REQUEST FOR CHANGES PURSUANT TO G.S. 150B-21.10

AGENCY: NC Board of Architecture and Registered Interior Designers

RULE CITATION: All Submission for Permanent Rule Forms

DEADLINE FOR RECEIPT: Thursday, May 12, 2022.

Please note: This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may email the reviewing attorney to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends the following changes be made:

In Box 6, under “Link to Agency notice” there is a date (1/7/22) instead of a link. Can you confirm all dates are otherwise correct, and put the link to the notice in the correct line?

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.
REQUEST FOR CHANGES PURSUANT TO G.S. 150B-21.10

AGENCY: NC Board of Architecture and Registered Interior Designers

RULE CITATION: All Rules

DEADLINE FOR RECEIPT: Thursday, May 12, 2022.

PLEASE NOTE: This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may email the reviewing attorney to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends the following changes be made:

In the introductory statement for each Rule, please include the NC Register publication information. The intro statement should look like this:

21 NCAC 02 .0109 is proposed for amendment [amended/adopted/repealed/readopted] as published in 36:15 NCR [Page Number] as follows:

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.

Brian Liebman
Commission Counsel
Date submitted to agency: April 28, 2022
21 NCAC 02 .0102 is repealed as follows:

21 NCAC 02 .0102 ORGANIZATION OF BOARD AND OFFICERS

History Note: Authority G.S. 83A-2; 83A-6;
Eff. February 1, 1976;
Readopted Eff. September 29, 1977;
Amended Eff. May 1, 1989; November 1, 1979;
Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 13, 2015;
Repealed Eff. June 1, 2022
21 NCAC 02 .0106 is proposed for amendment as follows:

21 NCAC 02 .0106 SEAL OF BOARD

The Board has adopted a seal, which is circular in shape and contains the words, "North Carolina Board of Architecture." The seal is maintained in the Board's office under the care, custody and charge of the Executive Director. The seal shall be used at the direction of the Board to authenticate any official action or report of the Board. The absence of the seal shall not automatically imply the lack of authentication of any document issued by the Board. The official seal adopted by the Board is the Great Seal of the State of North Carolina with the inscription of the Board name on the perimeter.

History Note: Authority G.S. 83-5; 83A-6;
Eff. February 1, 1976;
Readopted Eff. September 29, 1977;
Amended Eff. May 1, 1989;
Temporary Amended Eff. November 30, 2021
Amended Eff. June 1, 2022
REQUEST FOR CHANGES PURSUANT TO G.S. 150B-21.10

AGENCY: NC Board of Architecture and Registered Interior Designers

RULE CITATION: 21 NCAC 02 .0108

DEADLINE FOR RECEIPT: Thursday, May 12, 2022.

PLEASE NOTE: This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may email the reviewing attorney to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends the following changes be made:

On lines 30-32, “Initial Registration to Practice Interior Design” there is no fee established for registration by exam, or otherwise for an individual to register, as there was in the temporary Rule this is replacing.

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.
21 NCAC 02.0108 is proposed for amendment as follows:

21 NCAC 02.0108 FEES

The fees required by the Board are payable in advance and are set forth below:

Initial Registration Application by Exam

<table>
<thead>
<tr>
<th>Status</th>
<th>Fee</th>
</tr>
</thead>
<tbody>
<tr>
<td>Residents/Non-Residents</td>
<td>$50.00</td>
</tr>
<tr>
<td>Firm</td>
<td>$75.00</td>
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</tbody>
</table>

Annual license renewal

<table>
<thead>
<tr>
<th>Status</th>
<th>Fee</th>
</tr>
</thead>
<tbody>
<tr>
<td>Individual</td>
<td>$50.00</td>
</tr>
<tr>
<td>Firm</td>
<td>$100.00</td>
</tr>
</tbody>
</table>

Late renewal Penalty

<table>
<thead>
<tr>
<th>Period</th>
<th>Fee</th>
</tr>
</thead>
<tbody>
<tr>
<td>Up-to-30 days</td>
<td>$50.00</td>
</tr>
<tr>
<td>30 days to 1 year</td>
<td>$100.00</td>
</tr>
</tbody>
</table>

Reciprocal registration

<table>
<thead>
<tr>
<th>Fee</th>
</tr>
</thead>
<tbody>
<tr>
<td>$150.00</td>
</tr>
</tbody>
</table>

Initial License to Practice Architecture:

By Exam

<table>
<thead>
<tr>
<th>Fee</th>
</tr>
</thead>
<tbody>
<tr>
<td>$50.00</td>
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</table>

By Reciprocity

<table>
<thead>
<tr>
<th>Fee</th>
</tr>
</thead>
<tbody>
<tr>
<td>$150.00</td>
</tr>
</tbody>
</table>

Firm License

<table>
<thead>
<tr>
<th>Fee</th>
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</thead>
<tbody>
<tr>
<td>$75.00</td>
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</tbody>
</table>

Annual License to Practice Architecture Renewal:

<table>
<thead>
<tr>
<th>Status</th>
<th>Fee</th>
</tr>
</thead>
<tbody>
<tr>
<td>Individual</td>
<td>$50.00</td>
</tr>
<tr>
<td>Firm</td>
<td>$100.00</td>
</tr>
</tbody>
</table>

Late Renewal Penalty for Individual Architects and Firms:

<table>
<thead>
<tr>
<th>Period</th>
<th>Fee</th>
</tr>
</thead>
<tbody>
<tr>
<td>Up-to-30 days</td>
<td>$50.00</td>
</tr>
<tr>
<td>30 days to 1 year</td>
<td>$100.00</td>
</tr>
</tbody>
</table>

Reinstatement of Expired License:

<table>
<thead>
<tr>
<th>Status</th>
<th>Fee</th>
</tr>
</thead>
<tbody>
<tr>
<td>Architect</td>
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<tr>
<td>Firm</td>
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</table>

Initial Registration to Practice Interior Design:

By Reciprocity

<table>
<thead>
<tr>
<th>Fee</th>
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<tbody>
<tr>
<td>$150.00</td>
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Firm Registration

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<tr>
<th>Fee</th>
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Annual Registration to Practice Interior Design Renewal:

<table>
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<th>Status</th>
<th>Fee</th>
</tr>
</thead>
<tbody>
<tr>
<td>Individual</td>
<td>$50.00</td>
</tr>
<tr>
<td>Firm</td>
<td>$100.00</td>
</tr>
</tbody>
</table>
Late Renewal Penalty for Interior Designers and Interior Design Firms:

<table>
<thead>
<tr>
<th>Period</th>
<th>Fee</th>
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</thead>
<tbody>
<tr>
<td>Up-to-30 days</td>
<td>$50.00</td>
</tr>
<tr>
<td>30 days to 1 year</td>
<td>$100.00</td>
</tr>
</tbody>
</table>

Reinstatement of Expired Registration

<table>
<thead>
<tr>
<th>Category</th>
<th>Fee</th>
</tr>
</thead>
<tbody>
<tr>
<td>Interior Designer</td>
<td>$250.00</td>
</tr>
<tr>
<td>Interior Design Firm</td>
<td>$250.00</td>
</tr>
</tbody>
</table>

Individual or Firm Reinstatement shall be the fee as described in G.S. 83A-11 and G.S. 55B-10.

All fees paid to the Board are non-refundable.

Other publications and services provided by the Board are available on the Board web site at www.ncbarch.org.

History Note: Authority G.S. 55B-10; 83A-4; 83A-11;
Eff. February 1, 1976;
Readopted Eff. September 29, 1977;
Amended Eff. July 1, 2014; December 1, 2010; June 1, 1995; December 1, 1992; May 1, 1991; May 1, 1989; July 1, 1987;
Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 13, 2015;
Temporary Amended Eff. November 30, 2021
Amended Eff. June 1, 2022
REQUEST FOR CHANGES PURSUANT TO G.S. 150B-21.10

AGENCY: NC Board of Architecture and Registered Interior Designers

RULE CITATION: 21 NCAC 02 .0109

DEADLINE FOR RECEIPT: Thursday, May 12, 2022.

PLEASE NOTE: This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may email the reviewing attorney to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends the following changes be made:

Throughout, the spacing here seems incorrect. It should be 1.5 spaced. Please see 26 NCAC 02C .0108 for RRC's formatting rules.

Please consider putting the definitions in alphabetical order.

In (1), line 7, change “Rule 21 NCAC 02 .0213(b) of this Chapter” to “Rule .0213(b) of this Chapter”. Do the same for the reference to Rule .0214(c) in the same sentence, and for the reference to Rule .0203 in Item (6), line 28.

In (2), lines 8-12, why do you need definitions for “licensed” and “registered”? These terms are adequately defined in G.S. 83A-1, and are duplicative here.

Why is (4) necessary? These terms are already defined in G.S. 83A-1(1b) and (11).

In (6), line 27, the term “direct supervision” appears in the statute at G.S. 83A-15(a)(1)b. Change “North Carolina General Statute” to “G.S.” and place commas around the whole clause. As amended, it should read: “Direct Supervision, as used in G.S. 83A-15(a)(1)b, means…”

In (7), p.2, line 6, delete “(5)” after the statutory reference, as the term “continuing competency” is not used in 83A-6(a)(5), but in the following paragraph, which appears to be a continuation of paragraph (a). Additionally, change “North Carolina General Statute” to “G.S.” and place commas around the whole clause. As amended, it should read: “Continuing Competency, as used in G.S. 83A-6(a), means…”

In (7), line 9, please add the oxford comma after “safety.”

In (8), line 10, delete “(5)” after the statutory reference, as the term “health, safety and welfare” is not used in 83A-6(a)(5), but in the following paragraph, which appears to be a continuation of paragraph (a). Moreover, in the statute it is “health, safety, or welfare.” Note that the oxford comma is used in the statutory language. Additionally,

Brian Liebman
Commission Counsel
Date submitted to agency: April 28, 2022
change “North Carolina General Statute” to “G.S.” and place commas around the whole clause. As amended, it should read: “Health, safety, or welfare,” as used in G.S. 83A-6(a), means…”

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.
21 NCAC 02 .0109 is proposed for amendment as follows:

21 NCAC 02 .0109  DEFINITIONS

In addition to the statutory definitions in G.S. 83A-1, as used in these Rules, the following terms shall have the following meanings:

(1) "Delinquent" is the status of a license registration that has not been renewed in accordance with Rule 21 NCAC 02 .0213(b) of this Chapter for individuals and 21 NCAC 02 .0214(d) Rule 21 NCAC 02 .0214 (c) of this Chapter for firms.

