1 21 NCAC 36 .0109 is readopted, with changes, as published in 33:1 NCR 10-11 as follows: 2 21 NCAC 36 .0109 3 SELECTION AND QUALIFICATIONS OF NURSE MEMBERS 4 (a) Vacancies in nurse member positions on the Board that are scheduled to occur during the next year shall be 5 announced in the last issue of the North Carolina Board of Nursing Board's "Bulletin" for the calendar year, which 6 shall be mailed to the address on record for each North Carolina licensed nurse and posted on the Board's website at 7 www.ncbon.com. The "Bulletin" and <u>Board's</u> website <u>at www.ncbon.com</u> shall include a petition form for nominating 8 a nurse to the Board and information on filing the petition with the Board. 9 (b) Each petition shall be checked with the records of the Board to validate that the nominee candidate and each 10 petitioner holds a current an active unencumbered North Carolina license to practice nursing. If the nominee candidate 11 is does not <del>currently licensed,</del> hold an active unencumbered license, the petition shall be declared invalid. If any 12 petitioners are do not eurrently licensed hold an active unencumbered licenses, and this decreases the number of petitioners to less fewer than 10, the petition shall be declared invalid. 13 14 (c) On forms In a format provided by the Board, each nominee candidate shall: shall submit a packet with the following information: 15 indicate the category of Board member position for which the nominee candidate is seeking election; 16 (1) 17 (2) attest to meeting the qualifications specified in G.S. 90-171.21(d); 18 (3) provide written permission to be listed on the ballot; slate; and 19 (4) complete the Application for Boards and Commissions in accordance with Governor Perdue's 20 Executive Order 55. 55 Enhanced Disclosures from Applicants to Boards and Commissions. The forms must candidate packet shall be received by the Board or postmarked on or before April 15. 15 in one or 21 more of the following ways by electronic submission, mailed copy with postmarked envelope, or in-person received 22 by Board staff during normal business hours. 23 [(1) electronic submission; 24 25 mailed copy with postmarked envelope; or (3) in-person received by Board staff during normal business hours. 26 27 (d) Minimum on-going employment requirements for the registered nurse or licensed practical nurse member shall 28 include continuous employment equal to or greater than 50% of a full-time position that meets the criteria for the 29 specified Board member position. (e) This Paragraph applies shall apply in determining qualifications for registered nurse categories of membership: 30 31 (1) Nurse Educator includes any nurse who teaches in or directs a Board approved Board-approved 32 nursing program in the specific category as outlined in G.S. 90-171.21(d). 33 (2) Hospital is defined as any facility which that has an organized medical staff and which that is 34 designed, used, and primarily operated to provide health care, diagnostic and therapeutic services, 35 and continuous nursing services to inpatients, but excludes nursing homes and adult care homes. A hospital system is defined as a multihospital system, system or a single diversified hospital system 36 (3) 37 that includes a hospital as defined in Subparagraph (e)(2) of this Rule plus non-hospital preacute

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pre-acute and postacute post-acute client services.

- A nurse accountable for the administration of nursing services shall be the chief nurse executive of a hospital, hospital or hospital system, system or the director of nursing services for a service division that includes inpatient care within a hospital or hospital system.

  A nurse practitioner, nurse anesthetist, nurse midwife midwife, or clinical nurse specialist includes any advanced practice registered nurse who meets the criteria specified in G.S. 90-171.21(d)(4).
  - (f) The term "nursing practice" practice," when used in determining qualifications for registered or <u>licensed</u> practical nurse categories of membership, means any position for which the holder of the position is required to hold a current an active license to practice nursing at the appropriate licensure level for each category.
  - (g) A nominee candidate shall be listed in only one category on the ballot. slate.
- (h) Separate slates shall be prepared for election of registered nurse nominees candidates and for election of licensed practical nurse nominees. candidates. Nominees Candidates shall be listed in random order on the slate for licensed practical nurse nominees candidates and within the categories for registered nurse nominees. candidates. Slates shall be published in the "Bulletin" and posted to on the Board Board's website at www.ncbon.com following the Spring Board meeting and shall be accompanied by biographical data on nominees candidates and a passport type photograph.
- (i) The procedure for voting shall be identified in the "Bulletin" and posted on the Board's website at www.ncbon.com
   following the Spring Board meeting.
- (j) The Board of Nursing may contract with a computer or other service to receive the votes and tabulate the results.

  (k)(j) The tabulation of results and verification of the tabulation of votes shall include the following:
  - (1) The certificate license number shall be provided for each individual voting; and
  - (2) The certificate <u>license</u> number shall be <u>verified by matched matching [each] the license number of each nurse who voted</u> with the database <u>from of licensed nurses maintained by the Board.</u>
  - (1)(k) A plurality vote shall elect. If more than one person candidate is to be elected in a category, the plurality vote shall be in descending order until the required number has been elected. In any election, if there is a tie vote between nominees, candidates, the tie shall be resolved by a draw from the names of nominees candidates who have tied.
  - (m)(1) The results of an election shall be recorded in the minutes of the next regular meeting of the Board of Nursing following the election and shall include at least the following:
    - (1) the number of nurses eligible to vote;
    - (2) the number of votes cast; and
  - (3) the number of votes cast for each person candidate on the slate.
- 31 (n)(m) The results of the election shall be forwarded reported to the Governor and the Governor shall commission those elected to the Board of Nursing. and in the annual report as directed in G.S. 93B-2 and 138A.
- All petitions to nominate a nurse, signed consents to appear on the slate, verifications of qualifications, and copies of the computerized validation and tabulation shall be retained for a period of three months four years following the close of an election.
- 37 *History Note:* Authority G.S. 90-171.21; 90-171.23(b);

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1	Eff. May 1, 1982;
2	Amended Eff. August 1, 1998; January 1, 1996; June 1, 1992; March 1, 1990; April 1, 1989;
3	Temporary Amendment Eff. July 2, 2001;
4	Amended Eff. December 1, 2010; November 1, 2008; January 1, 2004; August 1, 2002;
5	Re-adopted Eff. [November 1, 2018] [December 1, 2018.] January 1, 2019.

1	21 NCAC 36 .0	112 is readopted, with changes, as published in 33:1 NCR 11 as follows:
2		
3	21 NCAC 36 .0	112 DETERMINATION OF VACANCY
4	(a) A Board n	nember, with the exception of the At-Large Registered Nurse, shall notify the Executive Director
5	immediately up	on change of employment.
6	(a)(b) Except for	r the RN At Large Member, should a licensed <u>Licensed</u> nurse member members of the <del>Board</del> Board.
7	with the except	on of the At-Large Registered Nurse, who cease to meet the employment criteria as defined in G.S.
8	90-171.21(d) an	d Rule .0109 Paragraphs (d) and (e) of this Section, the member Section shall have 60 days to resume
9	employment <mark>in</mark>	<u>that meets</u> the <u>designated area. the criteria.</u> If employment criteria for the specified area are not met
10	within 60 days,	the seat shall be declared vacant. Provided, vacant; provided, however, that if such a change in
11	employment for	the specified category of Board member occurs within 18 months of the end of the member's term,
12	such member m	ay continue to serve until the end of the term.
13	(b)(c) If at any	time a registered nurse member member, with the exception of the At-Large Registered Nurse, no
14	longer meets th	e eligibility requirements listed in G.S. 90-171.21(d)(1)(a) and (a1), such member shall no longer
15	continue to serv	e and the position shall be declared vacant.
16	(e)(d) If at any	time a licensed practical nurse member no longer meets the eligibility requirements listed in G.S. 90-
17	171.21(d)(2)(a)	and (a1), such member shall no longer continue to serve and the position shall be declared vacant.
18	(d) Any vacano	y of an unexpired term shall be filled according to G.S. 90-171.21(c).
19		
20	History Note:	Authority G.S. 90-171.21(c); 90-171.23(b);
21		Eff. May 1, 1988;
22		Amended Eff. November 1, 2008; January 1, 2004; August 1, 2002; March 1, 1990; May 1, 1989.
23		<u>1989;</u>
24		Readopted Eff. [November 1, 2018.] [December 1, 2018.] January 1, 2019.

1	21 NCAC 36 .0	113 is readopted, <mark>with changes,</mark> as published in 33:1 NCR 11 as follows:
2		
3	21 NCAC 36 .0	DETERMINATION OF QUALIFICATIONS
4	(a) For purpose	es of G.S. 90171.21 90-171.21 and Rule .0109(d) and (e) of this Section, the Board shall determine
5	whether a perso	on meets the employment requirements by examining consider the following factors: factors [when]
6	[if] in determini	ing whether a candidate is qualified to run for election:
7	(1)	whether the licensee is presently employed equal to or greater than 50% of a full-time position;
8		position in the [specified area of practice in which they seek to serve;] applicable practice area;
9	(2)	the number of days during the preceding three years devoted to practice in the specified activity that
10		would qualify the licensee for election in that category; whether the licensee has been employed
11		equal to or greater than 50% of a full-time position in the area of practice in which they seek to
12		serve] applicable practice area for the preceding three years;
13	(3)	the duration of any periods of interruption of engaging in the specified activity [area of practice]
14		employment in the applicable practice area during the preceding three years and the reasons for any
15		such interruptions;
16	(4)	job descriptions, contracts, and any other relevant evidence concerning the time, effort, and
17		education devoted to the specified activity; [area of practice;] applicable practice area; and
18	(5)	whether engagement in the specified activity [area of practice;] applicable practice area is or has
19		been for compensation, and whether income from the specified activity derived therefrom meets the
20		eligibility requirements for the specified nurse member category. applicable practice area.
21	(b) While serv	ing on the Board, currently seated Board members, with the exception of the At-Large Registered
22	Nurse, [must] sl	hall maintain employment equal to or greater than 50% of a full-time position in the [specified area of
23	<del>practice that qu</del>	alified the member for the position.] applicable practice area.
24		
25	History Note	Authority G.S. 90-171.21(d); 90-171.23(b)(2);
26		Eff. May 1, 1988;
27		Amended Eff. January 1, 2004; August 1, 2002; May 1, <del>1989. <u>1</u>989.</del>
28		Readopted Eff. [November 1, 2018.] [December 1, 2018.] January 1, 2019.

## 1 21 NCAC 36 .0120 is amended, with changes, as published in 33:1 NCR 11-14 as follows: 2 3 21 NCAC 36 .0120 **DEFINITIONS** 4 The following definitions apply throughout this chapter unless the context indicates otherwise: 5 (1) "Administrative Law Counsel" means an attorney licensed to practice in this State whom the 6 Board of Nursing has retained to serve as procedural officer for contested cases. 7 (2) "Academic term" means one semester of a school year. 8 (3) "Accountability/Responsibility" means being answerable for action or inaction of self, and of 9 others in the context of delegation or assignment. 10 (4) "Accredited institution" means an institution accredited by a United States Department of 11 Education approved Education-approved institutional accrediting body. 12 (5) "Active Practice" means activities that are performed, either for compensation or without 13 compensation, consistent with the scope of practice for each level of licensee licensure as defined 14 in G.S. 90-171.20(4), (7), and (8). 15 (6) "Advanced Practice Registered Nurse (APRN)" means a nurse practitioner, nurse anesthetist, nurse midwife nurse-midwife, or clinical nurse specialist. 16 17 "Assigning" means designating responsibility for implementation of a specific activity or set of (7) 18 activities to a person an individual licensed and competent to perform such activities. 19 "Bulletin" means the official publication of the Board. (8)20 <del>(8)</del>(9) "Clinical experience" means application of nursing knowledge ## demonstrating clinical judgment 21 in a current or evolving practice setting where the in which a student provides care to clients under 22 the supervision of faculty or a preceptor. 23 (9)(10)"Clinical judgment" means the application of the nursing knowledge, skills, abilities, and experience 24 in making decisions about client care. 25 (10)(11)"Competent" means having the knowledge, skills, and ability to safely perform an activity or role. 26 (11)(12)"Continuing Competence" means the on-going acquisition and application of knowledge and the 27 decision-making, psychomotor, and interpersonal skills expected of the licensed nurse resulting in 28 nursing care that contributes to the health and welfare of clients served. 29 (12)(13)"Contact Hour" means 60 minutes of an organized learning experience. 30 (13)(14)"Continuing Education Activity" means a planned, organized learning experience that is related to 31 the practice of nursing or contributes to the competency of a nurse as outlined in 21 NCAC 36 .0223 Subparagraph (a)(2). .0223(a)(2). 32 33 (14)(15)"Controlling institution" means the degree-granting organization or hospital under which the a 34 nursing education program is operating. 35 (15)(16)"Curriculum" means an organized system of teaching and learning activities directed toward the 36 achievement of specified learning objectives and outcomes.

1	(16)(17)"Delegation" means transferring to a competent individual the authority to perform a selected
2	specific nursing activity in a selected situation. The nurse retains accountability/responsibility for
3	the delegation.
4	(17)(18) "Debriefing" means an activity organized learning activity that follows a clinical or simulated
5	experience and is led by a trained faculty facilitator. Students' reflective thinking is encouraged,
6	encouraged and feedback is provided regarding the students' performance during discussion of
7	various aspects of the completed experiences.
8	(19) "DHSR" means Division of Health Service Regulation.
9	(18)(20) "Dimensions of Practice" means those aspects of nursing practice that include practice, including
10	professional responsibility, knowledge-based practice, ethical and legal practice, and collaborating
11	with others, consistent with G.S. 90-171.20(4), (7), and (8).
12	(19)(21)"Distance education" means teaching and learning strategies used to meet the learning needs of
13	students when the students and faculty are not in the same location.
14	(20)(22)"External standardized examination" means a commercially available standardized predictive test
15	that provides individual student scores that are linked to a probability of passing the NCLEX <sup>TM</sup>
16	examination.
17	(21)(23)"Faculty directed clinical practice" means clinical experiences provided under the
18	accountability/responsibility and direction of nursing program faculty.
19	(22)(24)"Focused client care experience" means a clinical experience that emulates an entry-level work
20	experience in nursing. The intent is to assist nursing, assisting the student to transition in
21	transitioning to an entry-level nursing practice. There is no specific setting requirement.
22	Supervision may be by faculty and preceptor dyad or direct faculty supervision.
23	(25) "Initial Approval" means the status assigned to a [newly established] newly-established nursing
24	education program following submission of a complete application and documented evidence of
25	compliance with Section .0300 of this Chapter. [Programs on initial approval may admit students.]
26	(23)(26)"Interdisciplinary faculty" means faculty from professions other than nursing.
27	(24)(27)"Interdisciplinary team" means all individuals involved in providing a client's care who cooperate,
28	collaborate, communicate, and integrate care to ensure that care is continuous and reliable.
29	(25)(28)"Learning resources" means materials that faculty use to assist students in meeting the expectations
30	for learning defined by the curriculum.
31	(26)(29)"Level of Licensure" means practice of nursing by either a Licensed Practical Nurse licensed
32	practical nurse or a Registered Nurse registered [nurse] nurse, as defined in G.S. 90-171.20(7) and
33	(8).
34	(27)(30)"Level of student" means the point in the program to which the student has progressed.
35	(28)(31)"Maximum enrollment" means the total number of pre-licensure students that can be enrolled in the
36	nursing program at any one time. The number reflects the capacity of the nursing program based
37	on demonstrated resources sufficient to implement the curriculum.

1	(29)(32)"Methods of Instruction" means the planned process through which teacher and student interact
2	with selected environment and content so that the response of the student gives evidence that
3	learning has taken place. It is place, based upon stated course objectives and outcomes for learning
4	experiences in classroom, laboratory, simulation simulation, and clinical settings.
5	(30)(33)"National Credentialing Body" means a credentialing body that offers certification or re-
6	certification in the licensed nurse's or Advanced Practice Registered Nurse's specialty area of
7	practice.
8	(31)(34)"NCLEX-PN <sup>TM</sup> " means the National Council Licensure Examinations for Practical Nurses.
9	(32)(35)"NCLEX-RN™" means the National Council Licensure Examinations for Registered Nurses.
10	(33)(36)"Nursing Accreditation body" means a national nursing accrediting body, body that is recognized
11	by the United States Department of Education.
12	(34)(37)"Nursing program faculty" means individuals employed full or part-time by an academic institution
13	responsible for developing, implementing, evaluating evaluating, and updating nursing curricula.
14	(35)(38)"Nursing project" means a project or research study of a topic related to nursing practice that
15	includes a problem statement, objectives, methodology methodology, and summary of findings.
16	(36)(39)"Participating in" means to have a part in or contribute to the elements of the nursing process.
17	(37)(40)"Pattern of noncompliance" means episodes of recurring non-compliance with one or more Rules
18	in Section .0300.
19	(38)(41)"Preceptor" means a registered nurse at or above the level of licensure that an assigned student is
20	seeking, seeking who may serve as a teacher, mentor, role model model, and supervisor for the
21	student in a faculty directed faculty-directed clinical experience.
22	(39)(42)"Prescribing Authority" means the legal permission granted by the Board of Nursing and Medical
23	Board for the nurse practitioner and nurse midwife to procure and prescribe legend and controlled
24	pharmacological agents and devices to a client in compliance with Board of Nursing rules and
25	other applicable federal and state law State law, regulations, and regulations. rules.
26	(40)(43) "Program Closure" means to cease operation of a nursing program.
27	(41)(44)"Program" means a course of study that prepares an individual to function as an entry-level
28	practitioner of nursing. The three "Program Types" types of programs are:
29	(a) <u>BSN</u> <u>Bachelor of Science</u> <u>Degree in Nursing (BSN)</u> - Curriculum components for
30	Bachelor of Science BSN in Nursing provides provide for the attainment of knowledge
31	and skill sets in the current practice in nursing, nursing theory, nursing research,
32	community and public health, health care policy, health care delivery and finance,
33	communications, therapeutic interventions interventions, and current trends in health
34	care. For this program type, the client is the individual, family, group, and community.
35	(b) Associate Degree in Nursing (ADN)/Diploma in Registered Nursing - Curriculum
36	components for the ADN/Diploma in Registered Nursing provides provide for the
37	attainment of knowledge and skill sets in the current practice in nursing, community

1		concepts, health care delivery, communications, therapeutic interventions interventions,
2		and current trends in health care. For this program type, client is the individual, group of
3		individuals, and family.
4		(c) Practical Nurse Diploma - Curriculum prepares components for the practical nurse
5		diploma prepare for providing direct nursing care under the supervision of a registered
6		nurse or other health care provider as defined by the Nursing Practice Act. Curriculum
7		components provide for the attainment of knowledge and skill sets in the current practice
8		of practical nursing, communications, therapeutic interventions, including pharmacology,
9		growth and development, and current trends in health care. For this program type client is
10		the individual or group of individuals.
11	<del>(42)</del> (45	5)"Review" means collecting and analyzing information to assess compliance with Section .0300 of
12		this Chapter. Information may be collected by multiple methods, including review of written
13		reports and materials, on-site observations, review of documents, and in-person or telephone
14		interview(s) interviews and conference(s). conferences.
15	<del>(43)[<u>(</u>4</del>	6)]"Rescind Approval" means a Board action that removes the approval status previously granted by
16		the Board.
17	<del>(44)<mark>[(4</mark></del>	7)](46)"Self-Assessment" means the process whereby an individual reviews her or his their own
18		nursing practice and identifies the knowledge and skills possessed as well as those skills to be
19		strengthened or acquired.
20	<del>(45)<mark>[(4</mark></del>	8)](47)"Simulation" means a technique, not a technology, to replace or amplify clinical experiences
21		with guided experiences that evoke or replicate substantial aspects of the real world of nursing
22		practice in a fully interactive manner.
23	<del>(46)<mark>[(4</mark></del>	9)](48)"Specialty" means a broad, population-based focus of study encompassing the common
24		health-related problems of a particular group of patients and the likely co-morbidities,
25		interventions, and responses to those problems.
26	<del>(47)<mark>[(5</mark></del>	0)](49)"Supervision" means the provision of guidance or direction, evaluation, and follow-up by a
27		licensed nurse to accomplish an assigned or delegated nursing activity or set of activities.
28	<del>(48)<mark>[(5</mark></del>	1)](50)"Survey" means an on-site visit for the purpose of gathering data in relation to reviewing a
29		nursing program's compliance with Section .0300 of this Chapter.
30		
31	History Note:	Authority G.S. 90-171.23; 90-171.38;
32		Eff. April 1, 2003;
33		Amended Eff. [November 1, 2018;] [December 1, 2018.] January 1, 2019; June 1, 2017;
34		December 1, 2016; July 1, 2012; November 1, 2008; May 1, 2006; December 1, 2005; August 1,
35		2005;
36		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 9,
37		2018.

1	21 NCAC 36 .02	201 is amended, with changes, as published in 33:1 NCR 14 as follows:
2		
3	21 NCAC 36 .02	201 REGULAR BIENNAL RENEWAL
4	(a) Renewal no	tices Each registered nurse or licensed practical nurse shall biennially renew their be sent no less
5	than 60 days pri	or to expiration date of a license to all registrants whose licenses are due for biennial renewal. The
6	notices will be a	nailed to each eligible registrant's address as it appears in the records of the Board. A license is
7	issued for the fol	lowing biennium when: with the Board no later than the last day of the applicant's birth month by:
8	(1)	all required information is submitted as requested on the application form; and submitting a
9		completed application for renewal, [attesting under oath or affirmation] stating that the
10		information on the application is true and complete, and authorizing the release to the Board of all
11		information pertaining to the application. Applications for renewal are posted on the Board's
12		website at www.ncbon.com;
13	(2)	attesting to completion of continuing competence requirements and submitting evidence of
14		completion if requested by the [Board] Board, as specified in Rule .0232(b) of this Section; and
15	<del>(2)</del> (3)	all payment of required fees are received. submitting the fee for licensure [renewal] renewal, as
16		established in 90-171.27(b).
17	(b) It shall be th	e duty of each <del>registrant</del> <u>applicant</u> to keep the Board informed of a current mailing <del>address.</del> <u>address.</u>
18	telephone number	er, and email address.
19	(c) Renewal app	lications must be postmarked on or before the date the current license expires.
20	(d)(c) A member	er of the United States Armed Services is shall be exempt from compliance if on active duty and to
21	whom G.S. 105-	249.2 grants an extension of time to file a tax return.
22		
23	History Note:	Authority G.S. 90-171.29; 90-171.23(b); 90-171.34; 90-171.37; 93B-15; 105-249.2;
24		Eff. February 1, 1976;
25		Amended Eff. [November 1, 2018;] [December 1, 2018.] January 1, 2019; January 1, 2011;
26		December 1, 2008; April 1, 1989; May 1, 1982;
27		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 9,
28		2018.

1	21 NCAC 36 .0	0202 is readopted, with changes, as published in 33:1 NCR 14-15 as follows:
2		
3	21 NCAC 36 .0	1202 INACTIVE AND RETIRED STATUS
4	(a) A licensee	who submits a request for inactive status [may] shall be granted such status by the Board [provided] if
5	the licensee:	
6	(1)	holds an active unencumbered license issued by the Board; and
7	(2)	is not currently the subject of an investigation by the Board for possible
8	<u>violati</u>	on of the Nursing Practice Act or rules promulgated thereunder.
9	(a)(b) A regist	rant An applicant whose licensure status is inactive or retired and who desires to resume the practice
10	of nursing in N	orth Carolina shall be removed from inactive status and shall obtain a current license. To this end the
11	<del>registrant</del> shall:	
12	(1)	submit evidence of unencumbered license in all jurisdictions in which a license is or has ever been
13		held; a completed application for reinstatement, [attesting under oath or affirmation] stating that the
14		information on the application is true and complete, and authorizing the release to the Board of all
15		information pertaining to the application. Application is posted on the Board's website at
16		www.ncbon.com;
17	(2)	submit evidence of completion of all have no pending court conditions resulting from as a result of
18		any misdemeanor or felony eonviction(s); [eonviction(s).] convictions. The applicant shall provide
19		a written explanation and [any] all investigative [report] reports or court [document] documents
20		evidencing the circumstances of the [erime(s)] crimes if requested by the Board. The Board [may]
21		shall use these documents when determining if a license should be denied pursuant to G.S. 90-
22		171.48 and 90-171.37;
23	(3)	submit evidence showing that the nurse is safe and competent to re-enter the practice of nursing;
24		[attest to self certification] self-certify that the applicant is of mental and physical health necessary
25		to competently practice nursing:
26	(4)	submit the current licensure application fee fee, for renewal; as established in G.S. 90-171.27(b);
27		<del>and</del>
28	(5)	attest to having completed Continuing Competence continuing competence requirements and be
29		<del>prepared to</del> submit evidence of completion if requested by the Board Board, as specified in Rule
30		.0232(b) of this Section. Section; and,
31	<u>(6)</u>	complete a criminal background check in accordance with G.S. 90-171.48.
32	In the event any	of the above-required information indicates a concern about the applicant's qualifications, an applicant
33	may be require	d to appear in-person for an interview with the Board if [the Board determines in its discretion that]
34	more information	on is needed to evaluate the application.
35	(b)(c) The regi	strant An applicant whose license has been inactive or retired for a period of five years or more shall
36	also submit:	

I	(1)	self-certification that the registrant applicant is of mental and physical health necessary to
2		competently practice nursing; nursing; and
3	(2)	evidence of competency to resume the practice of nursing through:
4		(A) satisfactory completion of a Board-approved <u>refresher</u> course; or
5		(B) <u>proof of</u> an active license in another jurisdiction within the last five <del>years.</del> <u>years or an</u>
6		active license in another country within the last five years [provided] if the individual was
7		originally licensed by national licensure examination in the United States.
8	(e)(d) If a refr	esher course is required, <mark>the</mark> <del>registrant</del> an applicant shall apply for <del>reactivation</del> reinstatement of an
9	active license v	vithin one year of completing the refresher course in order to receive a current [an active] license.
10	course. The app	plication for reactivation reinstatement shall include verification from the provider of the refresher
11	course that the	registrant applicant has satisfactorily met both theory and clinical objectives.
12	(d) The Board	shall decline to reactivate a license if it is not satisfied as to the applicant's competency to practice
13	nursing.	
14	(e) A registran	An applicant who has retired from the practice of nursing may request and be granted by the Board
15	retired nurse ste	<del>rtus,</del> status <del>provided</del> if the <del>registrant:</del> applicant:
16	(1)	holds a current an active unencumbered license issued by the North Carolina Board of Nursing;
17		Board;
18	(2)	is not currently the subject of an investigation by this the Board for possible alleged violation of the
19		Nursing Practice Act; and
20	(3)	pay pays the application fee fee, pursuant to G.S. 90-171.27(b).
21	(f) While remain	ining on retired status, <mark>the</mark> <del>registrant</del> <mark>an</mark> applicant shall not practice nursing in North Carolina and shall
22	not be subject to	o payment of the license renewal fee.
23	(g) The regist	rant An applicant may use the title Retired "Retired Registered Nurse Nurse" or Retired "Retired
24	Licensed Practi	cal <del>Nurse</del> <u>Nurse</u> " <del>once</del> <u>after</u> issued retired status.
25	(h) The registra	ant An applicant whose licensure status is retired shall not be eligible to vote in Board elections.
26	(i) A registran	t whose licensure status is retired and who desires to resume the practice of nursing shall apply for
27	reinstatement o	f a license to practice nursing and meet the same reinstatement requirements for a nurse on inactive
28	status as set for	th in Paragraphs (b) (e) of this Rule.
29	(i) Any license	issued shall be issued for the remainder of the biennial period.
30		
31	History Note:	Authority G.S. 90-171.21; 90-171.23(b) 90-171.27(b);90-171.36; 90-171.36A; 90-171.37; 90-
32		171.43;
33		Eff. February 1, 1976;
34		Legislative Objection [(g)] Lodged Eff. June 16, 1980;
35		Legislative Objection [(g)] Removed Eff. July 1, 1981;
36		Amended Eff. November 1, 2008; January 1, 2004; January 1, 1996; January 1, 1990; May 1, 1982;
37		January 1 1080, 1080.

Readopted Eff. [November 1, 2018.] [December 1, 2018.] January 1, 2019.

1	21 NCAC 36 .02	203 is readopted, with changes, as published in 33:1 NCR 15-16 as follows:
2		
3	21 NCAC 36 .02	REINSTATEMENT OF <del>LAPSED</del> EXPIRED LICENSE
4	(a) The registrar	** An applicant whose license has lapsed expired and who desires reinstatement of that license shall:
5	(1)	furnish information required by these rules on forms provided by the Board; submit a completed
6		application for reinstatement, [attesting under oath or affirmation] stating that the information on the
7		application is true and [complete,] complete and authorizing the release to the Board of all information
8		pertaining to the application. The Application for Reinstatement is posted on the Board's website at
9		www.ncbon.com;
10	(2)	submit evidence of have an active unencumbered license in all jurisdictions in which a license is or
11		has ever been held;
12	(3)	attest to having completed Continuing Competence continuing competence requirements and be
13		prepared to submit evidence of completion if requested by the Board Board as specified in 21 NCAC
14		36 .0232(b). Rule .0232(b) of this [Section.] Section;
15	(4)	$\textcolor{red}{\textbf{submit evidence of completion of all court conditions resulting from} \underline{\textbf{have no pending court conditions}}$
16		as a result of any misdemeanor or felony eonviction(s); [eonviction(s).] convictions. An Applicant
17		shall provide a written explanation and [any] all investigative [report] reports or court documents
18		evidencing the circumstances of the crime(s) if requested by the Board. The Board [may] shall use
19		these documents when determining if a license should be denied pursuant to G.S. 90-171.48 and G.S.
20		<u>90-171.37;</u>
21	(5)	submit such other evidence that the Board may require according to these rules to determine whether
22		the license should be reinstated;
23	(6)	
24		$criminal\ background\ check\ after\ license\ has\ been\ expired\ for\ 30\ calendar\ days\ in\ accordance\ with\ G.S.$
25		<u>90-171.48;</u>
26	<u>(7)</u>	[attest to self certification] self-certify that the applicant is of mental and physical health necessary to
27		competently practice nursing; and
28	<del>(7)</del> (8)	submit payment of reinstatement and renewal fee. the reinstatement [fee] fee, as established in G.S.
29		90-171.27(b).
30	In the event any o	of the above-required information indicates a concern about the applicant's qualifications, an applicant
31	may be required	to appear in person for an interview with the Board if [the Board determines in its discretion that] more
32	information is ne	eded to evaluate the application.
33	(b) A member of	f the United States Armed Services is shall be exempt from payment of reinstatement fee if on active
34	duty and to who	n G.S. 105-249.2 grants an extension of time to file a tax return.
35	(c) The registrar	* An applicant whose license has lapsed for a period of five years or more shall also submit:
36	(1)	evidence of self-certification that the applicant is of mental and physical health necessary to
37		competently practice nursing; and

1	(2)	evidence of satisfactory completion of a Board-approved refresher course or proof of active licensure
2		within the past five years in another jurisdiction.
3	(d) If a refresh	er course is required, the registrant an applicant shall apply for reinstatement of the an active license
4	within one year	of completing the refresher course in order to receive a current [an active] license. course. The
5	application for	reinstatement shall include verification from the provider of the refresher course that the registrant
6	applicant has sa	tisfactorily met both theory and clinical objectives and is deemed competent to practice nursing at the
7	appropriate leve	l of licensure.
8	(e) The Board s	hall not reinstate a license if it is not satisfied as to the applicant's ability to practice nursing based on
9	these rules.	
10	(e) Any license	issued shall be issued for the remainder of the biennial period.
11		
12	History Note:	Authority G.S. 90-171.23(b); 90-171.35; 90-171.37; 93B-15; 105-249.2;
13		Eff. February 1, 1976;
14		Amended Eff. December 1, 2010; December 1, 2008; January 1, 1996; February 1, 1994; August 3,
15		1992; January 1, <mark><del>1990. <u>1</u>990;</del></mark>
16		<u>Readopted Eff. [November 1, 2018.] [December 1, 2018.] January 1, 2019.</u>

1	21 NCAC 36 .0	207 is amended, with changes, as published in 33:1 NCR 16 as follows:
2		
3	21 NCAC 36 .0	207 VERIFICATION TO ANOTHER STATE
4	The North Car	olina Board of Nursing will shall verify the licensure of a registrant licensee to another state or
5	country upon re	eccipt of a request from the registrant licensee or another Board board of nursing Nursing
6	which that is ac	companied by information properly identifying the registrant licensee and by the appropriate fee.
7		
8	History Note:	Authority G.S. 90-171.23(b)(3); 90-171.27(b);
9		Eff. February 1, 1976;
10		Amended Eff. [ <del>November 1, 2018;</del> ] [ <del>December 1, 2018.</del> ] <u>January 1, 2019;</u> April 1, 1989;
11		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 9,
12		2018.

1	21 NCAC 36 .0	208 is amended, with changes, as published in 33:1 NCR 16 as follows:
2		
3	21 NCAC 36 .0	208 CHANGE OF NAME AND CONTACT
4	(a) In the event	of a name or address change, the <del>registrant must</del> <u>licensee shall</u> submit a <del>written, signed</del> a request
5	[for] in their Ga	teway account through the Board's website at www.ncbon.com and provide identifying data,
6	including certifi	cate number and social security number. evidence of name or address change. [This evidence] A
7	licensee may [in	relude, but is not limited to,] provide evidence such as the following:
8	(1)	[Marriage Certificate;] marriage certificate;
9	(2)	[Voter Registration Card;] voter registration card;
10	(3)	Social Security [Card;] card;
11	<u>(4)</u>	[Divorce] divorce document reflecting name change;
12	<u>(5)</u>	[ <del>Passport;</del> ] passport;
13	(6)	[Change] change of name certificate as issued by a court;
14	<u>(7)</u>	[Immigration] immigration document; and
15	<u>(8)</u>	[Driver's] driver's license.
16	(b) In the event	of an address, email, or telephone change, the licensee shall submit the change online on the
17	Board's website	at www.ncbon.com within 30 calendar days of the change.
18		
19	History Note:	Authority G.S. 90-171.23(b)(3); 90-171.27(b);
20		Eff. February 1, 1976;
21		Amended Eff. [November 1, 2018;] [December 1, 2018.] January 1, 2019:
22		May 1, 1989; May 1, 1988; May 1, 1982;
23		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 9,
24		2018.

1	21 NCAC 36 .02	11 is readopted, with changes, as published in 33:1 NCR 16-17 as follows:
2		
3	21 NCAC 36 .02	11 LICENSURE BY EXAMINATION
4	(a) To be eligible	e for licensure by examination, an applicant shall:
5	<u>(1)</u>	submit a completed application for licensure, attesting under oath or affirmation that the information
6		on the application is true and [complete,] complete and authorizing the release to the Board of all
7		information pertaining to the application. Application for Examination is posted on the Board's
8		website at www.ncbon.com;
9	<u>(2)</u>	submit the licensure application fee as established in G.S. 90-171.27(b);
10	<u>(3)</u>	have an [active] unencumbered license in all jurisdictions in which a license is or has ever been held;
11	<u>(4)</u>	have no pending court conditions as a result of any misdemeanor or felony [conviction(s).]
12		convictions. The applicant shall provide a written explanation and [any] all investigative [report]
13		reports or court documents evidencing the circumstances of the [erime(s)] crimes if requested by the
14		Board. The Board [may] shall use these documents when determining if a license should be denied
15		pursuant to G.S. 90-171.48 and 90-171.37;
16	<u>(5)</u>	submit a written explanation and all related documents if the nurse has ever been listed as a nurse aide
17		$and if there \ have \ ever \ been \ any \ substantiated \ findings \ pursuant \ to \ G.S.\ 131E-255. \ The \ Board \ may \ take$
18		$\underline{\text{these findings into consideration when determining if a license should be denied pursuant to G.S.90-}$
19		171.37. In the event findings are pending, the Board may withhold taking any action until the
20		investigation is completed; and
21	<u>(6)</u>	complete a criminal background check in accordance with G.S. 90-171.48;
22	<u>(7)</u>	apply to take and pass the National Council Licensure Examination (NCLEX™).
23	In the event any o	of the above required information indicates a concern about the applicant's qualifications, an applicant
24	may be required t	o appear in person for an interview with the Board if the Board determines in its discretion that more
25	information is ne	eded to evaluate the application.
26	(a)(b) An applic	ant shall meet the educational qualifications to take the examination for licensure to practice as a
27	registered nurse of	or licensed practical nurse by:
28	(1)	$graduating\ from\ a\ \underline{National\ Council\ State\ Board\ of\ Nursing\ (NCSBN)\ member}\ Board\ approved\ appro$
29		approved nursing program (21 NCAC 36 .0300) program, in accordance with Section 0300 of these
30		[Rules] Rules. designed to prepare a person for registered nurse or licensed practical nurse licensure;
31		<del>or</del>
32	(2)	$graduating\ from\ a\ nursing\ program\ outside\ the\ United\ States\ that\ is\ designed\ to\ provide\ graduates\ with$
33		comparable education preparation as required in 21 NCAC 36 .0321(b) through (d) for licensure as a
34		$registered \ \underline{nurse, nurse \ or \ licensed \ practical \ nurse,} \ and \ submitting \ evidence \ from \ an \ evaluation \ agency$
35		of the required educational qualifications and evidence of English proficiency. The evaluation
36		agency(s) agencies for educational qualifications shall be selected from a list of evaluation agencies
37		published by the National Council of State Boards of Nursing Inc., [NCSBN] NCSBN, which is

1		nereby incorporated by keterence, reference including subsequent amendments of the referenced
2		materials. and editions and available at no cost at www.ncsbn.org. The list of such agencies is
3		available, at no cost, from the North Carolina Board of Nursing. The evidence of English proficiency
4		shall be the Test of English as a Foreign Language or a test determined [to be acceptable] by the Board
5		to be equivalent to the Test of English as a Foreign Language; [Board; or] as listed by NCSBN,
6		incorporated by reference including subsequent amendments and editions and available at no cost at
7		www.ncsbn.org; or
8	(3)	being eligible for licensure as a registered nurse or licensed practical nurse in the country of nursing
9		education program completion.
10	(b) An applicant	shall meet the educational qualifications to take the examination for licensure to practice as a licensed
11	practical nurse by	<del>y:</del>
12	(1)	graduating from a Board approved nursing program (21 NCAC 36 .0300) designed to prepare a person
13		for practical nurse licensure;
14	(2)	-graduating from a nursing program outside the United States that is designed to provide graduates with
15		comparable preparation for licensure as a licensed practical nurse, and submitting evidence from an
16		$\underline{\text{evaluation agency of the required educational qualifications and evidence of English proficiency.}} \   \underline{\text{The}}$
17		$\underline{\text{evaluation agency}(s) \text{ for educational qualifications shall be selected from a list of evaluation agencies}}$
18		published by the National Council of State Boards of Nursing, Inc., which is hereby incorporated by
19		${\color{red}Reference, including subsequent amendments of the referenced materials. The list of such agencies is}$
20		available, at no cost, from the North Carolina Board of Nursing. The evidence of English proficiency
21		shall be passing the Test of English as a Foreign Language or a test determined by the Board to be
22		equivalent to the Test of English as a Foreign Language;
23	(3)	graduating from a Board approved nursing program designed to prepare graduates for registered nurse
24		licensure, and failing to pass the examination for registered nurse licensure; or
25	(4)	graduating from a nursing program outside the United States that is designed to prepare graduates with
26		comparable preparation for licensure as a registered nurse, and submitting the evidence as described in
27		Subparagraph (a)(2) of this Rule of the required educational qualifications, and failing to pass the
28		examination for registered nurse licensure in any jurisdiction.
29	(c) An application	on shall be submitted to the Board <del>of Nursing</del> and a registration form to the testing service. <u>Pearson</u>
30	VUE. The applic	cant shall meet all requirements of the National Council of State Boards of Nursing, Inc. NCSBN.
31	Applicants for a	North Carolina license may take the examination for licensure developed by NCSBN at any NCSBN-
32	approved testing	site.
33	(d)(d) The initial	$\underline{An} \ application \ \underline{for \ licensure} \ shall \ be \ \underline{held \ active \ until \ the \ applicant \ passes \ the \ examination \ or \ \underline{valid \ for}$
34	a period of one ye	ear, whichever occurs first. The time begins on the date the applicant is determined to be eligible for the
35	licensure examin	ation. year from the date the application is filed with the Board or until the Board receives [the results
36	of the] notice tha	t the applicant has either passed or failed the examination.

1	(e) The examina	ations for licensure developed by the National Council of State Boards of Nursing, Inc. NCSBN shall be
2	the examination	s for licensure as a registered nurse or as a licensed practical nurse in North Carolina. Carolina and
3	(1)	—These examinations shall be administered in accordance with the contract between the Board of
4		Nursing and the National Council of State Boards of Nursing, Inc. NCSBN.
5	(2)	The examinations for licensure shall be administered at least twice a year.
6	(3)	Results for the examination shall be reported to the individual applicant and to the director of the
7		program from which the applicant was graduated. Aggregate results from the examination(s) may be
8		published by the Board.
9	(4)	The passing standard score for each of the five tests comprising the examination for registered nurse
10		licensure, up to and including the February 1982 examination was 350. For the examination offered in
11		July 1982 and through July 1988, the passing score was 1600. Beginning February 1989, the results
12		for registered nurse licensure is reported as "PASS" or "FAIL".
13	(5)	The passing score for the examination for practical nurse licensure, up to and including the April 1988
14		was 350. Beginning October 1988, the results for practical nurse licensure is reported as "PASS" or
15		"FAIL".
16	(f) Applicants w	tho meet the qualifications for licensure by examination shall be issued a certificate of registration and a
17	license to practi	ce nursing for the remainder of the biennial period. The qualifications include:
18	(1)	a "PASS" result on the licensure examination;
19	(2)	evidence of unencumbered license in all jurisdictions in which a license is or has ever been held;
20	(3)	evidence of completion of all court conditions resulting from any misdemeanor or felony convictions;
21		and and
22	(4)	a written explanation and all related documents if the nurse has ever been listed as a Nurse Aide and if
23		there have ever been any substantiated findings pursuant to G.S. 131E-255. The Board may take these
24		findings into consideration when determining if a license should be denied pursuant to G.S. 90-171.37.
25		In the event findings are pending, the Board may withhold taking any action until the investigation is
26		completed.
27	(g) Applicants f	or a North Carolina license may take the examination for licensure developed by the National Council of
28	State Boards of	Nursing, Inc. in any National Council approved testing site. Any license issued shall be issued for the
29	remainder of the	e biennial period.
30		
31	History Note:	Authority G.S. 90-171.23(15); 90-171.29; 90-171.30; 90-171.37(1); 90-171.48;
32		Eff. February 1, 1976;
33		Amended Eff. December 1, 2004; April 1, 2003; January 1, 1996; July 1, 1994; February 1, 1994;
34		August 3, <del>1992.</del> <u>1992;</u>
35		Readopted Eff. [November 1, 2018.] [December 1, 2018.] January 1, 2019.

1	21 NCAC 36 .0	213 is readopted, with changes, as published in 33:1 NCR 17-18 as follows:
2		
3	21 NCAC 36 .0	2213 REEXAMINATION
4	An applicant wh	no fails an examination and is eligible to retake a subsequent examination <mark>must <u>shall</u> submit a completed</mark>
5	Board of Nursir	<del>ig</del> application, a completed testing service registration form, and related fees. The applicant <mark>is <u>shall</u> be</mark>
6	eligible to retak	e the examination <u>by in accordance with the timeframe specified by the National Council of State Boards</u>
7	of Nursing, Inc.	[NCSBN.] NCSBN, pursuant to 21 NCAC 36 .0211. NCSBN list is incorporated by reference including
8	subsequent ame	endments and editions and available at no cost at www.ncsbn.org.
9		
10	History Note:	Filed as a Temporary Amendment Eff. June 26, 1985, for a period of 120 days to expire on October
11		23, 1985;
12		Authority G.S. 90-171.31; 90-171.33; 90-171.38;
13		Eff. February 1, 1976;
14		Amended Eff. August 1, 2000; July 1, 1994; February 1, 1994; October 1, 1989; May 1, <mark>1989. <u>1989;</u></mark>
15		Readopted Eff. [November 1, 2018.] [December 1, 2018.] January 1, 2019.

## 1 21 NCAC 36 .0217 is amended, with changes, as published in 33:1 NCR 18-19 as follows: 2 3 21 NCAC 36 .0217 INVESTIGATIONS; DISCIPLINARY HEARINGS 4 (a) Behaviors and activities that may result in disciplinary action by the Board shall include the following: 5 (1) drug or alcohol abuse or use of any substance or other agents while on duty or on call to the extent 6 that such use impairs the nurse's ability to practice nursing; 7 (2) testing positive on a drug screen for a non-prescribed drug or illicit substance; 8 (3) illegally obtaining, possessing, or distributing drugs or alcohol for personal or other use, use or 9 other violations of the North Carolina Controlled Substances Act, G.S. 90-86 et seg.; 10 (4) conviction of any crime that bears on a licensee's fitness to practice nursing as set forth in G.S. 90-11 <del>171.37(a);</del> nursing; 12 failure to make client information available to another health care professional any client (5) 13 information; professional; 14 (6) practicing or offering to practice beyond the scope permitted by law; 15 (7) accepting and performing professional responsibilities that the licensee knows or has reason to 16 know that he or she is not competent to perform; 17 (8)performing, without supervision, professional services that the licensee is authorized to perform 18 only under the supervision of a licensed professional; 19 (9)abandoning an assigned client without making arrangements for the continuation of equivalent 20 nursing care; 21 (10)neglecting a client in need of nursing care; 22 (11)threatening, harassing, abusing, or intimidating a client; 23 (12)failing to maintain an accurate record of all pertinent health care information as defined in Rule 24 .0224(f)(2) or .0225(f)(2) for each client; 25 (13)failing to exercise supervision over persons individuals who are authorized to practice only under 26 the supervision of the licensed professional; 27 (14)exercising influence on the client for the financial or personal gain of the licensee; 28 (15)directly or indirectly offering, giving, soliciting, or receiving or agreeing to receive, receive any 29 fee or other consideration to or from a third party for the referral of a client, or other violations of 30 G.S. 90-401; 31 (16)failing to file a report, or filing a false report, required by law or by the Board or impeding or 32 obstructing such filing or inducing another person to do so; 33 (17)obtaining, accessing, or revealing healthcare information from a client record or other source, 34 except as required by professional duties or authorized by law; 35 (18)presenting false or fraudulent licensure information for any purpose;

- (19) assigning or delegating professional responsibilities to a person when if the licensee assigning or delegating these responsibilities knows or has reason to know that such person individual is not qualified by training, experience experience, or licensure;
  - (20) assigning or delegating responsibilities to a person an individual when if the licensee assigning or delegating knows or has reason to know that the competency of that person individual is impaired by sleep deprivation, physical or psychological conditions, or by alcohol or other agents, prescribed or not;
  - (21) accepting responsibility for client care while impaired by sleep deprivation, physical or psychological conditions, or by alcohol or other agents, prescribed or not;
  - (22) falsifying a client's record or the controlled substance records;
  - violating boundaries of a professional relationship including but not limited to such as physical, sexual, emotional, or financial exploitation of the a client or the a client's family member or caregiver. Financial exploitation includes shall include accepting or soliciting money, gifts, or the equivalent during the professional relationship;
  - misappropriating, in connection with the practice of nursing, anything of value or benefit, including but not limited to, any real or personal property, property real or personal of the client, employer, or any other person individual or entity, or failing to take precautions to prevent such misappropriation. Failure to take precautions to prevent misappropriations includes shall include failing to secure anything of value or benefit, such as medication or property, of the client, employer, or any other person individual or entity; or
  - (25) violating any term of probation, condition, or limitation imposed on the licensee by the Board.
- (b) If a summary suspension is issued pursuant to G.S. 150B-3(c), the order is shall be effective on the date specified in the order or on upon service of the certified copy of the order at the last known address of the licensee, whichever is later, and continues to be effective during the suspension proceedings. Failure to receive the order because of refusal of service or unknown address does shall not invalidate the order.
- (c) All motions related to a contested case, except motions for continuance and those made during the hearing, shall be in writing and submitted to the Board of Nursing at least 10 calendar days before the hearing. Pre-hearing motions shall be heard at a pre-hearing conference or at the contested case hearing prior to the commencement of testimony. The designated administrative law counsel shall hear the motions and the response from the non-moving party pursuant to Rule 6 of the General Rules of Practice for the Superior and District Courts and shall rule on the motions.
- (d) Motions for a continuance of a hearing may be granted upon a showing of good cause. Motions for a continuance shall be in writing and received in the office of the Board of Nursing no less than seven calendar days before the hearing date. In determining whether good cause exists, consideration shall be given to the ability of the party requesting a continuance to proceed without a continuance. A motion for a continuance filed less than seven calendar days from the date of the hearing shall be denied unless the reason for the motion could not have been ascertained earlier. Motions for continuance filed prior to the date of the hearing shall be ruled on by the

- 1 administrative law counsel of the Board. Motions for continuance filed on the date of hearing shall be ruled on by
- 2 the Board.
- 3 (e) The Board of Nursing shall designate an administrative law counsel who shall advise the Board.
- 4 (f) When If a majority of the members of the Board of Nursing is unable or elects not to hear a contested case, the
- 5 Board of Nursing shall request the designation of an administrative law judge from the Office of Administrative
- 6 Hearings to preside at the hearing. The provisions of G.S. 150B, Article 3A and this Rule shall govern a contested
- 7 case in which an administrative law judge is designated as the Hearing Officer.
- 8 (g) Sworn affidavits may be introduced by mutual agreement from all parties.

1, 2007; August 2, 2002;

2018.

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10	History Note:	$Authority \;\; G.S. \;\; 90\text{-}171.23(b)(3); \;\; 90\text{-}171.23(b)(7); \;\; 90\text{-}171.37; \;\; 90\text{-}171.47; \;\; 90\text{-}401; \;\; 150B\text{-}3(c);$
11		150B-38; 150B-39; 150B-40; 150B-41; 150B-42;
12		Eff. February 1, 1976;
13		Amended Eff. October 1, 1989; November 1, 1988; July 1, 1986; July 1, 1984;
14		Temporary Amendment Eff. December 7, 1990 for a period of 180 days to expire on June 5, 1991;
15		ARRC Objection Lodged December 20, 1990;
16		Amended Eff. January 1, 1991;
17		ARRC Objection Removed February 25, 1991;
18		Temporary Amendment Eff. February 26, 1991 for a period of 35 days to expire on April 1, 1991;
19		Amended Eff. January 1, 1996; February 1, 1995; April 1, 1991;
20		Temporary Amendment Eff. March 5, 2001;
21		Amended Eff. [November 1, 2018;] [December 1, 2018.] <u>January 1, 2019.</u> June 1, 2017; January

Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 9,

1	21 NCAC 36 .02	218 is readopted, <u>with changes,</u> as published in 33:1 NCR 19-21 as follows:
2		
3	21 NCAC 36 .02	218 LICENSURE <del>WITHOUT EXAMINATION (BY ENDORSEMENT) <u>BY</u></del>
4		<u>ENDORSEMENT</u>
5	(a) The Board	shall provide an application form which the applicant who wishes to apply for licensure without
6	examination (by	endorsement) shall complete in its entirety. To be eligible for licensure by endorsement, an applicant
7	<u>shall:</u>	
8	(b) The applicar	nt for licensure by endorsement as a registered nurse shall show evidence of:
9	(1)	completion of a program of nursing education for registered nurse licensure which was approved by
10		the jurisdiction of original licensure; submit a completed application for endorsement, [attesting under
11		oath or affirmation] stating that the information on the application is true and complete, and
12		authorizing the release to the Board of all information pertaining to the application. The Application
13		for Endorsement is posted on the Board's website at www.ncbon.com;
14	(2)	attainment of the standard score on the examination which was required by the jurisdiction issuing the
15		original certificate of registration; submit the licensure application fee as established in G.S. 90-
16		<u>171.27(b)</u> :
17	(3)	submit a self-certification that the applicant is of mental and physical health necessary to competently
18		practice nursing;
19	<del>(4)</del> (3)	have an unencumbered license in all jurisdictions in which a license is or has ever been held. A
20		license that has had all encumbrances resolved in the jurisdictions in which the reasons for the
21		encumbrances occurred shall be considered an unencumbered license for purposes of this provision;
22		<u>held;</u>
23	<del>(5)</del> (4)	eurrent have an active unencumbered license in a jurisdiction; if jurisdiction. If the license has been
24		inactive or lapsed expired for five or more years, the applicant shall be subject to meet the
25		requirements for a refresher course as indicated in G.S. 90-171.35 and G.S. 90-171.36;
26	<del>(6)</del> (5)	completion of all have no pending court conditions resulting from as a result of any misdemeanor or
27		felony eonvictions; and [conviction(s).] convictions. The applicant shall provide a written explanation
28		and [any] all investigative [report] reports or court documents evidencing the circumstances of the
29		crime(s) if requested by the Board. The Board [may] shall use these documents when determining if a
30		license should be denied pursuant to G.S. 90-171.48 and 90-171.37;
31	<del>(7)</del> (6)	submit a written explanation and all related documents if the nurse has ever been listed as a Nurse
32		Aide <u>nurse aide</u> and <u>if</u> there <u>has have ever</u> been a <u>any</u> substantiated <u>finding(s) findings</u> pursuant to
33		G.S. 131E-255. The Board may take the these finding(s) findings into consideration when
34		determining if a license should be denied pursuant to G.S. 90-171.37. In the event a finding(s)
35		findings is are pending, the Board may shall withhold taking any action until the investigation is
36		eompleted: completed;

1	<u>(7)</u>	submit a self-certification that the applicant is of mental and physical health necessary to competently
2		practice nursing;
3	<u>(8)</u>	show completion of a nursing education program which was approved by the jurisdiction of original
4		licensure. If applying
5	(c) The applica	ant for licensure by endorsement as a licensed practical nurse nurse, applicant shall may also show
6	evidence <del>of:</del> <u>tha</u>	<mark>ut:</mark>
7	<del>(1)</del>	completion of: [of]
8		(A) a program in practical nursing approved by the jurisdiction of original licensure; or
9		(B) course(s) of study within a program(s) which shall be comparable to that required of practical
10		nurse graduates in North Carolina; or
11		(C) applicant has successfully completed a course of study for military hospital corpsman which
12		shall be that is comparable to that required of licensed practical nurse graduates in North
13		Carolina: Carolina; or
14		The applicant who was graduated prior to July 1956 shall be considered on an individual basis in light
15		of licensure requirements in North Carolina at the time of original licensure;
16	(2)	attainment of the standard score on the examination which was required by the jurisdiction issuing the
17		original certificate of registration;
18	(3)	self-certification that the applicant is of mental and physical health necessary to competently practice
19		nursing;
20	(4)	unencumbered license in all jurisdictions in which a license is or has ever been held. A license that
21		has had all encumbrances resolved in the jurisdictions in which the reasons for the encumbrances
22		occurred shall be considered an unencumbered license for purposes of this provision;
23	(5)	current license in a jurisdiction; if the license has been inactive or lapsed for five or more years, the
24		applicant shall be subject to requirements for a refresher course as indicated in G.S. 90 171.35 and
25		G.S. 90 171.36;
26	(6)	completion of all court conditions resulting from any misdemeanor or felony convictions; and
27	<del>(7)</del>	a written explanation and all related documents if the nurse has been listed as a Nurse Aide and there
28		has been a substantiated finding(s) pursuant to G.S. 131E-255. The Board may take the finding(s) into
29		consideration when determining if a license should be denied pursuant to G.S. 90-171.37. In the event
30		a finding(s) is pending, the Board may withhold taking any action until the investigation is completed.)
31		(B) applicant has been licensed in another [member] NCSBN-member jurisdiction for five or
32		more years immediately prior to application submission and has practiced in a nursing
33		position at the same level of licensure for which application is being made for two calendar
34		years of [full-time] full-time employment immediately prior to application as verified by the
35		[employer: and
36	<u>(9)</u>	complete a criminal background check in accordance with G.S. 90-171.48.

