

**G.S. 150B-21.3A Report for 11 NCAC 10, PROPERTY AND CASUALTY DIVISION**

Agency - Department of Insurance

Comment Period - September 9, 2016 - September November 9, 2016

Date Submitted to APO - December 19, 2016

Subchapter	Rule Section	Rule Citation	Rule Name	Date and Last Agency Action on the Rule	Agency Determination [150B-21.3A(c)(1)a]	Implements or Conforms to Federal Regulation [150B-21.3A(e)]	Federal Regulation Citation	Public Comment Received [150B-21.3A(c)(1)]	Agency Determination Following Public Comment [150B-21.3A(c)(1)]	RRC Determination of Public Comments [150B-21.3A(c)(2)]	RRC Final Determination of Status of Rule for Report to APO [150B-21.3A(c)(2)]	OAH Next Steps
	SECTION .0100 - GENERAL PROVISIONS	11 NCAC 10 .0104	REFUSAL TO INSURE	Eff. July 11, 1978	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 10 .0105	MANUSCRIPT OR INDIVIDUAL RISK FILINGS	Amended Eff. July 1, 2000	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	SECTION .0300 - RULES AND INTERPRETATIONS	11 NCAC 10 .0305	EMPLOYEES: CARS: NOT INSURED IN EMPLOYER'S FLEET POLICY	Amended Eff. July 1, 1986	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 10 .0306	DIVIDEND PAYMENT REQUIREMENTS	Amended Eff. January 1, 1989	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 10 .0307	NATION-WIDE MARINE DEFINITION ADOPTED	Amended Eff. July 1, 1986	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 10 .0313	ENDORSEMENTS TO BUREAU RESIDENTIAL PROGRAMS	Eff. December 1, 1994	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	SECTION .0400 - FIRE AND CASUALTY RATING ORGANIZATIONS	11 NCAC 10 .0406	INSURER'S REPORT ON RATING ORGANIZATIONS	Eff. July 11, 1978	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	SECTION .0600 - CONSENT TO RATE	11 NCAC 10 .0602	CONSENT TO RATE PROCEDURES: RATE BUREAU COVERAGES	Amended Eff. July 1, 1998	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 10 .0603	CONSENT TO RATE PROCEDURES: COMMERCIAL COVERAGES	Amended Eff. July 1, 1998	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 10 .0605	CONSENT TO RATE AUTO LIABILITY COVERAGE	Eff. February 1, 1996	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 10 .0606	CONSENT TO RATE PROCEDURES	Eff. July 1, 1998	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	SECTION .0700 - INSURANCE IN UNLICENSED FOREIGN AND ALIEN COMPANIES	11 NCAC 10 .0701	GENERAL INFORMATION	Amended Eff. February 1, 1991	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 10 .0703	APPROVAL OF INSURANCE IN NON- ADMITTED INSURANCE COMPANIES	Amended Eff. January 1, 1989	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 10 .0713	FORM E-1	Amended Eff. July 1, 1986	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 10 .0717	SURPLUS LICENSEE REPORT	Eff. April 1, 2001	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 10 .0718	"FORM F" REPORT	Amended Eff. December 1, 2007	Unnecessary	No		No	Unnecessary	No comments with merit	Unnecessary and should expire on the first day of the month following the consultation	Rule expired - remove from Code
	SECTION .1000 - LICENSING OF JOINT UNDERWRITING ORGANIZATIONS	11 NCAC 10 .1001	GENERAL INFORMATION	Amended Eff. February 1, 1991	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note

**G.S. 150B-21.3A Report for 11 NCAC 10, PROPERTY AND CASUALTY DIVISION**

Agency - Department of Insurance

Comment Period - September 9, 2016 - September November 9, 2016

Date Submitted to APO - December 19, 2016

Subchapter	Rule Section	Rule Citation	Rule Name	Date and Last Agency Action on the Rule	Agency Determination [150B-21.3A(c)(1)a]	Implements or Conforms to Federal Regulation [150B-21.3A(e)]	Federal Regulation Citation	Public Comment Received [150B-21.3A(c)(1)]	Agency Determination Following Public Comment [150B-21.3A(c)(1)]	RRC Determination of Public Comments [150B-21.3A(c)(2)]	RRC Final Determination of Status of Rule for Report to APO [150B-21.3A(c)(2)]	OAH Next Steps
		11 NCAC 10 .1002	PROCEDURE FOR APPLICATION OF NEW LICENSE	Amended Eff. April 8, 2002	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 10 .1003	CHANGES IN FILED INFORMATION	Amended Eff. January 1, 1989	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 10 .1004	PROHIBITED PRACTICES	Eff. July 11, 1978	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 10 .1006	FORM: LICENSE CERTIFICATE	Eff. January 1, 1989	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 10 .1007	RENEWAL LICENSE FEE FOR LICENSED ORGANIZATIONS	Amended Eff. April 8, 2002	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	SECTION .1100 - RATE FILINGS	11 NCAC 10 .1101	PURPOSE	Eff. January 1, 1989	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 10 .1102	APPLICABILITY	Amended Eff. February 1, 1996	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 10 .1104	NONFLEET PRIVATE PASSENGER AUTOMOBILE	Amended Eff. October 1, 1990	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 10 .1105	OTHER RATE BUREAU LINES	Eff. October 1, 1990	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 10 .1106	DEVIATIONS FROM RATES OF THE NORTH CAROLINA RATE BUREAU	Amended Eff. October 1, 2004	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 10 .1107	COMMERCIAL LINES QUESTIONNAIRE	Amended Eff. April 1, 1992	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 10 .1108	NONESSENTIAL LINES ADDITIONAL DATA	Amended Eff. April 8, 2002	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 10 .1109	RATING ORGANIZATIONS	Eff. January 1, 1990	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 10 .1110	WORKERS' COMPENSATION LOSS COSTS QUESTIONNAIRE	Amended Eff. July 1, 2000	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 10 .1111	WORKERS' COMPENSATION	Eff. February 1, 1996	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 10 .1112	ACTUARIAL CERTIFICATION	Eff. July 18, 2002	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note