(2) "Licensed" means holding a license to practice architecture in the State of North Carolina as defined by North Carolina General Statute Chapter 83A. "Registered" has the same meaning as licensed. "Licensed" means holding a license to practice architecture in the State of North Carolina as defined by G.S. 83A. "Registered" has the same meaning as 'registered interior designer' as set forth in G.S. 83A.

(3) "Fictitious name" is any assumed name, style style, or designation other than the proper legal name of the entity as registered with the Secretary of State, using such name. The surname of a person, standing alone or coupled with words that describe the business, is not a fictitious business name. The inclusion of words that suggest additional owners, such as "Company," "& Company," "& Sons," "& Associates," makes the name an assumed or fictitious name. For partnerships, the last name of all partners must be listed listed, or the fictitious name definition applies.

(4) "Responsible control" has the meaning described in Rule .0206(d). "Architectural Firm" means any Professional Corporation or Professional Limited Liability Company approved by the Board and engaged in the practice of architecture. "Registered Interior Design Firm" means any Business Corporation or Limited Liability Company approved by the Board and engaged in registered interior design services.

(5) "Firm" or "Architectural Firm" means any Professional Corporation or Professional Limited Liability Company approved by the Board and engaged in the practice of architecture.

(6) "Procurement" means purchasing or pricing of materials to construct a building or structure.

(7) Direct Supervision as used in North Carolina General Statute 83A means responsible control as defined in 21 NCAC 02 .0203.
"Continuing Competency" as used in North Carolina General Statute 83A-6(a)(5) means continuing education obtained post licensure that enables a registered architect to increase or update knowledge of and competence in technical and professional subjects related to the practice of architecture to safeguard the public's health, safety and welfare. "Continuing Competency" as used in North Carolina General Statute 83A-6(a)(5) means continuing education obtained post licensure or registration that enables an architect or registered interior designer to increase or update knowledge of and competence in technical and professional subjects related to the practice of architecture and interior design to safeguard the public's health, safety and welfare.

"Health, safety and welfare" (HSW) as used in North Carolina General Statute 83A-6(a)(5) means technical and professional subjects that according to these rules safeguard the public and that are necessary for the proper evaluation, design, construction and utilization of buildings and the built environment.

"Architect-of-record" or "Designer-of-record" means persons or entities whose seals appear on plans, specifications, and contract documents.

History Note: Authority G.S. 83A-6;

Eff. November 1, 2010;

Amended Eff. October 1, 2012;


Amended Eff. June 1, 2022.
21 NCAC 02.0201 proposed for amendment as follows:

21 NCAC 02.0201  ARCHITECT, REGISTERED INTERIOR DESIGNER, FIRM OR PARTNERSHIP
CONTACT INFORMATION AS ON FILE WITH THE BOARD
(a) Every individual licensee and registrant shall keep the Board advised of his/her preferred current contact
information. Current contact information includes a physical mailing address, email and phone
numbers, principle place of business and electronic mail address. Upon the dissolution of a firm, the
firm or partnership where he/she is employed.
(b) Each firm or partnership shall notify the Board of all changes in ownership, of
association, contact information, electronic mail or physical address. Upon the dissolution of a firm, the
architect or registered interior designer in responsible control of the firm at the time of dissolution shall notify the
Board of such dissolution, dissolution and of the succeeding status and
addresses of the architects and registered interior designers employed by the firm. This
requirement is in addition to registration, listing and renewal requirements set out elsewhere in rules of this Chapter.

History Note: Authority G.S. 83A-5; 83A-6;
Eff. February 1, 1976;
Readopted Eff. September 29, 1977;
Amended Eff. November 1, 2010; June 1, 1995;
Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 13,
2015.
Temporary Amended Eff. November 30, 2021
Amended Eff. June 1, 2022
21 NCAC 02 .0202 is repealed as follows:

21 NCAC 02 .0202  APPLICABILITY OF BOARD RULES

History Note:  Authority G.S. 83A-6;
Eff. February 1, 1976;
Readopted Eff. September 29, 1977;
Amended Eff. July 1, 2014; June 1, 1995; May 1, 1989;
Temporary Amended Eff. November 30, 2021
Repealed Eff. June 1, 2022
REQUEST FOR CHANGES PURSUANT TO G.S. 150B-21.10

AGENCY: NC Board of Architecture and Registered Interior Designers

RULE CITATION: 21 NCAC 02 .0203

DEADLINE FOR RECEIPT: Thursday, May 12, 2022.

PLEASE NOTE: This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may email the reviewing attorney to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends the following changes be made:

Throughout, conform the format of the apostrophes in “designer’s” to the “dumb quote” format used elsewhere.

In (1), lines 11-12, how does the licensee or registrant “conduct their practice in order to protect the public health, safety, and welfare”? What specifically is this language asking the people subject to this Rule to do?

In (1), line 14, “advice shall not be prejudiced” by what?

In (1), lines 15-16, what does “circumstances where the safety, health, and welfare of the public are endangered” mean? Who makes this determination? The licensee/registrant? Is it in his or her subjective opinion, or are there factors that the person making this determination should use?

Overall, I am concerned that (1) is ambiguous as written. As a licensee/registrant, how do I know when I am violating (1)? Specifically, what conduct are you prohibiting?

In (2), lines 22-23, who determines that the consultants are qualified by education, training, and experience? What level of education, training, and experience is necessary to be “qualified”?

Does the regulated public know what the “technical knowledge and skill which is ordinarily applied by architects or interior designers of good standing, practicing in the same locality” is? What does “locality” mean in this context? Is the practice of architecture/interior design not a state-wide jurisdiction?

The following comments are on the list under item (4) on p.2:

In (a), what are “programmatic requirements?” Does your regulated public know?
In (d), what “documents” are you referring to? Does your regulated public know?

In (e), what “services” are you referring to?

In (f), “to be issued” by whom?

In (g), how do you determine that the architect or registered interior designer “trusts” the source?

In (6), line 25, please correct “shall not in assist” to “shall not assist in.”

In (7)(b), line 34, what is “adequate” knowledge of the facts in issue? Please delete or define “adequate”. This is ambiguous as written.

In (7)(b), lines 35-36, how does one determine whether the architect/interior designer has an “honest conviction” of the accuracy of his/her testimony? Who makes that determination?

In (7)(d), p.3, line 10, what else, beyond what is listed here, constitutes “indiscriminate criticism?”

Also in (7)(d), line 11, what does “without valid basis or cause” mean? Please define or delete “valid”. This is ambiguous as written.

In (8)(a), line 18, what does “attempts to influence” mean in this context?

In (8)(a)(i), line 27, do you mean “rules” instead of “regulations?” Regulations refer to federal requirements. Do you mean the NC Building Code? If so, please say so directly.

In (8)(a)(iii), line 31, please use “his or her instead of “their.”

In (8)(d), p.4, line 8, what is “reasonable”? If there’s a dollar amount or duration (for hospitality) that would be acceptable, say what it is. Otherwise, one person’s reasonable entertainment or hospitality could be another’s impermissible gift. This is ambiguous as written.

With respect to 8(j), you’ve already required an architect/registered interior designer to disclose conflicting business interests which may influence their judgment, in 8(a). Can these provisions be combined? Also, regardless of whether you combine this provision, I’d suggest dividing this paragraph into two sentences. I would sever them at line 23, and make the clause beginning with “…and if the client or employer objects…” into a separate sentence.

Further, in (8)(j), line 21, what is an interest that is “substantial enough” to influence their judgment? Who makes this determination, and how is it enforced? This is ambiguous as written.
In (9)(b), line 36, what is an “unfair” statement or claim? This is ambiguous as written.

In (9)(b), line 36-37, please add an oxford comma following “quality.”

In (9)(c), lines 2 and 3, please correct your citations to the G.S. There is no “Article D,” and I am unsure what you’re citing to, as you’re saying both a specific statute and an article. Do you mean “comply with the Provisions of Article 3D of Chapter 143 of the North Carolina General Statutes”? Or just G.S. 143-64.31?

Further, in (9)(c), lines 2 and 4, please avoid the use of “et seq.” in your citations to the Brooks Act. Please see the Bluebook, Rule 12, for the correct format for citing to Federal law.

In (10)(c), line 4, how broad is this requirement? When you say “any inquiry” on line 17, does this mean any inquiry made regarding violations of these rules, or any inquiry into any matter the Board might want to investigate?

In (15), p.7, line 15, what does “buildable” mean? Does your regulated public know?

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.
21 NCAC 02 .0203 is proposed for amendment as follows:

21 NCAC 02 .0203  GENERAL OBLIGATIONS OF PRACTICE — RULES OF PROFESSIONAL CONDUCT

As a primary obligation and responsibility, the architect shall conduct his office and all aspects of his practice in such manner as to "safeguard life, health and property," as provided in G.S. 83A-12. All persons licensed or registered under the provisions of Chapter 83A of the North Carolina General Statutes are charged with having knowledge of the Rules of this Chapter and are deemed to be familiar with their provisions and to understand them. Each licensed or registered person and entity shall sign a statement on the renewal notice affirming understanding of the laws and rules.

   (1) Licensees and registrants shall conduct their practice in order to protect the public health, safety, or welfare. The licensee or registrant shall always recognize the primary obligation to protect the public in the performance of the professional duties. The architect or registered interior designer acts as a professional adviser to their client and their advice shall not be prejudiced. If the licensee or registrant's professional judgment is overruled under circumstances where the safety, health and welfare of the public are endangered, the licensee or registrant shall inform the employer, the client, the contractor, other affected parties, and any appropriate regulatory agency of the possible consequences of the situation.

   (2) In designing a project, the licensee or registrant shall consider all applicable federal, State and municipal building laws and rules. A licensee or registrant shall undertake to perform professional services only when they, together with those whom the licensee or registrant may engage as consultants, are qualified by education, training and experience in the specific technical areas involved. While a licensee or registrant may rely on the advice of other professionals such as attorneys, engineers or other qualified persons as to the intent and meaning of such laws and rules, once having obtained advice, a licensee or registrant shall not design a project in violation of laws and rules.

   (3) In practicing architecture or interior design, the licensee or registrant shall act with reasonable care and competence and shall apply the technical knowledge and skill which is ordinarily applied by architects or interior designers of good standing, practicing in the same locality.

In addition, an architect is also charged with the following personal and professional obligations of good practice:

   (1) The concern and purpose of the profession of architecture are the creation of a physical environment of use, order, and beauty through the resources of design, economics, technology, and management. The physical environment includes a spectrum of elements serving man, from the artifact and the building to the community and the region.

   (2) The profession of architecture calls for individuals of the highest integrity, judgment, business capacity and artistic and technical ability. An architect's honesty of purpose must be above
suspicion. An architect acts as professional adviser to his client and his advice must be unprejudiced.