I	In the	event any of the above-required information indicates a concern about the applicant's qualifications, an
2	applica	ant may be required to appear in person for an interview with the Board if [the Board determines in its
3	discret	ion that] more information is needed to evaluate the application.
4	(d)(b) A nurse	Applicants for licensure by endorsement educated in a foreign eountry (including Canada) country,
5	including Canad	da, shall <u>complete all <mark>the</mark> requirements of 21 NCAC 36 (a)(1-7) and shall</u> be eligible for North Carolina
6	licensure by end	dorsement if the nurse has:
7	(1)	shown proof of education as required by the jurisdiction issuing the original certificate; and
8	(2)	prior to January 1, 2004 proof of passing either the:
9		(A) Canadian Nurses Association Test Service Examination (CNATS) in the English language;
10		<del>or</del>
11		(B) Canadian Registered Nurse Examination (CRNE) in the English language; or
12		(C) shown evidence of passing the licensing examination developed by the National Council of
13		State Board of Nursing (NCLEX). NCLEX-RNTM or NCLEX-PNTM consistent with
14		educational preparation.] NCLEX-PNTM.
15	(3)	beginning January 1, 2004, the applicant educated in a foreign country including Canada shall show
16		evidence of Subparagraph (d)(1) and Part (2)(C) of this Paragraph; Parts (d)(2)(A) and (B) shall no
17		<del>longer apply;</del>
18	(4)	self-certification that the applicant is of mental and physical health necessary to competently practice
19		nursing;
20	(5)	unencumbered license in all jurisdictions which a license is or has ever been held. A license that has
21		had all encumbrances resolved in the jurisdictions in which the reasons for the encumbrances occurred
22		shall be considered an unencumbered license for purposes of this provision;
23	(6)	eurrent license in another jurisdiction or foreign country. If the license has been inactive or lapsed for
24		five or more years, the applicant shall be subject to requirements for a refresher course as indicated in
25		G.S. 90 171.35 and G.S. 90 171.36;
26	(7)	completed all court conditions resulting from any misdemeanor or felony conviction(s); and
27	(8)	a written explanation and all related documents if the nurse has been listed as a Nurse Aide and if
28		there has been a substantiated finding(s) pursuant to G.S. 131E-255. The Board may take the
29		finding(s) into consideration when determining if a license should be denied pursuant to G.S. 90-
30		171.37. In the event a finding(s) is pending, the Board may withhold taking any action until the
31		investigation is completed.
32	(e) When an ap	plicant is eligible for licensure consistent with Part (d)(2)(A) or (d)(2)(B) of this Rule the license issued
33	by the Board w	ill not permit the individual to practice in other states party to the Nurse Licensure Compact.
34	(c) An applicat	ion for endorsement shall be valid for a period of one year from the date the application is filed with the
35	Board or until a	license is issued.
36	(f)(d) Facts pro	vided by the applicant and the Board board of Nursing of original licensure shall be compared to confirm
37	the identity and	validity of the applicant's credentials. Status in other states of current licensure may be verified. When

1	eligibility is dete	ermined, a certificate of registration and a current license for the remainder of the biennial period shall be
2	<del>issued.</del>	
3	(e) Any license	issued shall be issued for the remainder of the biennial period.
4		
5	History Note:	Authority G.S. 90-171.23(b); 90-171.32; 90-171.33; 90-171.37; 90-171.48;
6		Eff. May 1, 1982;
7		Amended Eff. December 1, 2005; April 1, 2003; January 1, 1996; July 1, 1994;
8		February 1, 1994; August 3, <mark><del>1992.</del> <u>1992;</u></mark>
9		Readonted Eff. [November 1, 2018.] [December 1, 2018.] January 1, 2019.

1	21 NCAC 36 .0	219 is readopted, with changes, as published in 33:1 NCR 21 as follows:
2		
3	21 NCAC 36 .0	219 TEMPORARY LICENSE
4	(a) The Board	<del>may <u>shall</u> issue a <del>Status P nonrenewable</del> <u>non-renewable</u> temporary license to <del>persons</del> <u>individuals</u> who</del>
5	have filed a con	impleted application for licensure without examination by endorsement with correct fee and provided
6	validation of an	active <u>unencumbered</u> license in another jurisdiction. If an applicant indicates prior court <del>conviction(s)</del>
7	<u>convictions</u> or d	isciplinary <del>action(s)</del> <u>actions</u> in another jurisdiction, eligibility for a temporary license shall be determined
8	after review of	relevant documents.
9	(b) The tempor	ary license [is] shall be subject to the provisions of G.S. 90-171.37.
10	(b)(c) The follo	owing applies shall apply to Status P non-renewable temporary licenses:
11	(1)	The A Status P nonrenewable non-renewable temporary license shall expire on the lesser of six
12		months or the date a full license is issued or when if it is determined that the applicant is not qualified
13		to practice nursing in North Carolina.
14	(2)	Status P temporary license Temporary [licenses] license shall authorize the a holder to practice nursing
15		in the same manner as a fully licensed R.N. registered nurse or L.P.N., licensed practical nurse,
16		whichever the case may be. as applicable.
17	(3)	A Holders holder of a valid Status P temporary license [licenses] shall identify themselves himself or
18		herself as R.N. a Registered Nurse Petitioner (R.N.P.) or L.P.N. a Licensed Practical Nurse petitioner
19		Petitioner (L.P.N.P.), as the case may be, applicable after signatures on records.
20	(4)	Upon expiration or revocation of the Status P temporary license, the individual is shall be ineligible to
21		practice nursing as described in Subparagraph (b)(2) of this Rule.
22		
23	History Note:	Authority G.S. 90-171.33;
24		Eff. May 1, 1982;
25		Temporary Amendment Eff. June 29, 1988 for a period of 180 days to expire on December 25, 1988;
26		Amended Eff. December 1, 2006; January 1, 1996; July 1, 1994; August 3, 1992; January 1, <mark>1989.</mark>
27		<u>1989;</u>
28		Readopted Eff. [November 1, 2018.] [December 1, 2018.] January 1, 2019.

l	21 NCAC 36 .02	20 is readopted, with changes, as published in 33:1 NCR 21-23 as follows:		
2				
3	21 NCAC 36 .02	20 REFRESHER COURSE		
4	(a) A refresher co	ourse shall be designed for those persons, individuals, previously licensed, who are not eligible for re-		
5	entry into nursing	practice because their license has lapsed expired for five or more years.		
6	(b) Satisfactory c	(b) Satisfactory completion of a Board-approved refresher course is shall be required of the person individual who: who		
7	has not held an active license in any jurisdiction for five or more years and requests:			
8	(1)	requests reactivation of an inactive license and who has not held an active license for five or more		
9		years; license;		
10	(2)	requests reinstatement of a lapsed license and who has not held an active license for five or more		
11		years; an expired license; or		
12	(3)	requests endorsement to North Carolina who has not held an active license for five or more years;		
13		Carolina.		
14	(4)	is directed by the Board to complete such a course when the Board takes action as authorized in G.S.		
15		<del>90 171.37; or</del>		
16	(5)	needs a refresher course as a result of the license being inactive for disciplinary action and has met all		
17		eligibility requirements for reinstatement of the license.		
18	Those persons ide	entified in Subparagraph (4) or (5) of this Paragraph may be subject to Board stipulated restrictions in		
19	the clinical comp	onent of the refresher course.		
20	(c) [When] If sat	isfactory completion of a Board-approved refresher course is required by the Board based upon action		
21	as authorized in C	G.S. 90-171.37 or based upon a license being inactive due to disciplinary action, the individual may be		
22	subject to Board-s	stipulated restrictions in the clinical component of the refresher [eourse provided all] course, based upon		
23	the terms of the	disciplinary actions and the contents of the clinical components. All eligibility requirements for		
24	reinstatement of t	he license shall have been [met.] met prior to refresher course enrollment.		
25	(e)(d) Applicatio	n for approval of a refresher course shall be completed and submitted by the provider at least 90 days		
26	prior to the expect	ted date of enrollment and shall include evidence of complying with the rules as defined in this Chapter		
27	for refresher cour	ses. No student shall be enrolled prior to Board approval shall be secured prior to the enrollment of		
28	<del>students.</del> approva	<u>I. Provider Board</u> approval will shall be granted to a provider for a period of time not to exceed five		
29	years. However,	any All changes in faculty, curriculum, or clinical facilities shall be approved by the Board prior to		
30	implementation in	mplementation, as set out in the Rules of this Chapter.		
31	(e) The application	on for approval of a refresher course shall include:		
32	<u>(1)</u>	course objectives, content [outline] outline, and time allocation;		
33	(2)	didactic and clinical learning [experiences] experiences, including teaching methodologies for		
34		measuring the registrant's abilities to practice nursing;		
35	<u>(3)</u>	a plan for evaluation of student competencies and ability to competently practice nursing;		
36	<u>(4)</u>	a faculty list [which] that includes the director and all [instructors] instructors, and identifies their		
37		qualifications and their functions in teaching roles; and		

1	<u>(5)</u>	the projected clinical schedule.			
2	(d)(f) The Boar	d will shall make site visits if necessary. it is unable to determine that all requirements have been met			
3	through applicat	ion document review. A decision on an application to offer a refresher course will shall be given within			
4	30 days following	ng receipt of the a complete application.			
5	<del>(e)</del> (g)	ovider of a refresher course shall be approved by the Board as set out in these Rules. A provider may be a			
6	post-secondary educational institution, a health care institution, or other agency.				
7	(f)(h) Administrative responsibility for developing and implementing the a refresher course shall be vested in a				
8	registered nurse	red nurse director.			
9	(g)(i) Instructors in the course shall be directly accountable to the nurse director. The director shall have had at least one				
10	year prior teachi	ng experience preparing individuals for LPN or RN registered nurse or licensed practical nurse licensure			
11	at the post-secon	ndary level or in a nursing staff development position. The director and each instructor shall:			
12	(1)	be licensed to hold an active unencumbered license to practice nursing as a registered nurse in North			
13		Carolina;			
14	(2)	hold a baccalaureate or higher degree; degree in nursing; and			
15	(3)	have had at least two years experience in direct patient nursing practice as an RN. a registered nurse.			
16	(h)(j) Proximity	of the instructor to students is the major factor in determining faculty-student ratio for clinical learning			
17	experiences. In	no case The ratio of instructors to students shall this ratio not exceed 1:10.			
18	(k) Clinical pre	ceptors shall have competencies, assessed by the [refresher program] registered nurse director of the			
19	refresher course	or a designated instructor, related to the area of assigned clinical precepting responsibilities. Clinical			
20	preceptors shall	hold an active unencumbered license to practice as a registered nurse in North Carolina.			
21	(i)(1) The refres	<mark>her</mark> course shall include both theory and clinical instruction. Course objectives shall be stated <del>which:</del>			
22	that:				
23	(1)	show relationships between <u>nursing</u> theory and practice; and			
24	(2)	indicate identify behaviors consistent with the ability to safely competently practice nursing.			
25	(j)(m) The curri	culum for the R.N. Refresher Course a registered nurse refresher course shall include at least 240 hours			
26	of instruction, at	least 120 of which shall consist of clinical learning experiences, and shall incorporate:			
27	(1)	common medical surgical conditions and management of common nursing problems associated with			
28		$these\ conditions, including\ mental\ health\ principles\ associated\ with\ management\ of\ nursing\ problems;$			
29	<del>(2)</del> (1)	functions the scope of practice for the registered nurse, as defined in G.S. 90-171.20 and 21			
30		NCAC 36 .0221, .0224, .0225 and .0401; and			
31	<del>(3)</del> (2)	instruction in and opportunities to demonstrate ability to safely knowledge, skills, and abilities to			
32		competently practice nursing and knowledge in caring for clients with common medical surgical			
33		problems. according to components of practice for the registered nurse as defined in 21 NCAC 36			
34		<u>.0224.</u>			
35	(k)(n) The curri	culum for the L.P.N. a licensed practical nurse Refresher Course refresher course shall include at least			
36	180 hours of ins	truction, at least 90 of which shall consist of clinical learning experiences, and shall incorporate:			

1	(1)	<del>-common medical-surgical conditions and common nursing approaches to their management, including</del>
2		mental health principles;
3	<del>(2)</del> (1)	functions the scope of practice for the licensed practical nurse nurse as defined in G.S. 90-171.20(8)
4		and 21 NCAC 36 .0221, .0225 and .0401; and
5	<del>(3)</del> (2)	instruction in and opportunity opportunities to demonstrate ability knowledge, skills, and abilities to
6		safely competently practice nursing and knowledge in caring for clients with common medical
7		surgical problems. according to components of nursing practice for the licensed practical nurse as
8		defined in 21 NCAC 36 .0225.
9	(o) The refreshe	r course director or the designated refresher course instructor shall assess each refresher student and
10	ensure the appro-	priateness of all clinical learning settings and assignments.
11	(l) The course sl	nall include both theory and clinical instruction:
12	(1)	The R.N. Refresher Course shall include at least 240 hours of instruction, at least 120 of which shall
13		consist of clinical learning experiences.
14	(2)	The L.P.N. Refresher Course shall include at least 180 hours of instruction, at least 90 of which shall
15		consist of clinical learning experiences.
16	(p) Registered n	urse and licensed practical nurse refresher courses shall limit simulation experiences to no more than
17	50% of clinical l	earning [experiences] experiences, pursuant to 21 NCAC 26 .0321(m).
18	(m)(q) Evaluation	on processes shall be implemented which that effectively measure the refresher student's: student's
19	ability to compet	ently practice nursing consistent with the level of licensure and scope as set forth in 21 NCAC 36.0221,
20	.0224, .0225, and	1.0401.
21	(1)	knowledge and understanding of curriculum content; and
22	(2)	ability to provide safe nursing care to clients with common medical surgical conditions.
23	(n)(r) Clinical re	sources shall indicate indicate, in written contract contract, their support and availability to provide the
24	necessary clinica	al experiences.
25	(o) The applicat	ion for approval of a refresher course shall include:
26	(1)	course objectives, content outline and time allocation;
27	(2)	didactic and clinical learning experiences including teaching methodologies, for measuring the
28		registrant's abilities to practice nursing;
29	(3)	plan for evaluation of student competencies and ability to practice safe nursing;
30	(4)	a faculty list which includes the director and all instructors and identifies their qualifications and their
31		functions in teaching roles; and
32	(5)	the projected clinical schedule.
33	(p) A course or o	combination of courses within a basic nursing curriculum may be considered a refresher course for re-
34	entry into practic	ve if:
35	(1)	such course or combination of courses equals or exceeds requirements for refresher courses;
36	(2)	such course or combination of courses is taught on a level commensurate with level of relicensure
37		sought; and

	(3)	the Board designee approves such course or combination of courses as a substitute for a refresher			
		course.			
	(q)(s) Individua	<del>lls,</del> <u>Individuals</u> previously licensed in North <del>Carolina,</del> <u>Carolina and</u> presently residing outside of North			
	<del>Carolina,</del> <u>Caroli</u>	<mark>na</mark> may meet <mark>these <u>the</u> requirements <u>of this Rule</u> by successfully completing a <del>North Carolina approved</del></mark>			
	refresher course approved by another [State Board] state board of [Nursing.] nursing. completed in another state or				
	country. Agencies desiring approval for conducting refresher courses shall submit applications per Paragraphs (c)				
	through (p) of th	nis Rule. Clinical experiences shall be in agencies approved by the comparable state/country agency to			
	the Board of Nu	rsing. The agency applying for refresher course approval shall submit evidence of the agency approval.			
	(r)(t) Individual	s enrolled in refresher courses shall identify themselves as R.N. RN Refresher Student (RN-RS)			
	(R.N.R.S.) or L	PN Refresher Student (LPN RS) [(L.P.N.R.S.)] (L.P.N.R.S.), consistent with the course level, after			
	signatures on re	cords or on name pins.			
	(s)(u) Upon cor	npletion of a Board approved refresher course, In a format specified by the Board, the course provider			
	shall <del>furnish</del> <u>pr</u>	ovide the Board with the names and North Carolina certificate license numbers of those persons			
individuals who have satisfactorily completed the refresher course and are deemed safe to practice nursing at the					
	appropriate leve	el of licensure on the Board supplied form. [licensure.]			
	(t)(v) Upon req	uest, the Board shall provide:			
	(1)	a list of approved providers;			
	(2)	forms the format for applications for program approval; and			
	(3)	forms the format for verification of successful completion to all approved programs.			
	History Note:	Authority G.S. 90-171.23(b)(3); 90-171.35; 90-171.36; 90-171.37; 90-171.38; 90-171.83;			
		Eff. May 1, 1982;			
		Amended Eff. January 1, 2007; July 1, 2000; June 1, 1993; April 1, <del>1989.</del> <u>1989;</u>			
Readonted Eff. [November 1, 2018.] [December 1, 2018.] January 1, 2019.					

## 1 21 NCAC 36 .0221 is readopted, with changes, as published in 33:1 NCR 23 as follows: 2 3 21 NCAC 36 .0221 LICENSE REQUIRED 4 (a) No cap, pin, uniform, insignia insignia, or title shall be used to represent to the public, public that an unlicensed 5 person is a registered nurse or a licensed practical nurse as defined in G.S. 90-171.43. 6 (b) The repetitive performance of a common task or procedure which that does not require the professional judgment of 7 a registered nurse or licensed practical nurse shall not be considered the practice of nursing for which a license is required. Tasks that may be delegated to the a Nurse Aide [nurse aide] I and a Nurse Aide [nurse aide] II shall be 8 9 established by the Board of Nursing pursuant to 21 NCAC 36.0403. Tasks may be delegated to an unlicensed person 10 which: that: 11 (1) frequently recur in the daily care of a client or group of clients; 12 (2) are performed according to an established sequence of steps; 13 involve little or no modification from one client-care situation to another; (3) 14 **(4)** may be performed with a predictable outcome; and 15 (5) do not inherently involve ongoing assessment, interpretation, or decision-making which that cannot be 16 logically separated from the procedure(s) itself. tasks themselves. 17 Client-care services which that do not meet all of these criteria shall be performed by a licensed nurse 18 (c) The A registered nurse or licensed practical nurse shall not delegate the professional judgment required to implement 19 <del>any</del> a treatment or pharmaceutical regimen <del>which</del> that is likely to produce side effects, toxic effects, allergic reactions, or 20 other unusual effects; effects or which that may rapidly endanger a client's life or well-being and which that is prescribed 21 by a person an individual authorized by state State law to prescribe such a regimen. The A nurse who assumes 22 responsibility directly or through delegation for implementing a treatment or pharmaceutical regimen shall be 23 accountable for: 24 (1) recognizing side effects; 25 (2) recognizing toxic effects; 26 (3) recognizing allergic reactions; 27 recognizing immediate desired effects; (4) 28 (5) recognizing unusual and unexpected effects; 29 (6) recognizing changes in a client's condition that contraindicates continued administration of the 30 pharmaceutical or treatment regimen; 31 anticipating those effects which that may rapidly endanger a client's life or well-being; and **(7)** 32 (8) making judgments and decisions concerning actions to take in the event such effects occur. 33 (d) When If health care needs of an individual a client are incidental to the personal care needs of the individual, nurses 34 client, a nurse shall not be accountable for care performed by clients themselves, their families or significant others, or by 35 caretakers who provide personal care to the individual.

(e) Pharmacists may administer drugs in accordance with 21 NCAC 46 .2507.

36

1	History Note:	Authority G.S. 90-85.3; 90-171.23(b); 90-171.43; 90-171.83;
2		Eff. May 1, 1982;
3		Amended Eff. July 1, 2004; April 1, 2002; December 1, 2000; July 1, 2000; January 1, 1996;
4		February 1, 1994; April 1, 1989; January 1, 1984;
5		Emergency Amendment Eff. September 10, 2004;
6		Amended Eff. April 1, 2008; December 1, <mark>2004. <u>2004;</u></mark>
7		Readopted Eff. [November 1, 2018.] [December 1, 2018.] January 1, 2019.

I	21 NCAC 36 .0	223 is r	eadopted	, with changes, as published in 33:1 NCR 23-25 as follows:	
2					
3	21 NCAC 36 .0223		CON	TINUING EDUCATION PROGRAMS	
4	(a) Definitions.				
5	(1)	Conti	nuing edu	cation in nursing is means a planned, organized learning experience experience, taken	
6		after c	ompletio	n of a basic nursing program program, which that prepares a nurse to perform advanced	
7		skills.	Types of	of learning experiences that may be considered continuing education as defined in	
8		Subparagraph (a		a)(3) of this Rule include:	
9		(A)	a non-	degree oriented program;	
10		(B)	<del>a cour</del>	se(s) courses or component(s) components of a course(s) courses within in an academic	
11			degree	e-oriented program; or	
12		(C)	an adv	ranced academic degree-granting program which that prepares the a registered nurse for	
13			advan	ced practice as a clinical nurse specialist, nurse anesthetist, nurse midwife midwife, or	
14			nurse	practitioner.	
15	(2)	Progra	ams offer	ing an educational experience designed to enhance the practice of nursing are mean	
16		those	which tha	at include one or more of the following:	
17		(A)	enrich	ment of nursing knowledge;	
18		(B)	develo	opment or change of nursing practice attitudes; or	
19		(C)	acquis	sition or improvement of <mark>s<del>kills.</del> nursing skills.</mark>	
20	(3)	Progra	ams <mark>are c</mark>	onsidered to that teach nurses advanced skills when: mean those that include:	
21		(A)	<del>the sk</del>	ill taught is skills not generally included in the basic educational preparation of the	
22			nurse;	and	
23		(B)	<del>the</del> a	period of instruction is sufficient to assess or provide necessary knowledge from the	
24			physic	cal, biological, or behavioral and social sciences, sciences and includes supervised	
25			clinica	al practice to ensure that the nurse is able to practice the skill safely and properly.	
26	(4)	Stude	Student status may be means the status granted to an individual who does not hold a North Carolina		
27		nursing license but who participates in a clinical component of a continuing education programs			
28	progra		<u>am</u> in North Carolina <mark>when: <u>if:</u></mark>		
29		(A)	the inc	dividual possesses a current an active unencumbered license to practice nursing in a	
30			jurisdi	ection other than North Carolina;	
31		(B)	the co	urse offering meets one of the following criteria:	
32			(i)	is part of an academic degree-granting nursing program which that has approval in a	
33				jurisdiction other than North Carolina or national accreditation; or	
34			(ii)	is offered through an in-state academic institution which that has Board approval	
35				for basic nursing education program(s) programs or national accreditation for	
36				advanced nursing education program(s); programs; or	

1			(iii)	is approved by the Board as a continuing education offering, thereby meeting the
2				criteria as defined in Paragraph (b) of this Rule;
3		(C)	the ind	ividual receives supervision by a qualified preceptor or member of the faculty who has
4			a valid	license to practice as a registered nurse in North Carolina;
5		(D)	the cou	arse of instruction has a specified period of time not exceeding twelve 12 months;
6		(E)	the ind	ividual is not employed in nursing practice in North Carolina during participation in
7			the pro	gram; and
8		(F)	the Bo	ard has been given advance notice of the name of each student, the jurisdiction in
9			which	the student is licensed, the license number, and the license expiration date.
10	(b) Criteria for	voluntai	ry approva	al of continuing education programs in nursing.
11	(1)	Plann	ing <mark>the <u>an</u></mark>	educational program shall include:
12		(A)	definit	ion of learner population; for example, population, such as registered nurse, licensed
13			practic	al nurse, or both;
14		(B)	identif	ication of characteristics of the learner; for example, learner, such as clinical area of
15			practic	e, place of employment, and position; and
16		(C)	assessi	nent of needs of the learner; for example, learner, such as specific requests from
17			individ	luals or employers, pre-tests, or audits of patient records.
18	(2)	Objec	tives shall	:
19		(A)	be mea	surable and stated in behavioral terms;
20		(B)	reflect	the needs of the learners;
21		(C)	state d	esired outcomes;
22		(D)	serve a	s criteria for the selection of content, learning experiences experiences, and evaluation
23			of achi	evement;
24		(E)	be ach	ievable within the time allotted; and
25		(F)	be app	licable to nursing.
26	(3)	Conte	nt shall:	
27		(A)	relate t	o objectives; required by Part (b)(2) of this Paragraph;
28		(B)	reflect	input by qualified faculty; and
29		(C)	contair	n learning experiences appropriate to objectives.
30	(4)	Teach	ing metho	odologies shall:
31		(A)	<del>utilize</del>	use pertinent educational principles;
32		(B)	provid	e adequate time for each learning activity; and
33		(C)	include	sharing objectives with informing participants, participants of the course objectives
34			require	ed by Part (b)(2) of this Paragraph.
35	(5)	Resou	rces shall	include:
36		(A)	faculty	who have knowledge and experience necessary to assist the learner to meet
37			partici	pants in meeting the program objectives and are in sufficient number not to exceed a

1			faculty learner faculty-participant ratio in a clinical practicum of 1:10. If higher ratios are
2			desired, sufficient justification must shall be provided; provided to the Board; and
3		(B)	physical facilities which that ensure that adequate and appropriate clinical resources and
4			adequate and appropriate equipment and space and appropriate clinical resources are
5			available.
6	(6)	Evalua	tion <del>must shall</del> be conducted:
7		(A)	by the provider to assess the participant's achievement of program objectives and content and
8			will content. This evaluation shall be documented; and
9		(B)	by the learner participant in order to assess the program and resources.
10	(7)	Record	s shall be maintained by the provider for a period of three years and shall include a summary of
11		progran	n evaluations, a roster of participants, and the course outline. The provider shall award a
12		certific	ate to each participant who successfully completes the program.
13	(c) Approval pr	rocess.	
14	(1)	The pro	ovider shall:
15		(A)	make application on forms provided by the Board no less than 60 days prior to the proposed
16			enrollment date;
17		(B)	present written documentation as specified in (b)(1) through (b)(7) of this Rule; and
18		(C)	notify the Board of any significant changes relative to (b)(1) through (b)(7) of this Rule; for
19			example, Rule, such as changes in faculty or total program hours.
20	(2)	Approv	val is shall be granted for a two year two-year period. Any A request to offer an approved
21		progran	n by anyone other than the original provider <mark>must <u>shall</u> be made to the <del>North Carolina Board of</del></mark>
22		Nursing	g. Board.
23	(3)	If a cou	rse is not approved, the provider may appeal in writing for reconsideration within 30 days after
24		notifica	ation of the disapproval. If the course is not approved upon reconsideration, the provider may
25		request	, within 10 days, a hearing at the next regularly scheduled regularly-scheduled meeting of the
26		<del>Board,</del>	Board or no later than 90 days from the date of request, whichever shall come first.
27	(4)	Site vis	its may be made by the Board as deemed appropriate to determine compliance with the criteria
28		as spec	ified in Paragraph (b) of this Rule.
29	(5)	The Bo	ard shall withdraw approval from a provider if the provider does not maintain the quality of the
30		<del>offerin</del> į	g to the satisfaction of the Board successfully achieve course outcomes or if there is
31		misrep	resentation of facts within the application for approval.
32	(6)	Approv	val of continuing education programs will shall be included in published reports of Board
33		actions	. A list of approved programs will be maintained in is shall be posted on the Board's file.
34		website	e at www.ncbon.com.
35			
36	History Note:	Author	ity G.S. 90-171.23(b); 90-171.42;
37		Eff. Jar	nuary 1, 1984;

1 Amended Eff. October 1, 1992; October 1, 1991; October 1, 1989; January 1, 1989; 1989; 2 Readopted Eff. [November 1, 2018.] [December 1, 2018.] January 1, 2019.

1	21 NCAC 36 .0	224 is re	eadopted	, with changes, as published in 33:1 NCR 25-27 as follows:
2				
3	21 NCAC 36 .0	224	COM	PONENTS OF NURSING PRACTICE FOR THE REGISTERED NURSE
4	(a) The response	ibilities <mark>s</mark>	<del>which any</del>	that a registered nurse can safely accept are shall be determined by the such practice
5	<u>setting</u> variables	i <del>n each</del>	nursing p	oractice setting. These variables include: as:
6	(1)	the nu	rse's <del>own</del>	qualifications qualifications, including:
7		(A)	basic e	educational preparation; and
8		(B)	knowl	edge and skills subsequently acquired through continuing education and practice;
9	(2)	the co	mplexity	and frequency of nursing care needed by a given the client population;
10	(3)	the pro	oximity o	f clients to personnel; personnel in the practice setting in which the nurse practices;
11	(4)	the qu	alification	ns and number of staff; personnel in the practice setting in which the nurse practices;
12	(5)	the acc	cessible <mark>r</mark>	esources; and resources in the practice setting in which the nurse practices; and
13	(6)	establi	shed poli	cies, procedures, practices, and channels of communication which that lend support to
14		the typ	es of nur	sing services offered in the practice setting in which the nurse practices.
15	(b) Assessment	is an on-	going pro	ocess and shall consists consist of the a determination of nursing care needs based upon
16	collection and ir	ıterpreta	tion of da	ta relevant to the health status of a client, group group, or community.
17	(1)	Collec	tion of da	ata <del>includes:</del> shall include:
18		(A)	obtain	ing data from relevant sources regarding the biophysical, psychological, social,
19			and cu	ltural factors of the client's life and the influence these factors have on health status,
20			includ	ing:
21			(i)	subjective reporting;
22			(ii)	observations of appearance and behavior;
23			(iii)	measurements of physical structure and physiological functions; functions; and
24			(iv)	information regarding available resources; resources available to the client; and
25		(B)	verifyi	ing the data collected.
26	(2)	Interp	retation o	f data <del>includes:</del> shall include:
27		(A)	analyz	ing the nature and inter-relationships of collected data; and
28		(B)	determ	nining the significance of data to client's health status, ability to care for self, and
29			treatm	ent regimen.
30	(3)	Formu	lation of	a nursing diagnosis includes: shall include:
31		(A)	describ	ping actual or potential responses to health conditions. Such responses are those for
32			which	nursing care is indicated, indicated or for which referral to medical or community
33			resour	ces is appropriate; and
34		(B)	develo	ping a statement of a client problem identified through interpretation of collected data.
35	(c) Planning n	ursing o	care activ	rities includes identifying the client's needs and selecting or modifying nursing
36	interventions rel	lated to t	he finding	gs of the nursing assessment. Components of planning includes: shall include:
37	(1)	priorit	izing nur	sing diagnoses and needs;

1	(2)	setting realistic, measurable goals and outcome criteria;		
2	(3)	initiating or participating in multidisciplinary planning;		
3	(4)	developing a plan of care which that includes determining and prioritizing nursing interventions; and		
4	(5)	identifying resources based on necessity and availability.		
5	(d) Implement	ation of nursing activities is shall be the initiating and delivering of nursing care according to an		
6	established plan	n, which includes, but is not limited to: includes:		
7	(1)	procuring resources;		
8	(2)	implementing nursing interventions and medical orders consistent with 21 NCAC 36 .0221(c) and		
9		within an environment conducive to client safety;		
10	(3)	prioritizing and performing nursing interventions;		
11	(4)	analyzing responses to nursing interventions;		
12	(5)	modifying nursing interventions; and		
13	(6)	assigning, delegating delegating, and supervising the nursing activities of other licensed and		
14		unlicensed personnel consistent with Paragraphs (a) and (i) of this Rule, G.S. 90 171.20(7)d G.S. 90-		
15		171.20(7)(d) and (7)i, [(7)(i),] and 21 NCAC 36 .0401.		
16	(e) Evaluation	consists shall consist of determining the extent to which desired outcomes of nursing care are met and		
17	planning for sul	osequent care. Components of evaluation include: care, including:		
18	(1)	collecting evaluative data from relevant sources;		
19	(2)	analyzing the effectiveness of nursing interventions; and		
20	(3)	modifying the plan of care based upon newly collected data, new problem identification, a change in		
21		the client's status status, and expected outcomes.		
22	(f) Reporting an	nd Recording by the registered nurse are shall be those communications required in relation to all aspects		
23	of nursing care.			
24	(1)	Reporting means the communication of information to other persons individuals responsible for, or		
25		involved in, the care of the client. The registered nurse is accountable for: shall:		
26		(A) directing direct the communication to the appropriate person(s) [individual(s)] individuals:		
27		(B) and assure that these communications are consistent with established policies, procedures,		
28		practices practices, and channels of communication which lend support to types of nursing		
29		services offered;		
30		(B)(C) communicating communicate within a time period which that is consistent with the client's		
31		need for care;		
32		(C)(D) evaluating evaluate the responses to information reported; and		
33		(D)(E) determining determine whether further communication is indicated.		
34	(2)	Recording means the documentation of information on the appropriate client record, nursing care plan		
35		or other documents. This documentation must: shall:		
36		(A) be pertinent to the client's health care;		

1		(B) accurately describe all aspects of nursing eare care	including assessment, planning,
2		implementation, and evaluation;	
3		(C) be completed within a time period consistent with the c	lient's need for care;
4		(D) reflect the communication of information to other person	<del>ns;</del> individuals; and
5		(E) verify the proper administration and disposal of control	led substances.
6	(g) Collaboration	involves communicating and working cooperatively with individua	als whose services may have a direct
7	or indirect effect	upon the client's health care and includes: shall include:	
8	(1)	initiating, coordinating, planning planning, and implementing nurs	sing or multidisciplinary approaches
9		for the client's care;	
10	(2)	participating in decision-making and in cooperative goal-directed	d efforts;
11	(3)	seeking and utilizing appropriate resources in the referral process	s; and
12	(4)	safeguarding confidentiality.	
13	(h) Teaching an	Counseling counseling clients is shall be the responsibility of the re	egistered nurse, consistent with G.S.
14	90-171.20(7)g.	<del>5.S. 90-171.20(7)(g).</del> ]	
15	(1)	Teaching and counseling shall consist of providing accur	rate and consistent information,
16		demonstrations demonstrations, and guidance to clients, their fan	nilies families, or significant others
17		regarding the client's health status [status,] and health care for th	e purpose of:
18		(A) increasing knowledge; knowledge regarding the client's	s health status and health care;
19		(B) assisting the client to reach an optimum level of health f	unctioning and participation in self
20		care; self-care; and	
21		(C) promoting the client's ability to make informed decision	is.
22	(2)	Teaching and counseling shall include, but are not limited to: include, but are not limited to:	<mark>:lude:</mark>
23		(A) assessing the client's needs, abilities abilitites, and know	vledge level;
24		(B) adapting teaching content and methods to the identifi	ed needs, abilities of the elient(s)
25		[client(s),] clients, and knowledge level;	
26		(C) evaluating effectiveness of teaching and counseling; and	d
27		(D) making referrals to appropriate resources.	
28	(i) Managing th	delivery of nursing care through the on-going supervision, teaching	teaching, and evaluation of nursing
29	personnel <mark>is <u>s</u>ha</mark>	be the responsibility of the registered nurse nurse, as specified in the	he legal definition of the practice of
30	nursing nursing	and <del>includes, but is not limited to:</del> includes:	
31	(1)	continuous availability for direct participation in nursing care, ons	site when necessary, as indicated by
32		client's status and by the variables cited in Paragraph (a) of this I	Rule;
33	(2)	assessing capabilities of personnel in relation to client status and	the plan of nursing care;
34	(3)	delegating responsibility or assigning nursing care functions to I	personnel qualified to assume such
35		responsibility and to perform such functions;	
36	(4)	accountability for nursing care given by all personnel to whom that	t care is assigned and delegated; and
37	(5)	direct observation of clients and evaluation of nursing care given	1.

1	(j) Administerin	ing nursing services is the responsibility of the registered nurse nurse, as specified in the legal definition of		
2	the practice of n	ursing in G.S. 90-171.20 (7)i, [G.S. 90-171.20(7)(i),] and includes, but is not limited to: includes:		
3	(1)	identification, development development, and updating of standards, policies policies, and procedures		
4		related to the delivery of nursing care;		
5	(2)	implementation of the identified standards, policies policies, and procedures to promote safe and		
6		effective nursing care for clients;		
7	(3)	planning for and evaluation of the nursing care delivery system; and		
8	(4)	management of licensed and unlicensed personnel who provide nursing care consistent with		
9		Paragraphs (a) and (i) of this Rule and which includes: including:		
10		(A) appropriate allocation of human resources to promote safe and effective nursing care;		
11		(B) defined levels of accountability and responsibility within the nursing organization;		
12		(C) a mechanism to validate qualifications, knowledge knowledge, and skills of nursing		
13		personnel;		
14		(D) provision of educational opportunities related to expected nursing performance; and		
15		(E) validation of the implementation of a system for periodic performance evaluation.		
16	(k) Accepting re	esponsibility for self for individual nursing actions, competence competence, and behavior is shall be the		
17	responsibility of	the registered nurse, which includes: including:		
18	(1)	having knowledge and understanding of the statutes and rules governing nursing;		
19	(2)	functioning within the legal boundaries of registered nurse practice; and		
20	(3)	respecting client rights and property, property and the rights and property of others.		
21				
22	History Note:	Authority G.S. 90-171.20(7); 90-171.23(b); 90-171.43(4);		
23		Eff. January 1, 1991;		
24		Temporary Amendment Eff. October 24, 2001;		
25		Amended Eff. August 1, <del>2002.</del> 2002:		
26		Readopted Eff. [November 1, 2018.] [December 1, 2018.] January 1, 2019.		

1	21 NCAC 36 .0	1225 is readopted, with changes, as published in 33:1 NCR 27-29 as follows:
2		
3	21 NCAC 36 .0	225 COMPONENTS OF NURSING PRACTICE FOR THE LICENSED PRACTICAL
4		NURSE
5	(a) The A licen	sed practical nurse shall accept only those assigned nursing activities and responsibilities, as defined in
6	Paragraphs (b) t	hrough (i) of this Rule, which that the licensee can safely perform. That acceptance perform, based upon
7	<del>the variables in</del>	each practice setting which include: as determined by practice setting variables such as:
8	(1)	the nurse's own qualifications in relation to client need and plan of nursing care, including:
9		(A) basic educational preparation; and
10		(B) knowledge and skills subsequently acquired through continuing education and practice;
11	(2)	the degree of supervision by the registered nurse consistent with Paragraph (d)(3) of this Rule;
12	(3)	the stability of each client's clinical condition;
13	(4)	the complexity and frequency of nursing care needed by each client or client group;
14	(5)	the accessible resources; and
15	(6)	established policies, procedures, practices, and channels of communication which that
16		the types of nursing services offered.
17	(b) Assessmen	t is an on-going process and eonsists shall consist of participation in the determination of nursing care
18	needs based up	on collection and interpretation of data relevant to the health status of a client.
19	(1)	collection Collection of data consists shall consist of obtaining data from relevant sources regarding
20		the biophysical, psychological, social, and cultural factors of the client's life and the influence
21		these factors have on health status, according to structured written guidelines, policies policies, and
22		forms, and includes: including:
23		(A) subjective reporting;
24		(B) observations of appearance and behavior;
25		(C) measurements of physical structure and physiologic function; and
26		(D) information regarding available resources. resources available to the client.
27	(2)	interpretation Interpretation of data is shall be limited to:
28		(A) participation in the analysis of collected data by recognizing existing relationships between
29		data gathered and a client's health status and treatment regimen; and
30		(B) determining a client's need for immediate nursing interventions based upon data gathered
31		regarding the client's health status, ability to care for self, and treatment regimen regimen.
32		consistent with Paragraph (a)(6) of this Rule.
33	(c) Planning nu	rsing care activities includes shall include participation in the identification of client's needs related to the
34	findings of the	nursing assessment. Components of planning include:
35	(1)	participation in making decisions regarding implementation of nursing intervention and intervention,
36		medical orders orders, and plan of care through the utilization of assessment data;
37	(2)	participation in multidisciplinary planning by providing resource data; and

1	(3)	identi	fication of nursing interventions and goals for review by the registered nurse.
2	(d) Implementa	tion of 1	nursing activities eonsists shall consist of delivering nursing care according to an established
3	health care plan	and as as	ssigned by the registered nurse or other <del>person(s)</del> [ <del>individual(s)</del> ] <u>individuals</u> authorized by law as
4	specified in G.S	<del>. 90-171</del>	<del>20 (8)(c).</del> 90-171.20(8)c.
5	(1)	Nursi	ng activities and responsibilities <mark>which that</mark> may be assigned to the licensed practical nurse shall
6		includ	le:
7		(A)	procuring resources;
8		(B)	implementing nursing interventions and medical orders consistent with Paragraph (b) of this
9			Rule and Paragraph (c) of 21 NCAC 36 .0221 21 NCAC 36 .0221(c) and within an
10			environment conducive to client safety;
11		(C)	prioritizing and performing nursing interventions;
12		(D)	recognizing responses to nursing interventions;
13		(E)	modifying immediate nursing interventions based on changes in a client's status; and
14		(F)	delegating specific nursing tasks as outlined in the plan of care and consistent with Paragraph
15			(d)(2) of this Rule, Rule and 21 NCAC 36 .0401.
16	(2)	The 1	icensed practical nurse may participate, consistent with 21 NCAC 36 .0224(d)(6), in
17		imple	menting the health care plan by assigning nursing care activities to other licensed practical
18		nurses	s and delegating nursing care activities to unlicensed personnel qualified and competent to
19		perfor	m such activities activities, and providing if all of the following criteria are met:
20		(A)	validation of qualifications of personnel to whom nursing activities may be assigned or
21			delegated;
22		(B)	continuous availability of a registered nurse for supervision consistent with 21 NCAC 36
23			.0224(i) and Paragraph (d)(3) of this Rule;
24		(C)	accountability maintained by the licensed practical nurse for responsibilities accepted,
25			including nursing care given by self and by all other personnel to whom such care is assigned
26			or delegated;
27		(D)	participation by the licensed practical nurse in on-going observations of clients and
28			evaluation of clients' responses to nursing actions; and
29		(E)	provision of supervision limited to the validation that tasks have been performed as assigned
30			or delegated and according to established standards of practice.
31	(3)	The do	egree of supervision required for the performance of any assigned or delegated nursing activity
32		by the	e licensed practical nurse when implementing nursing care is shall be determined by variables
33		<del>which</del>	that include, but are not limited to: include:
34		(A)	educational preparation of the licensed practical nurse, including both the basic educational
35			program and the knowledge and skills subsequently acquired by the nurse through continuing
36			education and practice;

	(B)	stability of the client's clinical condition, which involves both the predictability and rate of
		change. When If a client's condition is one in which change is highly predictable and would
		be expected to occur over a period of days or weeks rather than minutes or hours, the
		licensed practical nurse participates may participate in care with minimal supervision. When
		If the client's condition is unpredictable or unstable, the licensed practical nurse participates
		may participate in the performance of the task under close supervision of the registered nurse
		or other person(s) [individual(s)] individuals authorized by law to provide such supervision;
	(C)	complexity of the nursing task task, which is determined by depth of scientific body of
		knowledge upon which the action is based and by the task's potential threat to the client's
		well-being. When If a task is complex, the licensed practical nurse participates shall
		participate in the performance of the task under close supervision of the registered nurse or
		other person(s) [individual(s)] individuals authorized by law to provide such supervision;
	(D)	the complexity and frequency of nursing care needed by a given the client population;
	(E)	the proximity of clients to personnel; personnel in the facility in which the nurse practices;
	(F)	the qualifications and number of staff; personnel in the facility in which the nurse practices;
	(G)	the accessible resources; resources in the facility in which the nurse practices; and
	(H)	established policies, procedures, practices practices, and channels of communication which
		that lend support to the types of nursing services offered.
(e) Evaluation	ı, a compoi	nent of implementing the health care plan, consists shall consist of participation in determining
the extent to v	which desi	red outcomes of nursing care are met and in planning for subsequent eare. Components of
evaluation by	the license	d practical nurse include: care, including:
(1)	collect	ing evaluative data from relevant sources according to written guidelines, policies, and
	forms;	
(2)	recogn	izing the effectiveness of nursing interventions; and
(3)	propos	ing modifications to the plan of care for review by the registered nurse or other person(s)
	[ <del>indivi</del>	dual(s)] individuals authorized by law to prescribe such a plan.
(f) Reporting	and record	ling are shall be those communications required in relation to the aspects of nursing care for
which the lice	nsed practi	cal nurse has been assigned responsibility.
(1)	Report	ing means the communication of information to other persons individuals responsible for or
	involv	ed in the care of the client. The licensed practical nurse is accountable for: shall:
	(A)	directing direct the communication to the appropriate person(s) [individual(s)] individuals;
	(B)	and assure that these communications are consistent with established policies, procedures,
		practices practices, and channels of communication which lend support to types of nursing
		services offered;
	<del>(B)</del> (C)	
		need for care;
	<del>(C)</del> (D)	. <u></u>

1		(D)(E) determining determine whether further communication is indicated.
2	(2)	Recording means the documentation of information on the appropriate client record, nursing care <del>plan</del>
3		plan, or other documents. This documentation must: shall:
4		(A) be pertinent to the client's health <u>care care</u> , including client's response to care provided;
5		(B) accurately describe all aspects of nursing care provided by the licensed practical nurse;
6		(C) be completed within a time period consistent with the client's need for care;
7		(D) reflect the communication of information to other persons; and
8		(E) verify the proper administration and disposal of controlled substances.
9	(g) Collaborati	ing involves communicating and working cooperatively in implementing the health care plan with
10	individuals who	ose services may have a direct or indirect effect upon the client's health care. As delegated by the
11	registered nurse	or other person(s) [individual(s)] individuals authorized by law, the licensed practical nurse's role in
12	collaborating in	client care includes: shall include:
13	(1)	participating in planning and implementing nursing or multidisciplinary approaches for the client's
14		care;
15	(2)	seeking and utilizing appropriate resources in the referral process; and
16	(3)	safeguarding confidentiality.
17	(h) <mark>"Participatir</mark>	ng Participating in the teaching and counseling counseling of clients as assigned by the registered nurse,
18	physician or otl	ner qualified professional licensed to practice in North Carolina is shall be the responsibility of the
19	licensed practic	al nurse. Participation includes: shall include:
20	(1)	providing accurate and consistent information, demonstrations, and guidance to clients, their families
21		<u>families</u> , or significant others <del>regarding the client's health status and health care</del> for the purpose of:
22		(A) increasing knowledge; knowledge regarding the client's health status and health care;
23		(B) assisting the client to reach an optimum level of health functioning and participation in self
24		eare; self-care; and
25		(C) promoting the client's ability to make informed decisions.
26	(2)	collecting evaluative data consistent with Paragraph (e) of this Rule.
27	(i) Accepting	responsibility for self for individual nursing actions, competence competence, and behavior which
28	includes: includ	<mark>ing:</mark>
29	(1)	having knowledge and understanding of the statutes and rules governing nursing;
30	(2)	functioning within the legal boundaries of licensed practical nurse practice; and
31	(3)	respecting client rights and property, property and the rights and property of others.
32		
33	History Note:	Authority G.S. 90-171.20(7),(8); 90-171.23(b); 90-171.43(4);
34		Eff. January 1, 1991;
35		Amended Eff. January 1, 1996;
36		Temporary Amendment Eff. October 24, 2001;
37		Amended Eff. August 1, <mark>2002. 2002;</mark>

<u>Readopted Eff.</u> [November 1, 2018.] [December 1, 2018.] <u>January 1, 2019.</u>

1	21 NCAC 36 .0	0226 is r	eadopted, with changes, as published in 33:1 NCR 29-30 as follows:
2			
3	21 NCAC 36.0		NURSE ANESTHESIA PRACTICE
4	(a) Only <del>those</del>	<u>a</u> regist	ered nurses nurse who meet the qualifications as outlined in Paragraph (b) of this Rule may
5	•		a activities outlined in Paragraph (c) of this Rule.
6	(b) Qualification	ons and l	<del>Definitions:</del>
7	<del>(1)</del>	The r	egistered nurse who completes a program accredited by the Council on Accreditation of Nurse
8		Anest	thesia Educational Programs, is credentialed as a certified registered nurse anesthetist by the
9		Coun	cil on Certification of Nurse Anesthetists, and who maintains recertification through the Council
10		on Re	certification of Nurse Anesthetists, may shall perform nurse anesthesia activities in collaboration
11		with a	a physician, dentist, podiatrist, or other lawfully qualified health care <del>provider, but may <u>provider.</u></del>
12		A nui	rse anesthetist shall not prescribe a medical treatment regimen or make a medical diagnosis
13		excep	ot under the supervision of a licensed physician; and physician.
14	(2)(b) For the	purpose	of this Rule, Collaboration is collaboration means a process by which the certified registered
15	nurse anesthetis	st works	with one or more qualified health care providers, each contributing his or her respective area of
16	expertise consi	stent wi	th the appropriate occupational licensure laws of the State and according to the established
17	policies, proced	dures, <del>pr</del>	actices practices, and channels of communication which that lend support to nurse anesthesia
18	services [ <del>servic</del>	es,] and	which that define the role(s) roles and responsibilities of the qualified nurse anesthetist within the
19	practice setting	. The inc	dividual nurse anesthetist maintains accountability shall be accountable for the outcome of his or
20	her actions.		
21	(c) Nurse Anes	sthesia a	ctivities and responsibilities which that the appropriately qualified registered nurse anesthetist
22	may safely acco	ept <del>are d</del>	<del>ependent</del> shall depend upon the individual's knowledge and knowledge, skills skills, and other
23	variables in eac	h praction	ce setting as outlined in 21 NCAC 36 .0224(a). These activities include: .0224(a), including:
24	(1)	Prean	esthesia preparation and evaluation of the elient to include: client, including:
25		(A)	performing a pre-operative health assessment;
26		(B)	recommending, requesting requesting, and evaluating pertinent diagnostic studies; and
27		(C)	selecting and administering preanesthetic medications.
28	(2)	Anest	thesia induction, maintenance maintenance, and emergence of the client to include:
29		(A)	securing, preparing preparing, and providing safety checks on all equipment, monitors,
30			supplies supplies, and pharmaceutical agents used for the administration of anesthesia;
31		(B)	selecting, implementing, and managing general anesthesia; monitored anesthesia care; and
32			regional anesthesia modalities, including administering anesthetic and related pharmaceutical
33			agents, consistent with the client's needs and procedural requirements;
34		(C)	performing tracheal intubation, extubation extubation, and providing mechanical ventilation;
35		(D)	providing perianesthetic invasive and non-invasive monitoring, recognizing abnormal
36			findings, implementing corrective action, and requesting consultation with appropriately
37			qualified health care providers as necessary;

1		(E)	managing the client's fluid, blood, electrolyte electrolyte, and acid-base balance; and
2		(F)	evaluating the client's response during emergency emergence from anesthesia [anesthesia,]
3			and implementing pharmaceutical and supportive treatment to ensure the adequacy of client
4			recovery from anesthesia.
5	(3)	Postai	nesthesia Care of the elient to include: client, including:
6		(A)	providing postanesthesia follow-up care, including evaluating the client's response to
7			anesthesia, recognizing potential anesthetic complications, implementing corrective actions,
8			and requesting consultation with appropriately qualified health care professionals as
9			necessary;
10		(B)	initiating and administering respiratory support to ensure adequate ventilation and
11			oxygenation in the immediate postanesthesia period;
12		(C)	initiating and administering pharmacological or fluid support of the cardiovascular system
13			during the immediate postanesthesia period;
14		(D)	documenting all aspects of nurse anesthesia care and reporting the client's status,
15			perianesthetic course, and anticipated problems to an appropriately qualified postanesthetic
16			health care provider who assumes the client's care following anesthesia anesthesia consistent
17			with 21 NCAC 36 .0224(f); and
18		(E)	releasing clients from the postanesthesia care or surgical setting as per in compliance with
19			established agency policy.
20	(d) Other clinic	al activi	ties for which the qualified registered nurse anesthetist may accept responsibility shall include,
21	but are not limit	ted to: in	<mark>iclude:</mark>
22	(1)	insert	ing central vascular access catheters and epidural catheters;
23	(2)	identi	fying, responding to to, and managing emergency situations, including initiating and
24		partic	ipating in cardiopulmonary resuscitation;
25	(3)	provio	ding consultation related to respiratory and ventilatory care and implementing such care
26		accord	ding to established policies within the practice setting; and
27	(4)	initiat	ing and managing pain relief therapy <mark>utilizing using</mark> pharmaceutical agents, regional anesthetic
28		techni	iques techniques, and other accepted pain relief modalities according to established policies and
29		protoc	cols within the practice setting.
30			
31	History Note:	Autho	erity G.S. 90-171.20(4); 90-171.20(7); 90-171.21; 90-171.23; 90-171.42(b);
32		Eff. Jı	aly 1, 1993;
33		Temp	orary Amendment Eff. July 25, 1994 for a period of 180 days or until the permanent rule
34		becon	nes effective, whichever is sooner;
35		Amen	ded Eff. December 1, 2010; December 1, <mark><del>1994.</del> <u>1994;</u></mark>
36		<b>Read</b> o	opted Eff. [ <del>November 1, 2018.</del> ] [ <del>December 1, 2018.</del> ] January 1, 2019.

## 21 NCAC 36 .0228 is readopted, with changes, as published in 33:1 NCR 30-32 as follows:

## 21 NCAC 36 .0228 CLINICAL NURSE SPECIALIST PRACTICE

- 4 (a) Effective July 1, 2015, only a registered nurse who meets the qualifications as outlined in Paragraph (b) of this
  5 Rule shall be recognized by the Board as a clinical nurse specialist to perform advanced practice registered nursing
  6 activities as outlined listed in Paragraph (f) of this Rule.
  - (b) The Board of Nursing shall recognize an applicant who:
    - (1) has an <u>unrestricted active</u>, <u>unencumbered</u> license to practice as a registered nurse in North Carolina or a state that has adopted the Nurse Licensure Compact;
    - (2) has an unrestricted previous approval, registration registration, or license as a clinical nurse specialist if previously approved, registered, or licensed as a clinical nurse specialist in another state, territory, or possession of the United States;
    - has successfully completed a master's or higher <u>level</u> degree program <u>that is</u> accredited by a nursing accrediting body approved by the United States Secretary of Education or the Council for Higher Education Accreditation and meets the qualifications for clinical nurse specialist certification by an approved national credentialing body under Part (b)(4)(A) of this Rule; and
    - (4) either:
      - (A) has current certification as a clinical nurse specialist from a national credentialing body approved by the Board of Nursing, Board, as defined in Paragraph (h) of this Rule and 21 NCAC 36.0120(26); or
      - (B) if no clinical nurse specialist certification is available in the specialty, meets requirements determined by the Board to be that are equivalent to national certification. The Board shall determine equivalence based on consideration of an official transcript and course descriptions validating Subparagraph (b)(3) of this Rule, a current curriculum vitae, work history, and professional recommendations indicating evidence of at least 1,000 hours of clinical nurse specialist practice, and documentation of certificates indicating 75 contact hours of continuing education applicable to clinical nurse specialist practice during the previous five years.
    - (c) An applicant certified as a clinical nurse specialist by a national credentialing body prior to January 1, 2007 2007, and who has maintained that certification and active clinical nurse specialist practice, practice and holds a master's or higher degree in nursing or a related field shall be recognized by the Board as a clinical nurse specialist.
- 32 (d) New graduates seeking first-time clinical nurse specialist recognition in North Carolina shall hold a Master's,
- 33 [master's,] master's or doctoral degree or a post master's [post master's,] post-master's certificate or higher degree
- from a clinical nurse specialist program accredited by a nursing accrediting body approved by the U.S. Secretary of
- Education or the Council for Higher Education Accreditation as acceptable by the Board, and meets shall meet all
- requirements in Subparagraph (b)(1) and Part  $\frac{(g)(5)(A)}{(g)(3)(A)}$  of this Rule.

1	(e) A clinical i	nurse specialist seeking Board of Nursing recognition who has not practiced as a clinical nurse
2	specialist in mor	re than two years shall complete a clinical nurse specialist refresher course approved by the Board of
3	Nursing in acco	ordance with 21 NCAC 36 .0220(o) and (p) (p), and consisting of common conditions and their
4	management rela	ated to the clinical nurse specialist's area of education and certification. A clinical nurse specialist
5	refresher course	participant shall be granted clinical nurse specialist recognition that is limited to clinical activities
6	required by taug	ht in the refresher course.
7	(f) The scope of	f practice of a clinical nurse specialist incorporates shall incorporate the basic components of nursing
8	practice as defin	ed in Rule .0224 of this Section as well as the understanding and application of nursing principles at
9	an advanced pra	ctice registered nurse level in the area of clinical nursing specialization in which the clinical nurse
10	specialist is educ	cationally prepared and for which competency has been maintained that includes the following: is
11	maintained, inclu	<mark>uding:</mark>
12	(1)	assessing clients' health status, synthesizing [synthesizing,] and analyzing multiple sources of data,
13		and identifying alternative possibilities as to the nature of a healthcare problem;
14	(2)	diagnosing and managing clients' acute and chronic health problems within an advanced practice
15		nursing framework;
16	(3)	assessing for and monitoring the usage and effect of pharmacologic agents within an advanced
17		practice nursing framework;
18	(4)	formulating strategies to promote wellness and prevent illness;
19	(5)	prescribing and implementing therapeutic and corrective non-pharmacologic nursing
20		interventions;
21	(6)	planning for situations beyond the clinical nurse specialist's expertise, expertise and consulting
22		with or referring clients to other health care providers as appropriate;
23	(7)	promoting and practicing in collegial and collaborative relationships with clients, families, other
24		health care professionals professionals, and individuals whose decisions influence the health of
25		individual clients, families families, and communities;
26	(8)	initiating, establishing establishing, and utilizing using measures to evaluate health care outcomes
27		and modify nursing practice decisions;
28	(9)	assuming leadership for the application of research findings for the improvement of health care
29		outcomes; and
30	(10)	integrating education, consultation, management, leadership, and research into the clinical nurse
31		specialist role.
32	(g) A registered	nurse seeking recognition by the Board as a clinical nurse specialist shall:
33	(1)	complete the appropriate application that shall include includes the following:
34		(A) evidence of a masters, master's or doctoral degree or a post-master's eertificate
35		certificate, or doctoral degree as set out in Subparagraph (b)(3) or Paragraph (d) of this
36		Rule; <del>and,</del> and either

I		(B) evidence of current certification in a clinical nursing specialty from a national
2		credentialing body body, as set out in Part (b)(4)(A) of this Rule; or
3		(C) meet requirements as set out in Part (b)(4)(B) of this Rule;
4	(2)	renew the recognition every two years at the time of registered nurse renewal; and
5	(3)	either:
6		(A) submit evidence of initial certification and re-certification by a national credentialing
7		body at the time such occurs in order to maintain Board of Nursing recognition
8		recognition, consistent with Paragraphs (b) and (h) of this Rule; or
9		(B) if subject to Part (b)(4)(B) of this Rule, submit evidence of at least 1,000 hours of
10		practice and 75 contact hours of continuing education every five years.
11	(h) The Board	d of Nursing may shall approve those national credentialing bodies offering certification and
12	recertification in	a clinical nursing specialty that have established the following minimum requirements:
13	(1)	unrestricted licensure as an unrestricted a registered nurse license; nurse; and
14	(2)	certification as a clinical nurse specialist shall be that is limited to applicant prepared with a
15		masters, [master's,] master's or doctoral degree or a post-master's eertificate, or doctorally
16		<del>prepared applicant.</del> <u>certificate.</u>
17		
18	History Note:	Authority G.S. 90-171.20(4); 90-171.20(7); 90-171.21(d)(4); 90-171.23(b); 90-171.27(b); 90-
19		171.42(b);
20		Eff. April 1, 1996;
21		Amended Eff. January 1, 2015; April 1, 2008; January 1, 2007; November 1, 2005; August 1,
22		2005; April 1, <mark>2003.</mark>
23		<u>Readopted Eff. [November 1, 2018.]</u> [December 1, 2018.] January 1, 2019.

