effect without further action | Keep in Code - Update History Note |
| | SECTION .0200 - DEPARTMENTAL RULES | 11 NCAC 01 .0201 | LOCATION OF AND INSPECTION OF DEPARTMENTAL RULES | Amended Eff. December 1, 1994 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest | No comments with merit | Necessary without substantive public interest and should remain in effect without further action | Keep in Code - Update History Note |
| | | 11 NCAC 01 .0204 | NOTICE OF RULE-MAKING HEARINGS | Amended Eff. July 1, 1992 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest | No comments with merit | Necessary without substantive public interest and should remain in effect without further action | Keep in Code - Update History Note |
| | | 11 NCAC 01 .0205 | RULE-MAKING HEARINGS: GENERAL INFORMATION | Readopted Eff. May 12, 1978 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest | No comments with merit | Necessary without substantive public interest and should remain in effect without further action | Keep in Code - Update History Note |
| | | 11 NCAC 01 .0209 | INSTRUCTIONS FOR FILING A PETITION FOR RULE-MAKING | Eff. July 1, 1992 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest | No comments with merit | Necessary without substantive public interest and should remain in effect without further action | Keep in Code - Update History Note |
| | SECTION .0300 - DECLARATORY RULINGS | 11 NCAC 01 .0301 | DECLARATORY RULINGS: GENERAL INFORMATION | Amended Eff. March 1, 2011 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest | No comments with merit | Necessary without substantive public interest and should remain in effect without further action | Keep in Code - Update History Note |
| | | 11 NCAC 01 .0302 | PETITION FOR DECLARATORY RULING | Amended Eff. August 1, 1988 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest | No comments with merit | Necessary without substantive public interest and should remain in effect without further action | Keep in Code - Update History Note |
| | | 11 NCAC 01 .0303 | RESPONSE OF COMMISSIONER TO PETITION | Amended Eff. August 1, 1988 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest | No comments with merit | Necessary without substantive public interest and should remain in effect without further action | Keep in Code - Update History Note |
| | SECTION .0400 - ADMINISTRATIVE HEARINGS | 11 NCAC 01 .0401 | RIGHT TO HEARING | Amended Eff. July 1, 1992 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest | No comments with merit | Necessary without substantive public interest and should remain in effect without further action | Keep in Code - Update History Note |
| | | 11 NCAC 01 .0403 | REQUEST FOR HEARING | Amended Eff. August 1, 2004 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest | No comments with merit | Necessary without substantive public interest and should remain in effect without further action | Keep in Code - Update History Note |
| | | 11 NCAC 01 .0413 | DEFINITIONS | Amended Eff. April 1, 1995 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest | No comments with merit | Necessary without substantive public interest and should remain in effect without further action | Keep in Code - Update History Note |
| | | 11 NCAC 01 .0414 | GENERAL PROVISIONS | Eff. July 1, 1992 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest | No comments with merit | Necessary without substantive public interest and should remain in effect without further action | Keep in Code - Update History Note |
| | | 11 NCAC 01 .0415 | ORDER FOR PREHEARING STATEMENTS | Eff. July 1, 1992 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest | No comments with merit | Necessary without substantive public interest and should remain in effect without further action | Keep in Code - Update History Note |
| | | 11 NCAC 01 .0416 | DUTIES OF THE HEARING OFFICER | Amended Eff. August 1, 2004 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest | No comments with merit | Necessary without substantive public interest and should remain in effect without further action | Keep in Code - Update History Note |
| | | 11 NCAC 01 .0417 | CONSENT ORDER; SETTLEMENT; STIPULATION | Eff. July 1, 1992 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest | No comments with merit | Necessary without substantive public interest and should remain in effect without further action | Keep in Code - Update History Note |
| | | 11 NCAC 01 .0418 | SETTLEMENT CONFERENCE | Amended Eff. August 1, 2004 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest | No comments with merit | Necessary without substantive public interest and should remain in effect without further action | Keep in Code - Update History Note |
| | | 11 NCAC 01 .0419 | PREHEARING CONFERENCE | Amended Eff. May 1, 2008 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest | No comments with merit | Necessary without substantive public interest and should remain in effect without further action | Keep in Code - Update History Note |
| | | 11 NCAC 01 .0420 | CONSOLIDATION OF CASES | Eff. July 1, 1992 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest | No comments with merit | Necessary without substantive public interest and should remain in effect without further action | Keep in Code - Update History Note |
| | | 11 NCAC 01 .0421 | DISCOVERY | Eff. July 1, 1992 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest | No comments with merit | Necessary without substantive public interest and should remain in effect without further action | Keep in Code - Update History Note |
| | | 11 NCAC 01 .0422 | SUBPOENAS | Eff. July 1, 1992 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest | No comments with merit | Necessary without substantive public interest and should remain in effect without further action | Keep in Code - Update History Note |
| | | 11 NCAC 01 .0423 | SANCTIONS | Eff. July 1, 1992 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest | No comments with merit | Necessary without substantive public interest and should remain in effect without further action | Keep in Code - Update History Note |
| | | 11 NCAC 01 .0424 | MOTIONS | Eff. July 1, 1992 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest | No comments with merit | Necessary without substantive public interest and should remain in effect without further action | Keep in Code - Update History Note |
| | | 11 NCAC 01 .0425 | INTERVENTION | Amended Eff. September 1, 2004 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest | No comments with merit | Necessary without substantive public interest and should remain in effect without further action | Keep in Code - Update History Note |

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|------------|--|------------------|---|---|---|--|-----------------------------|--|--|---|--|------------------------------------|
| | | 11 NCAC 01 .0426 | CONTINUANCES | Eff. August 3, 1992 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest | No comments with merit | Necessary without substantive public interest and should remain in effect without further action | Keep in Code - Update History Note |
| | | 11 NCAC 01 .0427 | RIGHTS AND RESPONSIBILITIES OF PARTIES | Amended Eff. August 1, 2004 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest | No comments with merit | Necessary without substantive public interest and should remain in effect without further action | Keep in Code - Update History Note |
| | | 11 NCAC 01 .0428 | WITNESSES | Eff. July 1, 1992 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest | No comments with merit | Necessary without substantive public interest and should remain in effect without further action | Keep in Code - Update History Note |
| | | 11 NCAC 01 .0429 | EVIDENCE | Amended Eff. May 1, 2008 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest | No comments with merit | Necessary without substantive public interest and should remain in effect without further action | Keep in Code - Update History Note |
| | | 11 NCAC 01 .0430 | OFFICIAL RECORD | Eff. July 1, 1992 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest | No comments with merit | Necessary without substantive public interest and should remain in effect without further action | Keep in Code - Update History Note |
| | SECTION .0600 - GENERAL DEPARTMENTAL POLICIES | 11 NCAC 01 .0601 | COMMUNICATIONS WITH INSURANCE COMPANIES | Amended Eff. August 1, 1988 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest | No comments with merit | Necessary without substantive public interest and should remain in effect without further action | Keep in Code - Update History Note |
| | | 11 NCAC 01 .0602 | INSURANCE COMPANIES' RESPONSE TO DEPARTMENTAL INQUIRIES | Amended Eff. August 1, 1988 | Necessary without substantive public interest | No | | No | Necessary without substantive public interest | No comments with merit | Necessary without substantive public interest and should remain in effect without further action | Keep in Code - Update History Note |