(4) Responsible Control. No architect or registered interior designer shall affix his or her seal and signature to contract documents developed by others not under the architect's or registered interior designer’s responsible control. "Responsible control" means that amount of control over and professional knowledge of the content of technical submissions during their preparation as is exercised by an architect or registered interior designer applying the required professional standard of care, including:

(a) dissemination of programmatic requirements;
(b) ongoing coordination and correlation of services with other aspects of the total design of the project;
(c) verification with consultant that owner's requirements are being met;
(d) authority over the services of those who assisted in the preparation of the documents;
(e) assumption of responsibility for the services;
(f) incorporation of services and technical submissions into design documents to be issued for permitting purposes; and
(g) incorporation and integration of information from manufacturers, suppliers, installers, the architect's or registered interior designer’s consultants, owners, contractors, or other sources the architect or registered interior designer trusts that is incidental to and intended to be incorporated into the architect's or registered interior designer’s technical submissions if the architect or registered interior designer has coordinated and reviewed such information.

(5) An architect or registered interior designer shall not deliberately make a false statement or deliberately fail to disclose a fact requested in connection with their application for license or registration renewal.

(6) An architect or registered interior designer shall not in assist the application for licensure or registration of a person known by the architect or registered interior designer to be unqualified with respect to education, training, experience, or character.

(7) An architect or registered interior designer shall issue public statements only in an unbiased and truthful manner and:

(a) shall be objective and truthful in all professional reports, statements, or testimony. The architect or registered interior designer shall include all relevant and pertinent information in such reports, statements or testimony;
(b) when serving as an expert or technical witness before any court, commission, or other tribunal, shall express an opinion only when it is founded upon adequate knowledge of the facts at issue, upon a background of technical competence in the subject matter, and upon honest conviction of the accuracy and propriety of the individual’s testimony;
(c) shall issue no statements, criticisms, or arguments on architectural or interior design matters connected with public policy which are inspired or paid for by an interested party, or parties, unless the architect or registered interior designer has prefaced the comment by explicitly identifying their name, by disclosing the identities of the party or parties on whose behalf the architect or registered interior designer is speaking, and by revealing the existence of any pecuniary interest the architect or registered interior designer may have in the matters; and

(d) shall not attempt to harm the professional reputation, prospects, practice, or employment of another architect or registered interior designer, nor indiscriminately criticize another architect’s or registered interior designer’s work. Indiscriminate criticism includes statements without valid basis or cause or that are not objective and truthful or that fail to include all factual information. If the architect or registered interior designer believes that another architect or registered interior designer is in violation of G.S. 83A or the Rules of this Chapter, such information shall be presented to the North Carolina Board of Architecture and Registered Interior Designers in writing.

(8) An architect or registered interior designer shall avoid conflicts of interest and:

(a) shall inform the employer or client, and any reviewing agency, of any business association, interests, or circumstances that attempts to influence the judgment or the quality of services of the architect or registered interior designer. If, in the course of their work on a project, an architect or registered interior designer becomes aware of a decision taken by their employer or client, against their advice, which violates applicable State or municipal building laws and regulations and which will, in their judgment, affect adversely the safety to the public of the finished project, the architect or registered interior designer shall:

(i) report the decision to the local building inspector or other public official charged with the enforcement of the applicable State or municipal building laws and regulations;

(ii) refuse to consent to the decision;

(iii) in circumstances where the architect or registered interior designer reasonably believes that other such decisions will be taken notwithstanding his or her objection, terminate their services with reference to the project; and

(iv) in the case of termination in accordance with clause in Sub-Item (a)(iii) of this Rule, the architect or registered interior designer shall have no liability to their client or employer on account of such termination.
(b) shall not accept compensation, financial or otherwise, from more than one party for services on the same project, or for services pertaining to the same project, unless the circumstances are disclosed to, and agreed to, in writing, by all interested parties;

(c) shall not solicit or accept financial or other valuable considerations from material, furniture, fixtures, or equipment suppliers for specifying their products unless disclosed to the client;

(d) shall not pay or offer to pay, a commission, political contribution, gift, or other consideration in order to secure work. Gifts of nominal value including reasonable entertainment and hospitality are permitted;

(e) when in public service as a member, advisor, or employee of a governmental body or department, shall not participate in considerations or actions with respect to services provided by the licensee or registrant or the licensee’s or registrant’s firm in private architectural or registered interior design practices;

(f) shall not engage in any false, deceptive, fraudulent, or misleading advertising;

(g) shall not attempt to supplant another architect or registered interior designer on a specific project after becoming aware that the other has been selected for the employment;

(h) when acting as the interpreter of building contract documents and the judge of contract performance, an architect or interior designer shall render decisions in an impartial manner;

(i) if an architect or registered interior designer has any business association or financial interest which is substantial enough to influence their judgment in connection with the performance of professional services, they shall disclose in writing to their client or employer the nature of the business association or financial interest, and if the client or employer objects to such association or financial interest, they will either terminate such association or interest or offer to give up the commission or employment;

(k) an architect or registered interior designer making public statements on architectural or interior design questions shall disclose when they are being compensated for making such statements.

(9) A licensee or registrant shall solicit or accept work on the basis of qualifications and:

(a) shall not offer to pay any commission, political contribution, gift, or other consideration in order to secure work, exclusive of securing salaried positions through employment agencies;

(b) shall not solicit or submit proposals for professional services containing a false, fraudulent, misleading, deceptive, or unfair statement or claim regarding the cost, quality or extent of services to be rendered;
(c) shall, with regard to fee bidding on public projects, comply with the provisions of G.S. 143-64.31 Article D (or for federal projects, the Brooks Act, 40 U.S.C. 541 et seq.) and shall not knowingly cooperate in a violation of any provision of G.S. 143-64.31 Article D (or of 40 U.S.C. 541 et seq.);

(d) shall not falsify or permit misrepresentation of academic or professional qualifications and shall only report educational qualifications when a degree or certificate was awarded unless it is stated that no degree or certificate was awarded; and

(e) shall represent to a prospective or existing client or employer their qualifications and the scope of their responsibility in connection with work for which they are claiming credit. Misrepresentation shall be found if any of the following is not complied with:

(i) Each licensee or registrant shall state their prior professional experience and the firm they are representing while presenting qualifications to all prospective clients. If the licensee or registrant uses visual representations of prior projects or experience, all designers or architects of record shall be identified.

(ii) An architect or registered interior designer who has been an employee of another firm may not claim credit for projects contracted for in the name of the previous employer. They shall indicate, next to the listing for each project, that individual experience gained in connection with the project was acquired as an employee and identify the previous firm. The architect or registered interior designer shall also describe the nature and extent of their participation in the project.

(iii) An architect or registered interior designer who presents a project that has received awards or public recognition shall comply with the requirements in this Sub-Item with regard to project presentation to the public and prospective clients.

(iv) Projects that remain unconstructed and are listed as credits in presentation items shall be listed as "unbuilt" or a similar designation, as determined by the architect or registered interior designer.

(10) A licensee or registrant shall perform services in compliance with all of the provisions of this Chapter and any federal, State, and municipal laws or regulations that apply and:

(a) shall not knowingly associate with or permit the use of the licensee's or registrant's name or firm name in a business venture by any person or firm which the licensee or registrant knows, or has reason to believe, is engaging in business or professional practices of a fraudulent or dishonest nature or is not licensed or registered;

(b) if the licensee or registrant has knowledge or reason to believe that another person or firm may be in violation of the Rules of this Chapter or of the North Carolina Architectural and Registered Interior Design Practice Act (G.S. 83A), they shall present such information to the Board in writing.
and shall cooperate with the Board in furnishing further information or assistance as may be required
by the Board.

c) An architect or registered interior designer shall cooperate with the Board in connection with
any inquiry it shall make. Cooperation includes responding to all inquiries from the Board or its
representative and claiming correspondence from the U.S. Postal Service, or other delivery service,
sent to the licensee or registrant from the Board in a timely manner. The Board shall utilize electronic
mail as its primary method of communication with licensees and registrants. “Timely” is defined
as within the time specified in the correspondence, or if no time is specified, within 15 business days
of receipt.

(11) An architect or registered interior designer who has received a reprimand or civil penalty or whose
professional license or registration is revoked, suspended, denied, refused renewal, refused reinstatement, put
on probation, restricted, or surrendered as a result of disciplinary action by another jurisdiction is subject to
discipline by the Board if the licensee's or registrant's action constitutes a violation of G.S. 83A or the Rules
of this Chapter adopted by the Board.

(12) In addition to the grounds stated in G.S. 83A-14 and G.S. 83A-15(3), the following acts or omissions
may be deemed to be "unprofessional conduct" and to be cause for the levy of a civil penalty or for denial,
suspension, or revocation of a license or registration or firm certificate of licensure or registration to practice
architecture or registered interior design:

(a) An architect or registered interior designer shall not, in the conduct of their professional
practice, knowingly violate any State or federal criminal law. A criminal conviction shall be deemed
prima facie evidence of knowingly violating the law.

(b) Evasion of professional duties.

(i) An architect or registered interior designer shall not, through employment by
contractors whether or not the contractors are licensed under G.S. 87, or by another
individual or entity not holding an individual or firm registration from the Board, enable
the employer to offer or perform architectural services or registered interior design
services. In design/build arrangements, the architect or registered interior designer shall
not be an employee of a person or firm not holding a license to practice architecture or
registered interior design in North Carolina.

(ii) An architect or registered interior designer shall not furnish limited services in such
manner as to enable owners, draftsmen, or others to evade the public health and safety
requirements of Chapter 83A, G.S. 133-2, G.S 153A, G.S. 153A-357, G.S. 160A-412, or
G.S. 160A-417.

(iii) When building plans are begun or contracted for by persons not licensed or registered
and qualified, an architect or registered interior designer shall not take over, review, revise,
or sign or seal such drawings or revisions thereof for such persons or do any act to enable
either persons or the project owners to evade the requirements of Chapter 83A, G.S. 133-2, G.S. 153A-357, or G.S. 160A-417.

(c) It is unprofessional conduct for an architect or registered interior designer to be found by a court to have infringed upon the copyrighted works of other architects, registered interior designers or other design professionals.

(13) An architect, registered interior designer or firm shall not maintain or represent by sign, listing, or other manner that they have a physical presence in North Carolina unless such office employs a licensed architect or registered interior designer who is a resident in North Carolina whose principal place of business takes place in that office. This item does not apply to on-site project offices during construction of a project.