1	21 NCAC 36 .0	232 is readopted, with changes, as published in 33:1 NCR 32-33 as follows:
2		
3	21 NCAC 36 .0	232 CONTINUING COMPETENCE
4	(a) Effective Ju	ly 1, 2006, upon Upon application for license renewal or reinstatement, each licensee shall:
5	(1)	Complete complete a self-assessment of practice practice, including the dimensions of professional
6		responsibility, knowledge based practice, legal/ethical legal and ethical practice practice, and
7		collaborating with others;
8	(2)	Develop develop a plan for continued learning; and
9	(3)	Select select and implement a learning activity option from those outlined in Paragraph (b) of this
10		Rule.
11	(b) Effective Ju	aly 1, 2008, upon Upon application for license renewal or reinstatement, each licensee shall attest to
12	having complete	ed one of the following learning activity options during the preceding renewal cycle and be prepared to
13	submit evidence	of completion if requested by the Board:
14	(1)	National Certification national certification or re-certification related to the nurse's practice role by a
15		national credentialing body recognized by the Board, consistent with 21 NCAC 36 .0120 and 21
16		NCAC 36 .0801;
17	(2)	Thirty 30 contact hours of continuing education activities related to the nurse's practice;
18	(3)	Completion completion of a Board approved Board-approved refresher course, consistent with 21
19		NCAC 36 .0220 and 21 NCAC 36 .0808(d);
20	(4)	Completion completion of a minimum of two semester hours of post-licensure academic education
21		related to nursing practice;
22	(5)	Fifteen 15 contact hours of a continuing education activity related to the nurse's practice and
23		completion of a nursing project as principal or co-principal investigator to include including a
24		statement of the problem, project objectives, methods methods, and a summary of findings;
25	(6)	Fifteen 15 contact hours of a continuing education activity related to the nurse's practice and authoring
26		or co-authoring a published nursing-related article, paper, book book, or book chapter;
27	(7)	Fifteen 15 contact hours of a continuing education activity related to the nurse's practice and
28		designing, developing, and conducting an educational presentation or presentations totaling a
29		minimum of five contact hours for nurses or other health professionals; or
30	(8)	Fifteen 15 contact hours of a continuing education activity related to the nurse's practice and 640 hours
31		of active practice within the previous two years.
32	(c) The following	ing documentation shall be accepted as evidence of completion of learning activity options outlined
33	<u>described</u> in Par	ragraph (b) of this Rule:
34	(1)	Evidence of national certification shall include that includes a copy of a certificate which includes that
35		states name of licensee, name of certifying body, date of certification, date of certification expiration.
36		Certification shall be initially attained during the licensure period, et have been in effect during the
37		entire licensure period, or have been re-certified during the licensure period.

- Evidence of contact hours of continuing education shall include that includes the name of the licensee;

  licensee, title of educational activity, name of the provider, number of contact hours hours, and date of activity.
  - (3) Evidence of completion of a Board approved Board-approved refresher course shall include that includes written correspondence from the provider with stating the name of the licensee, name of the provider, and verification of successful completion of the course.
  - (4) Evidence of post-licensure academic education shall include that includes a copy of a transcript with stating the name of the licensee, name of educational institution, date of attendance, name of course with grade grade, and number of credit hours received.
  - (5) Evidence of completion of a nursing project shall include an abstract or summary of the project, the name of the licensee, role of the licensee as principal or co-principal investigator, date of project completion, statement of the problem, project objectives, methods <u>used</u> <u>used</u>, and <u>a</u> summary of findings.
  - (6) Evidence of authoring or co-authoring a published nursing-related article, paper, book book, or book chapter which shall include that includes a copy of the publication to include stating the name of the licensee and publication date.
  - (7) Evidence of developing and conducting an educational presentation or presentations totaling at least five contact hours for nurses or other health professionals shall include that includes a copy of the program brochure or course syllabi, objectives, content and teaching methods, and date and location of presentation.
  - (8) Evidence of 640 hours of active practice in nursing shall include documentation of the name of the licensee, number of hours worked in calendar or fiscal year, name and address of employer employer, and signature of supervisor. If self-employed, hours worked may shall be validated through other methods such as tax records or other business records. If active practice is of a volunteer or gratuitous nature, hours worked may shall be validated by the recipient agency.
- (d) A licensee shall retain supporting documentation to provide proof of completion of the option chosen in Paragraph
   (b) of this Rule throughout the renewal cycle. for three years.
- 28 (e) Effective July 1, 2008, at At the time of license renewal or reinstatement, licensees may shall be subject to audit for proof of compliance with the Board's requirements for continuing competence.
- 30 (f) The Board shall inform licensees of their selection for audit <del>upon notice</del> at the time of license renewal or request for 31 reinstatement. Documentation of acceptable evidence shall be consistent with Paragraph (c) of this Rule and shall be 32 submitted to the Board no later than the last day of the renewal month.
- (g) Failure of a licensee to meet the requirements of this Rule at the time of renewal shall result in disciplinary action
   pursuant to G.S. 90 171.37 and 21 NCAC 36 .0217. the license not being renewed until evidence of compliance is
   submitted and approved by the Board.
- 36 (h) Licensee shall not be reinstated until licensee has met all of the requirements of this Rule.

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History Note: Authority G.S. 90-171.23(b); 90-171.37(1) and (8);
 Eff. May 1, 2006;
 Amended Eff. November 1, 2008 2008;
 Readopted Eff. [November 1, 2018.] [December 1, 2018.] January 1, 2019.

1	21 NCAC 36 .0	233 is readopted, with changes, as published in 33:1 NCR 33 as follows:
2		
3	21 NCAC 36 .0	OUT OF STATE STUDENTS
4	(a) Unlicensed	nursing students enrolled in <del>out of state</del> [ <del>out-of-state</del> ] <u>out-of-State</u> nursing education programs who <del>are</del>
5	requesting utiliz	zation request use of North Carolina clinical facilities shall be allowed such experiences following
6	approval by the	Board of Nursing. Board. Upon receiving such a request, the chief nursing administrator of a North
7	Carolina clinica	al facility shall provide the Board with the following at least 30 days prior to the start of the requested
8	experience:	
9	(1)	Letter a letter of request for approval to provide the clinical offering offering, including proposed
10		starting and completion dates;
11	(2)	Documentation documentation that the nursing program is currently approved by the Board of Nursing
12		in the state in which the parent institution is located;
13	(3)	Name, the name, qualifications qualifications, and evidence of current RN an active, unencumbered
14		registered nurse licensure of the faculty responsible for coordinating the student's experience; and
15	(4)	Name, the name, qualifications qualifications, and evidence of current active unencumbered license to
16		practice as an RN a registered nurse in NC North Carolina for the preceptor or on-site faculty.
17	(b) Copies of the	ne following shall be distributed by the chief nursing administrator of the clinical facility to all students
18	and faculty invo	olved in the clinical experiences:
19	(1)	North Carolina Nursing Practice Act;
20	(2)	North Carolina administrative rules and related interpretations provided by the Board regarding the
21		role of the RN, registered nurse, LPN, licensed practical nurse, and unlicensed nursing personnel; and
22	(3)	North Carolina Board of Nursing developed Suggestions for Utilization of Preceptors.
23	(c) Failure to co	ontinue in compliance with the requirements in of Paragraph (a) of this Rule shall result in the immediate
24	withdrawal of th	ne Board's approval of the clinical offering and student status status, consistent with G.S. 90-171.43(2).
25		
26	History Note: A	uthority G.S. 90-85.3; 90-171.23(b) 90-171.43; 90-171.83;
27		Eff. April 1, 2008.
28		[Amended Eff. November 1, 2018.]
29		Readopted Eff. [November 1, 2018.] [December 1, 2018.] January 1, 2019.

I	21 NCAC 36 .0	302 is readopted, with changes, as published in 33:1 NCR 33-35 as follows:
2		
3	21 NCAC 36 .0	302 ESTABLISHMENT OF A NURSING PROGRAM - INITIAL APPROVAL
4	(a) At least six	months prior to the proposed enrollment of students in a nursing program, an An institution seeking
5	[ <del>Initial</del> ] <u>initial</u> a	pproval [Approval] to operate a nursing program shall employ a program director qualified pursuant
6	to <del>21 NCAC 36</del>	.0317(c) to develop an application documenting the following: Rule .0317(c) of this Section.
7	(b) The program	n director shall submit an [Application] application for [Initial Approval] initial approval at least six
8	months prior to	the proposed program start date [which] that documents the following:
9	(1)	a narrative description of the organizational structure of the program and its relationship to the
10		controlling institution, including accreditation status. The controlling institution shall be an
11		accredited institution;
12	(2)	a general overview of the entire proposed curriculum that includes:
13		(A) the program philosophy, purposes, and objectives;
14		(B) a master plan of the curriculum, indicating the sequence for both nursing and non-nursing
15		courses, as well as prerequisites and corequisites;
16		(C) course descriptions and course objectives for all courses; and
17		(D) course syllabi pursuant to 21 NCAC 36. 0321(i) for all first-year nursing courses;
18	(3)	the proposed student population;
19	(4)	the projected student enrollment;
20	(5)	evidence of learning resources and clinical experiences available to implement and maintain the
21		program;
22	(6)	financial resources adequate to begin and maintain the program;
23	(7)	physical facilities adequate to house the program;
24	(8)	support services available to the program from the controlling institution;
25	(9)	approval of the program by the governing body of the controlling institution; and
26	(10)	a plan with a specified time frame for:
27		(A) availability of qualified faculty as specified in 21 NCAC 36 .0318;
28		(B) course syllabi as specified in 21 NCAC 36. 0321(h) of this Section for all nursing
29		courses;
30		(C) student policies for admission, progression, and graduation of students, pursuant to 21
31		NCAC 36 .0320 of this Section; .0320: and
32		(D) comprehensive program evaluation evaluation. pursuant to 21 NCAC 36 .0317(d).
33	$\frac{(b)(c)}{(c)}$ The app	olication to establish a nursing program shall be on a Board form, contain current and accurate
34	information req	uired in Paragraph (a) of this Rule, be complete, and be signed by the program director and the chief
35	executive office	r of the controlling institution.
36	(e)(d) The com	pleted application shall be received by the Board not less than 120 days prior to a regular meeting of
37	the Board to be	considered for placement on the agenda of that meeting.

1	(e) If another program exists in the institution, the application shall include:
2	(1) the organizational relationship of the existing program and the proposed
3	program in the institution;
4	(2) the NCLEX pass rate of the existing program for the past three years; and
5	(3) a description of the expected impact of the proposed program on the existing [program] program,
6	including:
7	(A) the availability of a program director for each program;
8	(B) the availability of qualified faculty;
9	(C) the physical facilities adequate to house both programs;
10	(D) the availability of learning resources;
11	(E) the availability of clinical experiences; and
12	(F) the adequacy of student services.
13	(f) No new program application shall be considered [when] if a nursing program currently exists in the institution if
14	(1) the NCLEX pass rate of the existing program has not met the standard for the past three years;
15	years, pursuant to 21 NCAC 36.0320(e); and
16	(2) resources are not demonstrated to be adequate to maintain both the existing and the proposed
17	program in compliance with Rules [0300] .0300 to [0323] .0323 of this Section.
18	(g) Programs on initial approval may admit students.
19	(d)[(g)](h) The Board shall conduct an on-site survey of the proposed program after the application meets all the
20	requirements set forth in this Rule, shall prepare a survey report, and afford the petitioning institution an opportunity
21	to respond to the survey report.
22	(e)[(h)](i) The Board shall consider all evidence, including the application, the survey report, comments from
23	representatives of the petitioning institution, public comments, and the status of other nursing programs at the
24	institution in determining whether to approve the application.
25	(f)[(i)](j) If the Board finds, from the evidence presented, that the resources and plans meet all requirements set
26	forth in this Rule for establishing a new nursing program, application is approved, the Board shall grant Initia
27	Approval, initial approval and shall establish a maximum enrollment and implementation date.
28	(g) If the Board determines that a proposed program does not comply with all rules, Initial Approval shall be
29	<del>denied.</del>
30	(h)[(j)](k) The Board shall rescind the Initial Approval, initial approval of a program if the controlling institution
31	fails to submit documentation as set forth in the plan required by Subparagraph $\frac{(a)(10)}{(b)(10)}$ of this Rule.
32	(i)[(k)]]) The Board shall rescind the Initial Approval, initial approval of a program if the first class of students is
33	not enrolled in the program within one year after issuing the Initial Approval, initial approval.
34	(j)[(1)](m) For 12 months following rescission of approval, the controlling institution shall not submit an
35	application for establishing a nursing program.

1 A program shall retain Initial Approval Status initial approval status for the time necessary for full  $\frac{(k)}{(m)}$ implementation of the eurriculum curriculum provided that the program complies with Section .0300 of this 2 3 Chapter. 4 (h)(n)(o) Programs with Initial Approval, initial approval shall be surveyed: 5 (1) during the final term of curriculum implementation of the program; and 6 (2) upon receipt by the Board of information that the program may not be complying with Section 7 .0300. 8 (m)[(o)](p) If at any time it comes to the attention of the Board that a program on Initial Approval, initial approval 9 is not complying with Section .0300 of this Chapter, the program, upon written notification, shall: 10 correct the area of noncompliance and submit written evidence of this correction to the Board; or (1) 11 (2) submit and implement a plan for correction to the Board. (n)[(p)](q) The Board shall rescind the Initial Approval, initial approval of a program if the Board determines that 12 13 the program does not comply with Paragraph (m) (o) of this Rule. 14 (e)(1)(1) If, following the survey and during final curriculum implementation, the Boards Board finds that the 15 program is complying with Section .0300 of this Chapter, the Board shall place the program on Full Approval full <mark>approval</mark> status. 16 17 If, following the survey and during final curriculum implementation, the Board finds that the program  $\frac{(p)[(r)](s)}{(s)}$ 18 does not comply with the Section .0300 of this Chapter, the Board shall rescind the program's Initial Approval, 19 initial approval and provide the program with written notice of the Board's decision. 20 Upon written request from the program submitted within 10 business days of the Board's written notice 21 of rescinding the Initial Approval, initial approval, the Board shall schedule a hearing within 30 business days from 22 the date on which the request was received. at the next available meeting of the Board for which appropriate notice can be provided, or at a meeting of the Board that is scheduled by consent of the parties. 23 24 Following the hearing and consideration of all evidence provided, the Board shall assign the program 25 Full Approval full approval status or shall enter an Order rescinding the Initial Approval, initial approval status, 26 which shall constitute program closure pursuant to 21 NCAC 36 .0309. 27 28 History Note: Authority G.S. 90-171.23(b)(8); 90-171.38; 29 Eff. February 1, 1976; 30 Amended Eff. June 1, 1992; January 1, 1989; November 1, 1984; May 1, 1982; 31 Temporary Amendment Eff. October 11, 2001; 32 Amended Eff. December 1, 2016; January 1, 2009; December 1, 2005; August 1, 2002; Readopted Eff. [November 1, 2018.] [December 1, 2018.] January 1, 2019. 33

#### 1 21 NCAC 36 .0303 is readopted, with changes, as published in 33:1 NCR 35-36 as follows: 2 3 21 NCAC 36 .0303 EXISTING NURSING PROGRAM (a) All nursing programs under the authority of the Board that are governed by the rules in this Chapter may obtain 4 5 national program accreditation by a nursing accreditation body as defined in 21 NCAC 36.0120(29). 36.0120(30). 6 (b) Board action is based upon each program's performance and demonstrated compliance [to] with the Board's 7 requirements and responses to the Board's recommendations. The Board may, depending on the severity and pattern 8 of [violations,] violations of this Chapter, require corrective action for identified deficiencies, impose a monitoring 9 plan, conduct a program survey, change program approval status, issue discipline, or close a program. 10 (b)(c) Full Approval 11 (1) The Board shall review approved programs at least every eight years as specified in G.S. 90-12 171.40. Reviews of individual programs shall be conducted at shorter intervals upon request from 13 the individual institution or as considered necessary by the Board. National accreditation self-14 study reports shall provide a basis for review for of accredited programs. 15 (2) The Board shall send a written report of the review no more than 20 business days following the 16 completion of the review process. Responses from a nursing education program regarding a review report or Warning Status warning status as referenced in Paragraph (e) (d) of this Rule 17 18 shall be received in the Board office by the deadline date specified in the letter accompanying the report or notification of Warning Status warning status. If no materials or documents are received 19 20 by the specified deadline date, the Board shall act upon the findings in the review report and the 21 testimony of the Board staff. 22 If the Board determines that a program has complied with the rules in this Section, the program 23 shall be continued on Full Approval status. If the Board determines finds a pattern of noncompliance with one or more rules in this Section, a 24 <del>(4)(3)</del> review shall be conducted. The program shall submit to the Board a plan of compliance to correct 25 26 the identified pattern. Failure to comply with the correction plan shall result in withdrawal of approval, constituting program closure, consistent with 21 NCAC 36 .0309. the Board may take 27 28 action as outlined in (b) of this Section. 29 (e)(d) Warning Status 30 (1) If the Board determines finds that a program is not complying with the rules Rules in this Section, the Board shall [may] assign the program Warning Status warning status and shall give written 31 32 notice by certified mail to the program specifying: 33 (A) the areas in which there is noncompliance; 34 (B) the date by which the program must comply with the rules in this Section. The maximum 35 time for compliance is shall be two years after issuance of the written notice; and

1		(C) the opportunity to schedule a hearing. Any request for a hearing regarding the program
2		Warning Status warning status shall be submitted to the Board. A hearing shall be
3		afforded pursuant to the provisions of G.S. 150B, Article 3A.
4	(2)	On or before the required date of compliance identified in this Paragraph, specified in Part
5		(s)(1)(B) of this Rule if the Board determines that the program is complying with the rules in this
6		Section, the Board shall assign the program Full Approval Status. full approval status.
7	(3)	If the Board finds the program is not in compliance with the rules in this Section by the date
8		specified in Part (e)(1)(B) (d)(1)(B) of this Rule, the program shall remain on Warning Status
9		warning status, and, and:
10		(A) a review by the Board shall be conducted during that time; time and the Board shall either
11		(B) following review, the Board may continue the program on Warning Status warning
12		<u>status;</u> or
13		(C) the Board may withdraw approval, constituting a program closure consistent with
14		Subparagraph (b)(4) of this Rule.
15	(4)	Upon written request from the program submitted within 10 business days of the Board's written
16		notice of Warning Status warning status, the Board shall schedule a hearing within 30 business
17		days after the date on which the request was received. at the next available meeting of the Board
18		for which appropriate notice can be provided, or at a meeting of the Board that is scheduled by
19		consent of the parties.
20	(5)	When a hearing is held at the request of the program and the Board determines the program is in
21		compliance with the rules in this Section, the Board shall assign the program Full Approval Status.
22	<del>(6)</del> (5)	When If a hearing is held at the request of the program and the Board determines that the program
23		is not in compliance with the rules in this Section, the program shall remain on Warning Status
24		warning status, and, and:
25		(A) a review by the Board shall be conducted during that time; time and the Board shall either
26		(B) following review, the Board may continue the program on Warning Status warning
27		status: or
28		(C) the Board may withdraw approval, constituting program closure consistent with
29		Subparagraph $\frac{(b)(4)}{(c)(4)}$ of this Rule.
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31	History Note:	Authority G.S. 90-171.23(b); 90-171.38; 90-171.39; 90-171.40;
32		Eff. February 1, 1976;
33		Amended Eff. December 1, 2016; August 1, 2011; July 3, 2008; March 1, 2006; January 1, 2004;
34		June 1, 1992; January 1, <mark>1989. <u>1989:</u></mark>
35		Readopted Eff. [November 1, 2018.] [December 1, 2018.] January 1, 2019.

1 21 NCAC 36 .0309 is readopted, with changes, as published in 33:1 NCR 36 as follows: 2 PROCESS FOR PROGRAM CLOSURE 3 21 NCAC 36 .0309 4 (a) When the controlling institution makes the decision to close a nursing program, the Administration of the 5 institution shall submit a written plan for the discontinuation of the program to the Board and shall include the 6 reason(s) reasons for program closure, the date of intended closure, and a plan for students to complete this or 7 another approved program. 8 (b) When the Board closes a nursing program, the program director shall, within 30 days, develop and submit a plan 9 for discontinuation of the program for Board approval. The plan shall address transfer of students to approved 10 programs. 11 (c) The controlling institution shall notify the Board of the arrangement for secure storage and access to academic 12 records and transcripts. 13 14 Authority G.S. 90-171.38; 90-171.39; 90-171.40; History Note: 15 Eff. June 1, 1992; Amended Eff. December 1, 2016; December 1, 2005; 16 Readopted Eff. [November 1, 2018.] December 1, 2018.] January 1, 2019. 17

## 21 NCAC 36 .0317 is readopted, with changes, as published in 33:1 NCR 36-37 as follows:

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#### 21 NCAC 36 .0317 ADMINISTRATION

- 4 (a) The controlling institution of a nursing program shall provide those human, physical, technical, and financial
- 5 resources and services essential to support program processes and outcomes, including those listed in Paragraph (d)
- 6 (f) and (e) (g) of this Rule, and maintain compliance with Section .0300 of this Chapter.
- 7 (b) A The controlling institution shall ensure that a full-time registered nurse nurse, qualified pursuant to Paragraph
- 8 (c) (e) of this Rule shall have Rule, has the authority for the direction of to direct the nursing program.
- 9 (c) This authority The controlling institution shall ensure that the program director shall encompass responsibilities
- 10 have has the authority and responsibility for maintaining compliance with rules the Rules in this Chapter and other
- legal requirements in all areas of the program.
- 12 (d) The controlling institution shall ensure that the program director shall have has non-teaching time sufficient to
- 13 allow for program organization, administration, continuous review, planning, and development.
  - (e)(e) Program The program director in a program preparing students for initial nurse licensure shall satisfy the
- 15 following requirements:
  - (1) hold a current unrestricted an active unencumbered license or multistate licensure privilege to practice as a registered nurse in North Carolina;
  - (2) have two years of full-time experience as a faculty member in a Board-approved nursing program;
  - (3) be experientially qualified qualified, having clinical nursing experience, experience as a faculty member in a nursing program, and academic or nursing leadership experience to lead the program to accomplish the mission, goals, and expected program outcomes;
  - (4) hold either a baccalaureate in nursing or a graduate degree in nursing from an accredited institution. If newly employed on or after January 1, 2016, hold a graduate degree from an accredited institution. If newly employed on or after January 1, 2021, hold a graduate degree in nursing from an accredited institution;
  - (5) prior to or within the first three years of employment, have education in teaching and learning principles for adult education, including curriculum development, implementation, and evaluation, appropriate to the program director role. Once completed, this preparation need not be repeated if employing organization is changed. This education preparation may be demonstrated by one of the following:
    - (A) completion of 45 contact hours of Board-approved continuing education courses;
    - (B) completion of a certificate program in nursing education;
    - (C) nine semester hours of graduate course work in adult learning and learning principles;
  - (D) national certification in nursing education; or
    - (E) documentation of successful completion of structured, individualized development activities of at least 45 contact hours approved by the Board. Criteria for approval shall include content in the faculty role within the in curriculum implementation, curricular

I		objectives to be met and evaluated, review of strategies for identified student population,
2		and expectations of student and faculty performance; or performance.
3	[ <del>(F)</del> ] [any]	Any registered nurse who was employed as a nurse program director for the first time prior to
4	<u>Januar</u>	y 1, 1984 [is] shall be exempt from the requirements in [ <del>(5)(E).</del> ] [this Subparagraph.] Part (e)(5).
5	(6)	maintain competence in the areas of assigned responsibility; and
6	(7)	have eurrent knowledge of current nursing practice for the registered nurse and the licensed
7		practical nurse.
8	$\frac{(d)(f)}{(d)}$ The A	nursing education program shall implement, for quality improvement, a comprehensive program
9	evaluation that	shall include the following:
10	(1)	students' achievement of program outcomes;
11	(2)	evidence of program resources, including fiscal, physical, human, clinical, and technical learning
12		resources; student support services; and the availability of clinical sites and the viability of those
13		sites adequate to meet the objectives of the program;
14	(3)	measures of program outcomes for graduates;
15	(4)	evidence that accurate program information for the public is available;
16	(5)	evidence that the controlling institution and its administration support program outcomes;
17	(6)	evidence that program director and program faculty meet Board qualifications and are sufficient in
18		number to achieve program outcomes;
19	(7)	evidence that the academic institution assures security of student information;
20	(8)	evidence that collected evaluative data is utilized used in implementing quality improvement
21		activities; and
22	(9)	evidence of student participation in program planning, implementation, evaluation, and continuous
23		improvement.
24	(e)(g) The con	trolling institution and the nursing education program shall communicate information describing the
25	nursing education	on program that is accurate, complete, consistent across mediums, and accessible by the public. The
26	following shall	be accessible to all applicants and students:
27	(1)	admission policies and practices;
28	(2)	policy on advanced placement and transfer of credits;
29	(3)	the number of credits required for completion of the program;
30	(4)	tuition, fees, and other program costs;
31	(5)	policies and procedures for withdrawal, including refund of tuition or fees;
32	(6)	the grievance procedure;
33	(7)	criteria for successful progression in the program, including graduation requirements; and
34	(8)	policies for clinical performance.
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36	History Note:	Authority G.S. 90-171.23(b)(8); 90-171.38;
37		Eff. June 1, 1992;

1 Amended Eff. December 1, 2016; January 1, 2015; April 1, 2008; March 1, 2006; 2006; 2 Readopted Eff. [November 1, 2018.] [December 1, 2018.] January 1, 2019.

# 21 NCAC 36 .0318 is readopted, with changes, as published in 33:1 NCR 37-38 as follows:

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- 21 NCAC 36 .0318 FACULTY
- 4 (a) All Nursing nursing program faculty shall faculty. [to] include including both full-time and part-time faculty
- 5 members. Part time faculty members, shall participate in curriculum implementation and evaluation.
- 6 (b) Policies for nursing program faculty members shall be consistent with those for other faculty of the controlling
- 7 institution. institution, with Variations variations as needed in these policies may shall be necessary due to the nature
- 8 of the nursing curriculum.
- 9 (c) Fifty percent or more of the nursing faculty shall hold a graduate degree.
- 10 (d) As of January 1, 2021, at least 80 percent of the full-time faculty shall hold a graduate degree in nursing.
- (e) As of January 1, 2021, at least 50 percent of the part-time faculty shall hold a graduate degree in nursing.
- 12 (f) All faculty shall hold a current unrestricted an active unencumbered license or multistate licensure privilege to 13 practice as a registered nurse in North Carolina.
- 14 (g) Nurses licensed pursuant to this Chapter who are full-time and part-time faculty and who teach in a program leading to initial licensure as a nurse shall:
  - (1) hold either a baccalaureate in nursing or a graduate degree in nursing from an accredited institution;
  - (2) have two calendar years or the equivalent of full-time clinical experience as a registered nurse;
  - (3) if newly employed in a full-time faculty position on or after January 1, 2016, hold a graduate degree from an accredited institution or obtain a graduate degree in nursing from an accredited institution within five years of initial full-time employment;
  - (4) prior to or within the first three years of employment, have education in teaching and learning principles for adult education, including curriculum development, implementation, and evaluation, appropriate to faculty assignment. Once completed, this preparation need not be repeated if employing organization is changed. This preparation may be demonstrated by one of the following:
    - (A) completion of 45 contact hours of Board-approved continuing education courses;
    - (B) completion of a certificate program in nursing education;
    - (C) nine semester hours of graduate course work in adult learning and learning principles;
    - (D) national certification in nursing education; or
    - (E) documentation of successful completion of structured, individualized development activities of at least 45 contact hours approved by the Board. Criteria for approval <u>shall</u> include content in the faculty role in the curriculum implementation, curricular objectives to be met and evaluated, review of strategies for identified student population, and expectations of student and faculty performance; [or] performance.
- 36 [F] [any] Any registered nurse who was employed as a nurse faculty member or program director prior to
  37 January 1, 1984 [is] shall be exempt from the requirements in .0318(g)(4) as noted above.

1	(5)	maintain competence in the areas of assigned responsibility; and
2	(6)	have eurrent knowledge of current nursing practice for the registered nurse and the licensed
3		practical nurse.
4	(h) Interdiscipl	inary faculty who teach in nursing program courses shall have academic preparation in the content
5	area they are tea	aching.
6	(i) Clinical pre	eceptors shall have competencies, assessed by the nursing program, related to the area of assigned
7	clinical teaching	g <del>responsibilities and shall serve as role models to students.</del> <u>responsibilities.</u> Clinical preceptors may
8	be used to enha	ance faculty-directed clinical learning experiences after a student has received basic instruction for
9	that specific lea	arning experience. Clinical preceptors shall hold a current, unrestricted an active unencumbered
10	license to practi	ce as a registered nurse in North Carolina.
11	(j) Nurse facult	y members shall have the authority and responsibility for:
12	(1)	student admission, progression, and graduation requirements; and
13	(2)	the development, implementation, and evaluation of the curriculum.
14	(k) Nurse facul	ty members shall be academically qualified and sufficient in number to implement the curriculum as
15	required by the	course objectives, the levels of the students, the nature of the learning environment, and to provide
16	for teaching, su	pervision, and evaluation.
17	(l) The faculty-	student ratio for faculty-directed preceptor clinical experiences shall be no larger greater than 1:15.
18	The faculty-stud	lent ratio for all other clinical experiences shall be no larger greater than 1:10.
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20	History Note:	Authority G.S. 90-171.23(b)(8); 90-171.38; 90-171.83;
21		Eff. February 1, 1976;
22		Amended Eff. December 1, 2016; January 1, 2015; August 1, 2011; November 1, 2008; July 1,
23		2006; July 1, 2000; January 1, 1996; June 1, 1992; January 1, 1989; January 1, <mark>1984. 1984;</mark>
24		Readopted Eff. [November 1, 2018.] [December 1, 2018.] January 1, 2019.

#### 1 21 NCAC 36 .0320 is readopted, with changes, as published in 33:1 NCR 38 as follows: 2 3 **STUDENTS** 21 NCAC 36 .0320 4 (a) Students in nursing programs shall meet requirements established by the controlling institution. 5 (b) Admission requirements and practices shall be stated and published in the controlling institution's publications 6 and shall include assessment of the student's: 7 (1) record of high school graduation, high school high school equivalent, or earned credits from a 8 post-secondary institution; 9 (2) achievement potential through the use of previous academic records and pre-entrance examination 10 cut-off scores that are consistent with curriculum demands and scholastic expectations; and 11 (3) physical and emotional health that would provide evidence that is indicative of the applicant's 12 ability to provide safe competent nursing care to the public. 13 (c) The number of students enrolled in nursing courses shall not exceed by more than 10 students the maximum 14 number approved by the Board, as established pursuant to 21 NCAC 36 .0302(f) and 21 NCAC 36 .0321(k). 15 (d) The nursing program shall publish policies in a nursing student handbook and college catalog that provide for 16 identification and dismissal of students who: 17 (1) present physical or emotional problems which that conflict with the safety essential to nursing 18 practice and do not respond to treatment or counseling within a timeframe that enables meeting 19 program objectives; 20 (2) demonstrate behavior which that conflicts with the safety essential to nursing practice; or 21 (3) fail to demonstrate professional behavior, including honesty, integrity, and appropriate use of 22 social media, while in the nursing program of study. 23 (e) The nursing program shall maintain a three-year average at or above 95 percent of the national pass rate for licensure level pass rate on first writing of the licensure examination for calendar years ending December 31. 24 25 (f) The controlling institution shall publish policies in a nursing student handbook and college catalog for transfer of 26 credits or for admission to advanced placement, and the nursing program shall determine the total number 27 of nursing courses or credits awarded for advanced placement. 28 29 History Note: Authority G.S. 90-171.23(b)(8); 90-171.38; 90-171.43; 30 Eff. February 1, 1976; 31 Amended Eff. December 1, 2016; January 1, 2006; August 1, 1998; January 1, 1996; June 1, 32 1992; January 1, 1989; January 1, <del>1984.</del> <u>1984;</u>

Readopted Eff. [November 1, 2018.] [December 1, 2018.] January 1, 2019.

1	21 NCAC 36 .03	21 is readopted, with changes, as published in 33:1 NCR 38-39 as follows:
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3	21 NCAC 36 .03	21 CURRICULUM
4	(a) The nursing p	program curriculum shall:
5	(1)	be planned by nursing program faculty;
6	(2)	reflect the stated program philosophy, purposes, and objectives objectives, pursuant to 21 NCAC
7		36 .0302(a)(2);
8 9	(3)	be consistent with Article 9A of G.S. 90 and the Rules in this Chapter governing the practice of nursing;
10	(4)	define the level of performance required to pass each course in the curriculum;
11	(5)	enable the a student to develop the nursing knowledge, skills skills, and abilities necessary for
12		competent practice consistent with the level of licensure and scope as set forth in 21 NCAC 36
13		.0221, .0224, .0225, and .0231;
14	(6)	include content in the biological, physical, social, and behavioral sciences to provide a foundation
15		for safe [competent,] competent and effective nursing practice;
16	(7)	provide students the opportunity to acquire and demonstrate, through didactic content and clinical
17		experience under faculty supervision, the knowledge, skills, and abilities required for safe,
18		effective, effective and competent nursing practice across the lifespan; and
19	(8)	be revised as necessary to maintain a program that reflects reflect changes and advances in health
20		care and its delivery.
21	(b) Didactic co	ontent and supervised clinical experience across the lifespan appropriate to program type shall
22	include:	
23	(1)	Implementing implementing safety principles and practices minimizing the risk of harm to clients
24		and providers through both system effectiveness and individual performance;
25	(2)	Using using informatics to communicate, manage knowledge, mitigate error, and support decision
26		making;
27	(3)	Employing employing evidence-based practice to integrate the best research with clinical expertise
28		and client values for optimal care, including skills to identify and apply best practices to nursing
29		care;
30	(4)	Providing providing client-centered, culturally competent care by:
31		(A) respecting client differences, values, preferences, and expressed needs;
32		(B) involving clients in decision-making and care management;
33		(C) coordinating and managing continuous client care consistent with the level of licensure.
34		This includes the shall include a demonstrated ability to supervise others and provide
35		leadership of within the profession appropriate for program type; and
36		(D) promoting healthy lifestyles for clients and populations, populations;

- Working working in interdisciplinary teams to cooperate, collaborate, communicate, and integrate client care and health promotion; and, and
  - (6) Participating participating in quality improvement processes to measure client outcomes, identify hazards and errors, and develop changes in processes of client care.
  - (c) Clinical experience shall be comprised of sufficient hours to accomplish the curriculum, shall be supervised by qualified faculty pursuant to 21 NCAC 36 .0318, and shall ensure students' ability to practice at an entry level.
- 7 (d) All student clinical experiences, including those with preceptors, shall be directed by nursing faculty.
- 8 (e) A focused client care experience with a minimum of 120 hours shall be provided in the final year of curriculum 9 implementation for programs preparing registered nurses.
- 10 (f) A focused client care experience with a minimum of 90 hours shall be provided in the final semester of the curriculum for programs preparing practical nurses.
- (g) Learning experiences and methods of instruction, including distance education methods, shall be consistent with
   the written curriculum plan and shall demonstrate logical curricular progression.
- (h) Objectives for each course shall indicate the knowledge, skills, and abilities expected for competent student
   performance. These objectives shall:
  - (1) indicate the relationship between the classroom learning and the application of this learning in the clinical experience;
  - (2) serve as criteria for the selection of the types of and settings for learning experiences; and
  - (3) serve as the basis for evaluating student performance.
- 20 (i) Student course syllabi shall include a description and outline of:
  - (1) the course content;

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- (2) the learning environments and activities;
  - (3) when the course is taken in the curriculum;
- 24 (4) allocation of time for didactic content, clinical experience, laboratory experience, and simulation; 25 and, and
  - (5) methods of evaluation of student performance, including all evaluation tools used in the curriculum course.
  - (j) Each course shall be implemented in accordance with and evaluated by reference to the student course syllabus.
  - (k) Requests for approval of changes in, or expansion of, the program, accompanied by all required documentation, shall be submitted in the format provided by the Board at least 30 days prior to implementation for approval by the Board. Criteria for approval shall include the availability of classrooms, laboratories, clinical placements, equipment equipment, and supplies, supplies and faculty sufficient to implement the curriculum to an increased number of students. Approval is shall be required for any increase in enrollment that exceeds, by more than 10 students, the maximum number approved by the Board. Requests for expansion are shall be considered only for programs with Full Approval full approval status that demonstrate at least a three-year average licensure examination pass rate equal to or greater than the NC North Carolina three-year average pass rate for program type.
  - (1) The nursing education program shall notify the Board at least 30 days prior to implementation of:

1	(1)	alternative or additional program schedules; and [schedules] schedules;
2	(2)	planned decrease in the Board-approved student enrollment number to accurately reflect program
3		capacity: capacity; and
4	(3)	changes that alter the currently approved curriculum.
5	(m) For all pro	ograms using simulation experiences substituted for clinical experience time, the nursing education
6	program shall:	
7	(1)	demonstrate that simulation faculty have been formally educated, educated and maintain the
8		competencies in simulation and debriefing; and
9	(2)	provide a simulation environment with adequate faculty, space, equipment, and supplies that
10		simulate realistic clinical experiences to meet the curriculum and course objectives.
11	(n) Programs	not holding national nursing accreditation shall limit simulation experiences to no more than 25
12	percent in <del>any c</del>	course each course, including the focused client care experience.
13	(o) Programs h	olding national nursing accreditation shall limit simulation experiences to:
14	(1)	no more than 25 percent in the focused client care experience; experience; and
15	(2)	no more than 50 percent of clinical experience time in any other each course.
16	(p) External standardized examinations shall not be used as a determinant of to determine a student's progression or	
17	graduation in a nursing education program preparing students for initial nurse licensure.	
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19	History Note:	Authority G.S. 90-171.23(b)(8); 90-171.38;
20		Eff. February 1, 1976;
21		Amended Eff. June 1, 1992; January 1, 1989; January 1, 1984;
22		Temporary Amendment Eff. October 11, 2001;
23		Amended Eff. December 1, 2016; December 1, 2005; August 1, <del>2002.</del> <u>2002;</u>
24		Readopted Eff. [November 1, 2018.] [December 1, 2018.] January 1, 2019.

### 21 NCAC 36 .0322 is readopted, with changes, as published in 33:1 NCR 39 as follows: 1 2 3 21 NCAC 36 .0322 **FACILITIES** 4 (a) Campus facilities shall be appropriate in type, number, and accessibility for the total needs of the program. 5 (b) Classrooms, laboratory and simulation space, and conference rooms shall be sufficient in size, number, and 6 types for the number of students and purposes for which the rooms are to be used. Lighting, ventilation, location, 7 and equipment must shall be suitable for the number of students and purposes for which the rooms are to be used. 8 (c) Office and conference space for nursing program faculty members shall be appropriate and available for 9 uninterrupted work and privacy, including conferences with students. 10 (d) Learning resources, including clinical experiences, shall be comprehensive, current, developed with nursing 11 faculty input, accessible to students and faculty, faculty and shall support the implementation of the curriculum. 12 13 History Note: Authority G.S. 90-171.23(b)(8); 90-171.38; 14 Eff. February 1, 1976; 15 Amended Eff. January 1, 1996; June 1, 1992; January 1, 1989; May 1, 1988; 16 Temporary Amendment Eff. October 11, 2001; 17 Amended Eff. December 1, 2016; April 1, 2006; August 1, 2002: Readopted Eff. [November 1, 2018.] [December 1, 2018.] January 1, 2019. 18

# 21 NCAC 36 .0323 is readopted, with changes, as published in 33:1 NCR 39-40 as follows:

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# 21 NCAC 36 .0323 RECORDS AND REPORTS

- 4 (a) The controlling institution's publications describing the nursing program shall be <u>current and</u> accurate.
- 5 (b) There shall be The controlling institution shall maintain a system for maintaining official records. Current and
- 6 permanent student records shall be stored in a secure manner that prevents physical damage and unauthorized
- 7 access.
- 8 (c) Both permanent and current records shall be available for review by Board staff.
- 9 (d) The official permanent record for each graduate shall include documentation of graduation from the program and a transcript of the individual's achievement in the program.
- 11 (e) The record for each currently enrolled student shall contain up-to-date and complete information, including the 12 following:
- 13 (1) documentation of admission criteria met by the student;
- documentation of high school graduation, high school equivalent, or earned credits from post-secondary institution approved pursuant to G.S. 90-171.38(a); and
  - (3) a transcript of credit hours achieved in the classroom, laboratory, and clinical instruction for each course that reflects progression consistent with program policies.
  - (f) The nursing program shall file with the Board records, data, and reports in order to furnish information concerning operation of the program as prescribed in the rules in this Section, including:
    - (1) an Annual Report annual report to be filed with the Board by November 1 of each year;
    - (2) a Program Description Report program description report for non-accredited programs filed with the Board at least 30 days prior to a scheduled review by the Board; and
      - (3) notification by institution administration of any change of the registered nurse responsible for the nursing program. nursing program director. This notification shall include a curriculum vitae for the new individual director and shall be submitted no later than 10 business days of before the effective date of the change.
  - (g) All communications relevant to accreditation shall be submitted to the North Carolina Board of Nursing at the same time that the communications are submitted to the accrediting body.
  - (h) The Board may require additional records and reports for review at any time to provide evidence and substantiate compliance with the rules in this Section by a program and its controlling institutions.
- 31 (i) The part of the application for licensure by examination to be submitted to the Board by the nursing program
  32 shall include a statement verifying satisfactory completion of all requirements for graduation and the date of
  33 completion. The nursing program director shall verify completion of requirements to the Board no later than one
  34 month following completion of the Board-approved nursing program.

- 36 History Note: Authority G.S. 90-171.23(b)(8); 90-171.38;
- 37 *Eff. February 1, 1976;*

1	Amended Eff. December 1, 2016; January 1, 2015; December 1, 2005; January 1, 2004; June 1,
2	1992; January 1, 1989; January 1, <del>1984. <u>1984;</u></del>
3	<u>Readopted Eff. [November 1, 2018.]</u> [December 1, 2018.] <u>January 1, 2019.</u>

1	21 NCAC 36 .04	01 is a	mended, with changes, as published in 33:1 NCR 40 as follows:
2			
3	21 NCAC 36 .04	01	ROLES OF UNLICENSED PERSONNEL
4	(a) Definitions.	As used	l in Section .0400:
5	(1)	"Nurs	ing care activities" means activities performed by unlicensed personnel which that are
6		delega	ated by licensed nurses in accordance with paragraphs (b) and (c) of this Rule.
7	(2)	"Patie	nt care activities" means activities performed by unlicensed personnel when if health care
8		needs	are incidental to the personal care required.
9	(b) The Board o	f Nursi	ng, Board, as authorized by G.S. 90-171.23(b)(1)(2)(3), shall be the determining authority to
10	identify determin	ne those	e nursing care activities which that may be delegated to unlicensed personnel. The licensed
11	nurse, registered	and pr	actical, registered and licensed practical nurse, in accordance with 21 NCAC 36 .0224 and
12	.0225 and G.S. 9	0-171.2	20(7)(8), may delegate nursing care activities to unlicensed personnel, regardless of title, that
13	are appropriate t	o the le	evel of knowledge and skill knowledge, skill, and validated competence of the unlicensed
14	personnel and are	e withir	the legal scope of practice as defined by the Board of Nursing for unlicensed personnel.
15	(c) Those <u>nursin</u>	g care	activities which that may be delegated to unlicensed personnel are shall be determined by the
16	following variable	les:	
17	(1)	knowl	edge and skills of the unlicensed personnel;
18	(2)	verific	eation of clinical competence of the unlicensed personnel by the an employing agency;
19		agenc	y Registered Nurse; a registered nurse employed by the agency.
20	(3)	stabili	ty of the client's condition condition, which involves predictability, absence of risk of
21		compl	ication, and rate of change, and which thereby excludes delegation of nursing care activities
22		which	that do not meet the requirements defined in 21 NCAC 36 .0221(b);
23	(4)	the va	riables in each service setting setting, which include but are not limited to: include:
24		(A)	the complexity and frequency of nursing care needed by a given client population;
25			population in the practice setting in which the nurse practices;
26		(B)	the proximity of clients to staff; staff in the practice setting in which the nurse practices;
27		(C)	the number and qualifications of staff; staff in the practice setting in which the nurse
28			practices;
29		(D)	the accessible resources; and
30		(E)	established policies, procedures, practices, and channels of communication which that
31			lend support to the types of nursing activities being delegated, or not delegated, to
32			unlicensed personnel in the practice setting in which the nurse practices
33			
34	History Note:	Autho	rity G.S. 90-171.20(2)(4)(7)d.,e.,g.; 90-171.43(4); 90-171.55; 42 U.S.C.S. 1395i-3 (1987);
35		Eff. M	Tarch 1, 1989;
36		Amend	ded Eff. [ <del>November 1, 2018;</del> ] [ <del>December 1, 2018.</del> ] <u>January 1, 2019;</u> December 1, 1995;
37		Octob	er 1, 1991;

1 Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 9, 2018.

1	21 NCAC 36 .0	402 is amended, with changes, as published in 35:1 NCR 40 as follows:
2		
3	21 NCAC 36 .0	COORDINATION WITH DIVISION OF HEALTH SERVICE REGULATION
4		(DHSR)
5	(a) The Board	<del>of Nursing</del> shall accept <del>Level I nurse aides</del> [ <del>Nurse Aide</del> ] <u>nurse aide</u> Is listed on the Division of Health
6	Service Regulat	tion (DHSR) maintained Nurse Aide Registry as meeting the requirements of 21 NCAC 36 .0403(a).
7	(b) The Board	of Nursing shall acquire information from the Division of Health Service Regulation (DHSR) DHSR
8	regarding all qu	alified <del>Level I nurse aides.</del> <u>nurse aide Is.</u>
9		
10	History Note:	Authority G.S. 90-171.20(2)(4)(7)d.,e.,g.; 90-171.43(4); 90-171.55;
11		42 U.S.C.S. 1395i-3 (1987);
12		Eff. March 1, 1989;
13		Amended Eff. [November 1, 2018;] [December 1, 2018.] January 1, 2019; November 1, 2008;
14		December 1, 1995.

# 21 NCAC 36 .0403 is readopted, with changes, as published in 33:1 NCR 40-41 as follows:

### 21 NCAC 36 .0403 OUALIFICATIONS

- (a) The A nurse aide I shall perform basic nursing skills and personal care activities after successfully completing an approved nurse aide I training and competency evaluation or competency evaluation program. or equivalent as approved by the Division of Health Service Regulation (DHSR). The A licensed nurse shall delegate these activities only after considering the variables defined in Rule .0401(b) and (c) of this Section. Pursuant to G.S. 90-171.55, as of April 1, 1992 no individual may function as a nurse aide I, regardless of title, to provide providing nursing care activities, as identified in Rule .0401(a) of this Section, to clients or residents until: unless:
  - (1) the individual has successfully completed, in addition to an orientation program specific to the employing facility, a <u>State approved State-approved</u> nurse aide I training and competency evaluation program or its equivalent; or a <u>State approved State-approved</u> competency evaluation program and the employing facility or agency has verified listing on the Division of Health Service Regulation Nurse Aide Registry (<u>DHSRNAR</u>); (<u>DHSR Nurse Aide Registry</u>); or
  - the employing agency or facility has assured that the individual is enrolled in a State approved Stateapproved nurse aide I training and competency evaluation program which that the individual shall will successfully complete within four months of employment date. During the four month period, the individual shall be assigned only tasks for which he has they have demonstrated competence and performs that they perform under supervision.
- (b) The A nurse aide II shall perform more complex nursing skills with emphasis on sterile technique in elimination, oxygenation, and nutrition after successful completion of an approved a Board-approved nurse aide II training and competency evaluation program. The A licensed nurse shall delegate these activities to the nurse aide II only after consideration of the variables described in Rule .0401(b) and (c) of this Section. Pursuant to G.S. 90-171.55, as of January 1, 1991 no individual may function as a nurse aide II unless:
  - (1) the individual has successfully completed, in addition to an orientation program specific to the employing agency, a <u>Board-approved</u> nurse aide II <u>program course approved by the Board of Nursing</u> according to these Rules or its equivalent as identified by the <u>Board of Nursing</u>; <u>Board</u>;
  - the individual is listed as a nurse aide I on the DFS DHSR Nurse Aide I Registry with no substantiated findings of abuse, neglect, exploitation, mistreatment, diversion of drugs, fraud, or misappropriation of client or employing facility property; property listed on the DHSR Nurse Aide Registry and/or on the NC Health Care Personnel Registry; and
  - (3) the employing facility or agency has inquired of the Board of Nursing as to information in the Board of Nursing Nurse Aide II Registry concerning the individual and confirms with the Board of Nursing that the individual is listed on the Board of Nursing Nurse Aide II Registry (BNAR) as a nurse aide Level II.

1 (c) Listing on a Nurse Aide Registry is not required if the care is performed by clients themselves, their families or 2 significant others, or by caretakers who provide personal care to individuals whose health care needs are incidental to the 3 personal care required. 4 (d) Pursuant to G.S. 131E-114.2 and G.S. 131E-270, the a medication aide shall be limited to performing technical 5 aspects of medication administration administration, consistent with Rule .0401(b) and (c) of this Section, Rule .0221 of 6 this Chapter, and only after: 7 (1) successful completion of a Board-approved medication aide training program approved by the Board 8 of Nursing; program; 9 (2) successful completion of a state approved State-approved competency evaluation program; and 10 (3) listing on the Medication Aide Registry. 11 12 Authority G.S. 90-171.20(2)(4)(7)d.,e.,g.; 90-171.43(4); 90-171.55; 90-171.56; 131E-114.2; 131E-History Note: 13 270; 42U.S.C.S. 1395i-3 (1987); 14 Eff. March 1, 1989; 15 Temporary Amendment Eff. October 11, 1989 For a Period of 180 Days to Expire on April 6, 1990; Amended Eff. September 1, 2006; December 1, 1995; March 1, 1990; 16 17 Readopted Eff. [November 1, 2018.] [December 1, 2018.] January 1, 2019.

1	21 NCAC 36 .04	404 is readopted, <u>with changes,</u> as published in 33:1 NCR 41-42 as follows:
2		
3	21 NCAC 36 .04	404 LISTING AND RENEWAL
4	(a) All nurse ai	de IIs, as defined in Rule .0403(b) of this Section, Section regardless of working title, employed or
5	assigned in a serv	vice agency or facility for the purpose of providing nursing care activities shall be listed on the Board of
6	Nursing Nurse A	Aide II Registry and shall meet the following requirements:
7	(1)	successful completion of a <u>Board-approved</u> nurse aide II <del>program</del> <u>course</u> or its <del>Board approved</del> <u>Board-</u>
8		approved equivalent;
9	(2)	GED or high school diploma; High School or High School Equivalency Diploma;
10	(3)	listed current listing as a Level I nurse aide I on the DHSR Nurse Aide I Registry with no
11		substantiated findings of abuse, neglect, exploitation, mistreatment, diversion of drugs, fraud, or
12		misappropriation of client or employing facility property; property as [listed] recorded on the DHSR
13		Nurse Aide I Registry [and/or] or on the NC Health Care Personnel Registry; and
14	(4)	submission and approval of an application to the Board of Nursing for placement on the Board of
15		Nursing Nurse Aide II Registry prior to working as a nurse aide II.
16	The application	shall be submitted with the required fee within 30 business days of completion of the nurse aide II
17	<del>program.</del> course	e. Application for initial Initial listing received in by the Board office shall show an expiration day of
18	expire on the las	t day of the applicant's birth month of the following year.
19	(b) Nursing stu	idents currently enrolled in Board of Nursing approved Board-approved nursing programs courses
20	desiring listing a	as a nurse aide II shall submit:
21	(1)	An an application and application fee; and fee;
22	(2)	[Current] current listing as a nurse aide I on the DHSR Nurse Aide I Registry with no substantiated
23		$\underline{findings\ of\ abuse, neglect, exploitation, mistreatment, diversion\ of\ drugs, fraud, or\ misappropriation\ of}$
24		client or employing facility property as [listed] recorded on the DHSR Nurse Aide I Registry [and/or]
25		or on the NC Health Care Personnel Registry; and
26	<del>(2)</del> (3)	A listing form [Verification] verification completed by the nursing program director indicating
27		successful completion of course work equivalent in content and content, clinical hours hours, and skill
28		competency validation to for that required for of a nurse aide II.
29	(c) Registered r	nurses and licensed practical nurses who hold <del>current, unrestricted</del> <u>active, unencumbered</u> licenses to
30	practice in North	n Carolina, and registered nurses and licensed practical nurses in the discipline process by the Board of
31	Nursing who do	not have any findings as cited in G.S. 131E 256(a)(1) Carolina may make application as a nurse aide II.
32	(d) An individua	al previously enrolled in a Board-approved nursing program leading to licensure <del>as RN <mark>a</mark> registered nurse</del>
33	or <del>LPN</del> <u>licensed</u>	<u>practical nurse</u> may list <u>as a nurse aide II</u> with no additional testing provided the student withdrew from
34	school in good s	standing within the last 24 months and completed the equivalent content, and clinical hours.
35	hours, and skills	s competency validation. Such individual shall submit listing form an application as described in
36	Paragraph (b)(2)	(b) of this Rule. If the student was in good standing upon withdrawal from the school and withdrew

1 from the school in excess of 24 months, the student must shall successfully complete an entire nurse aide II program. 2 [course.] course prior to being listed as a nurse aide II. 3 (e) Individuals who have completed a training course equivalent in content and content, clinical hours hours, and skills competenency validation to the nurse aide II program, course may submit documentation of the same to the Board of 4 5 Nursing for review. If training is equivalent, the individual may submit the application with required fee and be listed on 6 the Board of Nursing Nurse Aide Registry as a nurse aide II. 7 (f) An employing agency or facility may choose up to four nurse aide II tasks to be performed by nurse aide I personnel 8 without the nurse aide I completing the entire nurse aide II program. course. These tasks are shall be individual activities 9 which that may be performed after the nurse aide I has received the approved Board-approved training and competency 10 evaluation using nurse aide II education modules as defined in Rule .0403(b) of this Section. 11 (1) The agency may obtain the selected tasks curriculum model from the nearest Community College or the Board of Nursing. or facility [is] shall be limited to selecting and implementing a maximum of four 12 13 nurse aide II tasks for use throughout each agency or facility. 14 The Board of Nursing must be notified of the nurse aide II task(s) that will be performed by nurse aide (2) 15 I personnel in the agency and for which all Board stipulations have been met. The notification of nurse aide II task(s) form which may be requested from the Board office shall be used. Each agency 16 17 shall receive a verification letter once the Board has been appropriately notified. A nurse aide [4,] I 18 who is trained and evaluated as competent to perform these limited nurse aide II [tasks,] tasks shall perform these tasks only in the specific agency or facility where the training and competency 19 20 validation were completed; performance of these tasks by the nurse aide I shall not transfer to another 21 healthcare setting. 22 Documentation of the training and competency evaluation must shall be maintained for each nurse (3) 23 aide I who is approved to perform these nurse aide II task(s) tasks within the agency. agency or 24 facility. 25 (g) Each nurse aide II shall renew listing with the Board of Nursing biennially on forms provided by the Board. or 26 before the listing period expiration date. The renewal application, posted on the Board's website at 27 www.ncbon.com, shall be accompanied by the required fee. 28 Once After the nurse aide II listing expires, it will not be renewed unless the nurse aide II (1) 29 successfully passes a Board-approved competency evaluation or successfully completes an entire 30 Board-approved nurse aide II course. 31 (1)(2)To be eligible for renewal, the nurse aide II must shall have worked at least eight hours for 32 compensation during the past 24 months performing nursing care activities under the supervision of a 33 Registered Nurse. registered nurse. 34 Any nurse aide II who has had a continuous period of 24 months during which no nursing care  $\frac{(2)(3)}{(3)}$ 35 activities were performed for monetary compensation but who has performed patient care activities for 36 monetary compensation shall successfully complete the competency evaluation portion of the nurse

1		aide II program course and submit a renewal application and fee in order to be placed renewed on the
2		Board of Nursing Nurse Aide II Registry.
3	<del>(3)</del> (4)	A nurse aide II who has performed no nursing care or patient care activities for monetary
4		compensation within the past 24 months must shall successfully complete a Board-approved nurse
5		aide II program course prior to submitting the application for renewal.
6	<del>(4)</del> (5)	A nurse aide II who has substantiated findings of abuse, neglect, <u>exploitation</u> , <u>mistreatment</u> , <u>diversion</u>
7		of drugs, fraud, or misappropriation of client or employing facility funds property as [listed] recorded
8		on the DHSR Nurse Aide I Registry [and/or] or the NC Health Care Personnel Registry shall not be
9		eligible for renewal as a nurse aide II.
10		
11	History Note:	$Authority\ G.S.\ 90-171.19;\ 90-171.20(2)(4)(7)d, e,g;\ 90-171.37;\ 90-171.43(4);\ 90-171.55;\ 90-171.83;$
12		42 U.S.C.S. 1395i-3 (1987);
13		Eff. March 1, 1989;
14		Amended Eff. July 1, 2010; November 1, 2008; August 1, 2005; August 1, 2002; July 1, 2000;
15		December 1, 1995; April 1, <mark>1990. <u>1990;</u></mark>
16		Readopted Eff. [November 1, 2018.] [December 1, 2018.] January 1, 2019.