**G.S. 150B-21.3A Report for 11 NCAC 10, PROPERTY AND CASUALTY DIVISION**

Agency - Department of Insurance

Comment Period - September 9, 2016 - September November 9, 2016

Date Submitted to APO - December 19, 2016

Subchapter	Rule Section	Rule Citation	Rule Name	Date and Last Agency Action on the Rule	Agency Determination [150B-21.3A(c)(1)a]	Implements or Conforms to Federal Regulation [150B-21.3A(e)]	Federal Regulation Citation	Public Comment Received [150B-21.3A(c)(1)]	Agency Determination Following Public Comment [150B-21.3A(c)(1)]	RRC Determination of Public Comments [150B-21.3A(c)(2)]	RRC Final Determination of Status of Rule for Report to APO [150B-21.3A(c)(2)]	OAH Next Steps
		11 NCAC 10 .1113	REFERENCE FILINGS	Eff. August 1, 2002	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 10 .1114	TRANSMITTAL HEADER	Eff. August 1, 2002	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	<b>SECTION .1200 - FORMS FILINGS</b>	11 NCAC 10 .1201	GENERAL REQUIREMENTS	Amended Eff. May 1, 1994	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 10 .1202	REFERENCE FILINGS	Amended Eff. May 1, 1994	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 10 .1204	PROHIBITED POLICY PROVISIONS	Eff. January 1, 1989	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 10 .1205	ESSENTIAL LINES - N.C. RATE BUREAU (BUREAU)	Amended Eff. April 8, 2002	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 10 .1206	COMMERCIAL LINES	Amended Eff. August 1, 2002	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 10 .1207	FORM(S) QUESTIONNAIRE	Eff. January 1, 1989	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 10 .1208	HEALTH CARE PROVIDER DEFINED; CGL POLICIES	Eff. February 1, 1994	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 10 .1209	TRANSMITTAL HEADER	Eff. August 1, 2002	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	<b>SECTION .1300 - NORTH CAROLINA JOINT UNDERWRITING ASSOCIATION</b>	11 NCAC 10 .1301	RATES: RATING PLANS: RATING RULES AND FORMS APPLICABLE	Eff. January 1, 1989	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 10 .1302	CHANGE TO RATES: RATING PLANS: RATING RULES: FORMS APPLICABLE	Eff. January 1, 1989	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 10 .1303	LOSS COST RATE FILINGS	Eff. May 1, 1994	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	<b>SECTION .1400 - NORTH CAROLINA INSURANCE UNDERWRITING ASSOCIATION</b>	11 NCAC 10 .1401	RATES: RATING PLANS: RATING RULES AND FORMS APPLICABLE	Eff. January 1, 1989	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 10 .1402	CHANGES TO RATES: RATING PLANS: RATING RULES: FORMS APPLICABLE	Eff. January 1, 1989	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 10 .1403	LOSS COST RATE FILINGS	Eff. May 1, 1994	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note

**G.S. 150B-21.3A Report for 11 NCAC 10, PROPERTY AND CASUALTY DIVISION**

Agency - Department of Insurance

Comment Period - September 9, 2016 - September November 9, 2016

Date Submitted to APO - December 19, 2016

Subchapter	Rule Section	Rule Citation	Rule Name	Date and Last Agency Action on the Rule	Agency Determination [150B-21.3A(c)(1)a]	Implements or Conforms to Federal Regulation [150B-21.3A(e)]	Federal Regulation Citation	Public Comment Received [150B-21.3A(c)(1)]	Agency Determination Following Public Comment [150B-21.3A(c)(1)]	RRC Determination of Public Comments [150B-21.3A(c)(2)]	RRC Final Determination of Status of Rule for Report to APO [150B-21.3A(c)(2)]	OAH Next Steps
	SECTION .1600 - PROSPECTIVE LOSS COSTS FILINGS	11 NCAC 10 .1601	PURPOSE; SCOPE; APPLICABILITY	Amended Eff. July 1, 1992	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 10 .1602	DEFINITIONS	Eff. February 1, 1991	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 10 .1603	RATE AND LOSS COSTS	Amended Eff. February 1, 1996	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 10 .1604	SUPPLEMENTARY RATING INFORMATION	Amended Eff. April 1, 1992	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
	SECTION .1700 - LICENSING OF STATISTICAL ORGANIZATIONS	11 NCAC 10 .1701	GENERAL REQUIREMENTS	Eff. October 1, 2006	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note
		11 NCAC 10 .1702	PROCEDURE FOR APPLICATION OF NEW LICENSE	Eff. October 1, 2006	Necessary without substantive public interest	No		No	Necessary without substantive public interest	No comments with merit	Necessary without substantive public interest and should remain in effect without further action	Keep in Code - Update History Note