(14) An architect or registered interior designer shall not knowingly continue to offer or render architectural or registered interior design services as set forth in G.S. 83A after their license or registration expires, is placed on delinquent status, is revoked, or suspended for failure to renew.

(15) Architects or registered interior designers preparing plans for building permits shall submit plans that are complete and buildable. Such plans shall conform with the State Building Code and local plan submission requirements. Professional judgment shall be exercised to reflect sufficient documentation necessary for plan approval. Provided, however, this Rule does not alter any standard of liability applicable to licensees or registrants.

History Note: Authority G.S. 83A-6; 83A-14; 83A-15; 83A-16;
Eff. February 1, 1976;
Readopted Eff. September 29, 1977;
Amended Eff. May 1, 1989; November 1, 1979;
Temporary Amended Eff. November 30, 2021
Amended Eff. June 1, 2022
REQUEST FOR CHANGES PURSUANT TO G.S. 150B-21.10

AGENCY: NC Board of Architecture and Registered Interior Designers

RULE CITATION: 21 NCAC 02 .0204

DEADLINE FOR RECEIPT: Thursday, May 12, 2022.

PLEASE NOTE: This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may email the reviewing attorney to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends the following changes be made:

Throughout, the spacing here seems incorrect. It should be 1.5 spaced. Please see 26 NCAC 02C .0108 for RRC's formatting rules.

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.
21 NCAC 02 .0204 is proposed for amendment as follows:

21 NCAC 02 .0204 FORMS OF PRACTICE

(a) The practice of architecture shall be carried out by one of the following types of entities:

(1) sole practitioners;

(2) professional limited liability companies that are established under the provisions of G.S. 57C; G.S. 57D-2-02;

(3) limited liability partnerships that are established under the provisions of G.S. 59-84.2;

(4) professional corporations that are established under the provisions of G.S. 55B; or

(5) general partnerships.

(b) The practice of registered interior design shall be carried out by one of the following types of entities:

(1) sole practitioners;

(2) limited liability companies that are established under the provisions of G.S. 57D;

(3) limited liability partnerships that are established under the provisions of G.S. 59-84.2;

(4) business corporations that are established under the provisions of G.S. 55; or

(5) general partnerships.

Each limited liability partnership and each general partnership engaged in the practice of architecture or registered interior design in North Carolina shall keep a current list of all resident and non-resident partners of the partnership. One annual listing by a representative of the partnership shall satisfy the requirement of this Paragraph for all partners in the firm; however, each partner shall remain responsible for compliance with the rules. Changes in the information required by this Paragraph shall be filed with the Board office within 30 days after the change occurs.

(b) All individuals who practice through entities described in Subparagraphs (a)(1) through (a)(4) of this Rule shall be licensed to practice architecture.

(c) All individuals who practice architecture through entities described in Subparagraphs (a)(1) through (a)(4) of this Rule shall be licensed to practice architecture.

History Note: Authority G.S. 55B; 57C; 59-84.2; 83A-4; 83A-6; 83A-8;

Eff. February 1, 1976;

Readopted Eff. September 29, 1977;
Amended Eff. October 1, 2012; December 1, 2010; June 1, 1995;


Amended Eff. June 1, 2022
REQUEST FOR CHANGES PURSUANT TO G.S. 150B-21.10

AGENCY:   NC Board of Architecture and Registered Interior Designers

RULE CITATION:   21 NCAC 02 .0205

DEADLINE FOR RECEIPT:   Thursday, May 12, 2022.

PLEASE NOTE: This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may email the reviewing attorney to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends the following changes be made:

In (a)(1), line 8, under what circumstances does the number of architects or registered interior designers not warrant use of the term? Is your intent here to say that a firm with one architect or interior designer may not use the plural? If so, I think you can clarify by saying something along the lines of:

“use of the plural “architects” or “registered interior designers” by a sole practitioner or a firm employing only one architect or registered interior designer.”

In (b), line 17, did you mean to say “…register a fictitious or assumed name…”?

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.
21 NCAC 02 .0205 is proposed for amendment as follows:

21 NCAC 02 .0205  NAME OF FIRM

(a) A licensee or registrant shall not engage in the practice of architecture or registered interior design under a firm name which is misleading or deceptive in any way as to the legal form of the firm or the persons who are partners, officers, members, or shareholders in the firm. The Board shall approve all firm names to be used in this State. Examples of misleading or deceptive firm names include the following:

(1) Use use of the plural "architects" or "registered interior designers" when the number of architects or registered interior designers in a firm does not warrant such use;

(2) Use use of the name of an employee unless that employee is a licensed or registered partner, licensed or registered officer, licensed or registered member or licensed or registered shareholder;

(3) Use use of the name of a deceased architect or registered interior designer in order to benefit from their reputation, when that architect or registered interior designer was not a former partner, officer, member or shareholder in the present firm;

(4) Use use a name which is deceptively similar to that of an existing firm name; and

(5) Use use of a fictitious or assumed name by a sole proprietor.

(b) Failure of the firm to register a fictitious name shall be prima facie evidence of the name being misleading or deceptive.

History Note: Authority G.S. 55B-5; 83A-6; 83A-8; 83A-9; 83A-12;
Eff. February 1, 1976;
Readopted Eff. September 29, 1977;
Amended Eff. November 1, 2010; July 1, 2006; June 1, 1995, April 1, 1991; May 1, 1989;
Temporary Amended Eff. November 30, 2021
Amended Eff. June 1, 2022
REQUEST FOR CHANGES PURSUANT TO G.S. 150B-21.10

AGENCY: NC Board of Architecture and Registered Interior Designers

RULE CITATION: 21 NCAC 02 .0206

DEADLINE FOR RECEIPT: Thursday, May 12, 2022.

PLEASE NOTE: This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may email the reviewing attorney to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends the following changes be made:

In (3)(A), lines 22-24, I'm unclear on the definition of “for use in this State.” Do you mean that the drawings and specifications are prepared in this State, or that the bidding, procurement, permitting, or construction will occur in this State? Or both?

In (5)(B), p.2, line 28, there appear to be extra spaces between “registrant” and “and,” as well as “between “Designer” and “be”.

In (11), p.3, lines 36-37, is the architect or registered interior designer responsible for for his or her own seal, as well as the firm seal?

In (b), p. 4, line 4, should “Building” be capitalized?

In (b), line 5, there appears to be an extra space between “architect” and “or”.

In (c), line 21, should “Construction” be capitalized?

In (d), p.5, line 19, please add a comma after “software”.

In (d), line 19, what parameters must be included?

In (e), line 34, please capitalize “state” if referring only to the State of North Carolina.

In (e), line 37, please add “the” between “of” and “seal”, and add a comma following “seal”.

In (e), p. 6, line 8, please add a comma following “vector”, and capitalize “paragraph”.

Brian Liebman
Commission Counsel
Date submitted to agency: April 28, 2022
In (e), lines 8 and 9, please put “easy editing” in quotation marks. Also, would it be more accurate to say “A file subject to “easy editing” is one consisting of separate elements...”?

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.
21 NCAC 02.0206 is proposed for amendment as follows:

21 NCAC 02.0206 REQUIREMENT FOR AND USE OF PROFESSIONAL SEAL BY AN ARCHITECT OR REGISTERED INTERIOR DESIGNER

(a) An architect shall seal his or her work whether or not the work is for an exempt project as defined in G.S. 83A-13. An architect shall not sign nor seal drawings, specifications, reports, or other professional work that were not prepared by the architect or under his or her responsible control. Documents shall be sealed as follows:

(1) An architect may seal those portions of the professional work that:

(A) were prepared by or under the responsible control of persons who are registered licensed architects in this State if the architect has reviewed in whole or in part such portions and has either coordinated their preparation or integrated them into his or her work; and

(B) are not required by law to be prepared by or under the responsible control of an architect if the architect has reviewed and adopted in whole or in part such portions and has integrated them into his or her work.

(2) A registered interior designer may seal those portions of the professional work that were prepared by or under the responsible control of persons who are registered interior designers in this State if the registered interior designer has reviewed in whole or in part such portions and has either coordinated their preparation or integrated them into their work.

(3) Individual Architect Seal Design shall be as follows:

(A) The seal may be a rubber stamp, embossed seal, computer-generated seal, or other facsimile that becomes a permanent addition to original paper drawings or sets of specifications for use in this State. For the purposes of this Rule, the term "for use in this State" means drawings and sets of specifications prepared for bidding, procurement, permitting, or for construction. For purposes of this Rule, "original" means the version of drawings and sets of specifications from which all paper copies can be made.

(B) The standard design of the seal shall be two concentric circles in which "North Carolina" and the name of the licensee are placed within the outermost circle and in which the license number of the licensee and "Registered Licensed Architect" placed within the innermost circle. The size shall be 1 ½ to 1 ¾ inches in diameter.

(C) The original, handwritten signature of the individual named on the seal shall be considered part of the individual seal and shall appear across the face of each original seal imprint along with the date of affixation. The use of signature reproductions such as rubber stamps, computer generated, or other facsimiles on paper copies are not permitted in lieu of actual handwritten and hand dated signatures.

(4) Architecture Firm Seal Design shall be as follows:

(A) The seal may be a rubber stamp, embossed seal, computer-generated seal, or other facsimile that becomes a permanent addition to paper drawings or sets of specifications.
The design of the seal shall be two concentric circles in which the Architectural Firm's approved name and "North Carolina" shall be between the inner and outer circles and the firm's license registration number is placed within the innermost circle. The size shall be 1 ½ to 1 ¾ inches in diameter.

(B) The design of the firm seal shall be two concentric circles in which the architectural firm's approved name shall be between the inner and outer circles and the firm's license number is placed within the innermost circle. The size shall be 1 ½ to 1 ¾ inches in diameter. For a Professional Corporation the words “Architectural Corporation, North Carolina" shall be along the inside perimeter of the inner circle. For a Professional Limited Liability Company, the words “Architectural Company" shall be along the inside perimeter of the inner circle.

(B) For a Professional Corporation the words "Registered Architectural Corporation, North Carolina" shall be along the inside perimeter of the inner circle. For a Professional Limited Liability Company, the words "Registered Architectural Company" shall be along the inside perimeter of the inner circle.

A sole proprietorship is not required to have firm seal and shall seal all work with the individual seal as set forth in Subparagraph (2) of this Paragraph.

(4)(5) The use of pre-printed documents bearing a pre-printed facsimile of the signed and dated seal is prohibited. Individual Registered Interior Designer Seal Design shall be as follows:

(A) The seal may be a rubber stamp, embossed seal, computer-generated seal, or other facsimile that becomes a permanent addition to original paper drawings or sets of specifications for use in this State. For the purposes of this Rule, the term "for use in this State" means drawings and sets of specifications prepared for bidding, procurement, permitting, or for construction. For purposes of this Rule, "original" means the version of drawings and sets of specifications from which all paper copies can be made.