1	21 NCAC 36 .04	405 is rea	dopted, with changes, as published in 33:1 NCR 42-44 as follows:
2			
3	21 NCAC 36 .04	405	APPROVAL OF NURSE AIDE EDUCATION PROGRAMS COURSES
4	(a) The Board e	of Nursing	shall accept those programs nurse aide I courses that are approved by DHSR to prepare the
5	<del>nurse aide I.</del> <u>DH</u>	ISR.	
6	(b) The North C	<del>arolina</del> Bo	oard <del>of Nursing</del> shall approve nurse aide II <del>programs.</del> <u>courses.</u> Nurse aide II <del>programs</del> <u>courses</u>
7	may be offered b	y <del>an</del> <u>a Sta</u>	te-licensed individual, agency, or educational institution after the program course is approved
8	by the Board.		
9	(1)	Each en	tity desiring to offer a nurse aide II program course shall submit a program course approval
10		applicat	ion at least 60 days prior to offering the program. course. It shall include documentation of the
11		followir	ng standards:
12		(A)	the students will shall be taught and supervised by qualified faculty as defined in
13			Subparagraph (b)(3) of this Rule for clinical experience with faculty/student ratio not to
14			exceed 1:10; Rule;
15		(B)	the [clinical experience faculty/student] clinical-experience faculty to student ratio shall not
16			exceed 1:10;
17		( <u>B)(C)</u>	the selection and utilization of clinical facilities $\frac{1}{2}$ support the $\frac{1}{2}$ su
18			curriculum as outlined in Subparagraph (b)(2) of this Rule;
19		(C)(D)	a written contract shall exist between the $\frac{1}{1}$ program $\frac{1}{1}$ course provider and clinical facility prior
20			to student clinical experience in the facility;
21		<del>(D)</del> (E)	admission requirements shall include:
22			(i) successful completion of nurse aide I training program course or Board of Nursing
23			established [DHSR-establised] DHSR-established equivalent and current nurse aide
24			I listing on DHSR Registry; and
25			(ii) GED <u>High School</u> or <u>high school High School Equivalency</u> diploma; and
26			(iii) other admission requirements as identified by the program; course provider; and
27		<del>(E)</del> (F)	a procedure for timely processing and disposition of $\frac{1}{1}$ program $\frac{1}{1}$ and student complaints
28			shall be established.
29	(2)	Level II	$\underline{\text{nurse}} \ \underline{\text{Nurse}} \ \text{aide} \ \underline{\text{II}} \ \underline{\text{programs}} \ \underline{\text{courses}} \ \text{shall include a minimum of } 80 \ \text{hours of theory and } 80$
30		hours of	supervised clinical instruction instruction, supervised by a Board-approved registered nurse
31		[faculty	faculty, consistent with the legal scope of practice nurse aide II curriculum as defined by the
32		Board <del>o</del>	f Nursing in Rule .0403(b) of this Section. Changes made by the Board of Nursing in content
33		hours o	r scope of practice in the nurse aide II program course shall be published in the Bulletin.
34		Request	s by the programs to modify the nurse aide II course content shall be directed to the Board
35		office.	"]Bulletin and posted on the Board's website at www.ncbon.com.
36		(A)	A [Nurse] nurse aide II education course shall not use simulation as a substitute for the
37			required 80 hours of clinical experience. Competency validation of up to three required nurse

1		aide II skills [is] shall be permitted in the simulated laboratory environment if validation of
2		such skills is not available in the clinical experience site.
3	(3)	Minimum competency and qualifications for faculty for the nurse aide Level II programs courses shall
4		include:
5		(A) a current unrestricted an active unencumbered license to practice as a registered nurse in
6		North Carolina;
7		(B) have had at least two years of direct patient care experiences as an R.N.; a registered nurse;
8		and
9		(C) have experience teaching adult learners.
10	(4)	Each nurse aide II program course shall furnish the Board with records, data, and reports requested by
11		the Board in order to that provide information concerning the operation of the program course and any
12		individual all individuals who successfully completes the program. attended the course within the past
13		five years.
14	(5)	When an approved nurse aide II program course closes, the Board shall be notified in writing by the
15		program. course. The Board shall be informed as to the permanent storage of student records.
16	<u>(6)</u>	[Any] A Board-approved nurse aide II course [wishing to] that will provide nurse aide II competency
17		evaluation shall obtain Board [approval.] approval prior to offering competency evaluation.
18		(A) Board-approved nurse aide II course shall be in [Full Approval] full approval status for at
19		least one year prior to submitting an application to provide nurse aide II [course;]
20		competency evaluation; and
21		(B) Full Approval full approval course status shall be maintained to provide nurse aide II
22		competency evaluation.
23	(c) An annual <del>p</del>	<del>rogram</del> <u>course</u> report shall be submitted by the <del>Program</del> [ <del>Course</del> ] <del>Director</del> <u>course director</u> to the Board <del>of</del>
24	Nursing on in a	Board form Board-approved format by March 15 31 of each year. Failure to submit an annual report
25	shall result in ac	Iministrative action affecting approval status as described in Paragraphs (d) (e) and (e) (f) of this Rule.
26	(d) Complaints	regarding nurse aide II <del>programs</del> <u>courses</u> may shall result in an on-site on-site survey by the North
27	<del>Carolina Board</del>	of Nursing. [Board.] Board if necessary to resolve the complaint.
28	(d)(e) Approval	status shall be determined by the Board of Nursing using the annual program course report, survey report
29	report, and other	r data submitted by the program, agencies, or students. The determination shall result in full approval or
30	approval with s	tipulations.
31	(e)(f) If stipulati	ons have not been met as specified by the Board of Nursing, Board, a hearing shall be held by the Board
32	of Nursing rega	rding program course approval status. A program course may continue to operate while awaiting the
33	hearing before	the Board. EXCEPTION: In Board; however, in the case of summary suspension of approval as
34	authorized by C	S.S. 150B-3(c), the <del>program</del> <u>course</u> <u>must shall</u> immediately cease operation.
35	(1)	When a hearing is scheduled, the Board shall cause notice to be served on the program course and
36		shall specify a date for the hearing hearing, to be held not less than 20 days from the date on which
37		notice is given.

1	(2)	If the Board determines from evidence presented at hearing shows that the program course is
2		complying with all federal and state State law law, including these Rules, the Rules in this Section,
3		the Board shall assign the program course Full Approval full approval status.
4	(3)	If the Board, following a hearing, finds [Board determines from] evidence presented at hearing shows
5		that the program course is not complying with all federal and state State law law, including these
6		Rules, the Rules in this Section, the Board shall withdraw approval.
7		(A) This action constitutes shall constitute discontinuance of the program; [course;] and course.
8		(B) The parent institution shall present a plan to the Board for transfer of students to approved
9		programs courses or shall fully refund tuition paid by the student. Closure shall take place
10		after the transfer of students to approved programs courses within a time frame established
11		by the <del>Board; and</del> <u>Board.</u>
12		(C) The parent institution shall notify the Board of the arrangements for storage of permanent
13		records.
14		
15	History Note:	$Authority\ G.S.\ 90-171.20(2)(4)(7)d., e., g.;\ 90-171.39;\ 90-171.40;\ 90-171.43(4);\ 90-171.55;\ 90-171.83;$
16		42 U.S.C.S. 1395i-3 (1987);
17		Eff. March 1, 1989;
18		Amended Eff. November 1, 2008; April 1, 2003; August 1, 2002; July 1, 2000; December 1, 1995;
19		March 1, <del>1990;</del> <u>1990;</u>
20		Readopted Eff. [November 1, 2018.] [December 1, 2018.] January 1, 2019.

1	21 NCAC 36 .0	406 is readopted, with changes, as published in 33:1 NCR 44 as follows:
2		
3	21 NCAC 36 .0	406 MEDICATION AIDE TRAINING REQUIREMENTS
4	(a) Faculty for	the medication aide training program are required to: shall:
5	(1)	have a current, unrestricted an active, unencumbered license to practice as a registered nurse in North
6		Carolina;
7	(2)	have had at least two years of practice experience as a registered nurse that includes medication
8		administration;
9	(3)	have successfully completed an instructor training program approved by the Board according to these
10		Rules; and
11	(4)	maintain Board of Nursing certification as a medication aide instructor.
12	(b) The A medic	cation aide instructor certification shall be renewed every two years provided the following requirements
13	are met:	
14	(1)	the individual has taught at least one medication aide training program within the preceding two years;
15		and
16	(2)	the individual successfully completes a review has reviewed program changes approved by the Board
17		according to these Rules. and posted on the Board's website at www.ncbon.com.
18	(c) The An app	olicant for a medication aide training program approved by the Board must shall have a high school
19	diploma or <del>GEE</del>	D. High School Equivalency.
20		
21	History Note:	Authority G.S. 90-171.56; 131E-114.2; 131E-270;
22		Eff. September 1, 2006;
23		Amended Eff. April 1, <del>2008.</del> <u>2008;</u>
24		Readonted Eff. [November 1, 2018] [December 1, 2018] January 1, 2019

1	21 NCAC 36 .0	502 is amended, with changes, as published in 33:1 NCR 44 as follows:
2		
3	21 NCAC 36 .0	NAME OF PROFESSIONAL CORPORATION
4	The following to	<del>requirement, in</del> <u>In</u> addition to the provisions of <del>Chapter G.S.</del> 55B, the Professional Corporation <del>Act</del>
5	Act. of North	arolina, must be met regarding the corporate name: [Carolina,]
6	The the name o	f the a professional corporation referred to herein [to provide] that provides nursing care and related
7	[services,] servi	ces shall not include any adjectives or words not in accordance with ethical customs of the nursing
8	profession. Pro	fession as defined by the American Nurses Association code of ethics and shall not be false or
9	misleading.	
10		
11	History Note:	Authority G.S. 55B-5; 55B-12; 90-171.43;
12		Eff. March 1, 1991;
13		Amended Eff. [November 1, 2018.] [December 1, 2018.] January 1, 2019.
14		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 9,
15		2018.

1	21 NCAC 36 .0	503 is amended, with changes, as published in 33:1 NCR 44 as follows:
2		
3	21 NCAC 36 .0	PREREQUISITES FOR INCORPORATION
4	The following	requirements must be met in order to incorporate: incorporate a professional corporation that will
5	provide nursing	and related services in this State:
6	(1)	The incorporator, whether one or more, of a professional corporation shall be licensed to practice
7		nursing in North Carolina as a registered nurse.
8	(2)	Before the filing of the articles of incorporation with the Secretary of State, the incorporators shall
9		file, with the Board, the original articles of incorporation, plus a copy, together with a registration
10		fee of fifty dollars (\$50.00). in the maximum allowable amount set forth in G.S. 55B-10.
11	(3)	The original articles of incorporation and the copy shall be accompanied by an application to the
12		Board Board, (Corp. Form 1) certified by all incorporators, setting forth the names, addresses, and
13		certificate numbers of each shareholder of the corporation who will be practicing nursing for the
14		corporation.
15	(4)	Included with the above shall be a statement that all such persons [individuals] are each
16		shareholder of the corporation who will be practicing nursing for the corporation is licensed to
17		practice nursing in North Carolina as registered nurses, and stating that the corporation will be
18		conducted in compliance with the Professional Corporation Act and these Rules.
19	(5)	If the articles are changed in any manner before being filed with the Secretary of State, they shall
20		be re-submitted to the Board and shall not be filed with the Secretary of State until approved by
21		the Board.
22		
23	History Note:	Authority G.S. 55B-4; 55B-10; 55B-12; 90-171.20(6);
24		Eff. March 1, 1991;
25		Amended Eff. [ <del>November 1, 2018.</del> ] [ <del>December 1, 2018.</del> ] <u>January 1, 2019;</u> April 1, 2009;
26		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 9,
27		2018

### 1 21 NCAC 36 .0504 is amended, with changes, as published in 33:1 NCR 44-45 as follows: 2 3 21 NCAC 36 .0504 CERTIFICATE OF REGISTRATION 4 The Certificate of Registration shall be issued as follows: 5 The Board shall issue a Certificate of Registration certificate of registration (Corp. Form 2) for the (1) 6 professional corporation to become effective only when after the professional corporation files the 7 articles of incorporation with the Secretary of State and if: 8 (a) the Board finds that no disciplinary action is pending before the Board against any of the 9 licensed incorporators or persons individuals who will be directors, officers, or 10 shareholders of such corporation; and 11 (b) it appears to the Board that such corporation will be conducted in compliance with the law and rules. Professional Corporations Act and the Rules in this Subchapter. 12 13 (2) The proposed original articles of incorporation, incorporation and the Certificate of Registration 14 certificate of registration, will shall be returned to the incorporators for filing with the Secretary of State. A copy of the articles of incorporation and a copy of the Certificate of Registration 15 certificate of registration <del>will</del> shall be retained in the Board office. If the required findings <del>cannot</del> 16 17 be are not made, the registration fee shall be refunded to the incorporators. 18 The initial Certificate of Registration certificate of registration shall remain in effect until (3) 19 December 31, 31 of the year in which it was issued issued, unless suspended or terminated as provided by law. The Certificate of Registration certificate of registration shall be renewed 20 21 annually thereafter. 22 (4) At least 20 days prior to the date of expiration of the certificate, [Certificate of Registration,] 23 certificate of registration, the professional corporation shall submit its written application for renewal on a form provided by the Board (Corp. Form 3). Board, along with a check in the amount 24 of twenty five dollars (\$25.00) in payment of the renewal fee. fee in the maximum allowable 25 26 amount set forth in G.S. 55B-10. 27 28 History Note: Authority G.S. 55B-12; 90-171.20(6); 90-171.23; 29 Eff. April 1, 1991; Amended Eff. [November 1, 2018;] [December 1, 2018.] January 1, 2019; November 1, 2008; 30 31 Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 9, 32 2018.

I	21 NCAC 36 .0	1505 is amended, with changes, as published in 33:1 NCR 45 as follows:
2		
3	21 NCAC 36 .0	O505 GENERAL AND ADMINISTRATIVE PROVISIONS
4	The following	general provisions shall apply to <mark>all incorporating a</mark> professional <del>corporations:</del> corporation that will
5	provide nursing	and related services in this State:
6	(1)	If the Board declines to issue a Certificate of Registration required by 21 NCAC 36 .0504 (a)(1),
7		.0504, ex declines to renew the same when after properly requested, or refuses to take any other
8		required action, the aggrieved party may request, in writing, a review of such action by the Board,
9		and the Board shall provide a formal hearing for such aggrieved party before a majority of the
10		Board.
11	(2)	All amendments to charters of professional corporations, all merger and consolidation agreements
12		to which a professional corporation is a party, and all dissolution proceedings and similar changes
13		in the corporate structure of a professional corporation shall be filed with the Board for approval
14		before being filed with the Secretary of State. A true copy of the changes filed with the Secretary
15		of State shall be filed with the Board within ten 10 days after filing with the Secretary of State.
16	(3)	The Board is authorized to issue the certificate (Corp. Form 4) required by G.S. 55B-6 when if
17		stock is transferred in a professional corporation, and such certificate shall be permanently
18		attached to the stub of the transferee's certificate in the stock book of the professional corporation.
19		
20	History Note:	Authority G.S. 55B-6; 55B-12; 90-171.23;
21		Eff. April 1, 1991;
22		Amended Eff. [November 1, 2018;] [December 1, 2018.] <u>January 1, 2019;</u> November 1, 2008;
23		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 9,
24		2018.

1	21 NCAC 36 .05	506 is amended, with changes, as published in 33:1 NCR 45 as follows:
2		
3	21 NCAC 36 .05	506 FORMS
4	The following for	orms may be obtained from the office of the Board of Nursing regarding professional corporations:
5	corporations [are	shall be posted on the Board's website at www.ncbon.com:
6	(1)	Rules adopted by the North Carolina Board of Nursing relating to Professional Corporations
7		whose purpose is providing nursing related services;
8	<del>(2)</del> (1)	Corp. Form 1— Certificate of Incorporator(s) and Application for a Certificate of Registration for a
9		Professional Corporation;
10	<del>(3)</del> (2)	Corp. Form 2 Certificate of Registration of a Professional Corporation for the Purpose of
11		Providing Nursing Related Services;
12	<del>(4)</del> (3)	Corp. Form 3 - Application for Renewal of Certificate of Registration; and
13	<del>(5)(4)</del>	Corp. Form 4— Certificate Authorizing Transfer of Stock in Professional Corporation Organized to
14		Provide Nursing Related Services.
15		
16	History Note:	Authority G.S. 55B-12; 90-171.23;
17		Eff. March 1, 1991;
18		Amended Eff. [November 1, 2018;] [December 1, 2018.] January 1, 2019;
19		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 9,
20		2018.

1	21 NCAC 36 .0	50/ is amended, with changes, as published in 33:1 NCK 45 as follows:
2		
3	21 NCAC 36 .0	507 FEES
4	(a) Initial regis	tration fee of fifty dollars (\$50.00) is required.
5	(b) Fee for reno	ewal of Certificate of Registration is twenty five dollars (\$25.00).
6	The registration	and renewal fees for a professional corporation shall be the maximum allowable amount under G.S
7	55B-10 and 55E	<u>3-11.</u>
8		
9	History Note:	Authority G.S. 55B-10; 55B-11; 55B-12;
10		Eff. April 1, 1991;
11		Amended Eff. [November 1, 2018;] [December 1, 2018.] January 1, 2019.
12		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 9
13		2018.

21 NCAC 36 .0601 is amended, with changes, as published in 33:1 NCR 45 as follows: 1 2 3 21 NCAC 36 .0601 NAME OF PROFESSIONAL LIMITED LIABILITY COMPANY In addition to the provisions of [Chapter] G.S. 57D, the North Carolina Limited Liability Compact Act, The the 4 5 name of a limited liability company for the purpose of providing [to provide] that provides nursing and related 6 services [services,] services shall not include any adjectives or other words not in accordance with ethical customs 7 of the nursing profession. profession as defined by the American Nurses Association code of ethics and shall not be 8 false or misleading. 9 10 Authority G.S. 55B-10; 57C-2-30; 57D-2-02; History Note: 11 Eff. August 1, 1998; Amended Eff. [November 1, 2018;] [December 1, 2018.] January 1, 2019. 12 13 Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 9, 14 2018.

1	21 NCAC 36 .0602 is amended, with changes, as published in 33:1 NCR 45-46 as follows:
2	
3	21 NCAC 36 .0602 PREREQUISITES FOR ORGANIZATION
4	(a) Before The organizing members shall submit the following requirements to the Board prior to filing the articles
5	of organization for a limited liability company with the Secretary of State, the organizing members shall submit the
6	following to the Board: organization:
7	(1) a [certificate] certificate, certified by those registered [nurse] nurses who are organizing members,
8	setting forth the names, addresses, and license numbers of each individual who will be employed
9	by the professional limited liability company to practice nursing and related services as specified
10	in G.S. $55B14(c)(2)$ , $(4) - (6)$ , and stating that all such individuals are duly licensed to practice
11	nursing in North Carolina, and representing that the company will be conducted in compliance
12	with [law and these Rules;] North Carolina Limited Liability Company Act and the Rules in this
13	Subchapter: and
14	(1)(2) a registration fee in the maximum allowable amount as set by Rule .0606 of this Section; and forth
15	<u>in G.S. 55D.</u>
16	(2) a certificate certified by those registered nurse organizing members, setting forth the names,
17	addresses, and license numbers of each person who will be employed by the limited liability
18	company to practice nursing and related services as specified in G.S. 55B14(c)(2), (4) (6), and
19	stating that all such persons are duly licensed to practice nursing in North Carolina, and
20	representing that the company will be conducted in compliance with law and these Rules.
21	(b) A certification that each of those organizing members who may provide nursing and related services as specified
22	in G.S. 55B-14(c)(2), (4) - (6) is licensed to practice nursing in North Carolina shall be returned to the professional
23	limited liability company for filing with the Secretary of State.
24	(c) If the articles are changed in any manner before being filed with the Secretary of State, they shall be re-
25	submitted to the Board and shall not be filed with the Secretary of State until approved by the Board.
26	
27	History Note: Authority G.S. 55B-4; 55B-10; 55B-12; 55B-14; <u>57C-2-01</u> ; <u>57D-2-01</u> ; <u>57D-2-02</u> ; <u>90-171.23</u> ;
28	Eff. August 1, 1998;
29	Amended Eff. [November 1, 2018;] [December 1, 2018.] January 1, 2019;
30	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 9,
31	2018.

1	1 NCAC 36 .06	003 is amended, <u>with changes,</u> as published in 33:1 NCR 46 as follows:	
2			
3	21 NCAC 36 .0	0603 CERTIFICATE OF REGISTRATION	
4	(a) A Certific	ate of Registration certificate of registration for a Professional Limited Liability Company shall	
5	remain effective	e until December 31 of the year in which it was issued unless suspended or terminated as provided by	
6	law.		
7	(b) A Certificate of Registration certificate of registration shall be renewed annually on application forms supplied		
8	by the Board. The application shall be accompanied by a renewal the maximum allowable renewal fee as set by Rul		
9	.0605 of this Se	ection. forth in G.S. 57D.	
10			
11	History Note:	Authority G.S. 55B-10; 55B-11; <del>57C-2-01;</del> <u>57D-2-01; 57D-2-02;</u> 90-171.23;	
12		Eff. August 1, 1998;	
13		Amended Eff. <mark>[<del>November 1, 2018;</del>] [<del>December 1, 2018.</del>] <u>January 1, 2019;</u> November 1, 2008;</mark>	
14		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 9	
15		2018.	

1	21 NCAC 36 .0	0604 is amended, <mark>with changes,</mark> as published in 33:1 NCR 46 as follows:
2		
3	21 NCAC 36 .0	0604 GENERAL AND ADMINISTRATIVE PROVISIONS
4	The Board sha	ll issue the certificate authorizing transfer of membership when if membership is transferred in the
5	company. This	transfer form shall be permanently retained by the company. The membership books of the company
6	shall be kept a	t the principal office of the company and shall be subject to inspection by authorized agents of the
7	Board.	
8		
9	History Note:	Authority G.S. <del>55B-6;</del> 55B-12; <del>57C-2-01;</del> <u>57D;</u>
10		Eff. August 1, 1998;
11		<u>Amended Eff. <mark>[November 1, 2018;</mark>] [<del>December 1, 2018.</del>] <u>January 1, 2019.</u></u>
12		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 9
13		2018.

1	21 NCAC 36 .0	605 is amended, with changes, as published in 33:1 NCR 46 as follows:
2		
3	21 NCAC 36 .0	605 FEES
4	(a) The fee for	or both an initial Certificate of Registration and renewal is fifty dollars (\$50.00). the maximum
5	allowable fee as	s set forth in G.S. 57D.
6	(b) The fee for	renewal of a Certificate of Registration is twenty-five dollars (\$25.00).
7		
8	History Note:	Authority G.S. 55B-10; 55B-11; <del>57C 2-01;</del> <u>57D;</u> 90-171.23;
9		Eff. August 1, 1998;
10		Amended Eff. [November 1, 2018;] [December 1, 2018.] January 1, 2019;
11		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 9,
12		2018.

#### 1 21 NCAC 36 .0801 is readopted, with changes, as published in 33:1 NCR 46 as follows: 2 3 **DEFINITIONS** 21 NCAC 36 .0801 4 The following definitions apply to this Section: 5 "Approval to Practice" means authorization by the Medical Board and the Board of Nursing for a (1) 6 nurse practitioner to perform medical acts within her or his area of educational preparation and 7 certification under a collaborative practice agreement (CPA) with a licensed physician in accordance 8 with this Section. 9 (2) "Back-up Supervising Physician" means the licensed physician who, by signing an agreement with the 10 nurse practitioner and the primary supervising physician(s) shall provide supervision, collaboration, 11 consultation and evaluation of medical acts by the nurse practitioner in accordance with the collaborative practice agreement when the Primary Supervising Physician is not available. Back-up 12 13 supervision shall be in compliance with the following: 14 The signed and dated agreements for each back-up supervising physician(s) shall be (a) 15 maintained at each practice site. 16 (b) A physician in a graduate medical education program, whether fully licensed or holding only 17 a resident's training license, shall not be named as a back-up supervising physician. 18 (c) A fully licensed physician in a graduate medical education program who is also practicing in 19 a non-training situation and has a signed collaborative practice agreement with the nurse 20 practitioner and the primary supervising physician may be a back-up supervising physician 21 for a nurse practitioner in the non-training situation. 22 (3) "Board of Nursing" means the North Carolina Board of Nursing. 23 (4) "Collaborative practice agreement" means the arrangement for nurse practitioner-physician continuous 24 availability to each other for ongoing supervision, consultation, collaboration, referral and evaluation 25 of care provided by the nurse practitioner. 26 (5) "Disaster" means a state of disaster as defined in G.S. 166A-4(1a) and proclaimed by the Governor, or 27 by the General Assembly pursuant to G.S. 166A-6. 28 (6) "Joint Subcommittee" means the subcommittee composed of members of the Board of Nursing and 29 members of the Medical Board to whom responsibility is given by G.S. 90-8.2 and G.S. 90-30 171.23(b)(14) to develop rules to govern the performance of medical acts by nurse practitioners in 31 North Carolina. 32 **(7)** "Medical Board" means the North Carolina Medical Board. 33 "National Credentialing Body" means one of the following credentialing bodies that offers (8)34 certification and re-certification in the nurse practitioner's specialty area of practice: 35 American Nurses Credentialing Center (ANCC); (a)

American Association of Critical Care Nurses Certification Corporation (AACN);

American Academy of Nurse Practitioners (AANP);

(b)

(c)

36

1		(d) National Certification Corporation of the Obstetric Gynecologic and Neonatal Nursing
2		Specialties (NCC); and
3		(e) the Pediatric Nursing Certification Board (PNCB).
4	(9)	"Nurse Practitioner" or "NP" means a currently licensed registered nurse approved to perform medical
5		acts consistent with the nurse's area of nurse practitioner academic educational preparation and
6		national certification under an agreement with a licensed physician for ongoing supervision,
7		consultation, collaboration and evaluation of the medical acts performed. Such medical acts are in
8		addition to those nursing acts performed by virtue of registered nurse (RN) licensure. The NP is held
9		accountable under the RN license for those nursing acts that he or she may perform.
10	(10)	"Primary Supervising Physician" means the licensed physician who shall provide ongoing supervision,
11		collaboration, consultation and evaluation of the medical acts performed by the nurse practitioner as
12		defined in the collaborative practice agreement. Supervision shall be in compliance with the following:
13		(a) The primary supervising physician shall assure both Boards that the nurse practitioner is
14		qualified to perform those medical acts described in the collaborative practice agreement.
15		(b) A physician in a graduate medical education program, whether fully licensed or holding only
16		a resident's training license, shall not be named as a primary supervising physician.
17		(c) A fully licensed physician in a graduate medical education program who is also practicing in
18		a non-training situation may supervise a nurse practitioner in the non-training situation.
19	(11)	"Registration" means authorization by the Medical Board and the Board of Nursing for a registered
20		nurse to use the title nurse practitioner in accordance with this Section.
21	(12)	"Supervision" means the physician's function of overseeing medical acts performed by the nurse
22		practitioner.
23	(13)	"Volunteer Approval" means approval to practice consistent with this rule except without expectation
24		of direct or indirect compensation or payment (monetary, in kind or otherwise) to the nurse
25		practitioner.
26		
27	History Note:	Authority G.S. 90-8.1; 90-8.2; 90-18(14); 90-18.2; 90-171.20(4); 90-171.20(7); 90-171.23(b); 90-
28		171.83;
29		Recodified from 21 NCAC 36 .0227(a) Eff. August 1, 2004;
30		Amended Eff. September 1, 2012; December 1, 2009; December 1, 2006; August 1, 2004.
31		<u>Re-adopted Eff. [November 1, 2018] [December 1, 2018.] January 1, 2019.</u>

1	21 NCAC 36 .0	802 is readopted, with changes, as published in 33:1 NCR 46 as follows:
2		
3	21 NCAC 36 .0	802 SCOPE OF PRACTICE
4	A nurse practiti	oner shall be held accountable by both Boards for the continuous and comprehensive management of a
5	broad range of	personal health services for which the nurse practitioner is educationally prepared and for which
6	competency has	s been maintained, with physician supervision and collaboration as described in Rule .0810 of this
7	Section. These	services include but are not restricted to:
8	(1)	promotion and maintenance of health;
9	(2)	prevention of illness and disability;
10	(3)	diagnosing, treating and managing acute and chronic illnesses;
11	(4)	guidance and counseling for both individuals and families;
12	(5)	prescribing, administering and dispensing therapeutic measures, tests, procedures and drugs;
13	(6)	planning for situations beyond the nurse practitioner's expertise, and consulting with and referring to
14		other health care providers as appropriate; and
15	(7)	evaluating health outcomes.
16		
17	History Note:	Authority G.S. 90-18(14); 90-171.20(7); 90-171.23(b)(14);
18		Recodified from 21 NCAC 36 .0227(b) Eff. August 1, 2004;
19		Amended Eff. August 1, 2004.
20		<u>Re-adopted Eff. [November 1, 2018] [December 1, 2018.] January 1, 2019.</u>

1	21 NCAC 36 .0	803 is readopted, <u>with changes,</u> as published in 33:1 NCR 46 as follows:
2		
3	21 NCAC 36 .0	803 NURSE PRACTITIONER REGISTRATION
4	(a) The Board of	of Nursing shall register an applicant as a nurse practitioner who:
5	(1)	has an unrestricted license to practice as a registered nurse in North Carolina and, when applicable, an
6		unrestricted approval, registration or license as a nurse practitioner in another state, territory, or
7		possession of the United States;
8	(2)	has successfully completed a nurse practitioner education program as outlined in Rule .0805 of this
9		Section;
10	(3)	is certified as a nurse practitioner by a national credentialing body consistent with 21 NCAC 36
11		.0801(8); and
12	(4)	has supplied additional information necessary to evaluate the application as requested.
13	(b) Beginning	January 1, 2005, new graduates of a nurse practitioner program, who are seeking first-time nurse
14	practitioner regi	stration in North Carolina shall:
15	(1)	hold a Master's or higher degree in Nursing or related field with primary focus on Nursing;
16	(2)	have successfully completed a graduate level nurse practitioner education program accredited by a
17		national accrediting body; and
18	(3)	provide documentation of certification by a national credentialing body.
19		
20	History Note:	Authority G.S. 90-18(c)(13); 90-18.2; 90-171.20(7); 90-171.23(b); 90-171.83;
21		Eff. August 1, 2004;
22		Amended Eff. September 1, 2012; November 1, 2008; December 1, 2006.
23		Re-adopted Eff [November 1, 2018] [December 1, 2018, Lanuary 1, 2019]

1	21 NCAC 36 .08	04 is readopted, with changes, as published in 33:1 NCR 46 as follows:
2		
3	21 NCAC 36 .08	04 PROCESS FOR APPROVAL TO PRACTICE
4	(a) Prior to the p	erformance of any medical acts, a nurse practitioner shall:
5	(1)	meet registration requirements as specified in 21 NCAC 36 .0803;
6	(2)	submit an application for approval to practice;
7	(3)	submit any additional information necessary to evaluate the application as requested; and
8	(4)	have a collaborative practice agreement with a primary supervising physician.
9	(b) A nurse pract	titioner seeking approval to practice who has not practiced as a nurse practitioner in more than two
10	years shall comp	lete a nurse practitioner refresher course approved by the Board of Nursing in accordance with
11	Paragraphs (o) ar	nd (p) of 21 NCAC 36 .0220 and consisting of common conditions and their management directly
12	related to the nur	se practitioner's area of education and certification. A nurse practitioner refresher course participant
13	shall be granted a	in approval to practice that is limited to clinical activities required by the refresher course.
14	(c) The nurse pr	actitioner shall not practice until notification of approval to practice is received from the Board of
15	Nursing after both	h Boards have approved the application.
16	(d) The nurse p	ractitioner's approval to practice is terminated when the nurse practitioner discontinues working
17	within the approv	ved nurse practitioner collaborative practice agreement, or experiences an interruption in her or his
18	registered nurse	licensure status, and the nurse practitioner shall so notify the Board of Nursing in writing. The
19	Boards shall exte	nd the nurse practitioner's approval to practice in cases of emergency such as injury, sudden illness
20	or death of the pr	imary supervising physician.
21	(e) Applications	for approval to practice in North Carolina shall be submitted to the Board of Nursing and then
22	approved by both	Boards as follows:
23	(1)	the Board of Nursing shall verify compliance with Rule .0803 and Paragraph (a) of this Rule; and
24	(2)	the Medical Board shall verify that the designated primary supervising physician holds a valid
25		license to practice medicine in North Carolina and compliance with Paragraph (a) of this Rule.
26	(f) Applications	for approval of changes in practice arrangements for a nurse practitioner currently approved to
27	practice in North	Carolina shall be submitted by the applicant as follows:
28	(1)	addition or change of primary supervising physician shall be submitted to the Board of Nursing
29		and processed pursuant to protocols developed by both Boards; and
30	(2)	request for change(s) in the scope of practice shall be submitted to the Joint Subcommittee.
31	(g) A registered	nurse who was previously approved to practice as a nurse practitioner in this state who reapplies for
32	approval to practi	ice shall:
33	(1)	meet the nurse practitioner approval requirements as stipulated in Rule .0808(c) of this Section;
34		and
35	(2)	complete the appropriate application.

- 1 (h) Volunteer Approval to Practice. The North Carolina Board of Nursing shall grant approval to practice in a
- 2 volunteer capacity to a nurse practitioner who has met the qualifications to practice as a nurse practitioner in North
- 3 Carolina.
- 4 (i) The nurse practitioner shall pay the appropriate fee as outlined in Rule .0813 of this Section.
- 5 (j) A Nurse Practitioner approved under this Section shall keep proof of current licensure, registration and approval
- 6 available for inspection at each practice site upon request by agents of either Board.

- 8 History Note: Authority G.S. 90-18(13), (14); 90-18.2; 90-171.20(7); 90-171.23(b);
- 9 Recodified from 21 NCAC 36 .0227(c) Eff. August 1, 2004;
- 10 Amended Eff. November 1, 2013; January 1, 2013; December 1, 2009; November 1, 2008;
- 11 January 1, 2007; August 1, 2004.
- 12 <u>Re-adopted Eff. [November 1, 2018] [December 1, 2018.] January 1, 2019.</u>

1	21 NCAC 36 .0	0805 is rea	idopted, with changes, as published in 33:1 NCR 46 as follows:
2			
3	21 NCAC 36 .0	0805	EDUCATION AND CERTIFICATION REQUIREMENTS FOR REGISTRATION AS
4			A NURSE PRACTITIONER
5	(a) A nurse pra	ctitioner w	ith first-time approval to practice after January 1, 2000, shall provide evidence of certification
6	or recertification	on as a nurs	se practitioner by a national credentialing body.
7	(b) A nurse pra	actitioner a	applicant who completed a nurse practitioner education program prior to December 31, 1999
8	shall provide ev	vidence of	successful completion of a course of education that contains a core curriculum including 400
9	contact hours	of didactic	education and 400 hours of preceptorship or supervised clinical experience. The core
10	curriculum shal	ll contain t	he following components:
11	(1)	health a	ssessment and diagnostic reasoning including:
12		(A)	historical data;
13		(B)	physical examination data;
14		(C)	organization of data base;
15	(2)	pharma	cology;
16	(3)	pathoph	nysiology;
17	(4)	clinical	management of common health problems and diseases such as the following shall be evident
18		in the n	urse practitioner's academic program:
19		(A)	respiratory system;
20		(B)	cardiovascular system;
21		(C)	gastrointestinal system;
22		(D)	genitourinary system;
23		(E)	integumentary system;
24		(F)	hematologic and immune systems;
25		(G)	endocrine system;
26		(H)	musculoskeletal system;
27		(I)	infectious diseases;
28		(J)	nervous system;
29		(K)	behavioral, mental health and substance abuse problems;
30	(5)	clinical	preventative services including health promotion and prevention of disease;
31	(6)	client e	ducation related to Subparagraph (b)(4)–(5) of this Rule; and
32	(7)	role dev	relopment including legal, ethical, economical, health policy and interdisciplinary collaboration
33		issues.	
34	(c) Nurse pract	itioner app	licants exempt from components of the core curriculum requirements listed in Paragraph (b) of
35	this Rule are:		
36	(1)	Any nu	arse practitioner approved to practice in North Carolina prior to January 18, 1981, is
37		perman	ently exempt from the core curriculum requirement.

1	(2)	A nurse practitioner certified by a national credentialing body prior to January 1, 1998, who also
2		provides evidence of satisfying Subparagraph (b)(1)-(3) of this Rule shall be exempt from core
3		curriculum requirements in Subparagraph (b)(4)-(7) of this Rule. Evidence of satisfying
4		Subparagraph (b)(1)–(3) of this Rule shall include:
5		(A) a narrative of course content; and
6		(B) contact hours.
7		
8	History Note:	Authority G.S. 90-18(14); 90-171.42;
9		Recodified from 21 NCAC 36.0227(d) Eff. August 1, 2004;
10		Amended Eff. December 1, 2009; December 1, 2006; August 1, 2004.
11		<u>Re-adopted Eff. [November 1, 2018] [December 1, 2018.] January 1, 2019.</u>

1	21 NCAC 36 .0	806 is readopted, with changes, as published in 33:1 NCR 46 as follows:
2		
3	21 NCAC 36 .0	806 ANNUAL RENEWAL
4	(a) Each regist	ered nurse who is approved to practice as a nurse practitioner in this State shall annually renew each
5	approval to practice with the Board of Nursing no later than the last day of the nurse practitioner's birth month by:	
6	(1)	Maintaining current RN licensure;
7	(2)	Maintaining certification as a nurse practitioner by a national credentialing body identified in Rule
8		.0801(8) of this Section;
9	(3)	Submitting the fee required in Rule .0813 of this Section; and
10	(4)	Completing the renewal application.
11	(b) If the nurse practitioner has not renewed by the last day of her or his birth month, the approval to practice as a	
12	nurse practitioner shall lapse.	
13		
14	History Note:	Authority G.S. 90-8.1; 90-8.2; 90-18(c)(14); 90-171.23(b)(14); 90-171.83;
15		Recodified from 21 NCAC 36.0227(e) Eff. August 1, 2004;
16		Amended Eff. March 1, 2017; December 1, 2009; November 1, 2008; August 1, 2004.
17		Re-adopted Eff. [November 1, 2018] [December 1, 2018.] January 1, 2019.

### 2 3 21 NCAC 36 .0807 **CONTINUING EDUCATION (CE)** 4 In order to maintain nurse practitioner approval to practice, the nurse practitioner shall earn 50 contact hours of 5 continuing education each year beginning with the first renewal after initial approval to practice has been granted. 6 At least 20 hours of the required 50 hours must be those hours for which approval has been granted by the American 7 Nurses Credentialing Center (ANCC) or Accreditation Council on Continuing Medical Education (ACCME), other 8 national credentialing bodies, or practice relevant courses in an institution of higher learning. Every nurse 9 practitioner who prescribes controlled substances shall complete at least one hour of the total required continuing 10 education (CE) hours annually consisting of CE designed specifically to address controlled substance prescribing 11 practices, signs of the abuse or misuse of controlled substances, and controlled substance prescribing for chronic 12 pain management. Documentation shall be maintained by the nurse practitioner for the previous five calendar years 13 and made available upon request to either Board. 14 15 History Note: Authority G.S. 90-5.1; 90-8.1; 90-8.2; 90-14(a)(15); 90-18(c)(14); 90-171.23(b)(14); 90-171.42; 16 S.L. 2015-241, s 12F; 17 Recodified from 21 NCAC 36.0227(f) Eff. August 1, 2004; 18 Amended Eff. March 1, 2017; December 1, 2009; April 1, 2008; August 1, 2004. 19 Re-adopted Eff. [November 1, 2018] [December 1, 2018.] January 1, 2019.

21 NCAC 36 .0807 is readopted, with changes, as published in 33:1 NCR 46 as follows:

#### 1 21 NCAC 36 .0808 is readopted, with changes, as published in 33:1 NCR 46 as follows: 2 3 21 NCAC 36 .0808 **INACTIVE STATUS** 4 (a) Any nurse practitioner who wishes to place her or his approval to practice on an inactive status shall notify the 5 Board of Nursing in writing. 6 (b) A nurse practitioner with an inactive approval to practice status shall not practice as a nurse practitioner. 7 (c) A nurse practitioner with an inactive approval to practice status who reapplies for approval to practice shall meet 8 the qualifications for approval to practice in Rules .0803(a)(1), .0804(a) and (b), .0807, and .0810 of this Section and 9 receive notification from the Board of Nursing of approval prior to beginning practice after the application is 10 approved by both Boards. 11 (d) A nurse practitioner who has not practiced as a nurse practitioner in more than two years shall complete a nurse 12 practitioner refresher course approved by the Board of Nursing in accordance with Paragraphs (o) and (p) of 21 13 NCAC 36 .0220 and consisting of common conditions and management of these conditions directly related to the 14 nurse practitioner's area of education and certification. A nurse practitioner refresher course participant shall be 15 granted an approval to practice that is limited to clinical activities required by the refresher course. 16 17 History Note: Authority G.S. 90-18(13); 90-18.2; 90-171.36; 90-171.83; 18 Recodified from 21 NCAC 36.0227(g) Eff. August 1, 2004;

<u>Re-adopted Eff. [November 1, 2018] [December 1, 2018.] January 1, 2019.</u>

Amended Eff. November 1, 2013; January 1, 2013; December 1, 2009; December 1, 2006; August

19

20

21

1, 2004.

1	21 NCAC 30 .08	U9 IS FE	eadopted, with changes, as published in 55:1 NCK 46 as follows:
2			
3	21 NCAC 36 .08	09	PRESCRIBING AUTHORITY
4	(a) The prescribi	ng stipi	ulations contained in this Rule apply to writing prescriptions and ordering the administration
5	of medications.		
6	(b) Prescribing a	nd disp	ensing stipulations are as follows:
7	(1)	Drugs	and devices that may be prescribed by the nurse practitioner in each practice site shall be
8		includ	ed in the collaborative practice agreement as outlined in Rule .0810(2) of this Section.
9	(2)	Contro	olled Substances (Schedules II, IIN, III, IIIN, IV, V) defined by the State and Federal
10		Contro	olled Substances Acts may be procured, prescribed, or ordered as established in the
11		collabo	orative practice agreement, providing all of the following requirements are met:
12		(A)	the nurse practitioner has an assigned DEA number that is entered on each prescription
13			for a controlled substance;
14		(B)	refills may be issued consistent with Controlled Substance laws and regulations; and
15		(C)	the supervising physician(s) shall possess the same schedule(s) of controlled substances
16			as the nurse practitioner's DEA registration.
17	(3)	The nu	urse practitioner may prescribe a drug or device not included in the collaborative practice
18		agreen	nent only as follows:
19		(A)	upon a specific written or verbal order obtained from a primary or back-up supervising
20			physician before the prescription or order is issued by the nurse practitioner; and
21		(B)	the written or verbal order as described in Part (b)(3)(A) of this Rule shall be entered into
22			the patient record with a notation that it is issued on the specific order of a primary or
23			back-up supervising physician and signed by the nurse practitioner and the physician.
24	(4)	Each p	prescription shall be noted on the patient's chart and include the following information:
25		(A)	medication and dosage;
26		(B)	amount prescribed;
27		(C)	directions for use;
28		(D)	number of refills; and
29		(E)	signature of nurse practitioner.
30	(5)	Prescr	iption Format:
31		(A)	all prescriptions issued by the nurse practitioner shall contain the supervising physician(s)
32			name, the name of the patient, and the nurse practitioner's name, telephone number, and
33			approval number;
34		(B)	the nurse practitioner's assigned DEA number shall be written on the prescription form
35			when a controlled substance is prescribed as defined in Subparagraph (b)(2) of this Rule.
36	(6)	A nurs	se practitioner shall not prescribe controlled substances, as defined by the State and Federal
37		Contro	olled Substances Acts, for the following:

1		(A)	nurse p	practitioner's own use;
2		(B)	nurse j	practitioner's supervising physician;
3		(C)	memb	er of the nurse practitioner's immediate family, which shall mean a:
4			(i)	spouse;
5			(ii)	parent;
6			(iii)	child;
7			(iv)	sibling;
8			(v)	parent-in-law;
9			(vi)	son or daughter-in-law;
10			(vii)	brother or sister-in-law;
11			(viii)	step-parent;
12			(ix)	step-child; or
13			(x)	step-siblings;
14		(D)	any oth	ner person living in the same residence as the licensee; or
15		(E)	anyone	with whom the nurse practitioner is having a sexual relationship.
16	(c) The nurse p	ractitione	er may ob	stain approval to dispense the drugs and devices other than samples included in the
17	collaborative pr	actice ag	reement f	For each practice site from the Board of Pharmacy, and dispense in accordance with
18	21 NCAC 46 .1	703 that i	s hereby	incorporated by reference including subsequent amendments.
19				
20	History Note:	Author	ity G.S. 9	90-8.1; 90-8.2; 90-18.2; 90-18(c)(14); 90-171.23(b)(14);
21		Recodi	fied from	21 NCAC 36 .0227(h) Eff. August 1, 2004;
22		Amend	ed Eff. N	March 1, 2017; December 1, 2012; April 1, 2011; November 1, 2008; August 1,
23		2004.		
24		<u>Re-ado</u>	<u>pted Eff.</u>	[November 1, 2018] [December 1, 2018.] January 1, 2019.

1	21 NCAC 30 .0	1810 IS F	eadopted	, with changes, as published in 33:1 NCR 46 as follows:
2				
3	21 NCAC 36 .0	810	QUA	LITY ASSURANCE STANDARDS FOR A COLLABORATIVE PRACTICE
4			AGR	EEMENT
5	The following	are the q	uality ass	urance standards for a collaborative practice agreement:
6	(1)	Avail	ability: T	he primary or back-up supervising physician(s) and the nurse practitioner shall be
7		contir	nuously a	vailable to each other for consultation by direct communication or telecommunication.
8	(2)	Colla	borative l	Practice Agreement:
9		(a)	shall	be agreed upon and signed by both the primary supervising physician and the nurse
10			practi	tioner, and maintained in each practice site;
11		(b)	shall l	be reviewed at least yearly. This review shall be acknowledged by a dated signature
12			sheet,	signed by both the primary supervising physician and the nurse practitioner, appended
13			to the	collaborative practice agreement and available for inspection by members or agents of
14			either	Board;
15		(c)	shall	include the drugs, devices, medical treatments, tests and procedures that may be
16			presci	ibed, ordered and performed by the nurse practitioner consistent with Rule .0809 of
17			this S	ection; and
18		(d)	shall i	nclude a pre-determined plan for emergency services.
19	(3)	The r	urse pra	ctitioner shall demonstrate the ability to perform medical acts as outlined in the
20		collab	orative p	ractice agreement upon request by members or agents of either Board.
21	(4)	Quali	ty Improv	vement Process.
22		(a)	The p	rimary supervising physician and the nurse practitioner shall develop a process for the
23			ongoi	ng review of the care provided in each practice site including a written plan for
24			evalua	ating the quality of care provided for one or more frequently encountered clinical
25			proble	ems.
26		(b)	This p	lan shall include a description of the clinical problem(s), an evaluation of the current
27			treatn	ent interventions, and if needed, a plan for improving outcomes within an identified
28			time-f	rame.
29		(c)	The q	uality improvement process shall include scheduled meetings between the primary
30			super	vising physician and the nurse practitioner at least every six months. Documentation
31			for ea	ch meeting shall:
32			(i)	identify clinical problems discussed, including progress toward improving
33				outcomes as stated in Sub-item (4)(b) of this Rule, and recommendations, if any, for
34				changes in treatment plan(s);
35			(ii)	be signed and dated by those who attended; and

I		(111)	be available for review by members or agents of either Board for the previous five
2			calendar years and be retained by both the nurse practitioner and primary
3			supervising physician.
4	(5)	Nurse Practition	ner-Physician Consultation. The following requirements establish the minimum
5		standards for con	nsultation between the nurse practitioner and primary supervising physician(s):
6		(a) During	the first six months of a collaborative practice agreement between a nurse practitioner
7		and the	primary supervising physician, there shall be monthly meetings for the first six
8		months	to discuss practice relevant clinical issues and quality improvement measures.
9		(b) Docum	entation of the meetings shall:
10		(i)	identify clinical issues discussed and actions taken;
11		(ii)	be signed and dated by those who attended; and
12		(iii)	be available for review by members or agents of either Board for the previous five
13			calendar years and be retained by both the nurse practitioner and primary
14			supervising physician.
15			
16	History Note:	Authority G.S 90	0-8.1; 90-8.2; 90-18(14); 90-18.2; 90-171.23(b)(14);
17		Recodified from	21 NCAC 36 .0227(i) Eff. August 1, 2004;
18		Amended Eff. De	ecember 1, 2009; August 1, 2004.
19		Re-adopted Eff.	[November 1, 2018] [December 1, 2018] January 1, 2019.

1	21 NCAC 36 .0	811 is readopted, with changes, as published in 33:1 NCR 46 as follows:
2		
3	21 NCAC 36 .0	METHOD OF IDENTIFICATION
4	When providing	g care to the public, the nurse practitioner shall identify herself/himself as specified in G.S. $90-640$ and $21$
5	NCAC 36 .023	1.
6		
7	History Note:	Authority G.S. 90-18(14); 90-640;
8		Recodified from 21 NCAC 36 .0227(j) Eff. August 1, 2004;
9		Amended Eff. August 1, 2004.
10		Re-adopted Eff. [November 1, 2018] [December 1, 2018.] January 1, 2019.

1	21 NCAC 36 .08	12 is readopted, with changes, as published in 33:1 NCR 46 as follows:
2		
3	21 NCAC 36 .08	12 DISCIPLINARY ACTION
4	(a) After notice a	and hearing in accordance with provisions of G. S. 150B, Article 3A, disciplinary action may be taken
5	by the appropriat	e Board if one or more of the following is found:
6	(1)	violation of G.S. 90-18 and G.S. 90-18.2 or the joint rules adopted by each Board;
7	(2)	immoral or dishonorable conduct pursuant to and consistent with G.S. 90-14(a)(1);
8	(3)	any submissions to either Board pursuant to and consistent with G.S. 90-14(a)(3);
9	(4)	the nurse practitioner is adjudicated mentally incompetent or the nurse practitioner's mental or physical
10		condition renders the nurse practitioner unable to safely function as a nurse practitioner pursuant to
11		and consistent with G.S. 90-14(a)(5) and G.S. 90-171.37(3);
12	(5)	unprofessional conduct by reason of deliberate or negligent acts or omissions and contrary to the
13		prevailing standards for nurse practitioners in accordance and consistent with G.S. 90-14(a)(6) and
14		G.S. 90-171.35(5);
15	(6)	conviction in any court of a criminal offense in accordance and consistent with G.S. 90-14(a)(7) and
16		G.S. 90-171.37 (2) and G.S. 90-171.48;
17	(7)	payments for the nurse practitioner practice pursuant to and consistent with G.S. 90-14(a)(8);
18	(8)	lack of professional competence as a nurse practitioner pursuant to and consistent with G.S. 90-
19		14(a)(11);
20	(9)	exploiting the client pursuant to and consistent with G.S. 90-14(a)(12) including the promotion of the
21		sale of services, appliances, or drugs for the financial gain of the practitioner or of a third party;
22	(10)	failure to respond to inquires which may be part of a joint protocol between the Board of Nursing and
23		Medical Board for investigation and discipline pursuant to and consistent with G.S. 90-14(a)(14);
24	(11)	the nurse practitioner has held himself or herself out or permitted another to represent the nurse
25		practitioner as a licensed physician; or
26	(12)	the nurse practitioner has engaged or attempted to engage in the performance of medical acts other
27		than according to the collaborative practice agreement.
28	(b) The nurse pra	actitioner is subject to G.S. 90-171.37; 90-171.48 and 21 NCAC 36 .0217 by virtue of the license to
29	practice as a regis	stered nurse.
30	(c) After an inve	stigation is completed, the joint subcommittee of both boards may recommend one of the following:
31	(1)	dismiss the case;
32	(2)	issue a private letter of concern;
33	(3)	enter into negotiation for a Consent Order; or
34	(4)	a disciplinary hearing in accordance with G.S. 150B, Article 3A. If a hearing is recommended, the
35		joint subcommittee shall also recommend whether the matter should be heard by the Board of Nursing
36		or the Medical Board.

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    (d) Upon a finding of violation, each Board may utilize the range of disciplinary options as enumerated in G.S. 90-14(a) or G.S. 90-171.37.
    History Note: Authority G.S. 90-18(c)(14); 90-171.37; 90-171.44; 90-171.47; 90-171.48;
    Recodified from 21 NCAC 36 .0227(k) Eff. August 1, 2004;
    Amended Eff. April 1, 2007; August 1, 2004.
```

<u>Re-adopted Eff.</u> [November 1, 2018] [December 1, 2018.] <u>January 1, 2019.</u>

7

1	21 NCAC 36 .0	813 is readopted, with changes, as published in 33:1 NCR 47 as follows:	
2			
3	21 NCAC 36 .0	813 FEES	
4	(a) An applicat	ion fee of one hundred dollars (\$100.00) shall be paid at the time of initial application for approval to	
5	practice and each subsequent application for approval to practice. The application fee shall be twenty dollars (\$20.00)		
6	for volunteer ap	proval.	
7	(b) The fee for	annual renewal of approval shall be fifty dollars (\$50.00).	
8	(c) The fee for annual renewal of volunteer approval shall be ten dollars (\$10.00).		
9	(d) No portion of any fee in this Rule is refundable.		
10			
11	History Note:	Authority G.S. 90-6; 90-8.1; 90-8.2; 90-171.23(b)(14);	
12		Recodified from 21 NCAC 36 .0227(1) Eff. August 1, 2004;	
13		Amended Eff. November 1, 2008; August 1, 2004.	
14		Re-adopted Eff. [November 1, 2018] [December 1, 2018.] January 1, 2019.	

1	21 NCAC 36 .08	14 is readopted, with changes, as published in 33:1 NCR 47 as follows:
2		
3	21 NCAC 36 .08	14 PRACTICING DURING A DISASTER
4	(a) A nurse prae	ctitioner approved to practice in this State or another state may perform medical acts, as a nurse
5	practitioner under	the supervision of a physician licensed to practice medicine in North Carolina during a disaster in a
6	county in which a	state of disaster has been declared or counties contiguous to a county in which a state of disaster has
7	been declared.	
8	(b) The nurse pra	actitioner shall notify the Board of Nursing in writing of the names, practice locations and telephone
9	numbers for the r	surse practitioner and each primary supervising physician within 15 days of the first performance of
10	medical acts, as a	nurse practitioner during the disaster, and the Board of Nursing shall notify the Medical Board.
11	(c) Teams of phy	sician(s) and nurse practitioner(s) practicing pursuant to this Rule shall not be required to maintain on-
12	site documentation	on describing supervisory arrangements and plans for prescriptive authority as otherwise required
13	pursuant to Rules	.0809 and .0810 of this Section.
14		
15	History Note:	Authority G.S. 90-18(c)(13), (14); 90-18.2; 90-171.23(b);
16		Recodified from 21 NCAC 36 .0227(m) Eff. August 1, 2004;
17		Amended Eff. December 1, 2009; August 1, 2004.

Re-adopted Eff. [November 1, 2018] [December 1, 2018.] January 1, 2019.

18

1	21 NCAC 30 .0	7015 is readopted, with changes, as published in 55:1 NCK 47 as follows:
2		
3	21 NCAC 36 .0	0815 REPORTING CRITERIA
4	(a) The Depar	rtment of Health and Human Services ("Department") may report to the North Carolina Board of
5	Nursing ("Boar	d") information regarding the prescribing practices of those nurse practitioners ("prescribers") whose
6	prescribing:	
7	(1)	falls within the top two percent of those prescribing 100 morphine milligram equivalents
8		("MME") per patient per day; or
9	(2)	falls within the top two percent of those prescribing 100 MMEs per patient per day in combination
10		with any benzodiazepine and who are within the top one percent of all controlled substance
11		prescribers by volume.
12	(b) In addition	n, the Department may report to the Board information regarding prescribers who have had two or
13	more patient de	eaths in the preceding 12 months due to opioid poisoning where the prescribers authorized more than
14	30 tablets of an	opioid to the decedent and the prescriptions were written within 60 days of the patient deaths.
15	(c) The Depart	ment may submit these reports to the Board upon request and may include the information described
16	in G.S. 90-113.	73(b).
17	(d) The report	s and communications between the Department and the Board shall remain confidential pursuant to
18	G.S. 90-113.74	
19		
20	History Note:	Authority G.S. 90-113.74;
21		Eff. April 1, 2016;
22		Amended Eff. May 1, 2018.
23		Re-adonted Eff [November 1-2018] [December 1-2018.] January 1-2019



# STATE OF NORTH CAROLINA OFFICE OF ADMINISTRATIVE HEARINGS

Mailing address: 6714 Mail Service Center Raleigh, NC 27699-6700

Street address: 1711 New Hope Church Rd Raleigh, NC 27609-6285

November 15, 2018

Angela Ellis, Rulemaking Coordinator North Carolina Board of Nursing Sent via email only to: angela@ncbon.com

Re: Extension of the Period of Review for Rules 21 NCAC 36 .0109, .0112, .0113, .0120, .0201, .0202, .0203, .0207, .0208, .0211, .0213, .0217, .0218, .0219, .0220, .0221, .0223, .0224, .0225, .0226, .0228, .0232, .0233, .0302, .0303, .0309, .0317, .0318, .0320, .0321, .0322, .0323, .0401, .0402, .0403, .0404, .0405, .0406, .0502, .0503, .0504, .0505, .0506, .0507, .0601, .0602, .0603, .0604, .0605, .0801, .0802, .0803, .0804, .0805, .0806, .0807, .0808, .0809, .0810, .0811, .0812, .0813, .0814, and .0815

Dear Ms. Ellis:

At its meeting today, the Rules Review Commission extended the period of review for the above-captioned rules in accordance with G.S. 150B-21.10. They did so in response to a request from the agency to extend the period in order to allow the agency to address requested technical changes.

Pursuant to G.S. 150B-21.13, when the Commission extends the period of review, it is required to approve or object to rules or call a public hearing on the same within 70 days.

Should you have any questions regarding the Commission's actions, please let me know.

Sincerely,

Jason Thomas

Commission Counsel

# **Burgos, Alexander N**

**Subject:** FW: [External] Request for Extension of Review of 21 NCAC 36

From: Angela Ellis <angela@ncbon.com>
Sent: Friday, November 9, 2018 9:25 AM

To: Thomas, Jason S < jason.thomas@oah.nc.gov>

Cc: Julie George <julie@ncbon.com>; Amy G. Fitzhugh <afitzhugh@ncbon.com>; Meredith Parris <mparris@ncbon.com>

Subject: [External] Request for Extension of Review of 21 NCAC 36

**CAUTION:** External email. Do not click links or open attachments unless verified. Send all suspicious email as an attachment to Report Spam.

The North Carolina Board of Nursing would like to request an extension for review of the Request for Technical Changes regarding 21 NCAC 36. Reasons for the request are listed below:

- The Board is re-adopting and amending 64 Rules within the Chapter in accordance with requirements of Periodic Review. The Rules were submitted to OAH on October 22<sup>nd</sup> in preparation for the November 15<sup>th</sup> RRC meeting.
- Many of the Rules submitted involve extensive re-writes, having not been reviewed in over 10+ years.
- The Board received the Request for Technical Changes from Jason Thomas on November 7<sup>th</sup> with a deadline of November 9<sup>th</sup> to respond.
- The Request for Technical Changes for the 64 Rules includes extensive questions related to statutory authority, wording, consideration of appeal and possible consultation with the Codifier.
- On initial review of the Request for Technical Changes, staff identified several Rules which will require additional time for discussions with Mr. Thomas to reach consensus.
- In collaboration with the Chief Legal Officer, it has been determined the Board cannot meet the November 9<sup>th</sup> deadline as staff do not have adequate time to address the requests from the OAH attorney for all 64 Rules.

The Board of Nursing respectfully requests the RRC grant an extension for review and schedule the Board to appear before RRC on December 13, 2018 for final review of 21 NCAC 36.

FYI, staff will attend the November 15<sup>th</sup> RRC meeting to answer any questions regarding this request.

Angela Ellis
Chief Administrative Officer

P: (919) 782-3211 ext. 259

F: (919) 781-9461 www.ncbon.com

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<sup>&</sup>quot;Serving the Public Through Regulatory Excellence"

AGENCY: Board of Nursing

RULE CITATION: 21 NCAC 36 .0109

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

Lin 13 – replace "less" with "fewer"

Line 16 – add "of Board member position" after "category"

Lines 22-26 – retain the subparagraph format – it is clearer than listing in a single paragraph

Line 30 – replace "applies" with "shall apply"

Line 33 – replace "which" with "that" twice

Line 36 – delete the comma

Page 2, line 2 – delete both commas and add "or" after "a hospital"

Page 2, line 4 – add a comma after "midwife"

Page 2, line 6 – add a comma after practice and within the quotation mark, thus: practice,"

Page 2, line 18 – delete Paragraph (j) entirely

Page 2, lines 21-22 – consider revising as follows: "be verified by matching the license number of each nurse who voted with the database of licensed nurses maintained by the Board" if that is what is meant.

Page 2, line 23 – delete "A plurality vote shall elect."

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.

1 2	21 NCAC 36 .01	09 is readopted, with changes, as published in 33:1 NCR 10-11 as follows:
3	21 NCAC 36 .01	09 SELECTION AND QUALIFICATIONS OF NURSE MEMBERS
4	(a) Vacancies in	n nurse member positions on the Board that are scheduled to occur during the next year shall be
5	announced in the	last issue of the North Carolina Board of Nursing Board's "Bulletin" for the calendar year, which
6	shall be mailed to	the address on record for each North Carolina licensed nurse and posted on the Board's website at
7	www.ncbon.com	. The "Bulletin" and <u>Board's</u> website <u>at www.ncbon.com</u> shall include a petition form for nominating
8	a nurse to the Boa	ard and information on filing the petition with the Board.
9	(b) Each petition	n shall be checked with the records of the Board to validate that the nominee candidate and each
10	petitioner holds a	eurrent an active unencumbered North Carolina license to practice nursing. If the nominee candidate
11	is does not eurre	ntly licensed, hold an active unencumbered license, the petition shall be declared invalid. If any
12	petitioners are do	o not eurrently licensed hold an active unencumbered licenses, and this decreases the number of
13	petitioners to less	than 10, the petition shall be declared invalid.
14	(c) On forms In	a format provided by the Board, each nominee candidate shall: shall submit a packet with the
15	following inform	ation:
16	(1)	indicate the category for which the nominee candidate is seeking election;
17	(2)	attest to meeting the qualifications specified in G.S. 90-171.21(d);
18	(3)	provide written permission to be listed on the ballot; slate; and
19	(4)	complete the Application for Boards and Commissions in accordance with Governor Perdue's
20		Executive Order 55. 55 Enhanced Disclosures from Applicants to Boards and Commissions.
21	The forms must	candidate packet shall be received by the Board or postmarked on or before April 15. 15 in one or
22	more of the follo	wing ways by electronic submission, mailed copy with postmarked envelope, or in-person received
23	<mark>by Board staff du</mark>	ring normal business hours.
24	[ <del>(1)</del>	electronic submission;
25	<del>(2)</del>	mailed copy with postmarked envelope; or
26	<del>(3)</del>	in-person received by Board staff during normal business hours.
27	(d) Minimum on	a-going employment requirements for the registered nurse or licensed practical nurse member shall
28	include continuo	us employment equal to or greater than 50% of a full-time position that meets the criteria for the
29	specified Board r	nember position.
30	(e) This Paragrap	ph applies in determining qualifications for registered nurse categories of membership:
31	(1)	Nurse Educator includes any nurse who teaches in or directs a Board-approved Board-approved
32		nursing program in the specific category as outlined in G.S. 90-171.21(d).
33	(2)	Hospital is defined as any facility which has an organized medical staff and which is designed, used,
34		and primarily operated to provide health care, diagnostic and therapeutic services, and continuous
35		nursing services to inpatients, but excludes nursing homes and adult care homes.
36	(3)	A hospital system is defined as a multihospital system, or a single diversified hospital system that
37		includes a hospital as defined in Subparagraph (e)(2) of this Rule plus non-hospital preacute pre-
38		acute and postacute post-acute client services.

- 1 (4) A nurse accountable for the administration of nursing services shall be the chief nurse executive of a hospital, hospital system, or the director of nursing services for a service division that includes inpatient care within a hospital or hospital system.
  - (5) A nurse practitioner, nurse anesthetist, nurse midwife or clinical nurse specialist includes any advanced practice registered nurse who meets the criteria specified in G.S. 90-171.21(d)(4).
    - (f) The term "nursing practice" when used in determining qualifications for registered or <u>licensed</u> practical nurse categories of membership, means any position for which the holder of the position is required to hold a <u>current an</u> <u>active</u> license to practice nursing at the appropriate licensure level for each category.
- 9 (g) A nominee candidate shall be listed in only one category on the ballot. slate.
- 10 (h) Separate slates shall be prepared for election of registered nurse nominees candidates and for election of licensed
- 11 practical nurse nominees. candidates. Nominees Candidates shall be listed in random order on the slate for licensed
- practical nurse nominees candidates and within the categories for registered nurse nominees. candidates. Slates shall
- be published in the "Bulletin" and posted to on the Board Board's website at www.ncbon.com following the Spring
- 14 Board meeting and shall be accompanied by biographical data on nominees candidates and a passport type
- 15 photograph.

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- 16 (i) The procedure for voting shall be identified in the "Bulletin" and posted on the Board's website at www.ncbon.com
- 17 following the Spring Board meeting.
- 18 (j) The Board of Nursing may contract with a computer or other service to receive the votes and tabulate the results.
- 19 (k) The tabulation of results and verification of the tabulation of votes shall include the following:
- 20 (1) The certificate license number shall be provided for each individual voting; and
- 21 (2) The certificate <u>license number shall</u> be <u>verified by matched matching each license number</u> with the database from the Board.
  - (l) A plurality vote shall elect. If more than one person candidate is to be elected in a category, the plurality vote shall be in descending order until the required number has been elected. In any election, if there is a tie vote between nominees, candidates, the tie shall be resolved by a draw from the names of nominees candidates who have tied.
  - (m) The results of an election shall be recorded in the minutes of the next regular meeting of the Board of Nursing following the election and shall include at least the following:
    - (1) the number of nurses eligible to vote;
    - (2) the number of votes cast; and
    - (3) the number of votes cast for each person candidate on the slate.
- 31 (n) The results of the election shall be <u>forwarded reported</u> to the Governor <del>and the Governor shall commission those</del>
- 32 elected to the Board of Nursing. and in the annual report as directed in G.S. 93B-2 and 138A.
- of the computerized validation and tabulation shall be retained for a period of three months four years following the

(o) All petitions to nominate a nurse, signed consents to appear on the slate, verifications of qualifications, and copies

- 35 close of an election.
- 37 H
  - History Note: Authority G.S. 90-171.21; 90-171.23(b);

	Eff. May 1, 1982;
2	Amended Eff. August 1, 1998; January 1, 1996; June 1, 1992; March 1, 1990; April 1, 1989;
3	Temporary Amendment Eff. July 2, 2001;
1	Amended Eff. December 1, 2010; November 1, 2008; January 1, 2004; August 1, 2002, 2002,
5	Re-adopted Eff. [November 1, 2018] December 1, 2018.

AGENCY: Board of Nursing

RULE CITATION: 21 NCAC 36 .0112

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

Line 9 – replace "in the designated area" with "that meets the criteria"

Line 10 – replace "vacant. Provided," with "vacant; provided,"

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.

1	21 NCAC 36 .01	112 is readopted, with changes, as published in 33:1 NCR 11 as follows:
2		
3	21 NCAC 36 .01	112 DETERMINATION OF VACANCY
4	(a) A Board m	ember, with the exception of the At-Large Registered Nurse, shall notify the Executive Director
5	immediately upo	on change of employment.
6	(a)(b) Except for	r the RN At Large Member, should a licensed Licensed nurse member members of the Board Board,
7	with the exception	on of the At-Large Registered Nurse, who cease to meet the employment criteria as defined in G.S.
8	90-171.21(d) and	d Rule .0109 Paragraphs (d) and (e) of this Section, the member Section shall have 60 days to resume
9	employment in t	he designated area. If employment criteria for the specified area are not met within 60 days, the seat
10	shall be declared	vacant. Provided, however, that if such a change in employment for the specified category of Board
11	member occurs	within 18 months of the end of the member's term, such member may continue to serve until the end
12	of the term.	
13	(b)(c) If at any	time a registered nurse member member, with the exception of the At-Large Registered Nurse, no
14	longer meets the	e eligibility requirements listed in G.S. 90-171.21(d)(1)(a) and (a1), such member shall no longer
15	continue to serve	e and the position shall be declared vacant.
16	(e)(d) If at any t	time a licensed practical nurse member no longer meets the eligibility requirements listed in G.S. 90-
17	171.21(d)(2)(a)	and (a1), such member shall no longer continue to serve and the position shall be declared vacant.
18	(d) Any vacance	y of an unexpired term shall be filled according to G.S. 90-171.21(c).
19		
20	History Note:	Authority G.S. 90-171.21(c); 90-171.23(b);
21		Eff. May 1, 1988;
22		Amended Eff. November 1, 2008; January 1, 2004; August 1, 2002; March 1, 1990; May 1, <del>1989.</del>
23		<u>1989:</u>
24		<u>Readopted Eff. [November 1, 2018.] December 1, 2018.</u>

AGENCY: Board of Nursing

RULE CITATION: 21 NCAC 36 .0113

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

Line 5 - replace "when" with "if"

Line 8 – replace "specified area of practice in which they seek to serve" with "applicable practice area"

Line 11 – replace "specified area of practice in which they seek to serve" with "applicable practice area"

Line 13 – replace "engaging in the specified area of practice" with "employment in the applicable practice area"

Line 15 – delete "any"

Lines 16 and 17 - replace "specified area of practice" with "applicable practice area"

Line 18 – replace "specified nurse member category" with "applicable practice area"

Line 21 – replace "must" with "shall"

Lines 21-22 – replace "specified area of practice that qualifies the member for the position" with "applicable practice area"

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.

1	21 NCAC 36 .0	1113 is readopted, with changes, as published in 33:1 NCR 11 as follows:
2		
3	21 NCAC 36 .0	DETERMINATION OF QUALIFICATIONS
4	(a) For purpos	es of G.S. 90171.21 90-171.21 and Rule .0109(d) and (e) of this Section, the Board shall determine
5	whether a person	on meets the employment requirements by examining consider the following factors: factors when
6	determining wh	nether a candidate is qualified to run for election:
7	(1)	whether the licensee is presently employed equal to or greater than 50% of a full-time position;
8		position in the specified area of practice in which they seek to serve;
9	(2)	the number of days during the preceding three years devoted to practice in the specified activity that
0		would qualify the licensee for election in that category; whether the licensee has been employed
1		equal to or greater than 50% of a full-time position in the area of practice in which they seek to serve
12		for the preceding three years:
13	(3)	the duration of any periods of interruption of engaging in the specified activity area of practice
4		during the preceding three years and the reasons for any such interruptions;
15	(4)	job descriptions, contracts, and any other relevant evidence concerning the time, effort, and
16		education devoted to the specified activity; area of practice; and
17	(5)	whether engagement in the specified activity area of practice is or has been for compensation, and
8		whether income from the specified activity derived therefrom meets the eligibility requirements for
9		the specified nurse member category.
20	(b) While servi	ng on the Board, currently seated Board members, with the exception of the At-Large Registed Nurse,
21	must maintain e	employment equal to or greater than 50% of a full-time position in the specified area of practice that
22	qualified the me	ember for the position.
23		
24	History Note	Authority G.S. 90-171.21(d); 90-171.23(b)(2);
25		Eff. May 1, 1988;
26		Amended Eff. January 1, 2004; August 1, 2002; May 1, <mark>1989. <u>1</u>989;</mark>
27		<u>Readopted Eff. [November 1, 2018.] December 1, 2018.</u>

AGENCY: Board of Nursing

RULE CITATION: 21 NCAC 36 .0120

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

Line 5 – add "licensed to practice in this State" if that is what is meant.

Line 11 – replace "Education approved" with "Education-approved"

Line 16 – add a comma after "nurse-midwife"

Line 20 – delete "in"

Line 21 – replace "where the" with "in which a"

Line 23 – delete "the" before "nursing"

Line 26 – delete "the" before "on-going"

Line 32 – replace ".0223 Subparagraph (a)(2)" with ".0223(a)(2)"

Line 33 – replace "which the" with "which a"

Page 2, lines 1-2 - replace "selected" with "particular" twice

Page 2, line 4 - s debriefing a continuing education activity" If so, replace "activity" with this term. If not, replace "activity" with "organized learning experience" if this is accurate.

Page 2, line 5 – delete the comma

Page 2, line 9 – delete "those"

Page 2, line 9 – replace "practice that include" with "practice, including" if the list that follows is not exclusive.

Page 2, line 20 – consider revising as follows: "experience in nursing, assisting the student in transitioning to an entry-level nursing"

Page 2, line 21 – delete "There is no specific setting requirement."

Page 2, line 23 – add "the" before "status" and replace "newly established" with "newly-established"

Page 2, line 25 – move the following sentence out of the definitions rule and into another, appropriate rule: "Programs on initial approval may admit students."

Page 2, line 32 – add a comma after "registered nurse"

Page 2, lines 35-36 – delete the sentence that begins "The number reflects..."

Page 3, line 3 – replace "place. It is based" with "place, based"

Page 3, line 5 – do you mean <u>any</u> "credentialing body (see line 10)? If not, which ones?

Page 3, line 10 – replace the comma with "that is"

Page 3, line 12 – add "an" before "academic"

Line 16 – consider deleting Item (39).

Page 3, line 20 – delete the comma after "seeking"

Page 3, line 20 – add "the student in" before "a faculty"

Page 3, lines 20-21 – replace "faculty directed" with "faculty-directed"

Page 3, line 25 – replace "state law and regulations" with "State law, regulations, and rules"

Page 3, line 28 – replace " "Program Types" " with "types of programs"

Page 3, line 30 – replace "provides" with "provide"

Page 3, lines 33-34 – is the sentence that begins "For this program" part of the definition or a restrict imposed by rule" Is the sentence necessary?

Page 3, line 36 - replace "provides" with "provide"

Page 4, lines 2-3 – is the sentence that begins "For this program" part of the definition or a restrict imposed by rule" Is the sentence necessary?

Page 4, line 4 – replace "prepares" with "components for the practical nurse diploma prepare"

Page 4, line 9 – is the sentence that begins "For this program" part of the definition or a restrict imposed by rule" Is the sentence necessary?

Page 4, line 13 – replace "interview(s)" and "conference(s)" with "interviews" and "conferences"

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Roa	ıd,
Raleigh, North Carolina 27609.	

Page 4, lines 14-15 – consider deleting Item (46).

1	21 NCAC 36 .012	20 is amended, with changes, as published in 33:1 NCR 11-14 as follows:
2		
3	21 NCAC 36 .012	20 DEFINITIONS
4	The following def	finitions apply throughout this chapter unless the context indicates otherwise:
5	(1)	"Administrative Law Counsel" means an attorney whom the Board of Nursing-has retained to
6		serve as procedural officer for contested cases.
7	(2)	"Academic term" means one semester of a school year.
8	(3)	"Accountability/Responsibility" means being answerable for action or inaction of self, and of
9		others in the context of delegation or assignment.
10	(4)	"Accredited institution" means an institution accredited by a United States Department of
11		Education approved institutional accrediting body.
12	(5)	"Active Practice" means activities that are performed, either for compensation or without
13		compensation, consistent with the scope of practice for each level of licensee licensure as defined
14		in G.S. 90-171.20(4), (7), and (8).
15	(6)	"Advanced Practice Registered Nurse (APRN)" means a nurse practitioner, nurse anesthetist,
16		nurse-midwife or clinical nurse specialist.
17	(7)	"Assigning" means designating responsibility for implementation of a specific activity or set of
18		activities to a person an individual licensed and competent to perform such activities.
19	(8)	"Bulletin" means the official publication of the Board.
20	<del>(8)</del> (9)	"Clinical experience" means application of nursing knowledge in demonstrating clinical judgment
21		in a current or evolving practice setting where the student provides care to clients under the
22		supervision of faculty or a preceptor.
23	<del>(9)</del> (10)"(	Clinical judgment" means the application of the nursing knowledge, skills, abilities, and experience
24		in making decisions about client care.
25	<del>(10)</del> (11)'	"Competent" means having the knowledge, skills, and ability to safely perform an activity or role.
26	(11)(12)"Continuing Competence" means the on-going acquisition and application of knowledge and the	
27		decision-making, psychomotor, and interpersonal skills expected of the licensed nurse resulting in
28		nursing care that contributes to the health and welfare of clients served.
29	(12)(13)"Contact Hour" means 60 minutes of an organized learning experience.	
30	<del>(13)</del> (14)'	"Continuing Education Activity" means a planned, organized learning experience that is related to
31		the practice of nursing or contributes to the competency of a nurse as outlined in 21 NCAC 36
32		.0223 Subparagraph (a)(2).
33	<del>(14)</del> (15)'	"Controlling institution" means the degree-granting organization or hospital under which the
34		nursing education program is operating.
35	<del>(15)</del> (16)'	"Curriculum" means an organized system of teaching and learning activities directed toward the
36		achievement of specified learning objectives and outcomes.

1	(16)(17)"Delegation" means transferring to a competent individual the authority to perform a selected
2	nursing activity in a selected situation. The nurse retains accountability/responsibility for the
3	delegation.
4	(17)(18)"Debriefing" means an activity that follows a clinical or simulated experience and is led by a
5	trained faculty facilitator. Students' reflective thinking is encouraged, and feedback is provided
6	regarding the students' performance during discussion of various aspects of the completed
7	experiences.
8	(19) "DHSR" means Division of Health Service Regulation.
9	(18)(20)"Dimensions of Practice" means those aspects of nursing practice that include professional
10	responsibility, knowledge-based practice, ethical and legal practice, and collaborating with others,
11	consistent with G.S. 90-171.20(4), (7), and (8).
12	(19)(21)"Distance education" means teaching and learning strategies used to meet the learning needs of
13	students when the students and faculty are not in the same location.
14	(20)(22)"External standardized examination" means a commercially available standardized predictive test
15	that provides individual student scores that are linked to a probability of passing the NCLEXTM
16	examination.
17	(21)(23)"Faculty directed clinical practice" means clinical experiences provided under the
18	accountability/responsibility and direction of nursing program faculty.
19	(22)(24)"Focused client care experience" means a clinical experience that emulates an entry-level work
20	experience in nursing. The intent is to assist the student to transition to an entry-level nursing
21	practice. There is no specific setting requirement. Supervision may be by faculty and preceptor
22	dyad or direct faculty supervision.
23	(25) "Initial Approval" means status assigned to a newly established nursing education program
24	following submission of a complete application and documented evidence of compliance with
25	Section .0300 of this Chapter. Programs on initial approval may admit students.
26	(23)(26)"Interdisciplinary faculty" means faculty from professions other than nursing.
27	(24)(27)"Interdisciplinary team" means all individuals involved in providing a client's care who cooperate,
28	collaborate, communicate, and integrate care to ensure that care is continuous and reliable.
29	(25)(28)"Learning resources" means materials that faculty use to assist students in meeting the expectations
30	for learning defined by the curriculum.
31	(26)(29)"Level of Licensure" means practice of nursing by either a Licensed Practical Nurse licensed
32	practical nurse or a Registered Nurse registered nurse as defined in G.S. 90-171.20(7) and (8).
33	(27)(30)"Level of student" means the point in the program to which the student has progressed.
34	(28)(31)"Maximum enrollment" means the total number of pre-licensure students that can be enrolled in the
35	nursing program at any one time. The number reflects the capacity of the nursing program based

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on demonstrated resources sufficient to implement the curriculum.

1	(29)(32)"Methods of Instruction" means the planned process through which teacher and student interact
2	with selected environment and content so that the response of the student gives evidence that
3	learning has taken place. It is based upon stated course objectives and outcomes for learning
4	experiences in classroom, laboratory, simulation simulation, and clinical settings.
5	(30)(33)"National Credentialing Body" means a credentialing body that offers certification or re-
6	certification in the licensed nurse's or Advanced Practice Registered Nurse's specialty area of
7	practice.
8	(31)(34)"NCLEX-PNTM" means the National Council Licensure Examinations for Practical Nurses.
9	(32)(35)"NCLEX-RN <sup>TM</sup> " means the National Council Licensure Examinations for Registered Nurses.
10	(33)(36)"Nursing Accreditation body" means a national nursing accrediting body, recognized by the United
11	States Department of Education.
12	(34)(37)"Nursing program faculty" means individuals employed full or part-time by academic institution
13	responsible for developing, implementing, evaluating evaluating, and updating nursing curricula.
14	(35)(38)"Nursing project" means a project or research study of a topic related to nursing practice that
15	includes a problem statement, objectives, methodology methodology, and summary of findings.
16	(36)(39)"Participating in" means to have a part in or contribute to the elements of the nursing process.
17	(37)(40) "Pattern of noncompliance" means episodes of recurring non-compliance with one or more Rules
18	in Section .0300.
19	(38)(41) "Preceptor" means a registered nurse at or above the level of licensure that an assigned student is
20	seeking, who may serve as a teacher, mentor, role model model, and supervisor for a faculty
21	directed clinical experience.
22	(39)(42)"Prescribing Authority" means the legal permission granted by the Board of Nursing and Medical
23	Board for the nurse practitioner and nurse midwife to procure and prescribe legend and controlled
24	pharmacological agents and devices to a client in compliance with Board of Nursing rules and
25	other applicable federal and state law and regulations.
26	(40)(43) "Program Closure" means to cease operation of a nursing program.
27	(41)(44)"Program" means a course of study that prepares an individual to function as an entry-level
28	practitioner of nursing. The three "Program Types" are:
29	(a) <u>BSN Bachelor of Science</u> <u>Degree in Nursing (BSN)</u> - Curriculum components for
30	Bachelor of Science BSN in Nursing provides for the attainment of knowledge and skill
31	sets in the current practice in nursing, nursing theory, nursing research, community and
32	public health, health care policy, health care delivery and finance, communications,
33	therapeutic interventions interventions, and current trends in health care. For this program
34	type, the client is the individual, family, group, and community.
35	(b) Associate Degree in Nursing (ADN)/Diploma in Registered Nursing - Curriculum
36	components for the ADN/Diploma in Registered Nursing provides for the attainment of
37	knowledge and skill sets in the current practice in nursing, community concepts, health

1		care delivery, communications, therapeutic interventions interventions, and current trends
2		in health care. For this program type, client is the individual, group of individuals, and
3		family.
4		(c) Practical Nurse Diploma - Curriculum prepares for providing direct nursing care under
5		the supervision of a registered nurse or other health care provider as defined by the
6		Nursing Practice Act. Curriculum components provide for the attainment of knowledge
7		and skill sets in the current practice of practical nursing, communications, therapeutic
8		interventions, including pharmacology, growth and development, and current trends in
9		health care. For this program type client is the individual or group of individuals.
10	<del>(42)</del> (45	(i)"Review" means collecting and analyzing information to assess compliance with Section .0300 of
11		this Chapter. Information may be collected by multiple methods, including review of written
12		reports and materials, on-site observations, review of documents, and in-person or telephone
13		interview(s) and conference(s).
14	<del>(43)</del> (46	(i)"Rescind Approval" means a Board action that removes the approval status previously granted by
15		the Board.
16	<del>(44)</del> (47	")"Self-Assessment" means the process whereby an individual reviews her or his their own nursing
17		practice and identifies the knowledge and skills possessed as well as those skills to be
18		strengthened or acquired.
19	<del>(45)</del> (48	3)"Simulation" means a technique, not a technology, to replace or amplify clinical experiences with
20		guided experiences that evoke or replicate substantial aspects of the real world of nursing practice
21		in a fully interactive manner.
22	<del>(46)</del> (49	"Specialty" means a broad, population-based focus of study encompassing the common health-
23		related problems of a particular group of patients and the likely co-morbidities, interventions, and
24		responses to those problems.
25	<del>(47)</del> (50	"Supervision" means the provision of guidance or direction, evaluation, and follow-up by a
26		licensed nurse to accomplish an assigned or delegated nursing activity or set of activities.
27	<del>(48)</del> (51	"Survey" means an on-site visit for the purpose of gathering data in relation to reviewing a nursing
28		program's compliance with Section .0300 of this Chapter.
29		
30	History Note:	Authority G.S. 90-171.23; 90-171.38;
31		Eff. April 1, 2003;
32		Amended Eff. [November 1, 2018;] <u>December 1, 2018;</u> June 1, 2017; December 1, 2016; July 1,
33		2012; November 1, 2008; May 1, 2006; December 1, 2005; August 1, 2005;
34		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 9,
35		2018.

AGENCY: Board of Nursing

RULE CITATION: 21 NCAC 36 .0201

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

Line 9 – what statute authorizes the Board to require an attestation under oath or affirmation for license renewal?

Line 14 – add a comma after "Board"

Line 15 – add a comma after "renewal"

Line 20 - replace "is" with "shall be"

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.

1	21 NCAC 30 .02	201 is amended, with changes, as published in 55:1 NCR 14 as follows:
2		
3	21 NCAC 36 .02	201 REGULAR BIENNAL RENEWAL
4	(a) Renewal no	tices Each registered nurse or licensed practical nurse shall biennially renew their be sent no less
5	than 60 days pri	or to expiration date of a license to all registrants whose licenses are due for biennial renewal. The
6	notices will be	mailed to each eligible registrant's address as it appears in the records of the Board. A license is
7	issued for the fo	llowing biennium when: with the Board no later than the last day of the applicant's birth month by:
8	(1)	all required information is submitted as requested on the application form; and submitting a
9		completed application for renewal, attesting under oath or affirmation that the information on the
10		application is true and complete, and authorizing the release to the Board of all information
11		pertaining to the application. Applications for renewal are posted on the Board's website at
12		www.ncbon.com;
13	(2)	attesting to completion of continuing competence requirements and submitting evidence of
14		completion if requested by the Board as specified in Rule .0232(b) of this Section; and
15	<del>(2)</del> (3)	all payment of required fees are received. submitting the fee for licensure renewal as established in
16		<u>90-171.27(b).</u>
17	(b) It shall be th	e duty of each registrant applicant to keep the Board informed of a current mailing address. address.
18	telephone number	er, and email address.
19	(c) Renewal app	plications must be postmarked on or before the date the current license expires.
20	(d)(c) A member	er of the United States Armed Services is exempt from compliance if on active duty and to whom
21	G.S. 105-249.2 g	grants an extension of time to file a tax return.
22		
23	History Note:	Authority G.S. 90-171.29; 90-171.23(b); 90-171.34; 90-171.37; 93B-15; 105-249.2;
24		Eff. February 1, 1976;
25		Amended Eff. [November 1, 2018;] December 1, 2018; January 1, 2011; December 1, 2008; April
26		1, 1989; May 1, 1982;
27		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 9,
28		2018.

October 24, 2018

AGENCY: Board of Nursing

RULE CITATION: 21 NCAC 36 .0202

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

Line 4 – replace "may" with "shall" and "provided" with "if"

Line 12 – what statute authorizes the Board to require an attestation under oath or affirmation for license reinstatement?

Line 17 – replace "conviction(s)" with "convictions"

Line 18 - replace "any" with "all"

Line 18 - replace "report" and "document" with "reports" and "documents"

Line 19 – replace "crime(s)" with "crimes"

Line 19 - replace "may" with "shall"

Lines 22 and 34 – what does "attest to self-certification" mean? Do you really mean that the applicant attests to his or her own mental and physical health?

Line 24 – add a comma after "fee"

Line 24 - delete "and"

Line 26 – add a comma after "Board"

Line 27 – add "and" after the semicolon

Line 30 – delete "the Board determines in its discretion that"

Line 32 - replace "The" with "An"

Lines 37 – page 2, line 3 – retain the parts formatting – it is clearer.

- Page 2, line 2 replace "provided" with "if"
- Page 2, line 4 replace "the applicant" with "an applicant"
- Page 2, line 4 add "for an active license" after "applicant"
- Page 2, line 5 delete "in order to receive an active license"
- Page 2, line 11 delete the comma and replace "provided" with "if"
- Page 2, line 16 add a comma after "fee
- Page 2, line 17 replace "the applicant" with "an applicant"
- Page 2, lines 19 and 21 replace "The" with "An"
- Page 2, line 20 replace "once" with "after"

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.

21 NCAC 36	.0202 is readopted, with changes, as published in 33:1 NCR 14-15 as follows:
21 NCAC 36	.0202 INACTIVE AND RETIRED STATUS
(a) A license	e who submits a request for inactive status may be granted such status by the Board provided the licensee:
<u>(1)</u>	holds an active unencumbered license issued by the Board; and
(2)	is not currently the subject of an investigation by the Board for possible
viol	ation of the Nursing Practice Act or rules promulgated thereunder.
(a)(b) A reg	strant An applicant whose licensure status is inactive or retired and who desires to resume the practice
of nursing in	North Carolina shall be removed from inactive status and shall obtain a current license. To this end the
<del>registrant</del> sha	11:
(1)	submit evidence of unencumbered license in all jurisdictions in which a license is or has ever been
	held; a completed application for reinstatement, attesting under oath or affirmation that the
	information on the application is true and complete, and authorizing the release to the Board of all
	information pertaining to the application. Application is posted on the Board's website at
	www.ncbon.com;
(2)	submit evidence of completion of all have no pending court conditions resulting from as a result of
	any misdemeanor or felony eonviction(s); conviction(s). The applicant shall provide a written
	explanation and any investigative report or court document evidencing the circumstances of the
	crime(s) if requested by the Board. The Board may use these documents when determining if a
	license should be denied pursuant to G.S. 90-171.48 and 90-171.37;
(3)	submit evidence showing that the nurse is safe and competent to re-enter the practice of nursing;
	attest to self-certification that the applicant is of mental and physical health necessary to competently
	practice nursing:
(4)	submit the current licensure application fee for renewal; as established in G.S. 90-171.27(b); and
(5)	attest to having completed Continuing Competence continuing competence requirements and be
	prepared to submit evidence of completion if requested by the Board as specified in Rule .0232(b)
	of this Section. Section;
(6)	complete a criminal background check in accordance with G.S. 90-171.48.
In the event a	ny of the above-required information indicates a concern about the applicant's qualifications, an applicant
may be requi	red to appear in-person for an interview with the Board if the Board determines in its discretion that more
information i	s needed to evaluate the application.
(b)(c) The re	gistrant applicant whose license has been inactive or retired for a period of five years or more shall also
submit:	
(1)	self-certification that the registrant applicant is of mental and physical health necessary to
	competently practice nursing; nursing; and
(2)	evidence of competency to resume the practice of nursing through:
	(A)—satisfactory completion of a Board-approved <u>refresher</u> course; or

1		(B)proof of an active license in another jurisdiction within the last five years. years or an
2		active license in another country within the last five years provided the individual was
3		originally licensed by national licensure examination in the United States.
4	(e)(d) If a refre	sher course is required, the registrant applicant shall apply for reactivation reinstatement of license
5	within one year	of completing the refresher course in order to receive a current an active license. The application for
6	reactivation rein	nstatement shall include verification from the provider of the refresher course that the registrant
7	applicant has sa	tisfactorily met both theory and clinical objectives.
8	(d) The Board	shall decline to reactivate a license if it is not satisfied as to the applicant's competency to practice
9	nursing.	
10	(e) A registrant	An applicant who has retired from the practice of nursing may request and be granted by the Board
11	retired nurse sta	tus, provided the registrant: applicant:
12	(1)	holds a current an active unencumbered license issued by the North Carolina Board of Nursing;
13		Board;
14	(2)	is not currently the subject of an investigation by this the Board for possible alleged violation of the
15		Nursing Practice Act; and
16	(3)	pay pays the application fee pursuant to G.S. 90-171.27(b).
17	(f) While remaining on retired status, the registrant applicant shall not practice nursing in North Carolina and shall	
18	not be subject to	payment of the license renewal fee.
19	(g) The registra	nt applicant may use the title Retired "Retired Registered Nurse Nurse" or Retired "Retired Licensed
20	Practical Nurse	Nurse" once issued retired status.
21	(h) The registra	nt applicant whose licensure status is retired shall not be eligible to vote in Board elections.
22	(i) A registrant	whose licensure status is retired and who desires to resume the practice of nursing shall apply for
23	reinstatement of	f a license to practice nursing and meet the same reinstatement requirements for a nurse on inactive
24	status as set fort	h in Paragraphs (b) (e) of this Rule.
25	(i) Any license	issued shall be issued for the remainder of the biennial period.
26		
27	History Note:	Authority G.S. 90-171.21; 90-171.23(b) 90-171.27(b);90-171.36; 90-171.36A; 90-171.37; 90-
28		171.43;
29		Eff. February 1, 1976;
30		Legislative Objection [(g)] Lodged Eff. June 16, 1980;
31		Legislative Objection [(g)] Removed Eff. July 1, 1981;
32		Amended Eff. November 1, 2008; January 1, 2004; January 1, 1996; January 1, 1990; May 1, 1982;
33		January 1, <del>1980.</del> <u>1980;</u>
34		<u>Readopted Eff. [November 1, 2018.] December 1, 2018.</u>

AGENCY: Board of Nursing

RULE CITATION: 21 NCAC 36 .0203

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

Line 4 – replace "The" with "An"

Line 6 – what statute authorizes the Board to require an attestation under oath or affirmation for license reinstatement?

Line 7 – delete the comma

Lines 9-10 – what about an applicant who once held out-of-state licenses, one of which is no longer active?

Line 12 – add a comma after "Board"

Line 13 – replace the period with a semicolon

Line 15 – replace "conviction(s)" with "convictions"

Line 15 – replace "Applicant" with "An applicant"

Line 16 - replace "any" with "all"

Line 16 – replace "report" with "reports"

Line 17 - replace "may" with "shall"

Lines 24 and 34 – what does "attest to self-certification" mean? Do you really mean that the applicant attests to his or her own mental and physical health?

Line 26 – add a comma after "fee"

Line 29 – delete "the Board determines in it discretion that"

Line 31 – replace "is" with "shall be"

Line 33 – replace "The" with "An"

Page 2, line 1 – replace "the applicant" with "an applicant"

Page 2, line 1 – add "for an active license" after "applicant"

Page 2, line 2 – delete "in order to receive an active license"

21 NCAC 30 .0.	203 is readopted, with changes, as published in 33:1 NCK 15-16 as follows:
21 NCAC 36 .0	203 REINSTATEMENT OF <del>LAPSED</del> <u>EXPIRED</u> LICENSE
(a) The <del>registra</del>	nt applicant whose license has lapsed expired and who desires reinstatement of that license shall:
(1)	furnish information required by these rules on forms provided by the Board; submit a completed
	application for reinstatement, attesting under oath or affirmation that the information on the
	application is true and complete, and authorizing the release to the Board of all information pertaining
	to the application. The Application for Reinstatement is posted on the Board's website at
	www.ncbon.com;
(2)	submit evidence of have an active unencumbered license in all jurisdictions in which a license is or has
	ever been held;
(3)	attest to having completed Continuing Competence continuing competence requirements and be
	prepared to submit evidence of completion if requested by the Board as specified in 21 NCAC 36
	.0232(b). Rule .0232(b) of this Section.
(4)	submit evidence of completion of all court conditions resulting from have no pending court conditions
	as a result of any misdemeanor or felony conviction(s); conviction(s). Applicant shall provide a
	written explanation and any investigative report or court documents evidencing the circumstances of
	the crime(s) if requested by the Board. The Board may use these documents when determining if a
	license should be denied pursuant to G.S. 90-171.48 and G.S. 90-171.37;
(5)	submit such other evidence that the Board may require according to these rules to determine whether
	the license should be reinstated;
(6)	provide a statement of the reason for failure to apply for renewal prior to the deadline; and complete a
	criminal background check after license has been expired for 30 calendar days in accordance with G.S.
	<u>90-171.48;</u>
<u>(7)</u>	attest to self-certification that the applicant is of mental and physical health necessary to competently
	practice nursing; and
<del>(7)</del> (8)	submit payment of reinstatement and renewal fee. the reinstatement fee as established in G.S. 90-
	<u>171.27(b).</u>
In the event any	of the above-required information indicates a concern about the applicant's qualifications, an applicant
may be required	to appear in person for an interview with the Board if the Board determines in its discretion that more
information is no	eeded to evaluate the application.
(b) A member of	f the United States Armed Services is exempt from payment of reinstatement fee if on active duty and to
whom G.S. 105-	249.2 grants an extension of time to file a tax return.
(c) The registra	nt applicant whose license has lapsed for a period of five years or more shall also submit:
(1)	evidence of self-certification that the applicant is of mental and physical health necessary to
	competently practice nursing; and
	21 NCAC 36.0  (a) The registra  (1)  (2)  (3)  (4)  (5)  (6)  (7)  (7)(8)  In the event any may be required information is not whom G.S. 105-(c) The registration is required to the registrat

1	(2)	evidence of satisfactory completion of a Board-approved refresher course or proof of active licensure
2		within the past five years in another jurisdiction.
3	(d) If a refreshe	r course is required, the registrant applicant shall apply for reinstatement of the license within one year of
4	completing the	refresher course in order to receive a current an active license. The application for reinstatement shall
5	include verifica	tion from the provider of the refresher course that the registrant applicant has satisfactorily met both
6	theory and clini	cal objectives and is deemed competent to practice nursing at the appropriate level of licensure.
7	(e) The Board	shall not reinstate a license if it is not satisfied as to the applicant's ability to practice nursing based on
8	these rules.	
9	(e) Any license	e issued shall be issued for the remainder of the biennial period.
10		
11	History Note:	Authority G.S. 90-171.23(b); 90-171.35; 90-171.37; 93B-15; 105-249.2;
12		Eff. February 1, 1976;
13		Amended Eff. December 1, 2010; December 1, 2008; January 1, 1996; February 1, 1994; August 3,
14		1992; January 1, <mark><del>1990. <u>1</u>990;</del></mark>
15		Readopted Eff. [November 1, 2018.] December 1, 2018.

AGENCY: Board of Nursing

RULE CITATION: 21 NCAC 36 .0207

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

Line 4 – add "the licensure of" after "verify"

Line 5 – do not capitalize "Board" or "Nursing"

Line 5 – replace "which" with "that"

1	21 NCAC 36 .0	207 is amended, with changes, as published in 33:1 NCR 16 as follows:
2		
3	21 NCAC 36 .0	207 VERIFICATION TO ANOTHER STATE
4	The North Care	olina Board of Nursing will shall verify a registrant licensee to another state or country upon receipt
5	of a request fro	m the registrant licensee or another Board of nursing Nursing which is accompanied by information
6	properly identif	ying the registrant licensee and by the appropriate fee.
7		
8	History Note:	Authority G.S. 90-171.23(b)(3); 90-171.27(b);
9		Eff. February 1, 1976;
10		Amended Eff. [ <del>November 1, 2018;</del> ] <u>December 1, 2018;</u> April 1, 1989;
11		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 9,
12		2018.

October 24, 2018 27

AGENCY: Board of Nursing

RULE CITATION: 21 NCAC 36 .0208

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

Line 4 – is the request required to be made on a form provided by the Board? If so, where can this form be obtained?

Line 6 – replace "This evidence may ..." with "A licensee may provide evidence such as the following:"

Lines 7-14 – do not capitalize anything other than "Social Security"

Line 16 – add "the" before "change"

21 NCAC 36 .0208 is amended, with changes, as published in 33:1 NCR 16 as follows: 1 2 3 21 NCAC 36 .0208 CHANGE OF NAME AND CONTACT 4 (a) In the event of a name or address change, the registrant must licensee shall submit a written, signed request for 5 and provide identifying data, including certificate number and social security number, evidence of name or address 6 change. This evidence may include, but is not limited to, the following: 7 Marriage Certificate; (1) 8 **(2)** Voter Registration Card; 9 (3) Social Security Card; 10 (4) Divorce document reflecting name change; 11 (5) Passport; 12 (6) Change of name certificate as issued by a court; 13 **(7)** Immigration document; and 14 (8) Driver's license. 15 (b) In the event of an address, email, or telephone change, the licensee shall submit the change online on the 16 Board's website at www.ncbon.com within 30 calendar days of change. 17 18 Authority G.S. 90-171.23(b)(3); 90-171.27(b); History Note: 19 Eff. February 1, 1976; Amended Eff. [November 1, 2018;] December 1, 2018; December 1, 2006; May 1, 1989; May 1, 20 21 1988; May 1, 1982; 22 Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 9, 23 2018.

October 24, 2018

AGENCY: Board of Nursing

RULE CITATION: 21 NCAC 36 .0211

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

Line 6 – delete the comma

Line 10 – what about an applicant who once held out-of-state licenses, one of which is no longer active?

Line 11 – replace "conviction(s)" with "convictions"

Line 12 - replace "any" with "all"

Line 12 – replace "report" with "reports"

Line 13 – replace "crime(s)" with "crimes"

Line 13 - replace "may" with "shall"

Line 20 – add "and" after the semicolon

Line 28 – add commas after "program" and "Rules"

Line 31 – delete "preparation"

Line 34 – replace "agency(s)" with "agencies"

Line 35 – add a comma after "NCSBN" and delete "which is hereby"

Line 36 – delete the comma

Line 36 – replace "of the referenced materials" with "and editions"

Line 36 – where can the list be obtained, and at what cost?

Page 2, line 2 – on what basis will the Board determine what test is "acceptable"?

Jason Thomas

Commission Counsel

Date submitted to agency: Wednesday, November 7, 2018

Page 2, line 25 – what "testing service"?

Page 2, line 32 – what if the Board receives results that are unsatisfactory in less than one year after application?

Page 2, line 34 – replace the period with "and"

1	21 NCAC 36 .02	11 is readopted, with changes, as published in 33:1 NCR 16-17 as follows:
2		
3	21 NCAC 36 .02	LICENSURE BY EXAMINATION
4	(a) To be eligible	e for licensure by examination, an applicant shall:
5	(1)	submit a completed application for licensure, attesting under oath or affirmation that the information
6		on the application is true and complete, and authorizing the release to the Board of all information
7		pertaining to the application. Application for Examination is posted on the Board's website at
8		www.ncbon.com;
9	<u>(2)</u>	submit the licensure application fee as established in G.S. 90-171.27(b);
10	<u>(3)</u>	have an active unencumbered license in all jurisdictions in which a license is or has ever been held;
11	<u>(4)</u>	have no pending court conditions as a result of any misdemeanor or felony conviction(s). The
12		applicant shall provide a written explanation and any investigative report or court documents
13		evidencing the circumstances of the crime(s) if requested by the Board. The Board may use these
14		documents when determining if a license should be denied pursuant to G.S. 90-171.48 and 90-171.37;
15	<u>(5)</u>	submit a written explanation and all related documents if the nurse has ever been listed as a nurse aide
16		and if there have ever been any substantiated findings pursuant to G.S. 131E-255. The Board may take
17		these findings into consideration when determining if a license should be denied pursuant to G.S. 90-
18		171.37. In the event findings are pending, the Board may withhold taking any action until the
19		investigation is completed;
20	(6)	complete a criminal background check in accordance with G.S. 90-171.48;
21	<u>(7)</u>	apply to take and pass the National Council Licensure Examination (NCLEXTM).
22	In the event any o	of the above required information indicates a concern about the applicant's qualifications, an applicant
23	may be required	to appear in person for an interview with the Board if the Board determines in its discretion that more
24	information is ne	reded to evaluate the application.
25	(a)(b) An applic	cant shall meet the educational qualifications to take the examination for licensure to practice as a
26	registered nurse	or licensed practical nurse by:
27	(1)	graduating from a National Council State Board of Nursing (NCSBN) member Board approved Board-
28		approved nursing program (21 NCAC 36 .0300) in accordance with Section 0300 of these Rules
29		designed to prepare a person for registered nurse or licensed practical nurse licensure; or
30	(2)	graduating from a nursing program outside the United States that is designed to provide graduates with
31		comparable education preparation as required in 21 NCAC 36 .0321(b) through (d) for licensure as a
32		registered nurse, nurse or licensed practical nurse, and submitting evidence from an evaluation agency
33		of the required educational qualifications and evidence of English proficiency. The evaluation
34		agency(s) for educational qualifications shall be selected from a list of evaluation agencies published
35		by the National Council of State Boards of Nursing Inc., NCSBN which is hereby incorporated by
36		Reference, including subsequent amendments of the referenced materials. The list of such agencies is
37		available, at no cost, from the North Carolina Board of Nursing. The evidence of English proficiency

1		shall be the Test of English as a Foreign Language or a test determined to be acceptable by the Board
2		to be equivalent to the Test of English as a Foreign Language; Board; or
3	(3)	being eligible for licensure as a registered nurse or licensed practical nurse in the country of nursing
4		education program completion.
5	(b) An applicant s	shall meet the educational qualifications to take the examination for licensure to practice as a licensed
6	practical nurse by	<del>:</del>
7	(1)	graduating from a Board approved nursing program (21 NCAC 36 .0300) designed to prepare a person
8		for practical nurse licensure;
9	(2)	graduating from a nursing program outside the United States that is designed to provide graduates with
10		comparable preparation for licensure as a licensed practical nurse, and submitting evidence from an
11		$\underline{\textbf{evaluation agency of the required educational qualifications and evidence of English proficiency.} \ The$
12		$\underline{\text{evaluation agency}(s) \text{ for educational qualifications shall be selected from a list of evaluation agencies}}$
13		published by the National Council of State Boards of Nursing, Inc., which is hereby incorporated by
14		${\bf Reference, including \ subsequent \ amendments \ of \ the \ referenced \ materials. \ The \ list \ of \ such \ agencies \ is}$
15		available, at no cost, from the North Carolina Board of Nursing. The evidence of English proficiency
16		shall be passing the Test of English as a Foreign Language or a test determined by the Board to be
17		equivalent to the Test of English as a Foreign Language;
18	(3)	graduating from a Board approved nursing program designed to prepare graduates for registered nurse
19		licensure, and failing to pass the examination for registered nurse licensure; or
20	(4)	graduating from a nursing program outside the United States that is designed to prepare graduates with
21		comparable preparation for licensure as a registered nurse, and submitting the evidence as described in
22		Subparagraph (a)(2) of this Rule of the required educational qualifications, and failing to pass the
23		examination for registered nurse licensure in any jurisdiction.
24	(c) An application	on shall be submitted to the Board of Nursing and a registration form to the testing service. The
25	applicant shall me	eet all requirements of the National Council of State Boards of Nursing, Inc. NCSBN. Applicants for a
26	North Carolina lic	cense may take the examination for licensure developed by NCSBN at any NCSBN-approved testing
27	site.	
28	(d)(d) The initial	An application for licensure shall be held active until the applicant passes the examination or valid for
29	a period of one year	ar, whichever occurs first. The time begins on the date the applicant is determined to be eligible for the
30	licensure examina	ttion. year from the date the application is filed with the Board or until the Board receives the results of
31	the examination.	
32	(e) The examinati	ions for licensure developed by the National Council of State Boards of Nursing, Inc. NCSBN shall be
33	the examinations	for licensure as a registered nurse or as a licensed practical nurse in North Carolina.
34	(1)	These examinations shall be administered in accordance with the contract between the Board of
35		Nursing and the National Council of State Boards of Nursing, Inc. NCSBN.
36	(2)	The examinations for licensure shall be administered at least twice a year.

1	(3)	Results for the examination shall be reported to the individual applicant and to the director of the
2		program from which the applicant was graduated. Aggregate results from the examination(s) may be
3		<del>published by the Board.</del>
4	(4)	The passing standard score for each of the five tests comprising the examination for registered nurse
5		licensure, up to and including the February 1982 examination was 350. For the examination offered in
6		July 1982 and through July 1988, the passing score was 1600. Beginning February 1989, the results
7		for registered nurse licensure is reported as "PASS" or "FAIL".
8	(5)	The passing score for the examination for practical nurse licensure, up to and including the April 1988
9		was 350. Beginning October 1988, the results for practical nurse licensure is reported as "PASS" or
10		"FAIL".
11	(f) Applicants v	who meet the qualifications for licensure by examination shall be issued a certificate of registration and a
12	license to practi	ce nursing for the remainder of the biennial period. The qualifications include:
13	(1)	a "PASS" result on the licensure examination;
14	(2)	evidence of unencumbered license in all jurisdictions in which a license is or has ever been held;
15	(3)	evidence of completion of all court conditions resulting from any misdemeanor or felony convictions;
16		and and
17	(4)	a written explanation and all related documents if the nurse has ever been listed as a Nurse Aide and if
18		there have ever been any substantiated findings pursuant to G.S. 131E-255. The Board may take these
19		findings into consideration when determining if a license should be denied pursuant to G.S. 90-171.37.
20		In the event findings are pending, the Board may withhold taking any action until the investigation is
21		<del>completed.</del>
22	(g) Applicants f	For a North Carolina license may take the examination for licensure developed by the National Council of
23	State Boards of	Nursing, Inc. in any National Council approved testing site. Any license issued shall be issued for the
24	remainder of the	e biennial period.
25		
26	History Note:	Authority G.S. 90-171.23(15); 90-171.29; 90-171.30; 90-171.37(1); 90-171.48;
27		Eff. February 1, 1976;
28		Amended Eff. December 1, 2004; April 1, 2003; January 1, 1996; July 1, 1994; February 1, 1994;
29		August 3, <del>1992.</del> <u>1992;</u>
30		Readopted Eff. [November 1, 2018.] December 1, 2018.

AGENCY: Board of Nursing

RULE CITATION: 21 NCAC 36 .0213

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

Line 4 - replace "must" with "shall"

Line 5 - replace "is" with "shall be"

Line 6 – what timeframe – is this set by a rule that can be cited here?

1	21 NCAC 36 .0	1213 is readopted, with changes, as published in 33:1 NCR 17-18 as follows:
2		
3	21 NCAC 36 .0	2213 REEXAMINATION