(B) The standard design of the seal shall be two concentric ovals in which "North Carolina" and the name of the registrant are placed within the outermost oval and in which the registration number of the registrant and “Registered Interior Designer” be placed within the innermost oval. The dimensions shall be two inches tall by 2.75 inches wide.

(C) The original, handwritten signature of the individual named on the seal shall be considered part of the individual seal and shall appear across the face of each original seal imprint along with the date of affixation. The use of signature reproductions such as rubber stamps, computer generated, or other facsimiles on paper are not permitted in lieu of actual handwritten and hand dated signatures.

(6) Registered Interior Design Firm Seal Design shall be as follows:

(A) The seal may be a rubber stamp, embossed seal, computer-generated seal, or other facsimile that becomes a permanent addition to drawings or sets of specifications.
(B) The design of the seal shall be two concentric ovals in which the registered interior design firm’s approved name shall be between the inner and outer ovals and the firm's registration number is placed within the innermost oval. The size shall be 2 to 2 ¼ inches in diameter. For a Corporation the words “Registered Interior Design Corporation, North Carolina” shall be along the inside perimeter of the inner circle. For a Limited Liability Company, the words “Registered Interior Design Company” shall be along the inside perimeter of the inner oval.

Architects and registered interior designers shall affix their seal on one original of all their drawings and sets of specifications prepared by them for use in this State as follows:

(A) on the cover sheet of each design and on each drawing prepared by the architect or registered interior designer for the design;

(B) on the index page identifying each set of specifications; and

(C) on the index page of all other technical submissions. For the purposes of this Rule, "technical submissions" refer to plans, drawings, specifications, studies, addenda, and other technical reports prepared in the course of practicing architecture or registered interior design.

Presentation documents, such as renderings created by an architect or registered interior designer used to communicate conceptual information, shall not be sealed or signed.

Documents considered incomplete by the architect or registered interior designer may be released for interim review without the architect's or registered interior designer's seal or signature affixed, but shall be dated, bear the architect's or registered interior designer’s name, and be marked or designated to indicate the documents are for interim review and not intended for bidding, procurement, permit, or construction purposes. as follows “Incomplete - for interim review only and not intended for bidding, procurement, permit, or construction purposes.”

Those sheets or pages prepared by licensed professional consultants, such as structural, mechanical or electrical engineers, retained by the architect or registered interior designer shall bear the seal and registration or license number of the consultant responsible therefore and shall not be sealed by the architect or registered interior designer.

Original Signature. The use of signature reproductions such as rubber stamps, computer generated, or other facsimiles are not permitted in lieu of actual handwritten and hand dated signatures. However, a digital signature as defined in Paragraph (e) of this Rule may be used in lieu of a handwritten signature and handwritten date.

The use of the prescribed seal on paper is an individual act whereby the architect or registered interior designer must personally sign over the imprint of the seal. By sealing documents for use in this State, an architect or registered interior designer is representing that he or she is in responsible control over the content of such documents and has applied the required professional standard of care. The architect or registered interior designer is responsible for security of the seal when not in use.
Use of Firm Seal. The use of the firm seal does not replace the statutory requirement for an architect's or registered interior designer's individual seal as required in Paragraph (d). Rule 0203(4) of this Chapter. The firm seal must be affixed in addition to the individual seal on the cover sheet.

(b) Prototypical Building design documents prepared by architects or registered interior designers who are licensed or registered in this State or in their state of origin may be sealed by a succeeding licensed architect or registered interior designer registered in North Carolina provided:

1. the seal of the original architect or registered interior designer appears on the documents to authenticate authorship;
2. the words "Prototypical Design Documents/Not for Construction" appear on each sheet of the documents by the original architect or registered interior designer;
3. the succeeding North Carolina architect or registered interior designer identifies all modifications to the standard design documents;
4. the succeeding North Carolina architect or registered interior designer assumes responsibility for the adequacy of the design for the specific application in North Carolina and for the design conforming with applicable building codes, local conditions, site condition; and
5. the succeeding North Carolina architect or registered interior designer affixes his or her seal to the prototypical design documents with a statement as follows: "These documents have been examined by the undersigned. I have determined that they comply with existing local North Carolina codes, and I assume responsibility for the adequacy of the design for the specific application in North Carolina."

(c) Post Construction record drawings prepared by an architect or registered interior designer, but based upon representations of contractors, are not plans that are for "bidding, procurement, permit, or construction purposes" and therefore shall not be sealed by the architect or registered interior designer, as long as the Post construction record drawings documents shall bear the name of the architect or registered interior designer and include language that states: "these drawings are post construction record drawings and are based in part upon the representations of others and are not for bidding, procurement, permit, or construction purposes".

(d) Responsible Control. No architect shall affix his or her seal and signature to contract documents developed by others not under the architect's responsible control. "Responsible control" means that amount of control over and professional knowledge of the content of technical submissions during their preparation as is exercised by an architect applying the required professional standard of care, including:

1. Dissemination of programmatic requirements;
2. Ongoing coordination and correlation of services with other aspects of the total design of the project;
3. Verification with consultant that owner's requirements are being met;
4. Authority over the services of those who assisted in the preparation of the documents;
5. Assumption of responsibility for the services;
6. Incorporation of services and technical submissions into design documents to be issued for permitting purposes; and
(7) Incorporation and integration of information from manufacturers, suppliers, installers, the architect's consultants, owners, contractors, or other sources the architect trusts that is incidental to and intended to be incorporated into the architect's technical submissions if the architect has coordinated and reviewed such information.

(e) The procedure for digitally signing and electronically sealing electronically transmitted plans, specifications, reports, or other documents prepared for use in this State in the course of practicing architecture is as follows:

1. Information stored in electronic files representing plans or specifications that must be sealed under the provisions of G.S. 83A-10 shall be signed, dated, and sealed by the architect in responsible control.
   (A) A scanned image of an original signature shall not be used in lieu of a digital or electronic signature.
   (B) The date that the electronic signature file was created or the digital signature was placed in to the document must appear on the document in the same manner as date is required to be applied when a licensee uses the manual sealing procedure set out in Subparagraph (a)(5) of this Rule.

(d)(2) An architect utilizing a digital signature to seal electronic documents for use in this State shall ensure that the digital signature is: Documents to be electronically transmitted beyond the direct control of the licensee or registrant that are signed using a digital signature, shall contain the authentication procedure in a secure mode and a list of the hardware, software and parameters used to prepare the document(s). Secure mode means that the authentication procedure has protective measures to prevent alteration or overriding of the authentication procedure. The term "digital signature" shall be an electronic authentication process that is attached to or logically associated with an electronic document. The digital signature shall be:
   (A)(1) Unique to the person using it;
   (B)(2) Capable of verification;
   (C)(3) Under the sole control of the person using it; and
   (D)(4) Linked to a document in such a manner that the digital signature is invalidated if any data in the document is changed.


(e)(4) The architect is responsible for the security of the digital seal. Documents for use in this state, that are transmitted electronically beyond the direct control of the licensee or registrant shall have the computer-generated image of the seal removed from the original file, unless signed with a digital signature as defined in this Rule. After removal of the image of seal the electronic media shall have
the following inserted in lieu of the signature and date: "This document was originally issued and sealed by (name of sealer), (license or registration number), on (date of sealing). This medium shall not be considered a certified document." Hardcopy documents containing the original seal, signature and date of the licensee or registrant may be duplicated by photocopy or electronic scanning processes and distributed either in hardcopy or electronic medium. The scanned digital files of certified documents are not subject to the requirements of this Paragraph. The electronic transmission beyond the direct control of the licensee or registrant of Computer Aided Design (CAD), vector or other files subject to easy editing are subject to the requirements of this paragraph. Easy editing is based on the file consisting of separate elements that can be individually modified or deleted. Documents that are excepted from certification by a statement meeting the following requirements are not subject to the requirements of this Paragraph:

(1) "Preliminary - Do not use for construction";
(2) "Progress Drawings - Do not use for construction";
(3) "Final Drawing - Not released for construction";
(4) "Final Drawing - For Review Purposes Only";
(5) "Not a Certified Document – This document was originally issued and sealed by (name of licensee or registrant), (license or registration number), on (date of sealing). This document shall not be considered a certified document";
(6) "Not a Certified Document as to the Original Document but Only as to the Revisions - This document originally issued and sealed by (name of licensee or registrant), (license or registration number), on (date of sealing). This document is only certified as to the revisions".

History Note: Authority G.S. 83A-6; 83A-10; 83A-12;
Eff. February 1, 1976;
Readopted Eff. September 29, 1977;
Amended Eff. December 1, 2010; July 1, 2006; October 1, 1995; July 1, 1993; May 1, 1989; October 1, 1985;
Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 13, 2015;
Amended Eff. June 1, 2022.
21 NCAC 02 .0208 is repealed as follows:

21 NCAC 02 .0208 DISHONEST CONDUCT

History Note: Authority G.S. 14-353; 83A-6; 83A-14; 83A-15;
Eff. February 1, 1976;
Readopted Eff. September 29, 1977;
Amended Eff. November 1, 2010; July 1, 2006; December 1, 1995; June 1, 1995; October 1, 1989;
May 1, 1989;
Temporary Repeal Eff. November 30, 2021
Repealed Eff. June 1, 2022
21 NCAC 02 .0209 is repealed as follows:

21 NCAC 02 .0209  UNPROFESSIONAL CONDUCT

History Note:  Authority G.S. 83A-6; 83A-14; 83A-15;
Eff. February 1, 1976;
Amended Eff. February 24, 1976;
Readopted September 29, 1977;
Amended Eff. November 1, 2010; July 1, 2006; June 1, 1995; July 1, 1992; October 1, 1989; May 1, 1989;
Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 13, 2015;
Temporary Amended Eff. November 30, 2021
Repealed Eff. June 1, 2022
21 NCAC 02 .0212 is repealed as follows:

**21 NCAC 02 .0212 INDEPENDENT JUDGMENT AND DISCLOSURE**

REQUEST FOR CHANGES PURSUANT TO G.S. 150B-21.10

AGENCY: NC Board of Architecture and Registered Interior Designers

RULE CITATION: 21 NCAC 02 .0213

DEADLINE FOR RECEIPT: Thursday, May 12, 2022.

PLEASE NOTE: This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may email the reviewing attorney to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends the following changes be made:

A general comment here. I'm a little confused by the first three sentences. Sentence 1 says that the license “must be renewed” before July 2. Sentence 3 says that it is the architect/interior designer’s responsibility “to renew the license or registration” before July 31. Which is it? Are you saying that the application has to be submitted by July 2, and the process completed before July 31?

In (d), line 26, please add a comma after “suspension.”

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.
21 NCAC 02 .0213 is proposed for amendment as follows:

21 NCAC 02 .0213  INDIVIDUAL LICENSES AND REGISTRATIONS

(a) Renewal. License registration. The license to practice architecture or interior design registration must be renewed on or before the first day in July each year. No less than 30 days prior to the renewal date, the Board shall send a notice of renewal to each individual licensee or registrant via electronic mail. It shall be the professional responsibility of the licensee or registrant to renew the license or registration on or before the 30th day of July each year. Continued practice after such date shall constitute unlawful practice as set forth in G.S. 83A-12 and may be grounds for disciplinary action. The licensee shall complete the current license renewal documentation required by the Board. The licensee or registrant shall submit to the Board the completed license or registration renewal documentation, along with the annual license or registration renewal fee. The Board shall not accept incomplete renewal documentation. If the accompanying payment in the amount of the renewal fee is dishonored by the architect's licensee or registrant's drawee bank for any reason, the Board shall suspend the license or registration until the renewal fees and check charges are paid. When the annual renewal has been completed according to the provisions of G.S. 83A-11, as well as Section .0900 of this Chapter, the Executive Director shall approve renewal of the license or registration for the current license renewal year. Renewal fees are non-refundable.

(b) Late Renewal. If the Board has not received the annual renewal fee and completed renewal documentation on or before the first day of July, each year the license or registration shall expire and be placed on delinquent status. For the purpose of this Rule, "delinquent status" means an administrative revocation suspension and is not considered discipline. The license or registration may be renewed at any time within one year of being deemed delinquent, upon the return of the completed renewal documentation, the annual renewal fee, and the late renewal penalty and demonstration of compliance with Section .0900 of this Chapter.

(c) Reinstatement. After one year from the date of expiration, the Board shall revoke the license or registration for failure to renew. Reinstatement shall occur pursuant to G.S. 83A-11 and Sections .0300 and .0900 of this Chapter.

(d) Any individual who is currently licensed by or registered by and in good standing with the Board who is serving in the armed forces of the United States shall not be subject to late fees, suspension or revocation for failure to renew licensure on or before the first day July each year, provided that the individual has been granted an extension of time to file a tax return as set forth in G.S. 105-249.2.

History Note: Authority G.S. 83A-6; 83A-11; 93B-15(b);

Eff. February 1, 1976;
Readopted Eff. September 29, 1977;
Amended Eff. December 1, 2010; July 1, 2006; July 1, 1999; May 1, 1989; November 1, 1979;
Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 13, 2015;
Temporary Amended Eff. November 30, 2021
Amended Eff. June 1, 2022
21 NCAC 02 .0214 is proposed for amendment as follows:

21 NCAC 02 .0214 FIRM PRACTICE OF ARCHITECTURE AND REGISTERED INTERIOR DESIGN

(a) Prior to offering and rendering architectural or registered interior design services as set forth in G.S. 83A and 21 NCAC 02 Rule .0204(a) and Rule .0204(c) of this Chapter, all firms shall submit an application for firm licensure or registration and be granted licensure or registration by the Board. Application for firm licensure or registration to practice of architecture or registered interior design within the State of North Carolina shall be made upon forms provided on the Board website at www.ncbarch.org and include the required application fee as set forth in Rule .0108 of this Chapter. Certificates Licensure for firm practice of architecture shall be issued only under the provisions of the Professional Corporation Act, G.S. 55B, except as provided in Subsection (b) of this Rule. Registration for firm practice of interior design shall be issued only under the provisions of the Business Corporation G.S. 55 and G.S 57D.

(b) Architectural Corporations Under G.S. 55, the Business Corporation Act. Applications for certificate of registration as exempt from the Professional Corporation Act under the provisions of G.S. 55B-15 shall be made upon forms provided by the Board. Completed applications shall be accompanied by the corporate application fee. To be eligible as an exempt corporation under the provisions of G.S. 55B-15, the following conditions must exist:

(1) The corporation must have been incorporated prior to June 5, 1969 as a business corporation;
(2) Prior to and since June 5, 1969, the corporation must have been a bona fide architectural or architectural engineering firm with services limited to the practice of architecture or architectural engineering and ancillary services within the State of North Carolina; and
(3) The corporation must have applied to be an exempt corporation before October 1, 1979.

(c)(b) Renewal of Certificate. Architecture firm Firm registration licensure and interior design firm registration shall be renewed on or before December 31st of each year. If the Board has not received the annual renewal fee and completed application on or before December 31st of each year, the architecture firm license or interior design firm registration shall expire. No less than 30 days prior to the renewal date, the Board shall send a notice of renewal to each licensed and registered firm no less than 30 days prior to the renewal date. Renewal documentation shall be accompanied by the renewal fee. If the accompanying draft or check in the amount of the renewal fee is dishonored by the firm’s drawee bank for any reason, the Board shall suspend the firm license or registration until the renewal fees and returned check charges are paid. When the annual renewal has been completed according to the provisions of G.S. 83A-11, the Executive Director shall approve renewal for the firm for the current renewal year. Upon completion of the firm annual renewal, the Board may randomly audit the compliance of firm licenses and registrations and require proof in the form of corporate records maintained pursuant to North Carolina General Statute 55B, 55B or 57D. Such records must be maintained for a period of seven years after the renewal is submitted. Renewal fees are non-refundable.
(d)(c) Failure to Renew and Reinstatement. Within one year of the expiration, the firm license or registration may be renewed at any time, upon the return of the completed renewal documents, the annual renewal fee, and the late renewal fees. After one year from the date of expiration for non-payment of the annual renewal fee the licensee or registrant shall seek reinstatement, as allowed by G.S. 83A-11. The Board may reinstate the firms' license or certificate of registration, as allowed by G.S. 83A-11.

(e)(d) Seal. Each licensed or registered corporation firm shall adopt a seal pursuant to 21 NCAC 02 .0206(a)(3). Rule .0206 of this Chapter.

(f) Approval of Name. In addition to the requirements and limitations of G.S. 55 and 55B, the firm name used by an architectural corporation shall conform with Rule .0205 and be approved by the Board before being used. This Rule shall not prohibit the continued use of any firm name adopted in conformity with the General Statutes of North Carolina and Board Rules in effect at the date of such adoption.

History Note: Authority G.S. 55B-5; 55B-10; 55B-15; 83A-6; 83A-8; 83A-10
Eff. February 1, 1976;
Readopted Eff. September 29, 1977;
Amended Eff. July 1, 2014; December 1, 2010; July 1, 1993; May 1, 1989; November 1, 1979;
Temporary Amended Eff. November 30, 2021
Amended Eff. June 1, 2022
21 NCAC 02 .0215 is proposed for amendment as follows:

21 NCAC 02 .0215  OUT OF STATE FIRMS

(a) Incorporation in Other States.  Architectural and interior design firms from other states may be granted firm certificates of licensure or registration for practice in this State upon receipt by the Board of a completed application, fees, the submission of a certified copy of their firm charter, or other corresponding documents, amended as may be necessary to ensure compliance with all requirements of Chapter 55B, the Professional Corporation Act for architectural firms and Chapter 55, the Business Corporation Act for registered interior design firms of the State of North Carolina, and the payment of the firm application fee. In addition to the other requirements as set out in G.S. 83A-8, foreign out of state interior design firms must, prior to registration, receive from the Secretary of State of North Carolina a certificate of authority to do business within the state. Architectural firms shall obtain a certificate for filing for a certificate of authority must be obtained by the Board prior to submitting application to the Secretary of State. State for a Certificate of Authority.

(b) Designated Individuals. Foreign entities may be permitted to practice architecture within the State of North Carolina provided that it complies with G.S. Chapter 55B. If a foreign entity offers both architectural and engineering services, then it must comply with requirements set forth in G.S. 89C. All out of state entity must have at least one officer, director and shareholder licensed as an individual architect in this state. Two-thirds of the issued and outstanding shares of the foreign out of state corporations must be owned by licensed architects or engineers who are licensed to practice their profession in a jurisdiction of the United States. However, the firm must designate at least one architect who is licensed in the State of North Carolina to be in responsible charge for the firm practice of architecture within the State of North Carolina. Notwithstanding the requirements of this Rule, an individual architect who is licensed under G.S. Chapter 83A -7 may practice as an individual. A registered interior design firm shall designate one registered interior designer to be in responsible control of all interior design work offered and performed by that firm in this State.

(c) Partnerships. An out of state architectural or registered interior design partnership may practice architecture, architecture or registered interior design, if every partner in the firm is licensed or registered as an individual in this state under Rule .0213 and the partnership complies with Paragraph (f) this Rule.

(d) Limited Liability Companies. An out of state Limited Liability Company may practice architecture, architecture or registered interior design if the Limited Liability Company complies with G.S. 57D and at least one member and one owner are licensed or registered as individuals under Rule .0213 and comply with Paragraph (a) of this Rule.

(e) Limited Liability Partnerships. An out of state Limited Liability Partnership may practice architecture, architecture or registered interior design, if the Limited Liability Partnership complies with G.S. 59, and at least one partner is licensed or registered as an individual under Rule .0213.

(f) Failure to Renew and Reinstatement. If the Board has not received the annual firm renewal fee and completed application on or before December 31st each year the firm license or registration shall expire and be deemed delinquent. The firm registration may be renewed at any time within one year, upon the return of the completed
application, the annual renewal fee and the late renewal fees. After one year from the date of expiration for non-
payment of the annual renewal fee, the license or registration shall be automatically revoked. The Board may reinstate
the firm's certificate of license or registration, as allowed by G.S. 83A-10 G.S. 83A-11.

History Note: Authority G.S. 55B-6; 83A-6; 83A-8; 83A-9; 55B-16;
Eff. February 1, 1976;
Readopted Eff. September 29, 1977;
Amended Eff. December 1, 2010; June 1, 1995; July 1, 1993; May 1, 1989; November 1, 1979;
Temporary Amended Eff. November 30, 2021
Amended Eff. June 1, 2022
REQUEST FOR CHANGES PURSUANT TO G.S. 150B-21.10

AGENCY: NC Board of Architecture and Registered Interior Designers

RULE CITATION: 21 NCAC 02 .0217

DEADLINE FOR RECEIPT: Thursday, May 12, 2022.