4	An applicant wh	no fails an examination and is eligible to retake a subsequent examination must submit a completed Board
5	of Nursing appl	ication, a completed testing service registration form, and related fees. The applicant is eligible to retake
6	the examination	n in accordance with the timeframe specified by the National Council of State Boards of Nursing, Inc.
7	NCSBN.	
8		
9	History Note:	Filed as a Temporary Amendment Eff. June 26, 1985, for a period of 120 days to expire on October
10		23, 1985;
11		Authority G.S. 90-171.31; 90-171.33; 90-171.38;
12		Eff. February 1, 1976;
13		Amended Eff. August 1, 2000; July 1, 1994; February 1, 1994; October 1, 1989; May 1, <del>1989.</del> <u>1989;</u>
14		Readopted Eff. [November 1, 2018.] December 1, 2018.

AGENCY: Board of Nursing

RULE CITATION: 21 NCAC 36 .0217

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

Line 4 – add "shall" after "Board"

Line 5 – what does "or other agents" mean?

Line 8 – delete the comma after "use"

Line 12 - delete "any" and move "client information" to immediately after "make"

Line 27 – delete the comma after "receive"

Line 35 - replace "when" with "if"

Line 37 – add a comma after "experience"

Page 2, line 1 – replace "when" with "if"

Page 2, line 3 – delete "by"

Page 2, lines 3 and 6 – what doe "other agents" mean?

Page 2, line 8 – replace "including but not limited to" with ", such as"

Page 2, line 9 – replace "the" with "a" twice

Page 2, line 10 - replace "includes" with "shall include"

Page 2, line 13 – delete "but not limited to"

Page 2, line 13 – delete the comma after "property" and move "real or personal" to immediately after "any"

Page 2, line 15 – replace "includes" with "shall include"

Jason Thomas Commission Counsel

Date submitted to agency: Wednesday, November 7, 2018

- Page 2, line 19 replace "is" with "shall be"
- Page 2, line 20 replace "on" with "upon"
- Page 2, line 21 add "suspension" before "proceedings"
- Page 2, line 22 replace "does" with "shall"
- Page 2, line 27 add "shall" before "rule"
- Page 3, line 1 replace "When" with "If"

Page 3, line 5 – it is unclear what this means. Does it mean "Sworn affidavits shall be introduced only with mutual agreement from all parties"? If not, delete it because it is unnecessary. If so, what statute authorizes the Board to impose this restriction?

1	21 NCAC 36 .02	117 is amended, <u>with changes,</u> as published in 33:1 NCR 18-19 as follows:
2		
3	21 NCAC 36 .02	17 INVESTIGATIONS; DISCIPLINARY HEARINGS
4	(a) Behaviors an	d activities that may result in disciplinary action by the Board include the following:
5	(1)	drug or alcohol abuse or use of any substance or other agents while on duty or on call to the extent
6		that such use impairs the nurse's ability to practice nursing;
7	(2)	testing positive on a drug screen for a non-prescribed drug or illicit substance;
8	(3)	illegally obtaining, possessing, or distributing drugs or alcohol for personal or other use, or other
9		violations of the North Carolina Controlled Substances Act, G.S. 90-86 et seq.;
10	(4)	conviction of any crime that bears on a licensee's fitness to practice nursing as set forth in G.S. 90-
11		171.37(a); nursing:
12	(5)	failure to make available to another health care professional any client information;
13	(6)	practicing or offering to practice beyond the scope permitted by law;
14	(7)	accepting and performing professional responsibilities that the licensee knows or has reason to
15		know that he or she is not competent to perform;
16	(8)	performing, without supervision, professional services that the licensee is authorized to perform
17		only under the supervision of a licensed professional;
18	(9)	abandoning an assigned client without making arrangements for the continuation of equivalent
19		nursing care;
20	(10)	neglecting a client in need of nursing care;
21	(11)	threatening, harassing, abusing, or intimidating a client;
22	(12)	failing to maintain an accurate record of all pertinent health care information as defined in Rule
23		.0224(f)(2) or .0225(f)(2) for each client;
24	(13)	failing to exercise supervision over persons individuals who are authorized to practice only under
25		the supervision of the licensed professional;
26	(14)	exercising influence on the client for the financial or personal gain of the licensee;
27	(15)	directly or indirectly offering, giving, soliciting, or receiving or agreeing to receive, any fee or
28		other consideration to or from a third party for the referral of a client, or other violations of G.S.
29		90-401;
30	(16)	failing to file a report, or filing a false report, required by law or by the Board or impeding or
31		obstructing such filing or inducing another person to do so;
32	(17)	obtaining, accessing, or revealing healthcare information from a client record or other source,
33		except as required by professional duties or authorized by law;
34	(18)	presenting false or fraudulent licensure information for any purpose;
35	(19)	assigning or delegating professional responsibilities to a person when the licensee assigning or
36		delegating these responsibilities knows or has reason to know that such $\frac{person}{person}$ individual is not
37		qualified by training, experience or licensure;

- (20) assigning or delegating responsibilities to a person an individual when the licensee assigning or delegating knows or has reason to know that the competency of that person individual is impaired by sleep deprivation, physical or psychological conditions, or by alcohol or other agents, prescribed or not;
  - (21) accepting responsibility for client care while impaired by sleep deprivation, physical or psychological conditions, or by alcohol or other agents, prescribed or not;
  - (22) falsifying a client's record or the controlled substance records;
  - violating boundaries of a professional relationship including but not limited to physical, sexual, emotional, or financial exploitation of the client or the client's family member or caregiver. Financial exploitation includes accepting or soliciting money, gifts, or the equivalent during the professional relationship;
  - misappropriating, in connection with the practice of nursing, anything of value or benefit, including but not limited to, any property, real or personal of the client, employer, or any other person individual or entity, or failing to take precautions to prevent such misappropriation. Failure to take precautions to prevent misappropriations includes failing to secure anything of value or benefit, such as medication or property, of the client, employer, or any other person individual or entity; or
  - (25) violating any term of probation, condition, or limitation imposed on the licensee by the Board.
- (b) If a summary suspension is issued pursuant to G.S. 150B-3(c), the order is effective on the date specified in the order or on service of the certified copy of the order at the last known address of the licensee, whichever is later, and continues to be effective during the proceedings. Failure to receive the order because of refusal of service or unknown address does not invalidate the order.
- (c) All motions related to a contested case, except motions for continuance and those made during the hearing, shall be in writing and submitted to the Board of Nursing at least 10 calendar days before the hearing. Pre-hearing motions shall be heard at a pre-hearing conference or at the contested case hearing prior to the commencement of testimony. The designated administrative law counsel shall hear the motions and the response from the non-moving party pursuant to Rule 6 of the General Rules of Practice for the Superior and District Courts and rule on the motions.
- (d) Motions for a continuance of a hearing may be granted upon a showing of good cause. Motions for a continuance shall be in writing and received in the office of the Board of Nursing no less than seven calendar days before the hearing date. In determining whether good cause exists, consideration shall be given to the ability of the party requesting a continuance to proceed without a continuance. A motion for a continuance filed less than seven calendar days from the date of the hearing shall be denied unless the reason for the motion could not have been ascertained earlier. Motions for continuance filed prior to the date of the hearing shall be ruled on by the administrative law counsel of the Board. Motions for continuance filed on the date of hearing shall be ruled on by the Board.
- (e) The Board of Nursing shall designate an administrative law counsel who shall advise the Board.

- 1 (f) When a majority of the members of the Board of Nursing is unable or elects not to hear a contested case, the
- 2 Board of Nursing shall request the designation of an administrative law judge from the Office of Administrative
- 3 Hearings to preside at the hearing. The provisions of G.S. 150B, Article 3A and this Rule shall govern a contested
- 4 case in which an administrative law judge is designated as the Hearing Officer.
- 5 (g) Sworn affidavits may be introduced by mutual agreement from all parties.

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6
 7
                       Authority G.S. 90-171.23(b)(3); 90-171.23(b)(7); 90-171.37; 90-171.47; 90-401; 150B-3(c);
      History Note:
 8
                       150B-38; 150B-39; 150B-40; 150B-41; 150B-42;
 9
                       Eff. February 1, 1976;
10
                       Amended Eff. October 1, 1989; November 1, 1988; July 1, 1986; July 1, 1984;
11
                       Temporary Amendment Eff. December 7, 1990 for a period of 180 days to expire on June 5, 1991;
12
                       ARRC Objection Lodged December 20, 1990;
13
                       Amended Eff. January 1, 1991;
14
                       ARRC Objection Removed February 25, 1991;
15
                       Temporary Amendment Eff. February 26, 1991 for a period of 35 days to expire on April 1, 1991;
                       Amended Eff. January 1, 1996; February 1, 1995; April 1, 1991;
16
17
                       Temporary Amendment Eff. March 5, 2001;
18
                       Amended Eff. [November 1, 2018;] December 1, 2018; June 1, 2017; January 1, 2007; August 2,
19
                       2002;
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Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 9,

20

21

2018.

AGENCY: Board of Nursing

RULE CITATION: 21 NCAC 36 .0218

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

Lines 10-11 – what statute authorizes the Board to require an attestation under oath or affirmation for license reinstatement?

Line 19 – what about an applicant who once held out-of-state licenses, one of which is no longer active?

Line 22 - replace "jurisdiction; if" with "jurisdiction. If"

Line 23 – replace "be subject to" with "meet the"

Line 26 – replace "conviction(s)" with "convictions"

Line 26 - replace "any" with "all"

Line 27 – replace "report" with "reports"

Line 28 - replace "may" with "shall"

Lines 31, 32, and 33 – replace "finding(s)" with "findings"

Line 32 - replace "may" with "shall"

Line 35 – what does "attest to self-certification" mean? Do you really mean that the applicant attests to his or her own mental and physical health?

Line 34 – replace the period with a semicolon

Page 2, lines 4-9 – revise as follows:

evidence that:

(A) applicant has successfully completed a course of study...

Jason Thomas
Commission Counsel
Date submitted to agency: Wednesday, November 7, 2018

- Page 2, line 9 replace "which shall be" with "that is"
- Page 2, line 28 what does "member jurisdiction" mean?
- Page 2, lines 30-31 replace "full time" with "full-time"
- Page 2, line 31 replace the period with "; and"
- Page 2, lines 33-35 reformat so that the left margin lines up with the number (9)
- Page 2, lines 34-35 delete "the Board determines in its discretion that"
- Page 3, line 1 replace the parentheses with commas
- Page 3, line 2 add "the" before "requirements"
- Page 3, lines 9-10 what does "consistent with educational preparation" mean? Is the phrase needed?
- Page 3, line 31 do not capitalize "board"
- Page 3, line 32 delete the sentence that begins "Status in other..."

1	21 NCAC 36 .02	18 is readopted, with changes, as published in 33:1 NCR 19-21 as follows:
2		
3	21 NCAC 36 .02	18 LICENSURE <del>WITHOUT EXAMINATION (BY ENDORSEMENT) <u>BY</u></del>
4		<u>ENDORSEMENT</u>
5	(a) The Board	shall provide an application form which the applicant who wishes to apply for licensure without
6	examination (by	endorsement) shall complete in its entirety. To be eligible for licensure by endorsement, an applicant
7	shall:	
8	(b) The applican	t for licensure by endorsement as a registered nurse shall show evidence of:
9	(1)	completion of a program of nursing education for registered nurse licensure which was approved by
10		the jurisdiction of original licensure; submit a completed application for endorsement, attesting under
11		oath or affirmation that the information on the application is true and complete, and authorizing the
12		release to the Board of all information pertaining to the application. The Application for Endorsement
13		is posted on the Board's website at www.ncbon.com;
14	(2)	attainment of the standard score on the examination which was required by the jurisdiction issuing the
15		original certificate of registration; submit the licensure application fee as established in G.S. 90-
16		<u>171.27(b);</u>
17	(3)	submit a self-certification that the applicant is of mental and physical health necessary to competently
18		practice nursing;
19	<del>(4)</del> (3)	have an unencumbered license in all jurisdictions in which a license is or has ever been held. A
20		license that has had all encumbrances resolved in the jurisdictions in which the reasons for the
21		encumbrances occurred shall be considered an unencumbered license for purposes of this provision;
22		held;
23	<del>(5)</del> (4)	eurrent have an active unencumbered license in a jurisdiction; if the license has been inactive or lapsed
24		expired for five or more years, the applicant shall be subject to requirements for a refresher course as
25		indicated in G.S. 90-171.35 and G.S. 90-171.36;
26	<del>(6)</del> (5)	completion of all have no pending court conditions resulting from as a result of any misdemeanor or
27		felony eonvictions; and conviction(s). The applicant shall provide a written explanation and any
28		investigative report or court documents evidencing the circumstances of the crime(s) if requested by
29		the Board. The Board may use these documents when determining if a license should be denied
30		pursuant to G.S. 90-171.48 and 90-171.37;
31	<del>(7)</del> (6)	submit a written explanation and all related documents if the nurse has ever been listed as a Nurse
32		Aide <u>nurse aide</u> and <u>if</u> there <u>has have ever</u> been a <u>any</u> substantiated finding(s) pursuant to G.S. 131E-
33		255. The Board may take the these finding(s) into consideration when determining if a license should
34		be denied pursuant to G.S. 90-171.37. In the event a finding(s) is are pending, the Board may
35		withhold taking any action until the investigation is completed.
36	<u>(7)</u>	submit a self-certification that the applicant is of mental and physical health necessary to competently
37		practice nursing;

1	<u>(8)</u>	show completion of a nursing education program which was approved by the jurisdiction of original
2		licensure. If applying
3	(e) The applie	ant for licensure by endorsement as a licensed practical nurse nurse, applicant shall may also show
4	evidence of:	
5	<del>(1)</del>	completion of: of
6		(A) a program in practical nursing approved by the jurisdiction of original licensure; or
7		(B) course(s) of study within a program(s) which shall be comparable to that required of practical
8		nurse graduates in North Carolina; or
9		(C) course of study for military hospital corpsman which shall be comparable to that required of
10		licensed practical nurse graduates in North Carolina. Carolina; or
11		The applicant who was graduated prior to July 1956 shall be considered on an individual basis in light
12		of licensure requirements in North Carolina at the time of original licensure;
13	(2)	attainment of the standard score on the examination which was required by the jurisdiction issuing the
14		original certificate of registration;
15	(3)	self certification that the applicant is of mental and physical health necessary to competently practice
16		nursing;
17	(4)	unencumbered license in all jurisdictions in which a license is or has ever been held. A license that
18		has had all encumbrances resolved in the jurisdictions in which the reasons for the encumbrances
19		occurred shall be considered an unencumbered license for purposes of this provision;
20	(5)	current license in a jurisdiction; if the license has been inactive or lapsed for five or more years, the
21		applicant shall be subject to requirements for a refresher course as indicated in G.S. 90 171.35 and
22		G.S. 90 171.36;
23	(6)	completion of all court conditions resulting from any misdemeanor or felony convictions; and
24	(7)	a written explanation and all related documents if the nurse has been listed as a Nurse Aide and there
25		has been a substantiated finding(s) pursuant to G.S. 131E 255. The Board may take the finding(s) into
26		consideration when determining if a license should be denied pursuant to G.S. 90-171.37. In the event
27		a finding(s) is pending, the Board may withhold taking any action until the investigation is completed.)
28		(B) applicant has been licensed in another member jurisdiction for five or more years
29		immediately prior to application submission and has practiced in a nursing position at the
30		same level of licensure for which application is being made for two calendar years of full
31		time employment immediately prior to application as verified by the employer.
32	<u>(9)</u>	complete a criminal background check in accordance with G.S. 90-171.48.
33		In the event any of the above-required information indicates a concern about the applicant's
34		qualifications, an applicant may be required to appear in person for an interview with the Board if the
35		Board determines in its discretion that more information is needed to evaluate the application.

1	(a)(b) A nurse	Applicants for ficensure by endorsement educated in a foreign country (including Canada) shall complete
2	all requirement	s of 21 NCAC 36 (a)(1-7) and shall be eligible for North Carolina licensure by endorsement if the nurse
3	has:	
4	(1)	shown proof of education as required by the jurisdiction issuing the original certificate; and
5	(2)	prior to January 1, 2004 proof of passing either the:
6		(A) Canadian Nurses Association Test Service Examination (CNATS) in the English language;
7		<del>or</del>
8		(B) Canadian Registered Nurse Examination (CRNE) in the English language; or
9		(C) shown evidence of passing the licensing examination developed by the National Council of
10		State Board of Nursing (NCLEX). NCLEX-RNTM or NCLEX-PNTM consistent with
11		educational preparation.
12	(3)	beginning January 1, 2004, the applicant educated in a foreign country including Canada shall show
13		evidence of Subparagraph (d)(1) and Part (2)(C) of this Paragraph; Parts (d)(2)(A) and (B) shall no
14		longer apply;
15	(4)	self certification that the applicant is of mental and physical health necessary to competently practice
16		nursing;
17	(5)	unencumbered license in all jurisdictions which a license is or has ever been held. A license that has
18		had all encumbrances resolved in the jurisdictions in which the reasons for the encumbrances occurred
19		shall be considered an unencumbered license for purposes of this provision;
20	<del>(6)</del>	current license in another jurisdiction or foreign country. If the license has been inactive or lapsed for
21		five or more years, the applicant shall be subject to requirements for a refresher course as indicated in
22		G.S. 90 171.35 and G.S. 90 171.36;
23	(7)	completed all court conditions resulting from any misdemeanor or felony conviction(s); and
24	(8)	a written explanation and all related documents if the nurse has been listed as a Nurse Aide and if
25		there has been a substantiated finding(s) pursuant to G.S. 131E 255. The Board may take the
26		finding(s) into consideration when determining if a license should be denied pursuant to G.S. 90-
27		171.37. In the event a finding(s) is pending, the Board may withhold taking any action until the
28		investigation is completed.
29	(e) When an ar	oplicant is eligible for licensure consistent with Part (d)(2)(A) or (d)(2)(B) of this Rule the license issued
30	by the Board w	vill not permit the individual to practice in other states party to the Nurse Licensure Compact.
31	(c) An applicat	tion for endorsement shall be valid for a period of one year from the date the application is filed with the
32	Board or until	a license is issued.
33	(f)(d) Facts pro	ovided by the applicant and the Board of Nursing of original licensure shall be compared to confirm the
34	identity and va	lidity of the applicant's credentials. Status in other states of current licensure may be verified. When
35	eligibility is de	termined, a certificate of registration and a current license for the remainder of the biennial period shall be
36	issued.	
37	(e) Any licens	e issued shall be issued for the remainder of the biennial period.

1		
2	History Note:	Authority G.S. 90-171.23(b); 90-171.32; 90-171.33; 90-171.37; 90-171.48;
3		Eff. May 1, 1982;
4		Amended Eff. December 1, 2005; April 1, 2003; January 1, 1996; July 1, 1994;
5		February 1, 1994; August 3, <del>1992.</del> <u>1992;</u>
6		<u>Readopted Eff. [November 1, 2018.] December 1, 2018.</u>

AGENCY: Board of Nursing

RULE CITATION: 21 NCAC 36 .0219

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

Line 4 - replace "may" with "shall"

Lines 6 and 7 – replace "conviction(s)" and "action(s)" with "convictions" and "actions"

Line 9 - replace "is" with "shall be"

Line 10 - replace "applies" with "shall apply"

Line 11 - replace "The" with "A"

Line 12 – replace "when" with if" and add "that" after "determined"

Line 14 – replace "The" with "A" and "licenses" with "license"

Lines 15-16 – replace "whichever the case may be" with "as applicable"

Line 17 – revise as follows: "A holder of a valid temporary license shall identify himself or herself as a Registered"

Line 18 – add "a" before "Licensed"

Lines 18-19 – replace the case may be" with "applicable"

Line 20 - replace "is" with "shall be"

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.

Jason Thomas
Commission Counsel
Date submitted to agency: Wednesday, November 7, 2018

I	21 NCAC 36 .0	219 is readopted, with changes, as published in 33:1 NCR 21 as follows:		
2				
3	21 NCAC 36 .0	219 TEMPORARY LICENSE		
4	(a) The Board	may issue a <del>Status P nonrenewable</del> <u>non-renewable</u> temporary license to <del>persons</del> <u>individuals</u> who have		
5	filed a complete	filed a completed application for licensure without examination by endorsement with correct fee and provided validation		
6	of an active unencumbered license in another jurisdiction. If an applicant indicates prior court conviction(s) of			
7	disciplinary action(s) in another jurisdiction, eligibility for a temporary license shall be determined after review o			
8	relevant documents.			
9	(b) The temporary license is subject to the provisions of G.S. 90-171.37.			
10	(b)(c) The follo	owing applies to Status P non-renewable temporary licenses:		
11	(1)	The Status P nonrenewable non-renewable temporary license shall expire on the lesser of six months		
12		or the date a full license is issued or when it is determined the applicant is not qualified to practice		
13		nursing in North Carolina.		
14	(2)	Status P temporary license Temporary licenses shall authorize the holder to practice nursing in the		
15		same manner as a fully licensed R.N. registered nurse or L.P.N., licensed practical nurse, whichever		
16		the case may be.		
17	(3)	Holders of valid Status P temporary license licenses shall identify themselves as R.N. Registered		
18		Nurse Petitioner (R.N.P.) or L.P.N. Licensed Practical Nurse petitioner Petitioner (L.P.N.P.), as the		
19		case may be, after signatures on records.		
20	(4)	Upon expiration or revocation of the Status P temporary license, the individual is ineligible to practice		
21		nursing as described in Subparagraph (b)(2) of this Rule.		
22				
23	History Note:	Authority G.S. 90-171.33;		
24		Eff. May 1, 1982;		
25		Temporary Amendment Eff. June 29, 1988 for a period of 180 days to expire on December 25, 1988;		
26		Amended Eff. December 1, 2006; January 1, 1996; July 1, 1994; August 3, 1992; January 1, <mark>1989.</mark>		
27		<u>1989;</u>		
28		Readopted Eff. [November 1, 2018.] December 1, 2018.		

AGENCY: Board of Nursing

RULE CITATION: 21 NCAC 36 .0220

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

Line 6 - replace "is" with "shall be"

Line 20 - replace "When" with "If"

Lines 22-23 – what if these eligibility requirements have not been met? Is the individual then not subject to restrictions?

Line 25 – what rules for refresher courses? Please specify by citing the rules.

Line 26 – replace this line with:

No student shall be enrolled prior to Board approval. Board approval shall be granted to a provider for a period of

Line 27 – replace "However, any" with "All"

Line 28 – add a comma after "implementation"

Line 30 – add a comma after "outline"

Line 31 – add a comma after "experiences"

Line 31 – delete the comma after "methodologies" and replace "methodologies" with "methods"

Line 33 – add "a" before "plan"

Line 34 - replace "which" with "that"

Line 34 – add a comma after "instructors"

Page 2, line 1 – replace "will" with "shall" twice

Jason Thomas Commission Counsel

Date submitted to agency: Wednesday, November 7, 2018

- Page 2, line 1 what determines whether a site visit is necessary?
- Page 2, line 3 revise as follows: "A provider of a refresher course shall be a"
- Page 2, line 4 what does "other agency" mean?
- Page 2, line 5 replace "the" with "a refresher"
- Page 2, lines 14-15 revise as follows:
  - (j) The ratio of instructors to students shall not exceed 1:10.
- Page 2, line 16 add "for" after "program"
- Page 2, line 19 add "refresher" before "course"
- Page 2, line 19 replace "which" with "that"
- Page 2, lines 22 and 23 delete "at least"
- Page 2, line 26 add "the" before "scope
- Page 2, line 26 add a comma after "nurse"
- Page 2, line 32 do not capitalize "refresher course"
- Page 2, line 36 add "the" before "scope
- Page 2, line 36 add a comma after "nurse"
- Page 3, line 13 add a comma after "experiences"
- Page 3, line 14 replace "which" with "that"
- Page 3, line 19 add commas after "indicate" and "contract"
- Page 3, line 36 delete the three commas
- Page 3, line 36 add "and" before "presently"
- Page 3, line 36 replace "these" with "the"
- Page 3, line 37 add "of this Rule" after "requirements" if that is what is meant
- Page 3, line 37 do not capitalize "state board"
- Page 4, line 1 do not capitalize "nursing"
- Page 4, line 6 add a comma before "consistent"
- Page 4, lines 8, 14, and 15 where is the forma specified in a rule? Please cite the rule.

Jason Thomas
Commission Counsel
Date submitted to agency: Wednesday, November 7, 2018

Page 4, line 10 – add "refresher" before "course"

Page 4, lines 14 and 15 – begin these lines with "the"

21 NCAC 36 .0220 is readopted, with changes, as published in 33:1 NCR 21-23 as follows:			
21 NCAC 36 .02	20 REFRESHER COURSE		
(a) A refresher co	ourse shall be designed for those persons, individuals, previously licensed, who are not eligible for re-		
entry into nursing practice because their license has lapsed expired for five or more years.			
(b) Satisfactory completion of a Board-approved refresher course is required of the person individual who: who has not			
held an active license in any jurisdiction for five or more years and requests:			
(1)	requests reactivation of an inactive license and who has not held an active license for five or more		
	years; license;		
(2)	requests reinstatement of a lapsed license and who has not held an active license for five or more		
	years; an expired license; or		
(3)	requests endorsement to North Carolina who has not held an active license for five or more years;		
	Carolina.		
(4)	is directed by the Board to complete such a course when the Board takes action as authorized in G.S.		
	<del>90 171.37; or</del>		
(5)	needs a refresher course as a result of the license being inactive for disciplinary action and has met all		
	eligibility requirements for reinstatement of the license.		
Those persons id-	entified in Subparagraph (4) or (5) of this Paragraph may be subject to Board-stipulated restrictions in		
the clinical comp	onent of the refresher course.		
(c) When satisfa	ctory completion of a Board-approved refresher course is required by the Board based upon action as		
authorized in G.S. 90-171.37 or based upon a license being inactive due to disciplinary action, the individual may be			
subject to Board	l-stipulated restrictions in the clinical component of the refresher course provided all eligibility		
requirements for	reinstatement of the license have been met.		
(e)(d) Application for approval of a refresher course shall be completed and submitted by the provider at least 90 days			
prior to the expected date of enrollment and shall include evidence of complying with the rules for refresher courses.			
Board approval s	hall be secured prior to the enrollment of students. Provider approval will be granted for a period of		
time not to exceed	d five years. However, any changes in faculty, curriculum, or clinical facilities shall be approved by the		
Board prior to in	aplementation as set out in the Rules of this Chapter.		
(e) The applicati	on for approval of a refresher course shall include:		
<u>(1)</u>	course objectives, content outline and time allocation;		
(2)	didactic and clinical learning experiences including teaching methodologies, for measuring the		
	registrant's abilities to practice nursing:		
(3)	plan for evaluation of student competencies and ability to competently practice nursing;		
<u>(4)</u>	a faculty list which includes the director and all instructors and identifies their qualifications and their		
	functions in teaching roles; and		
<u>(5)</u>	the projected clinical schedule.		
	21 NCAC 36.02  (a) A refresher contry into nursing (b) Satisfactory control in the day of the clinical composition (c) When satisfanguthorized in G.S. subject to Board requirements for (e)(d) Application prior to the expect Board approval stime not to exceed Board prior to in (e) The application (1)  (2)  (3)  (4)		

1	(d)(f) The Board will make site visits if necessary. A decision on an application to offer a refresher course will be given			
2	within 30 days following receipt of the a complete application.			
3	(e)(g) The provider of a refresher course shall be approved by the Board as set out in these Rules. A provider may be a			
4	post-secondary educational institution, a health care institution, or other agency.			
5	(f)(h) Administrative responsibility for developing and implementing the course shall be vested in a registered nurse			
6	director.	director.		
7	(g)(i) Instructors in the course shall be directly accountable to the nurse director. The director shall have had at least one			
8	year prior teaching experience preparing individuals for LPN or RN registered nurse or licensed practical nurse licensure			
9	at the post-secondary level or in a nursing staff development position. The director and each instructor shall:			
10	(1)	be licensed to hold an active unencumbered license to practice nursing as a registered nurse in North		
11		Carolina;		
12	(2)	hold a baccalaureate or higher degree; degree in nursing; and		
13	(3)	have had at least two years experience in direct patient nursing practice as an RN. a registered nurse.		
14	(h)(j) Proximity	of the instructor to students is the major factor in determining faculty-student ratio for clinical learning		
15	experiences. In no case shall this ratio exceed 1:10.			
16	(k) Clinical preceptors shall have competencies, assessed by the refresher program registered nurse director or			
17	designated instru	actor, related to the area of assigned clinical precepting responsibilities. Clinical preceptors shall hold an		
18	active unencumb	pered license to practice as a registered nurse in North Carolina.		
19	(i)(l) The course	e shall include both theory and clinical instruction. Course objectives shall be stated which:		
20	(1)	show relationships between <u>nursing</u> theory and practice; and		
21	(2)	indicate identify behaviors consistent with the ability to safely competently practice nursing.		
22	(j)(m) The curri	culum for the R.N. Refresher Course a registered nurse refresher course shall include at least 240 hours		
23	of instruction, at	least 120 of which shall consist of clinical learning experiences, and shall incorporate:		
24	(1)	common medical surgical conditions and management of common nursing problems associated with		
25		these conditions, including mental health principles associated with management of nursing problems;		
26	<del>(2)</del> (1)	functions scope of practice for the registered nurse as defined in G.S. 90-171.20 and 21 NCAC 36		
27		.0221, .0224, .0225 and .0401; and		
28	<del>(3)</del> (2)	instruction in and opportunities to demonstrate ability to safely knowledge, skills, and abilities to		
29		competently practice nursing and knowledge in caring for clients with common medical surgical		
30		problems. according to components of practice for the registered nurse as defined in 21 NCAC 36		
31		<u>.0224.</u>		
32	(k)(n) The curr	culum for the L.P.N. a licensed practical nurse Refresher Course shall include at least 180 hours of		
33	instruction, at least 90 of which shall consist of clinical learning experiences, and shall incorporate:			
34	(1)	common medical surgical conditions and common nursing approaches to their management, including		
35		mental health principles;		

NCAC 36 .0221, .0225 and .0401; and

functions scope of practice for the licensed practical nurse as defined in G.S. 90-171.20(8) and 21

<del>(2)</del>(1)

36

37

1	(3)(2) instruction in and opportunity opportunities to demonstrate ability knowledge, skills, and abilities to		
2	safely competently practice nursing and knowledge in caring for clients with common medical		
3	surgical problems. according to components of nursing practice for the licensed practical nurse as		
4	defined in 21 NCAC 36 .0225.		
5	(o) The refresher course director or the designated refresher course instructor shall assess each refresher student and		
6	ensure the appropriateness of all clinical learning settings and assignments.		
7	(l) The course shall include both theory and clinical instruction:		
8	(1) The R.N. Refresher Course shall include at least 240 hours of instruction, at least 120 of which shall		
9	consist of clinical learning experiences.		
10	(2) The L.P.N. Refresher Course shall include at least 180 hours of instruction, at least 90 of which shall		
11	consist of clinical learning experiences.		
12	(p) Registered nurse and licensed practical nurse refresher courses shall limit simulation experiences to no more than		
13	50% of clinical learning experiences pursuant to 21 NCAC 26 .0321(m).		
14	(m)(q) Evaluation processes shall be implemented which effectively measure the refresher student's: student's ability to		
15	competently practice nursing consistent with the level of licensure and scope as set forth in 21 NCAC 36 .0221, .0224,		
16	<u>.0225, and .0401.</u>		
17	(1) knowledge and understanding of curriculum content; and		
18	(2) ability to provide safe nursing care to clients with common medical-surgical conditions.		
19	(n)(r) Clinical resources shall indicate in written contract their support and availability to provide the necessary clinical		
20	experiences.		
21	(o) The application for approval of a refresher course shall include:		
22	(1) course objectives, content outline and time allocation;		
23	(2) didactic and clinical learning experiences including teaching methodologies, for measuring the		
24	registrant's abilities to practice nursing;		
25	(3) plan for evaluation of student competencies and ability to practice safe nursing;		
26	(4) a faculty list which includes the director and all instructors and identifies their qualifications and their		
27	functions in teaching roles; and		
28	(5) the projected clinical schedule.		
29	(p) A course or combination of courses within a basic nursing curriculum may be considered a refresher course for re-		
30	entry into practice if:		
31	(1) such course or combination of courses equals or exceeds requirements for refresher courses;		
32	(2) such course or combination of courses is taught on a level commensurate with level of relicensure		
33	sought; and		
34	(3) the Board designee approves such course or combination of courses as a substitute for a refresher		
35	course.		
36	(q)(s) Individuals, previously licensed in North Carolina, presently residing outside of North Carolina, may meet these		
37	requirements by successfully completing a North Carolina approved refresher course approved by another State Board of		

1	Nursing. comple	eted in another state or country. Agencies desiring approval for conducting refresher courses shall submit	
2	applications per Paragraphs (c) through (p) of this Rule. Clinical experiences shall be in agencies approved by the		
3	comparable state/country agency to the Board of Nursing. The agency applying for refresher course approval shall		
4	submit evidence of the agency approval.		
5	(r)(t) Individuals enrolled in refresher courses shall identify themselves as R.N. RN Refresher Student (RN RS)		
6	(R.N.R.S.) or LPN Refresher Student (LPN RS) (L.P.N.R.S.) consistent with the course level, after signatures on record		
7	or on name pins.		
8	(s)(u) Upon con	mpletion of a Board-approved refresher course, In a format specified by the Board, the course provider	
9	shall furnish provide the Board with the names and North Carolina certificate license numbers of those persons		
10	individuals who	have satisfactorily completed the course and are deemed safe to practice nursing at the appropriate level	
11	of <del>licensure on the Board supplied form.</del> <u>licensure.</u>		
12	(t)(v) Upon request, the Board shall provide:		
13	(1)	a list of approved providers;	
14	(2)	forms for applications for program approval; and	
15	(3)	forms format for verification of successful completion to all approved programs.	
16			
17	History Note:	Authority G.S. 90-171.23(b)(3); 90-171.35; 90-171.36; 90-171.37; 90-171.38; 90-171.83;	
18		Eff. May 1, 1982;	
19		Amended Eff. January 1, 2007; July 1, 2000; June 1, 1993; April 1, <mark>1989. <u>1989;</u></mark>	
20		Readopted Eff. [November 1, 2018.] December 1, 2018.	

AGENCY: Board of Nursing

RULE CITATION: 21 NCAC 36 .0221

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

Line 4 – add a comma after "insignia"

Line 4 – delete the comma after "public"

Lines 6, 9, 14, 16, 18, 19 (twice), and 29 - replace "which" with "that"

Line 8 – replace "the nurse aide" with "a Nurse Aide" twice, and capitalize that term if it is a proper noun such as a job title.

Line 15 – replace "procedure(s) itself" with "tasks themselves"

Line 16 – add a period at the end of the sentence

Line 17 - replace "The" with "A"

Line 18 – replace "any" with "a"

Line 19 and 26 – what does "unusual effects" mean?

Line 19 – delete the semicolon

Line 20 – capitalize "State" if North Carolina is meant

Line 20- replace "The" with "A"

Line 27 – add "a" before "client's"

Line 31 – replace "When" with "If"

Line 31 – replace "an individual" with "a client" twice if that is what is meant

Line 31 - replace "nurses" with "a nurse"

Jason Thomas
Commission Counsel
Date submitted to agency: Wednesday, November 7, 2018

# 1 21 NCAC 36 .0221 is readopted, with changes, as published in 33:1 NCR 23 as follows:

LICENSE REQUIRED

- 4 (a) No cap, pin, uniform, insignia or title shall be used to represent to the public, that an unlicensed person is a registered
- 5 nurse or a licensed practical nurse as defined in G.S. 90-171.43.

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21 NCAC 36 .0221

- 6 (b) The repetitive performance of a common task or procedure which does not require the professional judgment of a
- 7 registered nurse or licensed practical nurse shall not be considered the practice of nursing for which a license is required.
- 8 Tasks that may be delegated to the Nurse Aide nurse aide I and Nurse Aide nurse aide II shall be established by the
- 9 Board of Nursing pursuant to 21 NCAC 36 .0403. Tasks may be delegated to an unlicensed person which:
- 10 (1) frequently recur in the daily care of a client or group of clients;
  - (2) are performed according to an established sequence of steps;
    - (3) involve little or no modification from one client-care situation to another;
- may be performed with a predictable outcome; and
- do not inherently involve ongoing assessment, interpretation, or decision-making which cannot be logically separated from the procedure(s) itself.
- 16 Client-care services which do not meet all of these criteria shall be performed by a licensed nurse
  - (c) The registered nurse or licensed practical nurse shall not delegate the professional judgment required to implement any treatment or pharmaceutical regimen which is likely to produce side effects, toxic effects, allergic reactions, or other unusual effects; or which may rapidly endanger a client's life or well-being and which is prescribed by a person an individual authorized by state law to prescribe such a regimen. The nurse who assumes responsibility directly or through delegation for implementing a treatment or pharmaceutical regimen shall be accountable for:
- 22 (1) recognizing side effects;
  - (2) recognizing toxic effects;
  - (3) recognizing allergic reactions;
- 25 (4) recognizing immediate desired effects;
- 26 (5) recognizing unusual and unexpected effects;
- 27 (6) recognizing changes in client's condition that contraindicates continued administration of the 28 pharmaceutical or treatment regimen;
- 29 (7) anticipating those effects which may rapidly endanger a client's life or well-being; and
- 30 (8) making judgments and decisions concerning actions to take in the event such effects occur.
- 31 (d) When health care needs of an individual are incidental to the personal care needs of the individual, nurses shall not
- 32 be accountable for care performed by clients themselves, their families or significant others, or by caretakers who provide
- personal care to the individual.
- 34 (e) Pharmacists may administer drugs in accordance with 21 NCAC 46 .2507.
- 36 History Note: Authority G.S. 90-85.3; 90-171.23(b); 90-171.43; 90-171.83;
- 37 Eff. May 1, 1982;

1	Amended Eff. July 1, 2004; April 1, 2002; December 1, 2000; July 1, 2000; January 1, 1996
2	February 1, 1994; April 1, 1989; January 1, 1984;
3	Emergency Amendment Eff. September 10, 2004;
4	Amended Eff. April 1, 2008; December 1, <del>2004.</del> <u>2004;</u>
5	Readopted Eff. [November 1, 2018.] December 1, 2018.

AGENCY: Board of Nursing

RULE CITATION: 21 NCAC 36 .0223

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

Line 5 - replace "is" with "shall be"

Line 5 – add a comma after "experience"

Line 6 – add a comma after "program"

Line 6 - replace "which" with "that"

Line 10 – revise as follows: "courses or components of courses in an academic degree-oriented program or"

Line 11 – replace "which" with "that"

Line 11 - replace "the" with "a"

Line 12 – add a comma after "midwife"

Lines 14-15 – replace "are those which" with "shall"

Lines 16-18 – these attributes are vague – what is meant, precisely?

Line 19 - revise as follows: "Programs that teach nurses advanced skills shall provide:"

Line 20 – replace "the skill taught is" with "skills"

Line 21 – replace "the" with "a" and delete "is"

Line 22 – add "or" before "behavioral"

Line 22 – delete the comma after "sciences"

Line 22 – replace "includes" with "shall include"

Jason Thomas Commission Counsel

Date submitted to agency: Wednesday, November 7, 2018

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Line 24 – replace "may" with "shall"
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Line 26 - replace "when" with "if"

Lines 30 and 32 - replace "which" with "that"

Lines 33 and 34 – replace "program(s)" with "programs"

Line 36 – delete "as"

Page 2, line 7 – add "license" before "expiration"

Page 2, line 9 – replace "the" with "an"

Page 2, lines 10, 12, 1nd 14 - replace "; for example," with ", such as"

Page 2, line 20 – add a comma after "experiences"

Page 2, line 25 – add "required by Part (b)(2) of this Paragraph" after "objectives"

Page 2, line 28 – replace "methodologies" with "methods"

Page 2, line 29 - replace "utilize" with "use"

Page 2, line 31 – revise as follows: "include informing participants of the course objectives required by Part (b)(2) of this Paragraph"

Page 2, line 33 – replace "learned to meet" with "participants in meeting"

Page 2, line 34 – replace "learner" with "participant"

Page 2, line 35 – replace "must" with "shall"

Page 2, line 36 – add "to the Board" after "provided"

Page 3, line 1 – replace "which" with "that"

Page 3, line 1 – what does "adequate and appropriate" mean, specifically?

Page 3, line 2 – what does "available and appropriate" mean, specifically?

Page 3, line 3 – replace "must" with "shall"

Page 3, lines 4-5 – replace "content and will" with "content. This evaluation shall"

Page 3, line 6 – replace "learner" with "participant"

Page 3, line 8 – add "a" before "roster"

Page 3, line 8 – add "the" before "course"

- Page 3, lines 15-16 replace "; for example," with ", such as"
- Page 3, line 17 replace "is" with "shall be"
- Page 3, line 17 replace "Any" with "A"
- Page 3, line 18 replace "must" with "shall"
- Page 3, line 21 replace "regularly scheduled" with "regularly-scheduled"
- Page 3, line 21 delete the comma after "Board"
- Page 3, line 23 delete "deemed"
- Page 3, line 25 how is the maintenance of quality measured or determined?
- Page 3, line 26 delete "to the satisfaction of the Board"
- Page 3, line 28 replace "will" with "shall"
- Page 3, line 29 replace "is" with "shall be"

1	21 NCAC 36 .02	223 is r	eadopted	, with changes, as published in 33:1 NCR 23-25 as follows:
2				
3	21 NCAC 36 .02	223	CONT	TINUING EDUCATION PROGRAMS
4	(a) Definitions.			
5	(1)		_	cation in nursing is a planned, organized learning experience taken after completion of
6			_	program which prepares a nurse to perform advanced skills. Types of learning
7		-		t may be considered continuing education as defined in Subparagraph (a)(3) of this
8			include:	
9		(A)		degree oriented program;
10		(B)		se(s) or component(s) of a course(s) within an academic degree-oriented program; or
11		(C)		ranced academic degree-granting program which prepares the registered nurse for
12				ced practice as a clinical nurse specialist, nurse anesthetist, nurse midwife or nurse
13			practit	
14	(2)	Progr	ams offer	ing an educational experience designed to enhance the practice of nursing are those
15		which	include o	one or more of the following:
16		(A)	enrich	ment of knowledge;
17		(B)	develo	pment or change of attitudes; or
18		(C)	acquis	ition or improvement of skills.
19	(3)	Progr	ams are co	onsidered to teach nurses advanced skills when:
20		(A)	the ski	ll taught is not generally included in the basic educational preparation of the nurse; and
21		(B)	the pe	riod of instruction is sufficient to assess or provide necessary knowledge from the
22			physic	al, biological, behavioral and social sciences, and includes supervised clinical practice
23			to ensi	are that the nurse is able to practice the skill safely and properly.
24	(4)	Stude	nt status n	nay be granted to an individual who does not hold a North Carolina nursing license but
25		who p	participate	es in a clinical component of a continuing education programs program in North
26		Carol	ina when:	
27		(A)	the inc	lividual possesses a current an active unencumbered license to practice nursing in a
28			jurisdi	ction other than North Carolina;
29		(B)	the co	urse offering meets one of the following criteria:
30			(i)	is part of an academic degree-granting nursing program which has approval in a
31				jurisdiction other than North Carolina or national accreditation; or
32			(ii)	is offered through an in-state academic institution which has Board approval for
33				basic nursing education program(s) or national accreditation for advanced nursing
34				education program(s); or
35			(iii)	is approved by the Board as a continuing education offering, thereby meeting the
36				criteria as defined in Paragraph (b) of this Rule;

1		(C)	the individual receives supervision by a qualified preceptor or member of the faculty who has
2			a valid license to practice as a registered nurse in North Carolina;
3		(D)	the course of instruction has a specified period of time not exceeding twelve 12 months;
4		(E)	the individual is not employed in nursing practice in North Carolina during participation in
5			the program; and
6		(F)	the Board has been given advance notice of the name of each student, the jurisdiction in
7			which the student is licensed, the license number, and the expiration date.
8	(b) Criteria for	voluntar	y approval of continuing education programs in nursing.
9	(1)	Planni	ng the educational program shall include:
10		(A)	definition of learner population; for example, registered nurse, licensed practical nurse, or
11			both;
12		(B)	identification of characteristics of the learner; for example, clinical area of practice, place of
13			employment, and position; and
14		(C)	assessment of needs of the learner; for example, specific requests from individuals or
15			employers, pre-tests, or audits of patient records.
16	(2)	Object	tives shall:
17		(A)	be measurable and stated in behavioral terms;
18		(B)	reflect the needs of the learners;
19		(C)	state desired outcomes;
20		(D)	serve as criteria for the selection of content, learning experiences and evaluation of
21			achievement;
22		(E)	be achievable within the time allotted; and
23		(F)	be applicable to nursing.
24	(3)	Conte	nt shall:
25		(A)	relate to objectives;
26		(B)	reflect input by qualified faculty; and
27		(C)	contain learning experiences appropriate to objectives.
28	(4)	Teach	ing methodologies shall:
29		(A)	utilize pertinent educational principles;
30		(B)	provide adequate time for each learning activity; and
31		(C)	include sharing objectives with participants.
32	(5)	Resou	rces shall include:
33		(A)	faculty who have knowledge and experience necessary to assist the learner to meet the
34			program objectives and are in sufficient number not to exceed a faculty-learner ratio in a
35			clinical practicum of 1:10. If higher ratios are desired, sufficient justification must be
36			provided; and

1		(B) physical facilities which ensure that adequate and appropriate equipment and space are
2		available and appropriate clinical resources are available.
3	(6)	Evaluation must be conducted:
4		(A) by the provider to assess the participant's achievement of program objectives and content and
5		will be documented; and
6		(B) by the learner in order to assess the program and resources.
7	(7)	Records shall be maintained by the provider for a period of three years and shall include a summary of
8		program evaluations, roster of participants, and course outline. The provider shall award a certificate
9		to each participant who successfully completes the program.
10	(c) Approval pr	rocess.
11	(1)	The provider shall:
12		(A) make application on forms provided by the Board no less than 60 days prior to the proposed
13		enrollment date;
14		(B) present written documentation as specified in (b)(1) through (b)(7) of this Rule; and
15		(C) notify the Board of any significant changes relative to (b)(1) through (b)(7) of this Rule; for
16		example, changes in faculty or total program hours.
17	(2)	Approval is granted for a two-year two-year period. Any request to offer an approved program by
18		anyone other than the original provider must be made to the North Carolina Board of Nursing. Board.
19	(3)	If a course is not approved, the provider may appeal in writing for reconsideration within 30 days after
20		notification of the disapproval. If the course is not approved upon reconsideration, the provider may
21		request, within 10 days, a hearing at the next regularly scheduled meeting of the Board, or no later
22		than 90 days from the date of request, whichever shall come first.
23	(4)	Site visits may be made by the Board as deemed appropriate to determine compliance with the criteria
24		as specified in Paragraph (b) of this Rule.
25	(5)	The Board shall withdraw approval from a provider if the provider does not maintain the quality of the
26		offering to the satisfaction of the Board or if there is misrepresentation of facts within the application
27		for approval.
28	(6)	Approval of continuing education programs will be included in published reports of Board actions. A
29		list of approved programs will be maintained in is posted on the Board's file. website at
30		www.ncbon.com.
31		
32	History Note:	Authority G.S. 90-171.23(b); 90-171.42;
33		Eff. January 1, 1984;
34		Amended Eff. October 1, 1992; October 1, 1991; October 1, 1989; January 1, <mark>1989. <u>1989;</u></mark>
25		Pagdonted Eff (November 1, 2019 1 Decomber 1, 2019

AGENCY: Board of Nursing

RULE CITATION: 21 NCAC 36 .0224

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

Lines 4-5 – revise as follows:

The responsibilities that a registered nurse can safely accept shall be determined by such variables as:

Line 6 - delete "own"

Line 6 – add a comma before "including"

Line 9 – replace "a given" with "his or her"

Lines 10 and 11 – is "personnel" with same as "staff"? If so, choose one term and use it consistently.

Lines 10, 11, 12, and 14 – add "in the facility in which the nurse practices" at the end of each of these lines if that is what is meant.

Line 13 – replace "which" with "that"

Lines 15 – revise as follows: "Assessment is an on-going process and shall consist of a determination of nursing care needs based upon collection and"

Line 16 – add a comma after "group"

Line 17 – replace "includes" with "shall include"

Line 18 – add a comma after "social"

Line 21 – what does "subjective reporting" mean? Is this a term of art?

Line 23 – add "and" after the semicolon

Line 24 – what does "available resources" mean – health care resources?

Line 25 – add "the" before "data"

Line 26 - replace "includes" with "shall include"

Line 30 - replace "includes" with "shall include"

Line 32 – delete the comma

Line 35 – replace "includes" with "shall include"

Page 2, line 3 – replace "which" with "that"

Page 2, line 5 – replace "is" with "shall be"

Page 2, line 6 – delete "but is not limited to"

Page 2, line 13 – add "the" before "nursing"

Page 2, line 15 – replace "(7)(i)" with "(7)i."

Page 2, line 16 – replace "consists" with "shall consist"

Page 2, line 17 – replace "subsequent care. Components of evaluation include." with "subsequent care, including:"

Page 2, lines 20-21 – revise either as:

newly collected data, new problem identification, a change in the client's status, and expected outcomes.

or

newly collected data, new problem identification, and a change in the client's status and expected outcomes.

Page 2, line 22 – replace "are" with "shall be"

Page 2, line 25 - replace "is accountable for" with "shall"

Page 2, lines 26-28 – consider revising as follows (and renumbering lines 29-31):

- (A) direct the communication to the appropriate individuals;
- (B) assure that these communications are consistent with established policies, procedures, practices, and channels of communication that lend support to the types of nursing services offered;

Page 2, line 29 – replace "communicating" with "communicate"

Page 2, line 29 - replace "which" with "that"

- Page 2, line 30 replace "evaluating" with "evaluate"
- Page 2, line 31 replace "determining" with "determine"
- Page 2, line 33 replace "must" with "shall"
- Page 2, line 35 add a comma after "care"
- Page 3, line 4 replace "includes" with "shall include"
- Page 3, line 10 -replace "is" with "shall be"
- Page 3, line 11 replace "90-171.20(7)(g)" with "90-171.20(7)g."
- Page 3, line 12 add "shall" before "consist"
- Page 3, line 12 add a comma after "demonstrations"
- Page 3, line 13 add a comma after "families"
- Page 3, line 13 delete the semicolon
- Page 3, line 15 add "of clients regarding their health" after "knowledge" if that is what is meant.
- Page 3, line 19 add "shall" before "include"
- Page 3, line 19 delete "but are not limited to"
- Page 3, line 22 replace "client(s)" with "clients"
- Page 3, line 26 replace "is" with "shall be"
- Page 3, line 26 add commas after "nurse" and "nursing"
- Page 3, line 27 delete "but is not limited to"
- Page 3, line 30 add "the" before "plan"
- Page 3, line 35 add a comma after "nurse"
- Page 3, line 36 replace "90-171.20(7)(i)" with "90-171.20(7)i."
- Page 3, line 36 delete "but is not limited to"
- Page 4, line 1 add a comma after "policies"
- Page 4, line 7 replace "and which includes" with ", including"
- Page 4, line 10 add a comma after "knowledge"

Page 4, line 13 – delete "validation of" if it not needed

Page 4, line 14 - replace "is" with "shall be"

Page 4, line 15 – replace "which includes" with "including"

Page 4, line 18 – delete the comma

1	21 NCAC 36 .0	224 is re	eadopted	, <u>with changes,</u> as published in 33:1 NCR 25-27 as follows:
2				
3	21 NCAC 36 .0	224	COM	PONENTS OF NURSING PRACTICE FOR THE REGISTERED NURSE
4	(a) The respons	sibilities	which an	y registered nurse can safely accept are determined by the variables in each nursing
5	practice setting.	These v	variables	include:
6	(1)	the nu	rse's own	qualifications including:
7		(A)	basic e	ducational preparation; and
8		(B)	knowl	edge and skills subsequently acquired through continuing education and practice;
9	(2)	the co	mplexity	and frequency of nursing care needed by a given client population;
10	(3)	the pro	oximity o	f clients to personnel;
11	(4)	the qu	alification	ns and number of staff;
12	(5)	the acc	cessible r	esources; and
13	(6)	establi	ished poli	cies, procedures, practices, and channels of communication which lend support to the
14		types	of nursing	g services offered.
15	(b) Assessment	is an on-	going pro	cess and consists of the determination of nursing care needs based upon collection and
16	interpretation of	data rel	evant to t	he health status of a client, group or community.
17	(1)	Collec	tion of da	ata includes:
18		(A)	obtain	ing data from relevant sources regarding the biophysical, psychological, social and
19			cultura	ll factors of the client's life and the influence these factors have on health status,
20			includ	ing:
21			(i)	subjective reporting;
22			(ii)	observations of appearance and behavior;
23			(iii)	measurements of physical structure and physiological functions;
24			(iv)	information regarding available resources; and
25		(B)	verifyi	ng data collected.
26	(2)	Interp	retation o	f data includes:
27		(A)	analyz	ing the nature and inter-relationships of collected data; and
28		(B)	determ	ining the significance of data to client's health status, ability to care for self, and
29			treatm	ent regimen.
30	(3)	Formu	ılation of	a nursing diagnosis includes:
31		(A)	describ	oing actual or potential responses to health conditions. Such responses are those for
32			which	nursing care is indicated, or for which referral to medical or community resources is
33			approp	oriate; and
34		(B)	develo	ping a statement of a client problem identified through interpretation of collected data.
35	(c) Planning r	nursing o	care activ	rities includes identifying the client's needs and selecting or modifying nursing
36	interventions rel	lated to t	he finding	gs of the nursing assessment. Components of planning include:
37	(1)	priorit	izing nur	sing diagnoses and needs;

1 (2) setting realistic, measurable goals and outcome criteria; 2 (3) initiating or participating in multidisciplinary planning; 3 (4) developing a plan of care which includes determining and prioritizing nursing interventions; and 4 (5) identifying resources based on necessity and availability. 5 (d) Implementation of nursing activities is the initiating and delivering of nursing care according to an established plan, 6 which includes, but is not limited to: 7 (1) procuring resources; 8 (2) implementing nursing interventions and medical orders consistent with 21 NCAC 36.0221(c) and 9 within an environment conducive to client safety; 10 prioritizing and performing nursing interventions; (3) 11 (4) analyzing responses to nursing interventions; 12 (5) modifying nursing interventions; and 13 (6) assigning, delegating delegating, and supervising nursing activities of other licensed and unlicensed 14 personnel consistent with Paragraphs (a) and (i) of this Rule, G.S. 90 171.20(7)d G.S. 90-171.20(7)(d) 15 and (7)i, (7)(i), and 21 NCAC 36 .0401. 16 (e) Evaluation consists of determining the extent to which desired outcomes of nursing care are met and planning for 17 subsequent care. Components of evaluation include: 18 (1) collecting evaluative data from relevant sources; 19 (2) analyzing the effectiveness of nursing interventions; and 20 (3) modifying the plan of care based upon newly collected data, new problem identification, change in the 21 client's status and expected outcomes. 22 (f) Reporting and Recording by the registered nurse are those communications required in relation to all aspects of 23 nursing care. 24 Reporting means the communication of information to other persons individuals responsible for, or (1) 25 involved in, the care of the client. The registered nurse is accountable for: directing the communication to the appropriate person(s) individual(s) and consistent with 26 (A) 27 established policies, procedures, practices practices, and channels of communication which 28 lend support to types of nursing services offered; 29 (B) communicating within a time period which is consistent with the client's need for care; 30 (C) evaluating the responses to information reported; and 31 (D) determining whether further communication is indicated. 32 (2) Recording means the documentation of information on the appropriate client record, nursing care plan 33 or other documents. This documentation must: 34 (A) be pertinent to the client's health care; accurately describe all aspects of nursing care including assessment, planning, 35 (B) 36 implementation, and evaluation; 37 (C) be completed within a time period consistent with the client's need for care;

1		(D)	reflect the communication of information to other persons; individuals; and
2		(E)	verify the proper administration and disposal of controlled substances.
3	(g) Collaboratin	g involve	es communicating and working cooperatively with individuals whose services may have a direct
4	or indirect effec	t upon th	e client's health care and includes:
5	(1)	initiatii	ng, coordinating, planning planning, and implementing nursing or multidisciplinary approaches
6		for the	client's care;
7	(2)	particij	pating in decision-making and in cooperative goal-directed efforts;
8	(3)	seeking	g and utilizing appropriate resources in the referral process; and
9	(4)	safegua	arding confidentiality.
10	(h) Teaching a	nd <del>Coun</del>	seling counseling clients is the responsibility of the registered nurse, consistent with G.S.
11	90-171.20(7)g.	G.S. 90-1	71.20(7)(g).
12	(1)	Teachi	ng and counseling consist of providing accurate and consistent information, demonstrations and
13		guidan	ce to clients, their families or significant others regarding the client's health status status, and
14		health	care for the purpose of:
15		(A)	increasing knowledge;
16		(B)	assisting the client to reach an optimum level of health functioning and participation in self
17			care; self-care; and
18		(C)	promoting the client's ability to make informed decisions.
19	(2)	Teachi	ng and counseling include, but are not limited to:
20		(A)	assessing the client's needs, abilities abilities, and knowledge level;
21		(B)	adapting teaching content and methods to the identified needs, abilities of the elient(s)
22			<pre>client(s), and knowledge level;</pre>
23		(C)	evaluating effectiveness of teaching and counseling; and
24		(D)	making referrals to appropriate resources.
25	(i) Managing th	e delivery	y of nursing care through the on-going supervision, teaching teaching, and evaluation of nursing
26	personnel is the	responsi	bility of the registered nurse as specified in the legal definition of the practice of nursing and
27	includes, but is	not limite	ed to:
28	(1)	continu	ious availability for direct participation in nursing care, onsite when necessary, as indicated by
29		client's	status and by the variables cited in Paragraph (a) of this Rule;
30	(2)	assessi	ng capabilities of personnel in relation to client status and plan of nursing care;
31	(3)	delegat	ting responsibility or assigning nursing care functions to personnel qualified to assume such
32		respon	sibility and to perform such functions;
33	(4)	accoun	tability for nursing care given by all personnel to whom that care is assigned and delegated; and
34	(5)	direct o	observation of clients and evaluation of nursing care given.
35	(j) Administerii	ng nursin	g services is the responsibility of the registered nurse as specified in the legal definition of the
36	practice of nursi	ing in G	5. 90 171.20 (7); G.S. 90-171.20(7)(i), and includes, but is not limited to:

1	(1)	identification, development development, and updating of standards, policies and procedures related to
2		the delivery of nursing care;
3	(2)	implementation of the identified standards, policies policies, and procedures to promote safe and
4		effective nursing care for clients;
5	(3)	planning for and evaluation of the nursing care delivery system; and
6	(4)	management of licensed and unlicensed personnel who provide nursing care consistent with
7		Paragraphs (a) and (i) of this Rule and which includes:
8		(A) appropriate allocation of human resources to promote safe and effective nursing care;
9		(B) defined levels of accountability and responsibility within the nursing organization;
10		(C) a mechanism to validate qualifications, knowledge knowledge and skills of nursing
11		personnel;
12		(D) provision of educational opportunities related to expected nursing performance; and
13		(E) validation of the implementation of a system for periodic performance evaluation.
14	(k) Accepting	responsibility for self for individual nursing actions, eompetence competence, and behavior is the
15	responsibility o	f the registered nurse, which includes:
16	(1)	having knowledge and understanding of the statutes and rules governing nursing;
17	(2)	functioning within the legal boundaries of registered nurse practice; and
18	(3)	respecting client rights and property, and the rights and property of others.
19		
20	History Note:	Authority G.S. 90-171.20(7); 90-171.23(b); 90-171.43(4);
21		Eff. January 1, 1991;
22		Temporary Amendment Eff. October 24, 2001;
23		Amended Eff. August 1, <mark>2002.</mark> <u>2002;</u>
24		Readopted Eff. [November 1, 2018.] December 1, 2018.

AGENCY: **Board of Nursing** 

RULE CITATION: 21 NCAC 36 .0225

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

PLEASE NOTE: This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

Line 5 – replace "The" with "A"

Line 6 - replace "which" with "that"

Lines 6-7 – revise as follows:

... can safely accept, which shall be determined by such variables as:

Line 8 – delete "own"

Line 15 - replace "which" with "that"

Line 17 – revise as follows: "Assessment is an on-going process and shall consist of ..."

Line 19 - replace "collection of data consists of" with "Collection of data shall consist of"

Line 21 – add a comma after "policies"

Line 21 – replace "and includes" with "including"

Line 22 – what does "subjective reporting" mean? Is this a term of art?

Line 25 – what does "available resources" mean – health care resources?

Line 26 - replace "interpretation of data is limited to:" with "Interpretation of data shall be limited to:"

Line 30 – add a comma after "regimen"

Line 32 - replace "includes" with "shall include"

Line 34 – replace "intervention and medical orders" with "intervention, medical orders,"

Jason Thomas

Commission Counsel

Date submitted to agency: Wednesday, November 7, 2018

- Page 2, line 1 replace "consists" with "shall consist"
- Page 2, line 2 replace "individual(s)" with "individuals"
- Page 2, line 3 replace "90-171.20(8)(c)" with ""90-171.20(8)c.""
- Page 2, line 4 replace "which" with "that"
- Page 2, line 4 add "shall" before "include"
- Page 2, line 7 replace "Paragraph (c) of 21 NCAC 36 .0221" with "21 NCAC 36 .0221(c)"
- Page 2, line 13 delete the comma
- Page 2, line 17 add a comma after "activities" and replace "and providing" with "if"
- Page 2, line 30 replace "is" with "shall be"
- Page 2, line 30 replace "which" with "that"
- Page 2, line 31 delete "but is not limited to"
- Page 2, line 36 replace "When" with "If"
- Page 3, line 1 replace "participates" with "may participate" or "shall participate"
- Page 3, line 1 replace "When" with "If"
- Page 3, line 2 replace "participates" with "may participate" or "shall participate"
- Page 3, line 3 replace "individual(s)" with "individuals"
- Page 3, line 5 add a comma after "task"
- Page 3, line 7 replace "When" with "If"
- Page 3, line 7 replace "participates" with "shall participate"
- Page 3, line 8 replace "individual(s)" with "individuals"
- Page 3, line 10 replace "a given" with "his or her"
- Page 3, lines 11 and 12 is "personnel" with same as "staff"? If so, choose one term and use it consistently.
- Page 3, lines 11, 12, 13, and 15 add "in the facility in which the nurse practices" at the end of each of these lines if that is what is meant.
- Page 3, line 14 replace "which" with "that"
- Page 3, line 16 replace "consists" with "shall consist"

Page 3, lines 17-18 – replace "subsequent care. Components of evaluation include:" with "subsequent care, including:"

- Page 3, line 23 replace "individual(s)" with "individuals"
- Page 3, line 24 replace "are" with "shall be"
- Page 3, line 27 replace "is accountable for" with "shall"
- Page 3, lines 28-30 consider revising as follows (and renumbering lines 31-33):
  - (A) direct the communication to the appropriate individuals;
  - (B) assure that these communications are consistent with established policies, procedures, practices, and channels of communication that lend support to the types of nursing services offered;
- Page 3, line 31 replace "communicating" with "communicate"
- Page 3, line 32 replace "which" with "that"
- Page 3, line 33 replace "evaluating" with "evaluate"
- Page 3, line 35 replace "must" with "shall"
- Page 3, line 36 add a comma after "care"
- Page 4, line 2 replace "individual(s)" with "individuals"
- Page 4, line 7 replace "includes" with "shall include"
- Page 4, line 13 -replace "is" with "shall be"
- Page 4, line 14 replace "includes" with "shall include"
- Page 4, line 17 add "of clients regarding their health" after "knowledge" if that is what is meant.
- Page 4, lines 22-23 replace "which includes" with "including"
- Page 4, line 26 delete the comma

1	21 NCAC 36.	0225 is readopted, with changes, as published in 33:1 NCR 27-29 as follows:
2		
3	21 NCAC 36.	0225 COMPONENTS OF NURSING PRACTICE FOR THE LICENSED PRACTICAL
4		NURSE
5	(a) The license	ed practical nurse shall accept only those assigned nursing activities and responsibilities, as defined in
6	Paragraphs (b)	through (i) of this Rule, which the licensee can safely perform. That acceptance shall be based upon the
7	variables in each	ch practice setting which include:
8	(1)	the nurse's own qualifications in relation to client need and plan of nursing care, including:
9		(A) basic educational preparation; and
10		(B) knowledge and skills subsequently acquired through continuing education and practice;
11	(2)	the degree of supervision by the registered nurse consistent with Paragraph (d)(3) of this Rule;
12	(3)	the stability of each client's clinical condition;
13	(4)	the complexity and frequency of nursing care needed by each client or client group;
14	(5)	the accessible resources; and
15	(6)	established policies, procedures, practices, and channels of communication which lend support to the
16		types of nursing services offered.
17	(b) Assessmer	at is an on-going process and consists of participation in the determination of nursing care needs based
18	upon collection	and interpretation of data relevant to the health status of a client.
19	(1)	collection of data consists of obtaining data from relevant sources regarding the biophysical,
20		psychological, social, and cultural factors of the client's life and the influence these factors have
21		on health status, according to structured written guidelines, policies and forms, and includes:
22		(A) subjective reporting;
23		(B) observations of appearance and behavior;
24		(C) measurements of physical structure and physiologic function; and
25		(D) information regarding available resources.
26	(2)	interpretation of data is limited to:
27		(A) participation in the analysis of collected data by recognizing existing relationships between
28		data gathered and a client's health status and treatment regimen; and
29		(B) determining a client's need for immediate nursing interventions based upon data gathered
30		regarding the client's health status, ability to care for self, and treatment regimen consistent
31		with Paragraph (a)(6) of this Rule.
32	(c) Planning n	ursing care activities includes participation in the identification of client's needs related to the findings of
33	the nursing ass	essment. Components of planning include:
34	(1)	participation in making decisions regarding implementation of nursing intervention and medical orders
35		and plan of care through the utilization of assessment data;
36	(2)	participation in multidisciplinary planning by providing resource data; and
37	(3)	identification of nursing interventions and goals for review by the registered nurse.

I	(d) Implementa	tion of n	ursing activities consists of delivering nursing care according to an established health care plan
2	and as assigned	by the re	gistered nurse or other person(s) individual(s) authorized by law as specified in G.S. 90-171.20
3	(8)(c).		
4	(1)	Nursir	ng activities and responsibilities which may be assigned to the licensed practical nurse include:
5		(A)	procuring resources;
6		(B)	implementing nursing interventions and medical orders consistent with Paragraph (b) of this
7			Rule and Paragraph (c) of 21 NCAC 36 .0221 and within an environment conducive to client
8			safety;
9		(C)	prioritizing and performing nursing interventions;
10		(D)	recognizing responses to nursing interventions;
11		(E)	modifying immediate nursing interventions based on changes in a client's status; and
12		(F)	delegating specific nursing tasks as outlined in the plan of care and consistent with Paragraph
13			(d)(2) of this Rule, and 21 NCAC 36 .0401.
14	(2)	The 1	icensed practical nurse may participate, consistent with 21 NCAC 36 .0224(d)(6), in
15		imple	menting the health care plan by assigning nursing care activities to other licensed practical
16		nurses	s and delegating nursing care activities to unlicensed personnel qualified and competent to
17		perfor	m such activities and providing all of the following criteria are met:
18		(A)	validation of qualifications of personnel to whom nursing activities may be assigned or
19			delegated;
20		(B)	continuous availability of a registered nurse for supervision consistent with 21 NCAC 36
21			.0224(i) and Paragraph (d)(3) of this Rule;
22		(C)	accountability maintained by the licensed practical nurse for responsibilities accepted,
23			including nursing care given by self and by all other personnel to whom such care is assigned
24			or delegated;
25		(D)	participation by the licensed practical nurse in on-going observations of clients and
26			evaluation of clients' responses to nursing actions; and
27		(E)	provision of supervision limited to the validation that tasks have been performed as assigned
28			or delegated and according to established standards of practice.
29	(3)	The de	egree of supervision required for the performance of any assigned or delegated nursing activity
30		by the	e licensed practical nurse when implementing nursing care is determined by variables which
31		includ	le, but are not limited to:
32		(A)	educational preparation of the licensed practical nurse, including both the basic educational
33			program and the knowledge and skills subsequently acquired by the nurse through continuing
34			education and practice;
35		(B)	stability of the client's clinical condition, which involves both the predictability and rate of
36			change. When a client's condition is one in which change is highly predictable and would be
37			expected to occur over a period of days or weeks rather than minutes or hours, the licensed

1			practical nurse participates in care with minimal supervision. When the client's condition is
2			unpredictable or unstable, the licensed practical nurse participates in the performance of the
3			task under close supervision of the registered nurse or other person(s) individual(s)
4			authorized by law to provide such supervision;
5		(C)	complexity of the nursing task which is determined by depth of scientific body of knowledge
6			upon which the action is based and by the task's potential threat to the client's well-being.
7			When a task is complex, the licensed practical nurse participates in the performance of the
8			task under close supervision of the registered nurse or other person(s) individual(s)
9			authorized by law to provide such supervision;
10		(D)	the complexity and frequency of nursing care needed by a given client population;
11		(E)	the proximity of clients to personnel;
12		(F)	the qualifications and number of staff;
13		(G)	the accessible resources; and
14		(H)	established policies, procedures, practices practices, and channels of communication which
15			lend support to the types of nursing services offered.
16	(e) Evaluation,	a compo	nent of implementing the health care plan, consists of participation in determining the extent to
17	which desired o	utcomes	of nursing care are met and in planning for subsequent care. Components of evaluation by the
18	licensed practic	al nurse	include:
19	(1)	collect	ting evaluative data from relevant sources according to written guidelines, policies, and
20		forms;	
21	(2)	recogn	nizing the effectiveness of nursing interventions; and
22	(3)	propos	sing modifications to the plan of care for review by the registered nurse or other person(s)
23		<u>indivi</u>	dual(s) authorized by law to prescribe such a plan.
24	(f) Reporting a	nd record	ling are those communications required in relation to the aspects of nursing care for which the
25	licensed practic	al nurse	has been assigned responsibility.
26	(1)	Repor	ting means the communication of information to other persons individuals responsible for or
27		involv	red in the care of the client. The licensed practical nurse is accountable for:
28		(A)	directing the communication to the appropriate $\frac{person(s)}{person(s)}$ and consistent with
29			established policies, procedures, practices practices, and channels of communication which
30			lend support to types of nursing services offered;
31		(B)	communicating within a time period which is consistent with the client's need for care;
32		(C)	evaluating the nature of responses to information reported; and
33		(D)	determining whether further communication is indicated.
34	(2)	Record	ding means the documentation of information on the appropriate client record, nursing care <del>plan</del>
35		<u>plan,</u> c	or other documents. This documentation must:
36		(A)	be pertinent to the client's health care including client's response to care provided;
37		(B)	accurately describe all aspects of nursing care provided by the licensed practical nurse;

1		(C) be completed within a time period consistent with the client's need for care;
2		(D) reflect the communication of information to other persons; and
3		(E) verify the proper administration and disposal of controlled substances.
4	(g) Collaborati	ing involves communicating and working cooperatively in implementing the health care plan with
5	individuals who	see services may have a direct or indirect effect upon the client's health care. As delegated by the
6	registered nurse	or other $\frac{person(s)}{person(s)}$ individual(s) authorized by law, the licensed practical nurse's role in collaborating in
7	client care inclu	des:
8	(1)	participating in planning and implementing nursing or multidisciplinary approaches for the client's
9		care;
10	(2)	seeking and utilizing appropriate resources in the referral process; and
11	(3)	safeguarding confidentiality.
12	(h) "Participatir	ng Participating in the teaching and counseling counseling of clients as assigned by the registered nurse,
13	physician or oth	ner qualified professional licensed to practice in North Carolina is the responsibility of the licensed
14	practical nurse.	Participation includes:
15	(1)	providing accurate and consistent information, demonstrations, and guidance to clients, their families
16		<u>families</u> , or significant others regarding the client's health status and health care for the purpose of:
17		(A) increasing knowledge;
18		(B) assisting the client to reach an optimum level of health functioning and participation in self
19		eare; self-care; and
20		(C) promoting the client's ability to make informed decisions.
21	(2)	collecting evaluative data consistent with Paragraph (e) of this Rule.
22	(i) Accepting 1	responsibility for self for individual nursing actions, competence competence, and behavior which
23	includes:	
24	(1)	having knowledge and understanding of the statutes and rules governing nursing;
25	(2)	functioning within the legal boundaries of licensed practical nurse practice; and
26	(3)	respecting client rights and property, and the rights and property of others.
27		
28	History Note:	Authority G.S. 90-171.20(7),(8); 90-171.23(b); 90-171.43(4);
29		Eff. January 1, 1991;
30		Amended Eff. January 1, 1996;
31		Temporary Amendment Eff. October 24, 2001;
32		Amended Eff. August 1, <mark>2002. 2002;</mark>
33		<u>Readopted Eff.</u> [ <del>November 1, 2018.</del> ] <u>December 1, 2018.</u>

AGENCY: Board of Nursing

RULE CITATION: 21 NCAC 36 .0226

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

Lines 4-6 – delete Paragraph (a) and line 6

Lines 7-20 – consider revising as follows:

- (a) Only a registered nurse who completes a program accredited by the Council on Accreditation of Nurse Anesthesia Educational Programs, is credentialed as a certified registered nurse anesthetist by the Council on Certification of Nurse Anesthetists, and who maintains recertification through the Council on Recertification of Nurse Anesthetists, shall perform nurse anesthesia activities in collaboration with a physician, dentist, podiatrist, or other lawfully qualified health care provider. A nurse anesthetist shall not prescribe a medical treatment regimen or make a medical diagnosis except under the supervision of a licensed physician.
- (b) For the purposes of this Rule, collaboration means a process by which the certified registered nurse anesthetist works with one or more qualified health care providers, each contributing his or her respective area of expertise consistent with the appropriate occupational licensure laws of the State and according to the established policies, procedures, practices, and channels of communication that lend support to nurse anesthesia services and that define the roles and responsibilities of a qualified nurse anesthetist within the practice setting.

Line 21 – replace "which with "that"

Line 22 - replace "are dependent" with "shall depend"

Line 22 – replace the "and" after "knowledge" with a comma

Line 23 - replace ",0224(a). These activities include" with ".0224(a), including"

Lines 24 and 28 - replace "client to include" with "client, including"

Page 2, line 2 – delete the comma

Page 2, line 5 – replace "client to include" with "client, including"

Page 2, line 16 – add a comma after "anesthesia"

Jason Thomas Commission Counsel

Date submitted to agency: Wednesday, November 7, 2018

Page 2, line 18 - replace "as per" with "in accord with" or "in compliance with"

Page 2, line 20 – add "shall" before "include"

Page 2, lines 20-21 – delete "but are not limited to"

Page 2, line 27 - replace "utilizing" with "using"

1	21 NCAC 36 .02	26 is readopted, with changes, as published in 33:1 NCR 29-30 as follows:
2		
3	21 NCAC 36 .02	NURSE ANESTHESIA PRACTICE
4	(a) Only those reg	gistered nurses who meet the qualifications as outlined in Paragraph (b) of this Rule may perform nurse
5	anesthesia activit	ies outlined in Paragraph (c) of this Rule.
6	(b) Qualification	s and Definitions:
7	(1)	The registered nurse who completes a program accredited by the Council on Accreditation of Nurse
8		Anesthesia Educational Programs, is credentialed as a certified registered nurse anesthetist by the
9		Council on Certification of Nurse Anesthetists, and who maintains recertification through the Council
10		on Recertification of Nurse Anesthetists, may perform nurse anesthesia activities in collaboration with
11		a physician, dentist, podiatrist, or other lawfully qualified health care provider, but may not prescribe a
12		medical treatment regimen or make a medical diagnosis except under the supervision of a licensed
13		physician; and
14	(2)	Collaboration is a process by which the certified registered nurse anesthetist works with one or more
15		qualified health care providers, each contributing his or her respective area of expertise consistent with
16		the appropriate occupational licensure laws of the State and according to the established policies,
17		procedures, practices practices, and channels of communication which lend support to nurse anesthesia
18		services services, and which define the role(s) and responsibilities of the qualified nurse anesthetist
19		within the practice setting. The individual nurse anesthetist maintains accountability for the outcome
20		of his or her actions.
21	(c) Nurse Anesth	esia activities and responsibilities which the appropriately qualified registered nurse anesthetist may
22	safely accept are	dependent upon the individual's knowledge and <del>skills</del> <u>skills,</u> and other variables in each practice setting
23	as outlined in 21	NCAC 36 .0224(a). These activities include:
24	(1)	Preanesthesia preparation and evaluation of the client to include:
25		(A) performing a pre-operative health assessment;
26		(B) recommending, requesting requesting, and evaluating pertinent diagnostic studies; and
27		(C) selecting and administering preanesthetic medications.
28	(2)	Anesthesia induction, maintenance maintenance, and emergence of the client to include:
29		(A) securing, preparing preparing, and providing safety checks on all equipment, monitors,
30		supplies supplies, and pharmaceutical agents used for the administration of anesthesia;
31		(B) selecting, implementing, and managing general anesthesia; monitored anesthesia care; and
32		regional anesthesia modalities, including administering anesthetic and related pharmaceutical
33		agents, consistent with the client's needs and procedural requirements;
34		(C) performing tracheal intubation, <u>extubation</u> <u>extubation</u> , and providing mechanical ventilation;
35		(D) providing perianesthetic invasive and non-invasive monitoring, recognizing abnormal
36		findings, implementing corrective action, and requesting consultation with appropriately
37		qualified health care providers as necessary;

1		(E)	managing the client's fluid, blood, electrolyte electrolyte, and acid-base balance; and
2		(F)	evaluating the client's response during emergency emergence from anesthesia anesthesia, and
3			implementing pharmaceutical and supportive treatment to ensure the adequacy of client
4			recovery from anesthesia.
5	(3)	Postar	nesthesia Care of the client to include:
6		(A)	providing postanesthesia follow-up care, including evaluating the client's response to
7			anesthesia, recognizing potential anesthetic complications, implementing corrective actions,
8			and requesting consultation with appropriately qualified health care professionals as
9			necessary;
10		(B)	initiating and administering respiratory support to ensure adequate ventilation and
11			oxygenation in the immediate postanesthesia period;
12		(C)	initiating and administering pharmacological or fluid support of the cardiovascular system
13			during the immediate postanesthesia period;
14		(D)	documenting all aspects of nurse anesthesia care and reporting the client's status,
15			perianesthetic course, and anticipated problems to an appropriately qualified postanesthetic
16			health care provider who assumes the client's care following anesthesia consistent with 21
17			NCAC 36 .0224(f); and
18		(E)	releasing clients from the postanesthesia care or surgical setting as per established agency
19			policy.
20	(d) Other clinic	al activit	ies for which the qualified registered nurse anesthetist may accept responsibility include, but are
21	not limited to:		
22	(1)	inserti	ing central vascular access catheters and epidural catheters;
23	(2)	identi	fying, responding to to, and managing emergency situations, including initiating and
24		partic	ipating in cardiopulmonary resuscitation;
25	(3)	provio	ding consultation related to respiratory and ventilatory care and implementing such care
26		accord	ling to established policies within the practice setting; and
27	(4)	initiat	ing and managing pain relief therapy utilizing pharmaceutical agents, regional anesthetic
28		techni	ques techniques, and other accepted pain relief modalities according to established policies and
29		protoc	cols within the practice setting.
30			
31	History Note:	Autho	rity G.S. 90-171.20(4); 90-171.20(7); 90-171.21; 90-171.23; 90-171.42(b);
32		Eff. Jı	ıly 1, 1993;
33		Тетр	orary Amendment Eff. July 25, 1994 for a period of 180 days or until the permanent rule
34		_	nes effective, whichever is sooner;
35		Amen	 ded Eff. December 1, 2010; December 1, <mark><del>1994.</del> <u>19</u>94;</mark>
36		<mark>Reado</mark>	opted Eff. [ <del>November 1, 2018.</del> ] December 1, 2018.

AGENCY: Board of Nursing

RULE CITATION: 21 NCAC 36 .0228

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

Line 4 - delete "as"

Line 6 - replace "as outlined" with "listed"

Line 13 – add "level" after "higher"

Line 13 – add "that is" before "accredited"

Lines 21-28 – consider seeking permission from the Codifier of Rules to add a fourth-level subdivision and list these equivalency factors separately as (b)(1)(B)(i), etc.

Line 22 – replace "determined by the Board to be" with "that are"

Line 24 – add "a" before "current"

Line 25 – delete the "and" before "professional"

Line 29 – add a comma after "2007"

Line 30 – delete the comma after "practice"

Line 35 – delete "as acceptable by the Board"

Line 35 – replace "meets" with "shall meet"

Page 2, lines 3-4 – is the phrase "and consisting of common conditions and their management related to the clinical nurse specialist's area of education and certification" necessary? If not. Delete it. If it is, replace the "and" after "(p)" with a comma.

Page 2, line 6 – replace "required by" with taught in"

Page 2, line 7 – replace "incorporates" with "shall incorporate"

Jason Thomas Commission Counsel

Date submitted to agency: Wednesday, November 7, 2018

Page 2, line 10 – replace "maintained that includes the following" with "maintains, including"

Page 2, line 11 – delete the comma after "synthesizing"

Page 2, lines 13-14 and 15-16 – what does "within an advanced practice nursing framework" mean in this context? Is it necessary? Is the meaning understood by your regulated public?

Page 2, line 20 – delete the comma

Page 2, line 25 - replace "utilizing" with "using"

Page 2, line 32 - replace "shall include" with "includes"

Page 2, line 33 – add a comma after "certificate"

Page 2, line 35 – delete the comma

Page 2, line 37 – add a comma after "body"

Page 3, line 1 – delete "as"

Page 3, line 5 – add a comma after "recognition"

Page 3, line 9 – replace "may" with "shall" if that is what is meant

Page 3, line 11 – add "licensure as" before "an"

Page 3, line 11 – delete "license"

Page 3, line 12 - replace "shall be" with "that is"

## 21 NCAC 36 .0228 is readopted, with changes, as published in 33:1 NCR 30-32 as follows:

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### 21 NCAC 36 .0228 CLINICAL NURSE SPECIALIST PRACTICE

- 4 (a) Effective July 1, 2015, only a registered nurse who meets the qualifications as outlined in Paragraph (b) of this
  5 Rule shall be recognized by the Board as a clinical nurse specialist to perform advanced practice registered nursing
  6 activities as outlined in Paragraph (f) of this Rule.
  - (b) The Board of Nursing shall recognize an applicant who:
    - (1) has an <u>unrestricted active</u>, <u>unencumbered</u> license to practice as a registered nurse in North Carolina or a state that has adopted the Nurse Licensure Compact;
      - (2) has an unrestricted previous approval, registration registration, or license as a clinical nurse specialist if previously approved, registered, or licensed as a clinical nurse specialist in another state, territory, or possession of the United States;
      - has successfully completed a master's or higher degree program accredited by a nursing accrediting body approved by the United States Secretary of Education or the Council for Higher Education Accreditation and meets the qualifications for clinical nurse specialist certification by an approved national credentialing body under Part (b)(4)(A) of this Rule; and
      - (4) either:
        - (A) has current certification as a clinical nurse specialist from a national credentialing body approved by the Board of Nursing, Board, as defined in Paragraph (h) of this Rule and 21 NCAC 36.0120(26); or
        - (B) if no clinical nurse specialist certification is available in the specialty, meets requirements determined by the Board to be equivalent to national certification. The Board shall determine equivalence based on consideration of an official transcript and course descriptions validating Subparagraph (b)(3) of this Rule, current curriculum vitae, work history, and professional recommendations indicating evidence of at least 1,000 hours of clinical nurse specialist practice, and documentation of certificates indicating 75 contact hours of continuing education applicable to clinical nurse specialist practice during the previous five years.
  - (c) An applicant certified as a clinical nurse specialist by a national credentialing body prior to January 1, 2007 and who has maintained that certification and active clinical nurse specialist practice, and holds a master's or higher degree in nursing or a related field shall be recognized by the Board as a clinical nurse specialist.
- 32 (d) New graduates seeking first-time clinical nurse specialist recognition in North Carolina shall hold a Master's,
- 33 [master's,] master's or doctoral degree or a post master's [post master's,] post-master's certificate or higher degree
- from a clinical nurse specialist program accredited by a nursing accrediting body approved by the U.S. Secretary of
- 35 Education or the Council for Higher Education Accreditation as acceptable by the Board, and meets all requirements
- in Subparagraph (b)(1) and Part (g)(5)(A) of this Rule.

1	(e) A clinical nurse specialist seeking Board of Nursing recognition who has not practiced as a clinical nurse
2	specialist in more than two years shall complete a clinical nurse specialist refresher course approved by the Board of
3	Nursing in accordance with 21 NCAC 36 .0220(o) and (p) and consisting of common conditions and their
4	management related to the clinical nurse specialist's area of education and certification. A clinical nurse specialist
5	refresher course participant shall be granted clinical nurse specialist recognition that is limited to clinical activities

- refresher course participant shall be granted clinical nurse specialist recognition that is limited to clinical activities required by the refresher course.
  - (f) The scope of practice of a clinical nurse specialist incorporates the basic components of nursing practice as defined in Rule .0224 of this Section as well as the understanding and application of nursing principles at an advanced practice registered nurse level in the area of clinical nursing specialization in which the clinical nurse specialist is educationally prepared and for which competency has been maintained that includes the following:
    - (1) assessing clients' health status, synthesizing synthesizing, and analyzing multiple sources of data, and identifying alternative possibilities as to the nature of a healthcare problem;
    - (2) diagnosing and managing clients' acute and chronic health problems within an advanced practice nursing framework;
    - (3) assessing for and monitoring the usage and effect of pharmacologic agents within an advanced practice nursing framework;
    - (4) formulating strategies to promote wellness and prevent illness;
    - (5) prescribing and implementing therapeutic and corrective non-pharmacologic nursing interventions;
    - (6) planning for situations beyond the clinical nurse specialist's expertise, and consulting with or referring clients to other health care providers as appropriate;
    - (7) promoting and practicing in collegial and collaborative relationships with clients, families, other health care professionals professionals, and individuals whose decisions influence the health of individual clients, families families, and communities;
    - (8) initiating, establishing establishing, and utilizing measures to evaluate health care outcomes and modify nursing practice decisions;
    - (9) assuming leadership for the application of research findings for the improvement of health care outcomes; and
  - (10) integrating education, consultation, management, leadership, and research into the clinical nurse specialist role.
- (g) A registered nurse seeking recognition by the Board as a clinical nurse specialist shall:
  - (1) complete the appropriate application that shall include the following:
    - (A) evidence of a masters, master's or doctoral degree or a post-master's certificate [certificate,] or doctoral degree as set out in Subparagraph (b)(3) or Paragraph (d) of this Rule; and, either
  - (B) evidence of current certification in a clinical nursing specialty from a national credentialing body as set out in Part (b)(4)(A) of this Rule; or

1		(C) meet requirements as set out in Part (b)(4)(B) of this Rule;		
2	(2)	renew the recognition every two years at the time of registered nurse renewal; and		
3	(3)	either:		
4		(A) submit evidence of initial certification and re-certification by a national credentialing		
5		body at the time such occurs in order to maintain Board of Nursing recognition consistent		
6		with Paragraphs (b) and (h) of this Rule; or		
7		(B) if subject to Part (b)(4)(B) of this Rule, submit evidence of at least 1,000 hours of		
8		practice and 75 contact hours of continuing education every five years.		
9	(h) The Board	The Board of Nursing may approve those national credentialing bodies offering certification and recertification		
10	in a clinical nur	rsing specialty that have established the following minimum requirements:		
11	(1)	an unrestricted registered nurse license; and		
12	(2)	certification as a clinical nurse specialist shall be limited to applicant prepared with a masters,		
13		[master's,] master's or doctoral degree or a post-master's eertificate, or doctorally prepared		
14		applicant. certificate.		
15				
16	History Note:	Authority G.S. 90-171.20(4); 90-171.20(7); 90-171.21(d)(4); 90-171.23(b); 90-171.27(b); 90-		
17		171.42(b);		
18		Eff. April 1, 1996;		
19		Amended Eff. January 1, 2015; April 1, 2008; January 1, 2007; November 1, 2005; August 1,		
20		2005; April 1, <mark>2003. 2003;</mark>		
21		<u>Readopted Eff. [November 1, 2018.] December 1, 2018.</u>		

AGENCY: Board of Nursing

RULE CITATION: 21 NCAC 36 .0232

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

Lines 5, 7, and 8 – do not capitalize the first word in these lines

Line 5 – add a comma after "practice"

Line 5 – delete "the dimensions of:"

Line 6 – replace "legal/ethical" with "legal and ethical"

Lines 12-27 – do not capitalize anything other than "Board"

Lines 14, 19, 22, 24, and 27 – use numerals rather than text for numbers larger than 9.

Line 20 – replace "to include" with ", including"

Line 21 – add "a" before "summary"

Line 29 – replace "outlined" with "described"

Line 31 and page 2, lines 1, 4, 7, 15 and 17 - replace "shall include" with "that includes"

Line 31 – replace "which includes" with "that states"

Line 33 – delete "or"

Line 35 – replace "shall include" with "that states"

Page 2, line 1 – replace "shall include" with "that states"

Page 2, line 2 – replace "with" with "stating"

Page 2, line 4 – add "a" before "transcript"

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Page 2, line 4 – replace "with" with "stating"
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Page 2, line 12 - replace "which shall include" with "that includes"

Page 2, line 12 - replace "to include" with "stating"

Page 2, line 15 – add "the" before "program"

Page 2, lines 19, 21, and 24 – replace "may" with "shall"

Page 2, line 30 – add "the" before "license"

Page 2, line 32 – add "of the" before "requirements"

1	21 NCAC 36 .0	1232 is readopted, <u>with changes,</u> as published in 33:1 NCR 32-33 as follows:
2		
3	21 NCAC 36 .0	232 CONTINUING COMPETENCE
4	(a) Effective Ju	aly 1, 2006, upon Upon application for license renewal or reinstatement, each licensee shall:
5	(1)	Complete a self-assessment of practice including the dimensions of: professional responsibility,
6		knowledge based practice, legal/ethical practice practice, and collaborating with others;
7	(2)	Develop a plan for continued learning; and
8	(3)	Select and implement a learning activity option from those outlined in Paragraph (b) of this Rule.
9	(b) Effective J	uly 1, 2008, upon Upon application for license renewal or reinstatement, each licensee shall attest to
10	having complete	ed one of the following learning activity options during the preceding renewal cycle and be prepared to
11	submit evidence	e of completion if requested by the Board:
12	(1)	National Certification or re-certification related to the nurse's practice role by a national credentialing
13		body recognized by the Board, consistent with 21 NCAC 36 .0120 and 21 NCAC 36 .0801;
14	(2)	Thirty contact hours of continuing education activities related to the nurse's practice;
15	(3)	Completion of a Board approved Board-approved refresher course, consistent with 21 NCAC 36.0220
16		and 21 NCAC 36 .0808(d);
17	(4)	Completion of a minimum of two semester hours of post-licensure academic education related to
18		nursing practice;
19	(5)	Fifteen contact hours of a continuing education activity related to the nurse's practice and completion
20		of a nursing project as principal or co-principal investigator to include a statement of the problem,
21		project objectives, methods methods, and summary of findings;
22	(6)	Fifteen contact hours of a continuing education activity related to the nurse's practice and authoring or
23		co-authoring a published nursing-related article, paper, book book, or book chapter;
24	(7)	Fifteen contact hours of a continuing education activity related to the nurse's practice and designing,
25		developing, and conducting an educational presentation or presentations totaling a minimum of five
26		contact hours for nurses or other health professionals; or
27	(8)	Fifteen contact hours of a continuing education activity related to the nurse's practice and 640 hours of
28		active practice within the previous two years.
29	(c) The following	ing documentation shall be accepted as evidence of completion of learning activity options outlined in
30	Paragraph (b) o	f this Rule:
31	(1)	Evidence of national certification shall include a copy of a certificate which includes name of licensee,
32		name of certifying body, date of certification, date of certification expiration. Certification shall be
33		initially attained during the licensure period, or have been in effect during the entire licensure period,
34		or have been re-certified during the licensure period.
35	(2)	Evidence of contact hours of continuing education shall include the name of the licensee; licensee, title
36		of educational activity, name of the provider, number of contact hours hours, and date of activity.

- Evidence of completion of a Board approved Board-approved refresher course shall include written correspondence from the provider with the name of the licensee, name of the provider, and verification of successful completion of the course.

  Evidence of post-licensure academic education shall include a copy of transcript with the name of the
  - (4) Evidence of post-licensure academic education shall include a copy of transcript with the name of the licensee, name of educational institution, date of attendance, name of course with grade grade, and number of credit hours received.
  - (5) Evidence of completion of a nursing project shall include an abstract or summary of the project, the name of the licensee, role of the licensee as principal or co-principal investigator, date of project completion, statement of the problem, project objectives, methods <u>used</u>, and summary of findings.
  - (6) Evidence of authoring or co-authoring a published nursing-related article, paper, book book, or book chapter which shall include a copy of the publication to include the name of the licensee and publication date.
  - (7) Evidence of developing and conducting an educational presentation or presentations totaling at least five contact hours for nurses or other health professionals shall include a copy of program brochure or course syllabi, objectives, content and teaching methods, and date and location of presentation.
  - (8) Evidence of 640 hours of active practice in nursing shall include documentation of the name of the licensee, number of hours worked in calendar or fiscal year, name and address of employer employer, and signature of supervisor. If self-employed, hours worked may be validated through other methods such as tax records or other business records. If active practice is of a volunteer or gratuitous nature, hours worked may be validated by the recipient agency.
- 22 (d) A licensee shall retain supporting documentation to provide proof of completion of the option chosen in Paragraph
- 23 (b) of this Rule throughout the renewal eyele. for three years.
- 24 (e) Effective July 1, 2008, at At the time of license renewal or reinstatement, licensees may be subject to audit for proof of compliance with the Board's requirements for continuing competence.
- 26 (f) The Board shall inform licensees of their selection for audit upon notice at the time of license renewal or request for reinstatement. Documentation of acceptable evidence shall be consistent with Paragraph (c) of this Rule and shall be
- submitted to the Board no later than the last day of the renewal month.
- 29 (g) Failure of a licensee to meet the requirements of this Rule at the time of renewal shall result in disciplinary action
- 30 pursuant to G.S. 90 171.37 and 21 NCAC 36.0217. license not being renewed until evidence of compliance is submitted
- and approved by the Board.
- 32 (h) Licensee shall not be reinstated until licensee has met all requirements of this Rule.

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- 34 *History Note:* Authority G.S. 90-171.23(b); 90-171.37(1) and (8);
- 35 Eff. May 1, 2006;
- 36 Amended Eff. November 1, <del>2008</del> <u>2008</u>;
- 37 <u>Readopted Eff. [November 1, 2018.] December 1, 2018.</u>

AGENCY: Board of Nursing

RULE CITATION: 21 NCAC 36 .0233

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

Line 4 – replace "out-of-state" with "out-of-State"

Lines 4-5 – replace "are requesting utilization" with "request use"

Lines 8, 10, 12, and 14 – do not capitalize the first word of these lines

Line 8 – add "a" before "letter

Line 8 – add a comma after "offering"

Lines 12 and 14 - add "the" before "name"

Line 15 – add "the" before "preceptor"

Line 19 – what does "related interpretations" mean?

Line 22 – replace "in" with "of" before "Paragraph"

Line 23 - add a comma after "status"

1	21 NCAC 36.	0233 is readopted, with changes, as published in 33:1 NCR 33 as follows:
2		
3	21 NCAC 36.	0233 OUT OF STATE STUDENTS
4	(a) Unlicensed	d nursing students enrolled in out of state out-of-state nursing education programs who are requesting
5	utilization of N	North Carolina clinical facilities shall be allowed such experiences following approval by the Board of
6	Nursing. Boar	d. Upon receiving such a request, the chief nursing administrator of a North Carolina clinical facility shall
7	provide the Bo	ard with the following at least 30 days prior to the start of the requested experience:
8	(1)	Letter of request for approval to provide the clinical offering including proposed starting and
9		completion dates;
10	(2)	Documentation that the nursing program is currently approved by the Board of Nursing in the state in
11		which the parent institution is located;
12	(3)	Name, qualifications qualifications, and evidence of eurrent RN an active, unencumbered registered
13		nurse licensure of the faculty responsible for coordinating the student's experience; and
14	(4)	Name, qualifications qualifications, and evidence of current active unencumbered license to practice
15		as an RN a registered nurse in NC North Carolina for preceptor or on-site faculty.
16	(b) Copies of t	the following shall be distributed by the chief nursing administrator of the clinical facility to all students
17	and faculty inv	rolved in the clinical experiences:
18	(1)	North Carolina Nursing Practice Act;
19	(2)	North Carolina administrative rules and related interpretations regarding the role of the RN, registered
20		nurse, LPN, licensed practical nurse, and unlicensed nursing personnel; and
21	(3)	North Carolina Board of Nursing developed Suggestions for Utilization of Preceptors.
22	(c) Failure to c	continue in compliance with the requirements in Paragraph (a) of this Rule shall result in the immediate
23	withdrawal of	the Board's approval of the clinical offering and student status consistent with G.S. 90-171.43(2).
24		
25	History Note: A	Authority G.S. 90-85.3; 90-171.23(b) 90-171.43; 90-171.83;
26		Eff. April 1, 2008.
27		[Amended Eff. November 1, 2018.]
28		Readopted Eff. [November 1, 2018.] December 1, 2018.

AGENCY: Board of Nursing

RULE CITATION: 21 NCAC 36 .0302

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

Throughout this Rule, do not capitalize nouns that are not proper nouns; for instance, do not capitalize "initial approval" or "application."

Line 7 – add "the" before "proposed"

Line 8 – replace "which" with "that"

Lines 28 and 31 – delete "of this Section"

Line 32 – add a comma after "evaluation"

Page 2, line 5 – add a comma after "program"

Page 2, lines 6-11 – begin each line with "the"

Page 2, line 12 – replace "when" with "if"

Page 2, line 13 – what "standard" is referred to here?

Page 2, line 15 - replace "0300" and "0323" with ".0300" and ".0323"

Page 2, line 23 – delete the comma

Page 2, line 34 – add a comma after "curriculum"

Page 3, line 3 – delete "it comes to the attention of the board"

Page 3, line 7 – delete "the Board determines that"

Page 3, lines 9 and 11 – delete "the Board finds that"

Page 3, line 17 – add "at a meeting of the Board that is" before "scheduled"

Jason Thomas

Commission Counsel

Date submitted to agency: Wednesday, November 7, 2018

I	21 NCAC 36 .0	0302 is r	eadopted, with changes, as published in 33:1 NCR 33-35 as follows:
2			
3	21 NCAC 36 .0	0302	ESTABLISHMENT OF A NURSING PROGRAM - INITIAL APPROVAL
4	(a) At least six	months	prior to the proposed enrollment of students in a nursing program, an An institution seeking
5	<u>Initial</u> approva	Approv	ral to operate a nursing program shall employ a program director qualified pursuant to 21
6	NCAC 36 .031	7(c) to de	evelop an application documenting the following: Rule .0317(c) of this Section.
7	(b) The progr	am direc	tor shall submit an Application for Initial Approval at least six months prior to proposed
8	program start d	ate which	h documents the following:
9	(1)	a narr	ative description of the organizational structure of the program and its relationship to the
10		contro	olling institution, including accreditation status. The controlling institution shall be an
11		accrec	lited institution;
12	(2)	a gene	eral overview of the entire proposed curriculum that includes:
13		(A)	the program philosophy, purposes, and objectives;
14		(B)	a master plan of the curriculum, indicating the sequence for both nursing and non-nursing
15			courses, as well as prerequisites and corequisites;
16		(C)	course descriptions and course objectives for all courses; and
17		(D)	course syllabi pursuant to 21 NCAC 36. 0321(i) for all first-year nursing courses;
18	(3)	the pr	oposed student population;
19	(4)	the pr	ojected student enrollment;
20	(5)	evide	nce of learning resources and clinical experiences available to implement and maintain the
21		progra	ım;
22	(6)	financ	cial resources adequate to begin and maintain the program;
23	(7)	physic	cal facilities adequate to house the program;
24	(8)	suppo	rt services available to the program from the controlling institution;
25	(9)	appro	val of the program by the governing body of the controlling institution; and
26	(10)	a plan	with a specified time frame for:
27		(A)	availability of qualified faculty as specified in 21 NCAC 36 .0318;
28		(B)	course syllabi as specified in 21 NCAC 36. 0321(h) of this Section for all nursing
29			courses;
30		(C)	student policies for admission, progression, and graduation of students, pursuant to 21
31			NCAC 36 .0320 of this Section; and
32		(D)	comprehensive program evaluation pursuant to 21 NCAC 36 .0317(d).
33	$\frac{(b)(c)}{(c)}$ The ap	plication	to establish a nursing program shall be on a Board form, contain current and accurate
34	information rec	quired in	Paragraph (a) of this Rule, be complete, and be signed by the program director and the chief
35	executive office	er of the	controlling institution.
36	$\frac{(e)}{(d)}$ The com	npleted a	pplication shall be received by the Board not less than 120 days prior to a regular meeting of
37	the Board to be	conside	red for placement on the agenda of that meeting.

1 (e) If another program exists in the institution, the application shall include: 2 the organizational relationship of the existing program and the proposed 3 program in the institution; 4 the NCLEX pass rate of the existing program for the past three years; and (2) 5 (3) a description of the expected impact of the proposed program on the existing program including: 6 (A) availability of a program director for each program; 7 (B) availability of qualified faculty; 8 (C) physical facilities adequate to house both programs; 9 (D) availability of learning resources; 10 (E) availability of clinical experiences; and 11 (F) adequacy of student services. 12 (f) No new program application shall be considered when a nursing program currently exists in the institution if: 13 the NCLEX pass rate of the existing program has not met the standard for the past three years; and 14 **(2)** resources are not demonstrated to be adequate to maintain both the existing and the proposed 15 program in compliance with Rules 0300 to 0323 of this Section. 16 (d)(g) The Board shall conduct an on-site survey of the proposed program after the application meets all the 17 requirements set forth in this Rule, shall prepare a survey report, and afford the petitioning institution an opportunity 18 to respond to the survey report. 19 (e)(h) The Board shall consider all evidence, including the application, the survey report, comments from 20 representatives of the petitioning institution, public comments, and the status of other nursing programs at the 21 institution in determining whether to approve the application. 22 (f)(i) If the Board finds, from the evidence presented, that the resources and plans meet all requirements set forth in 23 this Rule for establishing a new nursing program, application is approved, the Board shall grant Initial Approval, 24 and shall establish a maximum enrollment and implementation date. 25 (g) If the Board determines that a proposed program does not comply with all rules, Initial Approval shall be 26 denied. 27 The Board shall rescind the Initial Approval of a program if the controlling institution fails to submit (h)(j) 28 documentation as set forth in the plan required by Subparagraph (a)(10) (b)(10) of this Rule. 29 (i)(k) The Board shall rescind the Initial Approval of a program if the first class of students is not enrolled in the 30 program within one year after issuing the Initial Approval. 31 (i)(1) For 12 months following rescission of approval, the controlling institution shall not submit an application for 32 establishing a nursing program. 33 (k)(m) A program shall retain Initial Approval Status for the time necessary for full implementation of the 34 curriculum provided that the program complies with Section .0300 of this Chapter.

during the final term of curriculum implementation of the program; and

(1)

(1)(n) Programs with Initial Approval shall be surveyed:

35

1	(2)	upon receipt by the Board of information that the program may not be complying with Section	
2		.0300.	
3	(m)(o) If at any	time it comes to the attention of the Board that a program on Initial Approval is not complying with	
4	Section .0300 of	f this Chapter, the program, upon written notification, shall:	
5	(1)	correct the area of noncompliance and submit written evidence of this correction to the Board; or	
6	(2)	submit and implement a plan for correction to the Board.	
7	(n)(p) The Boar	rd shall rescind the Initial Approval of a program if the Board determines that the program does not	
8	comply with Par	ragraph (m) (o) of this Rule.	
9	(o)(q) If, follow	ring the survey and during final curriculum implementation, the Boards Board finds that the program	
10	is complying wi	th Section .0300 of this Chapter, the Board shall place the program on Full Approval status.	
11	( <del>p)</del> ( <u>r)</u> If, follow	ring the survey and during final curriculum implementation, the Board finds that the program does	
12	not comply with	h the Section .0300 of this Chapter, the Board shall rescind the program's Initial Approval and	
13	provide the prog	gram with written notice of the Board's decision.	
14	(q)(s) Upon wr	itten request from the program submitted within 10 business days of the Board's written notice of	
15	rescinding the Initial Approval, the Board shall schedule a hearing within 30 business days from the date on which		
16	the request was	received. at the next available meeting of the Board for which appropriate notice can be provided, or	
17	scheduled by co	nsent of the parties.	
18	(r)(t) Following	g the hearing and consideration of all evidence provided, the Board shall assign the program Full	
19	Approval status or shall enter an Order rescinding the Initial Approval status, which shall constitute program closure		
20	pursuant to 21 N	ICAC 36 .0309.	
21			
22	History Note:	Authority G.S. 90-171.23(b)(8); 90-171.38;	
23		Eff. February 1, 1976;	
24		Amended Eff. June 1, 1992; January 1, 1989; November 1, 1984; May 1, 1982;	
25		Temporary Amendment Eff. October 11, 2001;	
26		Amended Eff. December 1, 2016; January 1, 2009; December 1, 2005; August 1, <del>2002.</del> 2002;	
27		<u>Readopted Eff.</u> [ <del>November 1, 2018.</del> ] <u>December 1, 2018.</u>	

AGENCY: Board of Nursing

RULE CITATION: 21 NCAC 36 .0303

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

Throughout this Rule, do not capitalize nouns that are not proper nouns; for instance, do not capitalize "warning status"

Line 4 – replace "under the authority of the Board" with "that are governed by the rules in this Chapter" or "in this Section" if that is what is meant.

Line 6 – replace "to" with "with"

Line 8 – add "of this Chapter" or "of this Section" after "violations"

Line 14 – replace "for" with "of"

Lines 23 and 29 – replace "determines" with "finds"

Line 30 – do you mean "may" or "shall"? If "may," what standards, factors, or circumstances determine whether the Board assigns warning status to a program?

Line 34 - replace "is" with "shall be"

Page 2, line 1 – replace "identified in this Paragraph" with "specified in Part (d)(1)(B) of this Rule" if that is what is meant

Page 2, line 5 – replace the colon with a comma

Page 2, line 6 – replace the comma with a colon

Page 2, line 8– do you mean "may" or "shall"? If "may," what standards, factors, or circumstances determine whether the Board continues warning status?

Page 2, line 9 – do you mean "may" or "shall"? If "may," what standards, factors, or circumstances determine whether the Board withdraws approval of a program?

- Page 2, line 11 add "a" before "program"
- Page 2, line 14 add "at a meeting of the Board that is" before "scheduled"
- Page 2, line 17 replace "When" with "If"
- Page 2, line 18 replace the colon with a comma
- Page 2, line 18 replace the comma with a colon
- Page 2, line 20– do you mean "may" or "shall"? If "may," what standards, factors, or circumstances determine whether the Board continues warning status?
- Page 2, line 21 do you mean "may" or "shall"? If "may," what standards, factors, or circumstances determine whether the Board withdraws approval of a program?

1	21 NCAC 36 .03	303 is readopted, with changes. as published in 33:1 NCR 35-36 as follows:
2		
3	21 NCAC 36 .03	303 EXISTING NURSING PROGRAM
4	(a) All nursing	programs under the authority of the Board may obtain national program accreditation by a nursing
5	accreditation boo	dy as defined in 21 NCAC <del>36 .0120(29).</del> <u>36 .0120(30).</u>
6	(b) Board acti	on is based upon each program's performance and demonstrated compliance to the Board's
7	requirements and	d responses to the Board's recommendations. The Board may, depending on the severity and pattern
8	of violations, re	quire corrective action for identified deficiencies, impose a monitoring plan, conduct a program
9	survey, change p	orogram approval status, issue discipline, or close a program.
10	(b)(c) Full Appr	roval
11	(1)	The Board shall review approved programs at least every eight years as specified in G.S. 90-
12		171.40. Reviews of individual programs shall be conducted at shorter intervals upon request from
13		the individual institution or as considered necessary by the Board. National accreditation self-
14		study reports shall provide a basis for review for accredited programs.
15	(2)	The Board shall send a written report of the review no more than 20 business days following the
16		completion of the review process. Responses from a nursing education program regarding a
17		review report or Warning Status as referenced in Paragraph (e) (d) of this Rule shall be received in
18		the Board office by the deadline date specified in the letter accompanying the report or notification
19		of Warning Status. If no materials or documents are received by the specified deadline date, the
20		Board shall act upon the findings in the review report and the testimony of the Board staff.
21	(3)	If the Board determines that a program has complied with the rules in this Section, the program
22		shall be continued on Full Approval status.
23	<del>(4)</del> (3)	If the Board determines a pattern of noncompliance with one or more rules in this Section, $\alpha$
24		review shall be conducted. The program shall submit to the Board a plan of compliance to correct
25		the identified pattern. Failure to comply with the correction plan shall result in withdrawal of
26		approval, constituting program closure, consistent with 21 NCAC 36 .0309. the Board may take
27		action as outlined in (b) of this Section.
28	(e)(d) Warning	Status
29	(1)	If the Board determines that a program is not complying with the rules Rules in this Section, the
30		Board shall may assign the program Warning Status and shall give written notice by certified mail
31		to the program specifying:
32		(A) the areas in which there is noncompliance;
33		(B) the date by which the program must comply with the rules in this Section. The maximum
34		time for compliance is two years after issuance of the written notice; and
35		(C) the opportunity to schedule a hearing. Any request for a hearing regarding the program
36		Warning Status shall be submitted to the Board. A hearing shall be afforded pursuant to
37		the provisions of G.S. 150B, Article 3A.

1	(2)	On or before the required date of compliance identified in this Paragraph, if the Board determines
2		that the program is complying with the rules in this Section, the Board shall assign the program
3		Full Approval Status.
4	(3)	If the Board finds the program is not in compliance with the rules in this Section by the date
5		specified in Part (e)(1)(B) (d)(1)(B) of this Rule, the program shall remain on Warning Status:
6		and,
7		(A) a review by the Board shall be conducted during that time;
8		(B) following review, the Board may continue the program on Warning Status; or
9		(C) the Board may withdraw approval, constituting program closure consistent with
10		Subparagraph (b)(4) of this Rule.
11	(4)	Upon written request from the program submitted within 10 business days of the Board's written
12		notice of Warning Status, the Board shall schedule a hearing within 30 business days after the date
13		on which the request was received. at the next available meeting of the Board for which
14		appropriate notice can be provided, or scheduled by consent of the parties.
15	(5)	When a hearing is held at the request of the program and the Board determines the program is in
16		compliance with the rules in this Section, the Board shall assign the program Full Approval Status.
17	<del>(6)</del> (5)	When a hearing is held at the request of the program and the Board determines that the program is
18		not in compliance with the rules in this Section, the program shall remain on Warning Status; and,
19		(A) a review by the Board shall be conducted during that time;
20		(B) following review, the Board may continue the program on Warning Status; or
21		(C) the Board may withdraw approval, constituting program closure consistent with
22		Subparagraph (b)(4) (c)(4) of this Rule.
23		
24	History Note:	Authority G.S. 90-171.23(b); 90-171.38; 90-171.39; 90-171.40;
25		Eff. February 1, 1976;
26		Amended Eff. December 1, 2016; August 1, 2011; July 3, 2008; March 1, 2006; January 1, 2004;
27		June 1, 1992; January 1, <mark><del>1989.</del> <u>1989;</u></mark>
28		Readopted Eff. [November 1, 2018.] December 1, 2018.

AGENCY: Board of Nursing

RULE CITATION: 21 NCAC 36 .0309

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

Line 6 – replace "reason(s)" with "reasons"

#### 1 21 NCAC 36 .0309 is readopted, with changes, as published in 33:1 NCR 36 as follows: 2 3 21 NCAC 36 .0309 PROCESS FOR PROGRAM CLOSURE 4 (a) When the controlling institution makes the decision to close a nursing program, the Administration of the 5 institution shall submit a written plan for the discontinuation of the program to the Board and shall include the 6 reason(s) for program closure, the date of intended closure, and a plan for students to complete this or another 7 approved program. 8 (b) When the Board closes a nursing program, the program director shall, within 30 days, develop and submit a plan 9 for discontinuation of the program for Board approval. The plan shall address transfer of students to approved 10 programs. 11 (c) The controlling institution shall notify the Board of the arrangement for secure storage and access to academic 12 records and transcripts. 13 14 Authority G.S. 90-171.38; 90-171.39; 90-171.40; History Note: 15 Eff. June 1, 1992; Amended Eff. December 1, 2016; December 1, 2005; 2005; 16 <u>Readopted Eff. [November 1, 2018.] December 1, 2018.</u> 17

October 24, 2018

AGENCY: Board of Nursing

RULE CITATION: 21 NCAC 36 .0317

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

Lines 7-8 – replace "have the authority for the direction of" with "direct"

Lines 9-10 – replace "have the authority and responsibility for maintaining" with "ensure"

Line 10 – replace "Rules" with "the Rules in this Chapter" or "in this Section"

Line 18 – what does "experientially qualified" mean? Is Subparagraph (e)(3) necessary?

Line 32 – add "or" after the semicolon

Line 35 – add "shall" before "include"

Line 35 – replace "within the" with "in"

Line 37 - replace "; or" with a period

Page 2, line 1 – delete "(F)" and capitalize "Any"

Page 2, line 1 – move the margin of this sentence left to match the margin of Subparagraph (5).

Page 2, line 2 – replace "is" with "shall be"

Page 2, line 2 –replace "this Subparagraph" with "Part (e)(5)(E) if that is what is meant.

Page 2, line 6 – replace "The" with "A"

Page 2, line 8 – how is student achievement reported? By passing rate, or by verbal description, or what?

Page 2, line 18 – replace "utilized" with "used"

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.

# 21 NCAC 36 .0317 is readopted, with changes, as published in 33:1 NCR 36-37 as follows:

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#### 21 NCAC 36 .0317 **ADMINISTRATION**

- 4 (a) The controlling institution of a nursing program shall provide those human, physical, technical, and financial
- 5 resources and services essential to support program processes and outcomes, including those listed in Paragraph (d)