PLEASE NOTE: This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may email the reviewing attorney to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends the following changes be made:

- On line 5, I think “jurisdictions” should be singular. Also please delete “whatsoever” as it is unnecessary.

- On line 11, add an oxford comma following “inactive”.

- On line 11, move the comma following “emeritus” inside the quotation marks.

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.
21 NCAC 02 .0217 ARCHITECT EMERITUS

Resident architects who have been registered in this state who are retired from active practice or other related professional activities in any jurisdictions whatsoever, may apply for "Emeritus Status" by submitting a form provided by the Board showing compliance with the requirements of this Section. "Retired" means that the architect no longer practices architecture in that he/she no longer seals and certifies documents with his/her seal or otherwise offers to practice or practices architecture as defined in G.S. 83A-1. Nonresident architects who have been continuously certified by NCARB the National Council of Architecture Registration Boards who are retired from active practice in any jurisdictions whatsoever, and who are "emeritus", inactive or retired in every other jurisdiction in which they are licensed may also apply for "Emeritus Status" by submitting a form provided by the Board showing compliance with the requirements of this Section. Any such "architect emeritus" must renew that status on forms provided by the Board on or before the first day of July in each year. Any reference to an architect on "Emeritus Status" on any letter, title, sign, card or other device shall list such architect individual as "Architect Emeritus." Emeritus.

21 NCAC 02 .0218 is repealed as follows:

21 NCAC 02 .0218 LIMITED LIABILITY COMPANIES

History Note: Authority G.S. 57C-2-01; 83A-6;
Eff. June 1, 1995;
Temporary Amended Eff. November 30, 2021
Repealed Eff. June 1, 2022
REQUEST FOR CHANGES PURSUANT TO G.S. 150B-21.10

AGENCY: NC Board of Architecture and Registered Interior Designers

RULE CITATION: 21 NCAC 02 .0302

DEADLINE FOR RECEIPT: Thursday, May 12, 2022.

PLEASE NOTE: This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may email the reviewing attorney to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends the following changes be made:

Throughout, I've noticed there's some inconsistency between use of “NCARB ARE” and “NCARB AXP” and just “ARE” and “AXP” Please choose one format and be consistent.

In (a), line 7, is it necessary to say “to take the ARE” when the sentence already mentions the ARE earlier?

In (b)(3), what is NAAB? Consider spelling out the acronym at it's first occurrence.

In (d), line 30, please use either “AXP” or “NCARB AXP” instead of “Architectural Experience Program through the NCARB.”

In (i), p. 2, line 6, should it state “and its their components” as the subject of the sentence is the "standards."

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.
21 NCAC 02 .0302 is proposed for amendment as follows:

21 NCAC 02 .0302  ARCHITECTURAL LICENSURE BY EXAMINATION

(a) The Board became a Direct Registration State with the National Council of Architecture Registration Boards (NCARB) on July 25, 2016. Those individuals who wish to take the Architectural Registration Exam (ARE) must shall contact the National Council of Architecture Registration Boards (NCARB) directly to obtain exam eligibility to take the ARE. Upon completion of all requirements set forth in the NCARB Architecture Experience Program (AXP), a candidate seeking licensure by exam in North Carolina must shall direct NCARB to transmit a completed AXP record to the North Carolina Board of Architecture. Architecture and Registered Interior Designers.

(b) Upon passing all sections of the NCARB ARE, fulfillment of all NCARB AXP requirements, and completion of the National Architectural Accrediting Board (NAAB) accredited degree, NCARB, as directed by the candidate, will transmit a completed AXP file to the Board for review. Upon notification of receipt of a completed AXP file from the Board, an individual may submit the application for Candidate Record Review to determine compliance with G.S. 83A-7(a)(1)a. G.S. General Statute 83A-7(a)(1)a. shall be deemed satisfied through completion of the requirements set forth in Subparagraphs (1) through (5) of this Paragraph. The Board shall grant licensure by exam to those individuals who:

1. are of good moral character as defined in G.S. 83A-1(5);
2. are at least 18 years of age;
3. have completed a NAAB accredited professional degree in architecture or who have completed a NAAB accredited degree program that is identified as an NCARB endorsed Integrated Path To Architectural Licensure Degree Program;
4. have completed the NCARB AXP; and
5. submit the Application for Licensure by Exam and fee.

(c) Retention of credit for purposes of licensure by examination in North Carolina.

1. Passing scores received after July 1, 2006 on any part of the ARE remain valid for a period of time established by the exam provider, NCARB.
2. Scores received on any part of the ARE prior to July 1, 2006 are invalid.

(d) Practical training pursuant to G.S. 83A-7(a)(2) means practical experience and diversified training as defined by the Architectural Experience Program through the NCARB.

(e) During the application process, Board members, in order to augment the evidence submitted in an application may interview the applicant regarding qualifications required in Paragraph (b) of this Rule. The Board shall determine whether an interview is needed on a case-by-case basis, based upon information in the application, including any academic or professional discipline.

(f) To complete the ARE, an exam candidate shall receive a passing grade in each division of the ARE. Information regarding NCARB grading methods and procedures can be found on their web site at www.ncarb.org.
(g) A person currently employed under the responsible control of an architect, who holds a Professional Degree from a NAAB accredited program, and who maintains an active NCARB AXP record or has completed the NCARB AXP may use the title "Architectural Intern" or "Intern Architect" in conjunction with his or her current employment.

(h) The fees for examination, or parts thereof, are set and collected by the NCARB. Fee information is available on the NCARB web site www.ncarb.org.

(i) The standards of the National Council of Architecture Registration Boards and its components are hereby incorporated by reference including subsequent amendments and editions, and can be accessed at no charge at www.ncarb.org.

History Note: Authority G.S. 83A-1; 83A-6; 83A-7; 83A-12;

Eff. February 1, 1976;
Readopted Eff. September 29, 1977;
Amended Eff. March 1, 2016; July 1, 2014; November 1, 2010; July 1, 2006; July 1, 2000; July 1, 1996; June 1, 1995; December 1, 1992; July 1, 1991;
Temporary Amended Eff. November 30, 2021
Amended Eff. June 1, 2022
21 NCAC 02 .303 is proposed for amendment as follows:

**ARCHITECTURE LICENSURE BY RECIPROCITY**

(a) An individual who holds a current license in good standing from a National Council of Architecture Registration Boards (NCARB) recognized jurisdiction and a Certified Council Certificate (also known as "Blue Cover") issued by NCARB may qualify for licensure by reciprocity. Upon receipt of a certified record from NCARB and the Board application for licensure by reciprocity and fee, the Board may issue a license to an applicant as provided in G.S. 83A-7(b). Revocation of the certificate by NCARB shall automatically suspend the architect's license to practice in North Carolina until such time as the certificate is reinstated by NCARB.

(b) In order to supplement or clarify the contents of a record or application, the Board may interview with the applicant to satisfy the Board, or its designee, that the applicant has had sufficient recent architectural practice experience to be able to competently practice architecture in this State.

History Note: Authority G.S. 83A-6; 83A-7;
Eff. February 1, 1976;
Readopted Eff. September 29, 1977;
Amended Eff. July 1, 2014; November 1, 2010; July 1, 2006; July 1, 2000; October 1, 1995; May 1, 1989; October 1, 1984; September 1, 1982;
Temporary Amended Eff. November 30, 2021
Amended Eff. June 1, 2022
REQUEST FOR CHANGES PURSUANT TO G.S. 150B-21.10

AGENCY:  NC Board of Architecture and Registered Interior Designers

RULE CITATION:  21 NCAC 02 .0306

DEADLINE FOR RECEIPT:   Thursday, May 12, 2022.

PLEASE NOTE: This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may email the reviewing attorney to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends the following changes be made:

In (a), line 4, would it change the meaning to simplify the sentence to say “Those individuals who wish to register to practice as an interior designer...”?

In (a), line 5, delete the comma following “Carolina”.

In (a), lines 6-7, is NCIDQ the name of the exam, or the organization giving the exam? The acronyms used here don’t seem to line up with the rest of the text.

In (a), line 7, please delete or define “successfully.” Instead, do you mean that they shall pass the exam?

In (a), line 8, add a comma following “Examination”.

Also on line 8, would it change the meaning to say “…submit to the Board an application for registration and fee”? While it seems obvious, as currently written, the Rule does not say to whom to submit the application.

In (b), line 11, do you mean to say an architect “shall” be granted registration?

In (c), line 16, what is “sufficient” interior design practice?

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.
21 NCAC 02 .0306 INTERIOR DESIGNER REGISTRATION

(a) Those individuals who wish to become registered to practice as a registered interior designer in North Carolina, shall demonstrate that they have satisfied the educational and professional experience eligibility requirements adopted by the Council for Interior Design Qualification (CIDQ) to sit for the National Council for Interior Design Qualification Examination (NCIDQ), shall successfully complete the NCIDQ Examination and submit the Board application for registration and fee. Revocation of the certificate by CIDQ shall automatically suspend the interior designer’s registration to practice in North Carolina until such time as the certificate is reinstated by CIDQ.

(b) An architect may be granted registration to practice interior design so long as they are currently licensed and in good standing in the State of North Carolina and submit the Board application for registration and fee.

(c) In order to supplement or clarify the contents of a record or application, the Board may interview the applicant to ensure that the applicant has had sufficient interior design experience to be able to practice registered interior design in this State.

(d) The standards of the CIDQ and its components are hereby incorporated by reference including subsequent amendments and editions and can be accessed at no charge at www.cidq.org.

History Note: Authority G.S. 83A-7

Eff. date June 1, 2022
21 NCAC 02 .0901 is proposed for amendment as follows:

**21 NCAC 02 .0901 SCOPE**

The rules in this Section set forth the continuing education requirements to be complied with by licensees or registrants.

**History Note:** Authority G.S. 83A-6(a)(4); 83A-6(a)(5); 83A-11;

Eff. July 1, 1998;

Amended Eff. July 1, 2006;


Temporary Amended Eff. November 30, 2021

Amended Eff. June 1, 2022
REQUEST FOR CHANGES PURSUANT TO G.S. 150B-21.10

AGENCY: NC Board of Architecture and Registered Interior Designers

RULE CITATION: 21 NCAC 02 .0903

DEADLINE FOR RECEIPT: Thursday, May 12, 2022.

PLEASE NOTE: This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may email the reviewing attorney to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends the following changes be made:

Throughout, what does “contact” mean, and will your regulated public know?

In (b), line 8, add a comma following “safety”, and a space after “welfare”.

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.
21 NCAC 02 .0903 is proposed for amendment as follows:

21 NCAC 02 .0903 REQUIREMENTS

(a) Every licensee and registrant shall obtain 12 contact hours of continuing education for each calendar year. "Contact Hour" means a minimum of 50 minutes contact.

(b) The contact hours shall be obtained in structured educational activities intended to increase or update the architect's or registered interior designer's knowledge and competence in technical and professional architectural and interior design subjects directly related to safeguarding public health, safety and welfare ("HSW"). "Structured educational activities" are activities in which at least 75 percent of an activity's content and instructional time is devoted to HSW subjects related to the practice of architecture, including courses of study or other activities under the areas identified as HSW by individuals or organizations, whether delivered by direct contact or distance learning methods.

(c) Registrants Licensees and registrants shall not carry forward any contact hours into the subsequent period.

(d) Registrants Licensees and registrants shall certify completion of the contact hours for the previous calendar year with annual registration renewal.

History Note: Authority G.S. 83A-6(a)(4); 83A-6(a)(5); 83A-11;
Eff. July 1, 1998;
Amended Eff. October 1, 2012;
Temporary Amended Eff. November 30, 2021
Amended Eff. June 1, 2022
21 NCAC 02 .0904 is proposed for amendment as follows:

**21 NCAC 02 .0904  DETERMINATION OF CREDIT**

(a) The Board has final authority with respect to approval of courses, programs, and contact hours.

(b) The Board may randomly audit the compliance of individual licensees and registrants and require proof in the form of records maintained pursuant to Rule .0905 of this Section of participation in courses or programs that conform with the content and contact hours calculation requirements contained in G.S. 83A-6(a) and these Rules.

**History Note:** Authority G.S. 83A-6(a)(4); 83A-6(a)(5); 83A-11;
Eff. July 1, 1998;
Amended Eff. October 1, 2012; July 1, 2006;
Temporary Amended Eff. November 30, 2021
Amended Eff. June 1, 2022
REQUEST FOR CHANGES PURSUANT TO G.S. 150B-21.10

AGENCY: NC Board of Architecture and Registered Interior Designers

RULE CITATION: 21 NCAC 02 .0905

DEADLINE FOR RECEIPT: Thursday, May 12, 2022.

PLEASE NOTE: This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may email the reviewing attorney to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends the following changes be made:

I'm a little confused by the language on line 4: “Records required include.” It sounds like you’re requiring (a)(1)-(3) as well as other un-specified items. Can you rephrase to be more clear as to what you’re requiring?

If (a)(1)-(3) is a disjunctive list, please restore the “or” in (a)(2), line 9. If conjunctive, add an “and” at the end of (a)(2).

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.
21 NCAC 02 .0905 is proposed for amendment as follows:

**21 NCAC 02 .0905 RECORD KEEPING**

(a) The licensee or registrant shall maintain records to support credits claimed. Records required include:

1. A self-made log showing the type of activity claimed, sponsoring organization, location, duration, the name of the instructor or speaker and contact hours earned; or

2. Attendance certificates or other evidence of participation that includes the type of activity claimed, sponsoring organization, location, duration, the name of the instructor or speaker and contact hours earned; or

3. Records maintained by the American Institute of Architects Continuing Education System (AIA/CES) or the International Design Continuing Education Council (IDCEC).

(b) Records shall be retained by the licensee or registrant for a period of six years after the credit is claimed and provided to the Board upon request.

**History Note:** Authority G.S. 83A-6(a)(4); 83A-6(a)(5); 83A-11;

Eff. July 1, 1998;

Amended Eff. October 1, 2012;


Temporary Amended Eff. November 30, 2021

Amended Eff. June 1, 2022
REQUEST FOR CHANGES PURSUANT TO G.S. 150B-21.10

AGENCY: NC Board of Architecture and Registered Interior Designers

RULE CITATION: 21 NCAC 02 .0906

DEADLINE FOR RECEIPT: Thursday, May 12, 2022.

PLEASE NOTE: This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may email the reviewing attorney to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends the following changes be made:

Throughout, the spacing here seems incorrect. It should be 1.5 spaced. Please see 26 NCAC 02C .0108 for RRC's formatting rules.

Please do not capitalize the first word in each Item of the list.

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.

Brian Liebman
Commission Counsel
Date submitted to agency: April 28, 2022
21 NCAC 02 .0906 is proposed for amendment as follows:

**CONTINUING EDUCATION EXCEPTIONS**

A licensee or registrant shall be exempt from the continuing education requirements for any of the following reasons:

1. New licensees or registrants by way of examination or reciprocity for the calendar year in which they become licensed, licensed or registered;

2. A registrant serving on temporary active duty in the armed forces of the United States for a period of time exceeding 90 consecutive days in a year or as provided by statute, whichever is greater; Architects or registered interior designers currently licensed by or registered and in good standing with the Board (whose license or registration is not suspended or revoked) who are serving in the armed forces of the United States and who are eligible for an extension of time to file a tax return pursuant to G.S. 105-249.2 are granted a waiver of their mandatory continuing education requirements for the time period disregarded pursuant to the Internal Revenue Code 26 U.S.C. 7508;

3. Registrants experiencing physical disability or illness if supporting documentation is approved by the Board. Such documentation shall be in the form of a statement by the registrant, a statement from a physician, or medical records which show that the disability or illness prevented registrant's participation in a course which the registrant had enrolled, or prevented registrant's participation in the continuing education program for at least 90 consecutive days in a year; and The Board shall exempt a licensee or registrant if the Board determines that the licensee or registrant is experiencing physical disability, illness, or other extenuating circumstances that prevent the licensee or registrant from continuing education. Supporting documentation must be furnished to the Board.

4. Registrants Licensees who receive emeritus status from the Board. In order to return to active practice, registrants licensees shall complete continuing education requirements for each exempted year not to exceed two years.

**History Note:** Authority G.S. 83A-6(a)(4); 83A-6(a)(5); 83A-11;
Eff. July 1, 1998;

Amended Eff. October 1, 2012; July 1, 2006;


Amended Eff. June 1, 2022
REQUEST FOR CHANGES PURSUANT TO G.S. 150B-21.10

AGENCY: NC Board of Architecture and Registered Interior Designers

RULE CITATION: 21 NCAC 02 .0907

DEADLINE FOR RECEIPT: Thursday, May 12, 2022.

PLEASE NOTE: This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may email the reviewing attorney to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends the following changes be made:

G.S. 83A-11 allows the Board to treat an application for reinstatement as a new application. Does this requirement apply if the Board elects to treat the application as new? If not, I suggest adding clarifying language.

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.
21 NCAC 02 .0907 is proposed for amendment as follows:

21 NCAC 02 .0907 REINSTATEMENT

A former licensee or registrant may only apply for reinstatement pursuant to G.S. 83A-11 if he or she has earned all delinquent contact hours within the 12 months preceding the application. However, if the total number of contact hours required to become current exceeds 24, then 24 shall be the maximum number required.

History Note: Authority G.S. 83A-6(a)(4); 83A-6(a)(5); 83A-11;
Eff. July 1, 1998;
Temporary Amended Eff. November 30, 2021
Amended Eff. June 1, 2022
REQUEST FOR CHANGES PURSUANT TO G.S. 150B-21.10

AGENCY: NC Board of Architecture and Registered Interior Designers

RULE CITATION: 21 NCAC 02 .0908

DEADLINE FOR RECEIPT: Thursday, May 12, 2022.

PLEASE NOTE: This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may email the reviewing attorney to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends the following changes be made:

Please do not capitalize the first word in each Item of the list.

In (1), line 6, what does “similar” mean?

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.
21 NCAC 02 .908 is proposed for amendment as follows:

21 NCAC 02 .908 CONTINUING EDUCATION RECIPROCITY

The continuing education requirements of North Carolina shall be deemed satisfied by a non-resident licensee or registrant provided:

(1) Registrant's The licensee or registrant's resident jurisdiction has a comparable similar continuing education program; and

(2) The same jurisdiction accepts the North Carolina continuing education requirements as satisfying their requirements.

History Note: Authority G.S. 83A-6(a)(4); 83A-6(a)(5); 83A-11;

Eff. July 1, 1998;
Temporary Amended Eff. November 30, 2021
Amended Eff. June 1, 2022
21 NCAC 02 .0909 is proposed for amendment as follows:

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21 NCAC 02 .0909 FORMS—DOCUMENTATION AND AUDITS

All renewal applications shall require the completion of a continuing education certification provided by the Board documenting the contact hours claimed for the renewal period. The Upon request by the Board, the licensee or registrant shall supply sufficient detail documentation as set forth in Rule .0905 of this Chapter to permit audit verification, verification and shall certify and sign the continuing education certification with the renewal application and fee.

History Note: Authority G.S. 83A-6(a)(4); 83A-6(a)(5); 83A-11;

Eff. July 1, 1998;

Amended Eff. October 1, 2012;


Temporary Amended Eff. November 30, 2021

Amended Eff. June 1, 2022
REQUEST FOR CHANGES PURSUANT TO G.S. 150B-21.10

AGENCY: NC Board of Architecture and Registered Interior Designers

RULE CITATION: 21 NCAC 02 .0910

DEADLINE FOR RECEIPT: Thursday, May 12, 2022.

PLEASE NOTE: This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may email the reviewing attorney to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends the following changes be made:

In (b), line 7-8, there’s a disagreement between “Licensees or registrants” and “his or her license”. Either amend line 7 to state “A licensees or registrants who fails...” or change “his or her” to “their”. I would suggest the former option, as this is consistent with the rest of the Rule.

Also in (b), line 8, did you mean to say “…his or her license or registration…?”

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.

Brian Liebman
Commission Counsel
Date submitted to agency: April 28, 2022
21 NCAC 02 .0910 is proposed for amendment follows:

21 NCAC 02 .0910 NON-COMPLIANCE

(a) If any credits are disallowed by the Board, then the licensee or registrant shall have 60 calendar days after notification from the date on the notice to substantiate the original claim or obtain other contact hours to meet the minimum requirements.

(b) Licensees or registrants who fail to complete the continuing education requirement by the end of the previous calendar year shall have his or her license placed on probation and shall complete the outstanding continuing education by December 31st of the current calendar year. If the licensee or registrant fails to complete the outstanding continuing education requirements his or her license shall be suspended for 60 days or until such time as compliance is demonstrated if prior to 60 days. If the licensee or registrant fails to complete the outstanding continuing education within the 60 days suspension period, his or her license or registration shall be revoked.

History Note: Authority G.S. 83A-6(a)(4); 83A-6(a)(5); 83A-11; 83A-15;
Eff. July 1, 1998;
Amended Eff. October 1, 2012; July 1, 2006;
Temporary Amended Eff. November 30, 2021
Amended Eff. June 1, 2022