- 6 (f) and (e) (g) of this Rule, and maintain compliance with Section .0300 of this Chapter.
- 7 (b) A full-time registered nurse qualified pursuant to Paragraph (e) (e) of this Rule shall have the authority for the
- 8 direction of the nursing program.
- 9 (c) This authority The program director shall encompass responsibilities have the authority and responsibility for
- 10 maintaining compliance with rules Rules and other legal requirements in all areas of the program.
- 11 (d) The program director shall have non-teaching time sufficient to allow for program organization, administration,
- 12 continuous review, planning, and development.
  - (e)(e) Program The program director in a program preparing students for initial nurse licensure shall satisfy the
  - following requirements:
    - hold a current unrestricted an active unencumbered license or multistate licensure privilege to (1) practice as a registered nurse in North Carolina;
    - (2) have two years of full-time experience as a faculty member in a Board-approved nursing program;
    - (3) be experientially qualified to lead the program to accomplish the mission, goals, and expected program outcomes;
    - (4) hold either a baccalaureate in nursing or a graduate degree in nursing from an accredited institution. If newly employed on or after January 1, 2016, hold a graduate degree from an accredited institution. If newly employed on or after January 1, 2021, hold a graduate degree in nursing from an accredited institution;
    - (5) prior to or within the first three years of employment, have education in teaching and learning principles for adult education, including curriculum development, implementation, and evaluation, appropriate to the program director role. Once completed, this preparation need not be repeated if employing organization is changed. This education preparation may be demonstrated by one of the following:
      - completion of 45 contact hours of Board-approved continuing education courses; (A)
      - (B) completion of a certificate program in nursing education;
      - (C) nine semester hours of graduate course work in adult learning and learning principles;
        - (D) national certification in nursing education; or
        - (E) documentation of successful completion of structured, individualized development activities of at least 45 contact hours approved by the Board. Criteria for approval include content in the faculty role within the curriculum implementation, curricular objectives to be met and evaluated, review of strategies for identified student population, and expectations of student and faculty performance; or

1		(F) any registered nurse who was employed as a nurse program director for the first time
2		prior to January 1, 1984 is exempt from the requirements in [(5)(E).] this Subparagraph.
3	(6)	maintain competence in the areas of assigned responsibility; and
4	(7)	have eurrent knowledge of current nursing practice for the registered nurse and the licensed
5		practical nurse.
6	(d)(f) The nu	ursing education program shall implement, for quality improvement, a comprehensive program
7	evaluation that	shall include the following:
8	(1)	students' achievement of program outcomes;
9	(2)	evidence of program resources, including fiscal, physical, human, clinical, and technical learning
10		resources; student support services; and the availability of clinical sites and the viability of those
11		sites adequate to meet the objectives of the program;
12	(3)	measures of program outcomes for graduates;
13	(4)	evidence that accurate program information for the public is available;
14	(5)	evidence that the controlling institution and its administration support program outcomes;
15	(6)	evidence that program director and program faculty meet Board qualifications and are sufficient in
16		number to achieve program outcomes;
17	(7)	evidence that the academic institution assures security of student information;
18	(8)	evidence that collected evaluative data is utilized in implementing quality improvement activities;
19		and
20	(9)	evidence of student participation in program planning, implementation, evaluation, and continuous
21		improvement.
22	$\frac{(e)}{(g)}$ The con	trolling institution and the nursing education program shall communicate information describing the
23	nursing educati	on program that is accurate, complete, consistent across mediums, and accessible by the public. The
24	following shall	be accessible to all applicants and students:
25	(1)	admission policies and practices;
26	(2)	policy on advanced placement and transfer of credits;
27	(3)	the number of credits required for completion of the program;
28	(4)	tuition, fees, and other program costs;
29	(5)	policies and procedures for withdrawal, including refund of tuition or fees;
30	(6)	the grievance procedure;
31	(7)	criteria for successful progression in the program, including graduation requirements; and
32	(8)	policies for clinical performance.
33		
34	History Note:	Authority G.S. 90-171.23(b)(8); 90-171.38;
35		Eff. June 1, 1992;
36		Amended Eff. December 1, 2016; January 1, 2015; April 1, 2008; March 1, <mark>2006. <u>2</u>006;</mark>
37		Readonted Fff [November 1, 2018.] December 1, 2018.

AGENCY: Board of Nursing

RULE CITATION: 21 NCAC 36 .0318

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

Line 4 – replace "to include" with "including"

Lines 6-7 – replace "...the institution. Variations in these policies shall be necessary due to..." with "...the controlling institution, with variations as needed due to..."

Lines 9 and 10 - delete "at least"

Line 18 – delete "on or after January 1, 2016" if it is no longer needed.

Line 29 – add "or" after the semicolon

Line 32 – add "shall" before "include"

Line 34 - replace "; or" with a period

Line 35 – delete "(F)" and capitalize "Any"

Line 35 – move the margin of this sentence left to match the margin of Subparagraph (4).

Line 36 – replace "is" with "shall be"

Page 2, lines 16 and 17 – replace "larger" with "greater"

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.

1	21 NCAC 36 .0318 is	readopted, with changes, as published in 33:1 NCR 37-38 as follows:
2		
3	21 NCAC 36 .0318	FACULTY
4	(a) All Nursing nurs	ing program faculty shall faculty, to include both full-time and part-time faculty members.
5	Part time faculty mem	bers, shall participate in curriculum implementation and evaluation.
6	(b) Policies for nursir	ng program faculty members shall be consistent with those for other faculty of the institution.
7	Variations in these pol	icies may shall be necessary due to the nature of the nursing curriculum.
8	(c) Fifty percent or mo	ore of the nursing faculty shall hold a graduate degree.
9	(d) As of January 1, 2	021, at least 80 percent of the full-time faculty shall hold a graduate degree in nursing.
10	(e) As of January 1, 2	021, at least 50 percent of the part-time faculty shall hold a graduate degree in nursing.
11	(f) All faculty shall h	old a current unrestricted an active unencumbered license or multistate licensure privilege to
12	practice as a registered	nurse in North Carolina.
13	(g) Nurses licensed p	ursuant to this Chapter who are full-time and part-time faculty and who teach in a program
14	leading to initial licens	sure as a nurse shall:
15	(1) hold	either a baccalaureate in nursing or a graduate degree in nursing from an accredited
16	insti	tution;
17	(2) have	two calendar years or the equivalent of full-time clinical experience as a registered nurse;
18	(3) if no	ewly employed in a full-time faculty position on or after January 1, 2016, hold a graduate
19	degr	ee from an accredited institution or obtain a graduate degree in nursing from an accredited
20	insti	tution within five years of initial full-time employment;
21	(4) prior	to or within the first three years of employment, have education in teaching and learning
22	princ	ciples for adult education, including curriculum development, implementation, and evaluation,
23	appr	opriate to faculty assignment. Once completed, this preparation need not be repeated if
24	emp	loying organization is changed. This preparation may be demonstrated by one of the
25	follo	wing:
26	(A)	completion of 45 contact hours of Board-approved continuing education courses;
27	(B)	completion of a certificate program in nursing education;
28	(C)	nine semester hours of graduate course work in adult learning and learning principles;
29	(D)	national certification in nursing education; or
30	(E)	documentation of successful completion of structured, individualized development
31		activities of at least 45 contact hours approved by the Board. Criteria for approval
32		include content in the faculty role in the curriculum implementation, curricular objectives

(F) any registered nurse who was employed as a nurse faculty member or program director prior to January 1, 1984 is exempt from the requirements in .0318(g)(4) as noted above.

to be met and evaluated, review of strategies for identified student population, and

(5) maintain competence in the areas of assigned responsibility; and

expectations of student and faculty performance; or

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1	(6)	have eurrent knowledge of current nursing practice for the registered nurse and the licensed
2		practical nurse.
3	(h) Interdiscipl	inary faculty who teach in nursing program courses shall have academic preparation in the content
4	area they are tea	aching.
5	(i) Clinical pro	eceptors shall have competencies, assessed by the nursing program, related to the area of assigned
6	clinical teaching	g <del>responsibilities and shall serve as role models to students.</del> <u>responsibilities.</u> Clinical preceptors may
7	be used to enha	ance faculty-directed clinical learning experiences after a student has received basic instruction for
8	that specific lea	arning experience. Clinical preceptors shall hold a current, unrestricted an active unencumbered
9	license to practi	ce as a registered nurse in North Carolina.
10	(j) Nurse facult	y members shall have the authority and responsibility for:
11	(1)	student admission, progression, and graduation requirements; and
12	(2)	the development, implementation, and evaluation of the curriculum.
13	(k) Nurse facul	ty members shall be academically qualified and sufficient in number to implement the curriculum as
14	required by the	course objectives, the levels of the students, the nature of the learning environment, and to provide
15	for teaching, su	pervision, and evaluation.
16	(l) The faculty	-student ratio for faculty-directed preceptor clinical experiences shall be no larger than 1:15. The
17	faculty-student	ratio for all other clinical experiences shall be no larger than 1:10.
18		
19	History Note:	Authority G.S. 90-171.23(b)(8); 90-171.38; 90-171.83;
20		Eff. February 1, 1976;
21		Amended Eff. December 1, 2016; January 1, 2015; August 1, 2011; November 1, 2008; July 1,
22		2006; July 1, 2000; January 1, 1996; June 1, 1992; January 1, 1989; January 1, <mark>1984. <u>1984.</u> 1984;</mark>
23		<u>Readopted Eff. [November 1, 2018.] December 1, 2018.</u>

AGENCY: Board of Nursing

RULE CITATION: 21 NCAC 36 .0320

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

Line 6 – add "the student's" after "of"

Line 11 – delete "that would provide evidence"

Line 15 – add "a" after "policies in"

Lines 17 and 20 - replace "which" with "that"

Line 25 – add "a" after "policies in"

Line 26 – add a comma after "placement"

1	21 NCAC 36 .03	320 is readopted, <mark>with changes,</mark> as published in 33:1 NCR 38 as follows:
2		
3	21 NCAC 36 .03	320 STUDENTS
4	(a) Students in r	nursing programs shall meet requirements established by the controlling institution.
5	(b) Admission	requirements and practices shall be stated and published in the controlling institution's publications
6	and shall include	e assessment of:
7	(1)	record of high school graduation, high school high school equivalent, or earned credits from a
8		post-secondary institution;
9	(2)	achievement potential through the use of previous academic records and pre-entrance examination
10		cut-off scores that are consistent with curriculum demands and scholastic expectations; and
11	(3)	physical and emotional health that would provide evidence that is indicative of the applicant's
12		ability to provide safe competent nursing care to the public.
13	(c) The number	r of students enrolled in nursing courses shall not exceed by more than 10 students the maximum
14	number approve	d by the Board, as established pursuant to 21 NCAC 36 .0302(f) and 21 NCAC 36 .0321(k).
15	(d) The nursing	g program shall publish policies in nursing student handbook and college catalog that provide for
16	identification an	d dismissal of students who:
17	(1)	present physical or emotional problems which conflict with the safety essential to nursing practice
18		and do not respond to treatment or counseling within a timeframe that enables meeting program
19		objectives;
20	(2)	demonstrate behavior which conflicts with the safety essential to nursing practice; or
21	(3)	fail to demonstrate professional behavior, including honesty, integrity, and appropriate use of
22		social media, while in the nursing program of study.
23	(e) The nursing	g program shall maintain a three-year average at or above 95 percent of the national pass rate for
24	licensure level p	ass rate on first writing of the licensure examination for calendar years ending December 31.
25	(f) The controll	ing institution shall publish policies in nursing student handbook and college catalog for transfer of
26	credits or for add	mission to advanced placement and the nursing program shall determine the total number of nursing
27	courses or credit	s awarded for advanced placement.
28		
29	History Note:	Authority G.S. 90-171.23(b)(8); 90-171.38; 90-171.43;
30		Eff. February 1, 1976;

Amended Eff. December 1, 2016; January 1, 2006; August 1, 1998; January 1, 1996; June 1,

1992; January 1, 1989; January 1, <del>1984.</del> <u>1984;</u>

<u>Readopted Eff. [November 1, 2018.] December 1, 2018.</u>

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AGENCY: Board of Nursing

RULE CITATION: 21 NCAC 36 .0321

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

Line 6 – add a comma after "objectives"

Line 11 – replace "the student" with "a student"

Lines 15 and 18 – delete the commas

Line 19 – replace "maintain a program that reflects" with "reflect"

Line 23 – add "the" before "risk"

Line 27 – add "the" before "best"

Line 34 – replace "includes the" with "shall include a"

Lines 34-35 – what does "leadership of the profession mean"?

Line 36 – replace the period with a semicolon

Page 2, line 2 – delete the comma

Page 2, line 4 – delete "processes of"

Page 2, line 25 – delete the comma

Page 2, line 27 – replace "curriculum" with "course" if that is what is meant.

Page 2, line 31 – add "shall" before "include"

Page 2, line 32 – delete the comma after "supplies"

Page 2, line 33 – replace "is" with "shall be"

- Page 2, line 34 replace "are" with "shall be"
- Page 2, line 34 do not capitalize "full approval"
- Page 3, line 1 add a semicolon after "schedules"
- Page 3, line 7 delete the comma
- Page 3, line 13 replace "any" with "each" if that is what is meant
- Page 3, line 12 add a comma after "course"
- Page 3, line 16 replace "as a determinate of" with "to determine"

1	21 NCAC 30 .03	21 is readopted, with changes, as published in 53:1 NCK 58-59 as follows:
2		
3	21 NCAC 36 .03	221 CURRICULUM
4	(a) The nursing	program curriculum shall:
5	(1)	be planned by nursing program faculty;
6	(2)	reflect the stated program philosophy, purposes, and objectives pursuant to 21 NCAC 36
7		.0302(a)(2);
8	(3)	be consistent with Article 9A of G.S. 90 and the Rules in this Chapter governing the practice of
9		nursing;
10	(4)	define the level of performance required to pass each course in the curriculum;
11	(5)	enable the student to develop the nursing knowledge, skills skills, and abilities necessary for
12		competent practice consistent with the level of licensure and scope as set forth in 21 NCAC 36
13		.0221, .0224, .0225, and .0231;
14	(6)	include content in the biological, physical, social, and behavioral sciences to provide a foundation
15		for safe competent, and effective nursing practice;
16	(7)	provide students the opportunity to acquire and demonstrate, through didactic content and clinical
17		experience under faculty supervision, the knowledge, skills, and abilities required for safe,
18		effective, and competent nursing practice across the lifespan; and
19	(8)	be revised as necessary to maintain a program that reflects changes and advances in health care
20		and its delivery.
21	(b) Didactic co	ontent and supervised clinical experience across the lifespan appropriate to program type shall
22	include:	
23	(1)	Implementing implementing safety principles and practices minimizing risk of harm to clients and
24		providers through both system effectiveness and individual performance;
25	(2)	Using using informatics to communicate, manage knowledge, mitigate error, and support decision
26		making;
27	(3)	Employing employing evidence-based practice to integrate best research with clinical expertise
28		and client values for optimal care, including skills to identify and apply best practices to nursing
29		care;
30	(4)	Providing providing client-centered, culturally competent care by:
31		(A) respecting client differences, values, preferences, and expressed needs;
32		(B) involving clients in decision-making and care management;
33		(C) coordinating and managing continuous client care consistent with the level of licensure.
34		This includes the demonstrated ability to supervise others and provide leadership of the
35		profession appropriate for program type; and
36		(D) promoting healthy lifestyles for clients and populations.

- Working working in interdisciplinary teams to cooperate, collaborate, communicate, and integrate client care and health promotion; and,
  - (6) Participating participating in quality improvement processes to measure client outcomes, identify hazards and errors, and develop changes in processes of client care.
  - (c) Clinical experience shall be comprised of sufficient hours to accomplish the curriculum, shall be supervised by qualified faculty pursuant to 21 NCAC 36 .0318, and shall ensure students' ability to practice at an entry level.
- 7 (d) All student clinical experiences, including those with preceptors, shall be directed by nursing faculty.
- 8 (e) A focused client care experience with a minimum of 120 hours shall be provided in the final year of curriculum 9 implementation for programs preparing registered nurses.
- 10 (f) A focused client care experience with a minimum of 90 hours shall be provided in the final semester of the curriculum for programs preparing practical nurses.
- (g) Learning experiences and methods of instruction, including distance education methods, shall be consistent with
   the written curriculum plan and shall demonstrate logical curricular progression.
- (h) Objectives for each course shall indicate the knowledge, skills, and abilities expected for competent student
   performance. These objectives shall:
  - (1) indicate the relationship between the classroom learning and the application of this learning in the clinical experience;
    - (2) serve as criteria for the selection of the types of and settings for learning experiences; and
  - (3) serve as the basis for evaluating student performance.
- 20 (i) Student course syllabi shall include a description and outline of:
- 21 (1) the course content;

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- (2) the learning environments and activities;
- (3) when the course is taken in the curriculum;
- 24 (4) allocation of time for didactic content, clinical experience, laboratory experience, and simulation; 25 and,
  - (5) methods of evaluation of student performance, including all evaluation tools used in the curriculum.
- 28 (j) Each course shall be implemented in accordance with and evaluated by reference to the student course syllabus.
- 29 (k) Requests for approval of changes in, or expansion of, the program, accompanied by all required documentation,
- 30 shall be submitted in the format provided by the Board at least 30 days prior to implementation for approval by the
- 31 Board. Criteria for approval include the availability of classrooms, laboratories, clinical placements, equipment
- 32 <u>equipment</u>, and supplies, and faculty sufficient to implement the curriculum to an increased number of students.
- 33 Approval is required for any increase in enrollment that exceeds, by more than 10 students, the maximum number
- 34 approved by the Board. Requests for expansion are considered only for programs with Full Approval status that
- demonstrate at least a three-year average licensure examination pass rate equal to or greater than the NC North
- 36 <u>Carolina</u> three-year average pass rate for program type.
- 37 (1) The nursing education program shall notify the Board at least 30 days prior to implementation of:

1	(1)	alternative or additional program schedules; and schedules	
2	(2)	planned decrease in the Board-approved student enrollment number to accurately reflect program	
3		capacity: capacity; and	
4	<u>(3)</u>	changes that alter the currently approved curriculum.	
5	(m) For all pro	ograms using simulation experiences substituted for clinical experience time, the nursing education	
6	program shall:		
7	(1)	demonstrate that simulation faculty have been formally educated, and maintain the competencies	
8		in simulation and debriefing; and	
9	(2)	provide a simulation environment with adequate faculty, space, equipment, and supplies that	
10		simulate realistic clinical experiences to meet the curriculum and course objectives.	
11	(n) Programs	not holding national nursing accreditation shall limit simulation experiences to no more than 25	
12	percent in any course including the focused client care experience.		
13	(o) Programs h	olding national nursing accreditation shall limit simulation experiences to:	
14	(1)	no more than 25 percent in the focused client care experience, experience; and	
15	(2)	no more than 50 percent of clinical experience time in any other course.	
16	(p) External standardized examinations shall not be used as a determinant of a student's progression or graduation		
17	a nursing education program preparing students for initial nurse licensure.		
18			
19	History Note:	Authority G.S. 90-171.23(b)(8); 90-171.38;	
20		Eff. February 1, 1976;	
21		Amended Eff. June 1, 1992; January 1, 1989; January 1, 1984;	
22		Temporary Amendment Eff. October 11, 2001;	
23		Amended Eff. December 1, 2016; December 1, 2005; August 1, <del>2002.</del> 2002;	
24		Readopted Eff. [November 1, 2018.] December 1, 2018.	

AGENCY: Board of Nursing

RULE CITATION: 21 NCAC 36 .0322

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

Line 7 – replace "must" with "shall"

Line 11 – delete the comma after "faculty"

Line 11 – add "shall" before "support"

#### 2 3 21 NCAC 36 .0322 **FACILITIES** 4 (a) Campus facilities shall be appropriate in type, number, and accessibility for the total needs of the program. 5 (b) Classrooms, laboratory and simulation space, and conference rooms shall be sufficient in size, number, and 6 types for the number of students and purposes for which the rooms are to be used. Lighting, ventilation, location, 7 and equipment must be suitable for the number of students and purposes for which the rooms are to be used. 8 (c) Office and conference space for nursing program faculty members shall be appropriate and available for 9 uninterrupted work and privacy, including conferences with students. 10 (d) Learning resources, including clinical experiences, shall be comprehensive, current, developed with nursing 11 faculty input, accessible to students and faculty, and support the implementation of the curriculum. 12 13 History Note: Authority G.S. 90-171.23(b)(8); 90-171.38; 14 Eff. February 1, 1976; 15 Amended Eff. January 1, 1996; June 1, 1992; January 1, 1989; May 1, 1988; 16 Temporary Amendment Eff. October 11, 2001; 17 Amended Eff. December 1, 2016; April 1, 2006; August 1, 2002: Readopted Eff. [November 1, 2018.] December 1, 2018. 18

21 NCAC 36 .0322 is readopted, with changes, as published in 33:1 NCR 39 as follows:

1

October 24, 2018

AGENCY: Board of Nursing

RULE CITATION: 21 NCAC 36 .0323

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

Line 5 – who maintains this system? Replace "There shall be" with The controlling institution shall maintain" or "The nursing program shall maintain"

Lines 19 and 20 – do not capitalize "annual report" or "program description report"

Line 22 – replace "registered nurse responsible for the nursing program" with "nursing program director" if that is what is meant.

Line 23 – replace "individual" with "director" if that is what is meant.

Line 24 – replace "of" with "before" if that is what is meant.

Line 29 – add "to the Board" after "submitted"

#### 21 NCAC 36 .0323 is readopted, with changes, as published in 33:1 NCR 39-40 as follows:

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#### 21 NCAC 36 .0323 RECORDS AND REPORTS

- 4 (a) The controlling institution's publications describing the nursing program shall be <u>current and</u> accurate.
- 5 (b) There shall be a system for maintaining official records. Current and permanent student records shall be stored
- 6 in a secure manner that prevents physical damage and unauthorized access.
- 7 (c) Both permanent and current records shall be available for review by Board staff.
- 8 (d) The official permanent record for each graduate shall include documentation of graduation from the program
- 9 and a transcript of the individual's achievement in the program.
- 10 (e) The record for each currently enrolled student shall contain up-to-date and complete information, including the following:
  - (1) documentation of admission criteria met by the student;
    - (2) documentation of high school graduation, high school equivalent, or earned credits from post-secondary institution approved pursuant to G.S. 90-171.38(a); and
      - (3) a transcript of credit hours achieved in the classroom, laboratory, and clinical instruction for each course that reflects progression consistent with program policies.
  - (f) The nursing program shall file with the Board records, data, and reports in order to furnish information concerning operation of the program as prescribed in the rules in this Section, including:
    - (1) an Annual Report to be filed with the Board by November 1 of each year;
- 20 (2) a Program Description Report for non-accredited programs filed with the Board at least 30 days 21 prior to a scheduled review by the Board; and
  - (3) notification by institution administration of any change of the registered nurse responsible for the nursing program. This notification shall include a curriculum vitae for the new individual and shall be submitted no later than 10 business days of the effective date of the change.
- 25 (g) All communications relevant to accreditation shall be submitted to the North Carolina Board of Nursing at the 26 same time that the communications are submitted to the accrediting body.
- 27 (h) The Board may require additional records and reports for review at any time to provide evidence and substantiate compliance with the rules in this Section by a program and its controlling institutions.
- 29 (i) The part of the application for licensure by examination to be submitted by the nursing program shall include a 30 statement verifying satisfactory completion of all requirements for graduation and the date of completion. The 31 nursing program director shall verify completion of requirements to the Board no later than one month following
- 32 completion of the Board-approved nursing program.

- 34 *History Note: Authority G.S.* 90-171.23(b)(8); 90-171.38;
- 35 *Eff. February 1, 1976;*
- 36 Amended Eff. December 1, 2016; January 1, 2015; December 1, 2005; January 1, 2004; June 1,
- 37 1992; January 1, 1989; January 1, <del>1984.</del> <u>1984;</u>

AGENCY: Board of Nursing

RULE CITATION: 21 NCAC 36 .0401

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

Line 5 – replace "which" with that"

Line 7 – replace "when" with "if"

Lines 9-10 – replace "be the determining authority to identify" with "determine"

Line 10 - replace "which" with "that"

Line 15 – replace "which" with "that"

Line 15 – replace "are" with shall be"

Line 20 – add a comma before "which"

Line 21 – replace "which thereby" with "and which"

Line 21 – replace "which" with "that"

Line 23 – add a comma before "which"

Line 23 – delete "but are not limited to"

Lines 24, 25, 26, 27, and 30 – add "in the facility in which the nurse practices" at the end of each of these lines if that is what is meant.

Line 28 - replace "which" with "that"

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.

1	21 NCAC 36 .04	01 is amended, with changes, as published in 33:1 NCR 40 as follows:			
2					
3	21 NCAC 36 .04	01 ROLES OF UNLICENSED PERSONNEL			
4	(a) Definitions. As used in Section .0400:				
5	(1)	"Nursing care activities" means activities performed by unlicensed personnel which are delegated			
6		by licensed nurses in accordance with paragraphs (b) and (c) of this Rule.			
7	(2)	"Patient care activities" means activities performed by unlicensed personnel when health care			
8		needs are incidental to the personal care required.			
9	(b) The Board of	f Nursing, Board, as authorized by G.S. 90-171.23(b)(1)(2)(3), shall be the determining authority to			
10	identify those nu	rsing care activities which may be delegated to unlicensed personnel. The licensed nurse, registered			
11	and practical, res	gistered and licensed practical nurse, in accordance with 21 NCAC 36 .0224 and .0225 and G.S. 90			
12	171.20(7)(8), ma	y delegate nursing care activities to unlicensed personnel, regardless of title, that are appropriate to			
13	the level of know	wledge and skill knowledge, skill, and validated competence of the unlicensed personnel and are			
14	within the legal	cope of practice as defined by the Board of Nursing for unlicensed personnel.			
15	(c) Those <u>nursing</u>	ng care activities which may be delegated to unlicensed personnel are determined by the following			
16	variables:				
17	(1)	knowledge and skills of the unlicensed personnel;			
18	(2)	verification of clinical competence of the unlicensed personnel by the an employing agency			
19		agency Registered Nurse; a registered nurse employed by the agency.			
20	(3)	stability of the client's condition which involves predictability, absence of risk of complication			
21		and rate of change, which thereby excludes delegation of nursing care activities which do not mee			
22		the requirements defined in 21 NCAC 36 .0221(b);			
23	(4)	the variables in each service setting which include but are not limited to:			
24		(A) the complexity and frequency of nursing care needed by a given client population;			
25		(B) the proximity of clients to staff;			
26		(C) the number and qualifications of staff;			
27		(D) the accessible resources; and			
28		(E) established policies, procedures, practices, and channels of communication which lend			
29		support to the types of nursing activities being delegated, or not delegated, to unlicensed			
30		personnel.			
31					
32	History Note:	Authority G.S. 90-171.20(2)(4)(7)d.,e.,g.; 90-171.43(4); 90-171.55; 42 U.S.C.S. 1395i-3 (1987);			
33		Eff. March 1, 1989;			
34		Amended Eff. [November 1, 2018;] December 1, 2018; December 1, 1995; October 1, 1991;			
35		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 9			
36		2018.			

1	21 NCAC 36 .0402 is amended, with changes, as published in 33:1 NCR 40 as follows:		
2			
3	21 NCAC 36.0	COORDINATION WITH DIVISION OF HEALTH SERVICE REGULATION	
4		<del>(DHSR)</del>	
5	(a) The Board of Nursing shall accept Level I nurse aides [Nurse Aide] nurse aide Is listed on the Division of Healt		
6	Service Regulation (DHSR) maintained Nurse Aide Registry as meeting the requirements of 21 NCAC 36 .0403(a).		
7	(b) The Board of Nursing shall acquire information from the Division of Health Service Regulation (DHSR) DHSI		
8	regarding all qualified Level I nurse aides. nurse aide Is.		
9			
10	History Note:	Authority G.S. 90-171.20(2)(4)(7)d.,e.,g.; 90-171.43(4); 90-171.55;	
11		42 U.S.C.S. 1395i-3 (1987);	
12		Eff. March 1, 1989;	
13		Amended Eff. [ <del>November 1, 2018;</del> ] <u>December 1, 2018;</u> November 1, 2008; December 1, 1995.	

October 24, 2018

AGENCY: Board of Nursing

RULE CITATION: 21 NCAC 36 .0403

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

Lines 4 and 6 – replace "The" with "A"

Line 8 – replace "to provide" with "providing"

Line 9 - replace "until" with "unless"

Line 16 - replace "which" with "that"

Line 16 - replace "shall" with "will"

Line 19 – replace "performs" with "that they perform"

Lines 20 and 22 - replace "The" with "A"

Page 2, line 4 – replace "the" with "a"

Page 2, line 5 – add a comma after "administration"

#### 21 NCAC 36 .0403 is readopted, with changes, as published in 33:1 NCR 40-41 as follows:

#### 21 NCAC 36 .0403 QUALIFICATIONS

- (a) The nurse aide I shall perform basic nursing skills and personal care activities after successfully completing an approved nurse aide I training and competency evaluation or competency evaluation program. or equivalent as approved by the Division of Health Service Regulation (DHSR). The licensed nurse shall delegate these activities only after considering the variables defined in Rule .0401(b) and (c) of this Section. Pursuant to G.S. 90-171.55, as of April 1, 1992 no individual may function as a nurse aide I, regardless of title, to provide nursing care activities, as identified in Rule .0401(a) of this Section, to clients or residents until:
  - (1) the individual has successfully completed, in addition to an orientation program specific to the employing facility, a <u>State approved State-approved</u> nurse aide I training and competency evaluation program or its equivalent; or a <u>State approved State-approved</u> competency evaluation program and the employing facility or agency has verified listing on the Division of Health Service Regulation Nurse Aide Registry (<u>DHSRNAR</u>); (<u>DHSR Nurse Aide Registry</u>); or
  - (2) the employing agency or facility has assured that the individual is enrolled in a <u>State approved State-approved</u> nurse aide I training and competency evaluation program which the individual shall successfully complete within four months of employment date. During the four month period, the individual shall be assigned only tasks for which <u>he has they have</u> demonstrated competence and performs under supervision.
- (b) The nurse aide II shall perform more complex nursing skills with emphasis on sterile technique in elimination, oxygenation, and nutrition after successful completion of an approved a Board-approved nurse aide II training and competency evaluation program. The licensed nurse shall delegate these activities to the nurse aide II only after consideration of the variables described in Rule .0401(b) and (c) of this Section. Pursuant to G.S. 90-171.55, as of January 1, 1991 no individual may function as a nurse aide II unless:
  - (1) the individual has successfully completed, in addition to an orientation program specific to the employing agency, a <u>Board-approved</u> nurse aide II <u>program course approved by the Board of Nursing</u> according to these Rules or its equivalent as identified by the <u>Board of Nursing</u>; <u>Board</u>;
  - the individual is listed as a nurse aide I on the DFS DHSR Nurse Aide I Registry with no substantiated findings of abuse, neglect, exploitation, mistreatment, diversion of drugs, fraud, or misappropriation of client or employing facility property; property listed on the DHSR Nurse Aide Registry and/or on the NC Health Care Personnel Registry; and
  - (3) the employing facility or agency has inquired of the Board of Nursing as to information in the Board of Nursing Nurse Aide II Registry concerning the individual and confirms with the Board of Nursing that the individual is listed on the Board of Nursing Nurse Aide II Registry (BNAR) as a nurse aide Level II.

1	(c) Listing on	a Nurse Aide Registry is not required if the care is performed by clients themselves, their families of			
2	significant others, or by caretakers who provide personal care to individuals whose health care needs are incidental to the				
3	personal care required.				
4	(d) Pursuant to	G.S. 131E-114.2 and G.S. 131E-270, the medication aide shall be limited to performing technical aspects			
5	of medication a	dministration consistent with Rule .0401(b) and (c) of this Section, Rule .0221 of this Chapter, and only			
6	after:				
7	(1)	successful completion of a Board-approved medication aide training program approved by the Board			
8		of Nursing; program;			
9	(2)	successful completion of a state approved State-approved competency evaluation program; and			
10	(3)	listing on the Medication Aide Registry.			
11					
12	History Note:	Authority G.S. 90-171.20(2)(4)(7)d.,e.,g.; 90-171.43(4); 90-171.55; 90-171.56; 131E-114.2; 131E			
13		270; 42U.S.C.S. 1395i-3 (1987);			
14		Eff. March 1, 1989;			
15		Temporary Amendment Eff. October 11, 1989 For a Period of 180 Days to Expire on April 6, 1990			
16		Amended Eff. September 1, 2006; December 1, 1995; March 1, <del>1990.</del> <u>1990;</u>			
17		Readopted Eff. [November 1, 2018.] December 1, 2018.			

AGENCY: **Board of Nursing** 

RULE CITATION: 21 NCAC 36 .0404

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

PLEASE NOTE: This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

Line 12 – I assume you did not intend to delete the word "property"

Lines 12, 24, and page 3 line 5 – the absence of punctuation between "property" and listed confuses me. What is this Subparagraph (a)(3) and other similar subparagraphs intended to mean?

Line 12 - replace "and/or" with "or"

Lines 21, 22, and 26 – do not capitalize the first word on these lines.

Line 24 – replace "and/or" with "or"

Line 32 – add "a" before "registered"

Line 33 - add "as a nurse assistant II" after "list" if that is what is meant. If it is not, what does this mean?

Line 37 – replace "must" with "shall"

Line 37 – add "prior to being listed as a nurse assistant II" if that is what is meant.

Page 2, line 6 – replace "are" with "shall be"

Page 2, line 6 – replace "which" with "that"

Page 2, line 10 – replace "is" with "shall be"

Page 2, lines 15 and 16 – delete both commas

Page 2, line 20 – replace "must" with "shall"

Page 2, line 21 – replace "task(s)" with "tasks"

Jason Thomas

Commission Counsel

Date submitted to agency: Wednesday, November 7, 2018

Page 2, line 25 – replace "Once" with "After"

Page 3, line 2 - replace "must" with "shall"

Page 3, line 6 – replace "and/or" with "or"

#### 21 NCAC 36 .0404 LISTING AND RENEWAL

- (a) All nurse aide IIs, as defined in Rule .0403(b) of this Section, Section regardless of working title, employed or assigned in a service agency or facility for the purpose of providing nursing care activities shall be listed on the Board of Nursing Nurse Aide II Registry and shall meet the following requirements:
  - (1) successful completion of a <u>Board-approved</u> nurse aide II <del>program</del> <u>course</u> or its <u>Board approved</u> <u>Board-approved</u> equivalent;
  - (2) GED or high school diploma; High School or High School Equivalency Diploma;
- (3) listed as a Level I nurse aide I on the DHSR Nurse Aide Registry with no substantiated findings of abuse, neglect, exploitation, mistreatment, diversion of drugs, fraud, or misappropriation of client or employing facility property; listed on the DHSR Nurse Aide I Registry and/or on the NC Health Care Personnel Registry; and
  - (4) submission <u>and approval</u> of an application to the Board of Nursing for placement on the Board of Nursing Nurse Aide II Registry prior to working as a nurse aide II.
  - The application shall be submitted with the required fee within 30 business days of completion of the nurse aide II program. course. Application for initial Initial listing received in by the Board office shall show an expiration day of expire on the last day of the applicant's birth month of the following year.
  - (b) Nursing students currently enrolled in Board of Nursing approved Board-approved nursing programs courses desiring listing as a nurse aide II shall submit:
    - (1) An application and application fee; and fee;
    - (2) Current listing as a nurse aide I on the DHSR Nurse Aide I Registry with no substantiated findings of abuse, neglect, exploitation, mistreatment, diversion of drugs, fraud, or misappropriation of client or employing facility property listed on the DHSR Nurse Aide I Registry and/or on the NC Health Care Personnel Registry; and
    - (2)(3) A listing form Verification completed by the nursing program director indicating successful completion of course work equivalent in content and content, clinical hours hours, and skill competency validation to for that required for of a nurse aide II.
- (c) Registered nurses and licensed practical nurses who hold current, unrestricted active, unencumbered licenses to practice in North Carolina, and registered nurses and licensed practical nurses in the discipline process by the Board of Nursing who do not have any findings as cited in G.S. 131E 256(a)(1) Carolina may make application as a nurse aide II.

  (d) An individual previously enrolled in a Board-approved nursing program leading to licensure as RN registered nurse or LPN licensed practical nurse may list with no additional testing provided the student withdrew from school in good standing within the last 24 months and completed the equivalent content, and clinical hours. hours, and skills competency validation. Such individual shall submit listing form an application as described in Paragraph (b)(2) (b) of
- of 24 months, the student must <u>successfully</u> complete an entire nurse aide II <del>program.</del> <u>course.</u>

this Rule. If the student was in good standing upon withdrawal from the school and withdrew from the school in excess

1 (e) Individuals who have completed a training course equivalent in content and content, clinical hours hours, and skills 2 competenency validation to the nurse aide II program, course may submit documentation of the same to the Board of 3 Nursing for review. If training is equivalent, the individual may submit the application with required fee and be listed on 4 the Board of Nursing Nurse Aide Registry as a nurse aide II. 5 (f) An employing agency or facility may choose up to four nurse aide II tasks to be performed by nurse aide I personnel 6 without the nurse aide I completing the entire nurse aide II program. course. These tasks are individual activities which 7 may be performed after the nurse aide I has received the approved Board-approved training and competency evaluation 8 using nurse aide II education modules as defined in Rule .0403(b) of this Section. 9 (1) The agency may obtain the selected tasks curriculum model from the nearest Community College or 10 the Board of Nursing, or facility is limited to selecting and implementing a maximum of four nurse 11 aide II tasks for use throughout each agency or facility. The Board of Nursing must be notified of the nurse aide II task(s) that will be performed by nurse aide 12 (2) 13 I personnel in the agency and for which all Board stipulations have been met. The notification of 14 nurse aide II task(s) form which may be requested from the Board office shall be used. Each agency 15 shall receive a verification letter once the Board has been appropriately notified. A nurse aide I, who is 16 trained and evaluated as competent to perform these limited nurse aide II tasks, shall perform these 17 tasks only in the specific agency or facility where the training and competency validation were 18 completed; performance of these tasks by the nurse aide I shall not transfer to another healthcare 19 setting. 20 (3) Documentation of the training and competency evaluation must be maintained for each nurse aide I 21 who is approved to perform these nurse aide II task(s) within the agency or facility. 22 (g) Each nurse aide II shall renew listing with the Board of Nursing biennially on forms provided by the Board. or 23 before the listing period expiration date. The renewal application, posted on the Board's website at 24 www.ncbon.com, shall be accompanied by the required fee. 25 Once the nurse aide II listing expires, it will not be renewed unless the nurse aide II successfully (1) passes a Board-approved competency evaluation or successfully completes an entire Board-approved 26 27 nurse aide II course. 28 To be eligible for renewal, the nurse aide II must shall have worked at least eight hours for <del>(1)</del>(2) 29 compensation during the past 24 months performing nursing care activities under the supervision of a 30 Registered Nurse. registered nurse. 31  $\frac{(2)(3)}{(3)}$ Any nurse aide II who has had a continuous period of 24 months during which no nursing care 32 activities were performed for monetary compensation but who has performed patient care activities for

Board of Nursing Nurse Aide II Registry.

monetary compensation shall successfully complete the competency evaluation portion of the nurse

aide II program course and submit a renewal application and fee in order to be placed renewed on the

33

34

35

1	<del>(3)</del> (4)	A nurse aide II who has performed no nursing care or patient care activities for monetary
2		compensation within the past 24 months must successfully complete a <b>Board-approved</b> nurse aide II
3		program course prior to submitting the application for renewal.
4	<del>(4)</del> (5)	A nurse aide II who has substantiated findings of abuse, neglect, exploitation, mistreatment, diversion
5		of drugs, fraud, or misappropriation of client or employing facility funds property listed on the DHSR
6		Nurse Aide <u>I</u> Registry <u>and/or the NC Health Care Personnel Registry</u> shall not be eligible for renewal
7		as a nurse aide II.
8		
9	History Note:	$Authority\ G.S.\ 90-171.19;\ 90-171.20(2)(4)(7)d, e,g;\ 90-171.37;\ 90-171.43(4);\ 90-171.55;\ 90-171.83;$
10		42 U.S.C.S. 1395i-3 (1987);
11		Eff. March 1, 1989;
12		Amended Eff. July 1, 2010; November 1, 2008; August 1, 2005; August 1, 2002; July 1, 2000;
13		December 1, 1995; April 1, <mark><del>1990.</del> <u>1990;</u></mark>
14		<u>Readopted Eff. [November 1, 2018.] December 1, 2018.</u>

AGENCY: Board of Nursing

RULE CITATION: 21 NCAC 36 .0405

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

Line 4 – revise as follows:

The Board shall accept the nurse aide I courses that are approved by DHSR.

Line 9 – delete "at least"

Lines 11 and 13 – begin these lines with "the"

Line 13 – replace "clinical experience" with "clinical-experience"

Line 13 – replace "faculty/student" with faculty to student"

Line 21 - delete "and"

Line 26 – delete "a minimum of"

Line 27 – do you mean "a faculty of Board-approved registered nurses"?

Line 33 - replace "Nurse" with "A nurse"

Line 34 – add "of" after "hours"

Line 34 – replace "is" with "shall be"

Page 2, line 8 – add "with" after "Board"

Page 2, line 9 - replace "in order to" with "that"

Page 2, line 9 – add "the" before "operation"

Page 2, line 9 – replace "any individual" with "all individuals" if that is what is meant.

Jason Thomas
Commission Counsel
Date submitted to agency: Wednesday, November 7, 2018

- Page 2, line12 add "the" before "permanent"
- Page 2, lines 13-14 on what basis will the Board grant or deny approval?
- Page 2, line 13 replace "Any" with "A"
- Page 2, line 13 replace "wishing to" with "that will"
- Page 2, line 14 replace "Board approval." with "prior approval from the Board, and:" if that is meant.
- Page 2, lines 15 and 18 do not capitalize "full approval"
- Page 2, line 20 do not capitalize "course director"
- Page 2, line 21 add "and" before "annual"
- Page 2, line 23 do you mean "may" or "shall"? If "may," what standards, factors, or circumstances determine whether an on-site visit will be conducted?
- Page 2, line 30 replace "Board. EXCEPTION: In" with "Board; however, in"
- Page 2, line 31 replace "must" with "shall"
- Page 2, line 32 add a comma after "hearing"
- Page 2, line 35 delete "the Board determines from"
- Page 2, line 35 add "shows" after "hearing"
- Page 2, line 36 replace "state" with "State"
- Page 2, line 36 add a comma after "law"
- Page 2, line 36 what "Rules"? "the Rules in this Section"?
- Page 2, lines 36-37 do not capitalize "full approval"
- Page 3, line 1 delete "the Board determines from"
- Page 3, line 1 add "shows" after "hearing"
- Page 3, line 2 replace "state" with "State"
- Page 3, line 2 add a comma after "law"
- Page 3, line 2 what "Rules"? "the Rules in this Section"?
- Page 3, line 4 replace "constitutes" with "shall constitute"
- Page 3, line 4 replace "; and" with a period

Jason Thomas
Commission Counsel
Date submitted to agency: Wednesday, November 7, 2018

Page 3, line 6 – replace "fully fund tuition" with "shall fully fund all tuition" if that is what is meant.

Page 3, line 8 - replace "; and" with a period

1	21 NCAC 36 .04	105 is rea	dopted,	with changes, as published in 33:1 NCR 42-44 as follows:
2				
3	21 NCAC 36 .04	105	APPR	OVAL OF NURSE AIDE EDUCATION PROGRAMS COURSES
4	(a) The Board o	f Nursing	shall ac	cept those programs courses approved by DHSR to prepare the nurse aide I.
5	(b) The North Co	<del>arolina</del> Bo	oard <del>of N</del>	<del>lursing</del> shall approve nurse aide II <del>programs.</del> <u>courses.</u> Nurse aide II <del>programs</del> <u>courses</u>
6	may be offered b	y <del>an</del> <u>a Sta</u>	te-licens	sed individual, agency, or educational institution after the program course is approved
7	by the Board.			
8	(1)	Each en	tity desi	ring to offer a nurse aide II program course shall submit a program course approval
9		applicat	ion at le	ast 60 days prior to offering the program. course. It shall include documentation of the
10		followin	ng standa	ards:
11		(A)	student	s will shall be taught and supervised by qualified faculty as defined in Subparagraph
12			(b)(3)	of this Rule for clinical experience with faculty/student ratio not to exceed 1:10; Rule;
13		(B)	clinica	experience faculty/student ratio shall not exceed 1:10;
14		( <u>B)(C)</u>	the sel	ection and utilization of clinical facilities must shall support the program course
15			curricu	lum as outlined in Subparagraph (b)(2) of this Rule;
16		(C)(D)	a writte	en contract shall exist between the program course provider and clinical facility prior
17			to stud	ent clinical experience in the facility;
18		<del>(D)</del> (E)	admiss	ion requirements shall include:
19			(i)	successful completion of nurse aide I training program course or Board of Nursing
20				established [DHSR establised] DHSR-established equivalent and current nurse aide
21				I listing on DHSR Registry; and
22			(ii)	GED High School or high school High School Equivalency diploma; and
23			(iii)	other admission requirements as identified by the program; course provider; and
24		<del>(E)</del> (F)	_	dure for timely processing and disposition of program course and student complaints
25				e established.
26	(2)		·	<u>furse</u> aide <u>II</u> <del>programs</del> <u>courses</u> shall include a minimum of 80 hours of theory and 80
27			-	ised clinical instruction supervised by a Board-approved registered nurse faculty
28				he <del>legal scope of practice</del> <u>nurse aide II curriculum</u> as defined by the Board <del>of Nursing</del>
29			` ′	of this Section. Changes made by the Board of Nursing in content hours or scope of
30		•		nurse aide II <del>program</del> <u>course</u> shall be published in the <del>Bulletin. Requests by the</del>
31				dify the nurse aide II course content shall be directed to the Board office. [ <mark>"</mark> ]Bulletin
32		and pos		ne Board's website at www.ncbon.com.
33		(A)		aide II education course shall not use simulation as a substitute for the required 80
34				linical experience. Competency validation of up to three required nurse aide II skills
35			_	nitted in the simulated laboratory environment if validation of such skills is not
36			availab	ele in the clinical experience site.

1	(3)		im competency and qualifications for faculty for the nurse aide <del>Level II programs</del> courses shall	
2		include		
3		(A)	a current unrestricted an active unencumbered license to practice as a registered nurse in	
4			North Carolina;	
5		(B)	have had at least two years of direct patient care experiences as an R.N.; a registered nurse;	
6			and	
7		(C)	have experience teaching adult learners.	
8	(4)	Each nu	arse aide II <del>program</del> <u>course</u> shall furnish the Board records, data, and reports requested by the	
9		Board is	n order to provide information concerning operation of the program course and any individual	
10		who <del>suc</del>	ecessfully completes the program. attended the course within the past five years.	
11	(5)	When a	n approved nurse aide II program course closes, the Board shall be notified in writing by the	
12		progran	n. course. The Board shall be informed as to permanent storage of student records.	
13	<u>(6)</u>	Any Bo	ard-approved nurse aide II course wishing to provide nurse aide II competency evaluation shall	
14		obtain I	Board approval.	
15		(A)	Board-approved nurse aide II course shall be in Full Approval status for at least one year	
16			prior to submitting an application to provide nurse aide II [course;] competency evaluation;	
17			and	
18		(B)	Full Approval course status shall be maintained to provide nurse aide II competency	
19			evaluation.	
20	(c) An annual <del>pi</del>	<del>rogram</del> <u>co</u>	ourse report shall be submitted by the Program Course Director to the Board of Nursing on in a	
21	Board form Board-approved format by March 15 31 of each year. Failure to submit annual report shall result in			
22	administrative a	ction affe	cting approval status as described in Paragraphs (d) (e) and (e) (f) of this Rule.	
23	(d) Complaints	regarding	nurse aide II <del>programs</del> <u>courses</u> may result in an <del>on site</del> <u>on-site</u> survey by the <del>North Carolina</del>	
24	Board of Nursing-Board.			
25	(d)(e) Approval	status sha	ll be determined by the Board <del>of Nursing</del> using the annual <del>program</del> <u>course</u> report, survey <del>report</del>	
26	report, and other	data subr	nitted by the program, agencies, or students. The determination shall result in full approval or	
27	approval with st	ipulations	s.	
28	(e)(f) If stipulation	ons have 1	not been met as specified by the <del>Board of Nursing,</del> <u>Board,</u> a hearing shall be held by the Board	
29	of Nursing regar	rding <del>prog</del>	gram course approval status. A program course may continue to operate while awaiting the	
30	hearing before the	he Board.	EXCEPTION: In the case of summary suspension of approval as authorized by G.S. 150B-	
31	3(c), the <del>prograr</del>	<del>n</del> course 1	must immediately cease operation.	
32	(1)	When a	hearing is scheduled, the Board shall cause notice to be served on the program course and	
33			ecify a date for the hearing to be held not less than 20 days from the date on which notice is	
34		given.		
35	(2)	•	oard determines from evidence presented at hearing that the program course is complying with	
36	( )		eral and state law including these Rules, the Board shall assign the program course Full	
37			al status.	

1	(3)	If the Board, following a hearing, finds Board determines from evidence presented at hearing that the
2		program course is not complying with all federal and state law including these Rules, the Board shall
3		withdraw approval.
4		(A) This action constitutes discontinuance of the program; course; and
5		(B) The parent institution shall present a plan to the Board for transfer of students to approved
6		programs courses or fully refund tuition paid by the student. Closure shall take place after
7		the transfer of students to approved programs courses within a time frame established by the
8		Board; and
9		(C) The parent institution shall notify the Board of the arrangements for storage of permanent
10		records.
11		
12	History Note:	Authority G.S. 90-171.20(2)(4)(7)d.,e.,g.; 90-171.39; 90-171.40; 90-171.43(4); 90-171.55; 90-171.83;
13		42 U.S.C.S. 1395i-3 (1987);
14		Eff. March 1, 1989;
15		Amended Eff. November 1, 2008; April 1, 2003; August 1, 2002; July 1, 2000; December 1, 1995;
16		March 1, <del>1990;</del> <u>1990;</u>
17		<u>Readopted Eff. [November 1, 2018.]</u> <u>December 1, 2018.</u>

AGENCY: Board of Nursing

RULE CITATION: 21 NCAC 36 .0406

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

Line 4 – replace "are required to" with "shall"

Line 12 – replace "The" with "A"

Line 18 – replace "The" with "An"

Line 18 - replace "must" with "shall"

1	21 NCAC 36 .0	406 is readopted, with changes, as published in 33:1 NCR 44 as follows:
2		
3	21 NCAC 36 .0	406 MEDICATION AIDE TRAINING REQUIREMENTS
4	(a) Faculty for	the medication aide training program are required to:
5	(1)	have a current, unrestricted an active, unencumbered license to practice as a registered nurse in North
6		Carolina;
7	(2)	have had at least two years of practice experience as a registered nurse that includes medication
8		administration;
9	(3)	have successfully completed an instructor training program approved by the Board according to these
10		Rules; and
11	(4)	maintain Board of Nursing certification as a medication aide instructor.
12	(b) The medicat	tion aide instructor certification shall be renewed every two years provided the following requirements
13	are met:	
14	(1)	the individual has taught at least one medication aide training program within the preceding two years;
15		and
16	(2)	the individual successfully completes a review has reviewed program changes approved by the Board
17		according to these Rules. and posted on the Board's website at www.ncbon.com.
18	(c) The applica	nt for a medication aide training program approved by the Board must have a high school diploma or
19	GED. High Scho	ool Equivalency.
20		
21	History Note:	Authority G.S. 90-171.56; 131E-114.2; 131E-270;
22		Eff. September 1, 2006;
23		Amended Eff. April 1, <del>2008.</del> <u>2008;</u>
24		Readonted Eff. [November 1, 2018.] December 1, 2018.

AGENCY: Board of Nursing

RULE CITATION: 21 NCAC 36 .0502

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

Line 4 - replace "Chapter" with "G.S."

Line 4 – add a comma after "Act"

Lines 4-5 – delete "of North Carolina"

Line 6 – replace "the professional" with "a professional"

Line 6 – replace "to provide" with "that provides"

Line 6 – delete the comma

Line 7 – what does "ethical customs of the nursing profession" mean? If this cannot be clearly defined, consider repealing this Rule.

1	21 NCAC 36 .0	502 is amended, with changes, as published in 33:1 NCR 44 as follows:		
2				
3	21 NCAC 36 .0	NAME OF PROFESSIONAL CORPORATION		
4	The following	requirement, in In addition to the provisions of Chapter 55B, the Professional Corporation Act of		
5	North <del>Carolina,</del>	must be met regarding the corporate name: Carolina,		
6	The the name of the professional corporation referred to herein to provide nursing care and related services, shall not			
7	include any adje	ectives or words not in accordance with ethical customs of the nursing profession.		
8				
9	History Note:	Authority G.S. 55B-5; 55B-12; 90-171.43;		
10		Eff. March 1, 1991;		
11		Amended Eff. [November 1, 2018.] December 1, 2018.		
12		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 9,		
13		2018.		

AGENCY: Board of Nursing

RULE CITATION: 21 NCAC 36 .0503

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

Line 4 – add "a professional corporation that will practice nursing in this State" after "incorporate"

Line 9 – what statute authorizes the Board to set this fee in this way? G.S. 12-3.1 clearly contemplates that the Board will set the fee in such a way that the Joint Legislative Commission on Governmental Operations will be consulted with each change of fee. The approach taken in this Rule bypasses that process as well as the processes set out in the APA for rule amendment. Consider reverting to the original language.

Line 11 – add a comma after "Board"

Line 14 – replace "all such individuals are" with "each shareholder of the corporation who will be practicing nursing for the corporation"

1	21 NCAC 36 .0	503 is amended, with changes, as published in 33:1 NCR 44 as follows:
2		
3	21 NCAC 36 .0	PREREQUISITES FOR INCORPORATION
4	The following r	requirements must be met in order to incorporate:
5	(1)	The incorporator, whether one or more, of a professional corporation shall be licensed to practice
6		nursing in North Carolina as a registered nurse.
7	(2)	Before the filing of the articles of incorporation with the Secretary of State, the incorporators shall
8		file, with the Board, the original articles of incorporation, plus a copy, together with a registration
9		fee of fifty dollars (\$50.00). in the maximum allowable amount set forth in G.S. 55B-10.
10	(3)	The original articles of incorporation and the copy shall be accompanied by an application to the
11		Board (Corp. Form 1) certified by all incorporators, setting forth the names, addresses, and
12		certificate numbers of each shareholder of the corporation who will be practicing nursing for the
13		corporation.
14	(4)	Included with the above shall be a statement that all such persons individuals are licensed to
15		practice nursing in North Carolina as registered nurses, and stating that the corporation will be
16		conducted in compliance with the Professional Corporation Act and these Rules.
17	(5)	If the articles are changed in any manner before being filed with the Secretary of State, they shall
18		be re-submitted to the Board and shall not be filed with the Secretary of State until approved by
19		the Board.
20		
21	History Note:	Authority G.S. 55B-4; 55B-10; 55B-12; 90-171.20(6);
22		Eff. March 1, 1991;
23		Amended Eff. [November 1, 2018.] <u>December 1, 2018;</u> April 1, 2009;
24		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 9,
25		2018

AGENCY: Board of Nursing

RULE CITATION: 21 NCAC 36 .0504

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

Throughout this rule, do not capitalize "certificate of registration"

Line 6 – replace "when" with "after"

Line 8 – delete "the Board finds that"

Line 11 – delete "it appears to the Board that"

Line 12 – what "laws and rules," specifically (see .0602)?

Line 13 – delete the commas

Line 13 - replace "will" with "shall"

Line 15 - replace "will" with "shall"

Line 16 – replace "cannot be" with "are not"

Line 23 – what statute authorizes the Board to set this fee in this way? G.S. 12-3.1 clearly contemplates that the Board will set the fee in such a way that the Joint Legislative Commission on Governmental Operations will be consulted with each change of fee. The approach taken in this Rule bypasses that process as well as the processes set out in the APA for rule amendment. Consider reverting to the original language.

Please retype the rule accordingly and resubmit it to our office at 1711 New Hope Church Road, Raleigh, North Carolina 27609.

Jason Thomas
Commission Counsel
Date submitted to agency: Wednesday, November 7, 2018

I	21 NCAC 36 .05	04 is amended, with changes, as published in 33:1 NCR 44-45 as follows:
2		
3	21 NCAC 36 .05	04 CERTIFICATE OF REGISTRATION
4	The Certificate of	f Registration shall be issued as follows:
5	(1)	The Board shall issue a Certificate of Registration (Corp. Form 2) for the professional corporation
6		to become effective only when the professional corporation files the articles of incorporation with
7		the Secretary of State and if:
8		(a) the Board finds that no disciplinary action is pending before the Board against any of the
9		licensed incorporators or persons individuals who will be directors, officers, or
10		shareholders of such corporation; and
11		(b) it appears to the Board that such corporation will be conducted in compliance with the
12		law and rules.
13	(2)	The proposed original articles of incorporation, and the Certification of Registration, will be
14		returned to the incorporators for filing with the Secretary of State. A copy of the articles of
15		incorporation and a copy of the Certificate of Registration will be retained in the Board office. It
16		the required findings cannot be made, the registration fee shall be refunded to the incorporators.
17	(3)	The initial Certificate of Registration shall remain in effect until December 31, 31 of the year in
18		which it was issued issued, unless suspended or terminated as provided by law. The Certificate of
19		Registration shall be renewed annually thereafter.
20	(4)	At least 20 days prior to the date of expiration of the eertificate, Certificate of Registration, the
21		<u>professional</u> corporation shall submit its written application for renewal on a form provided by the
22		Board (Corp. Form 3), Board, along with a check in the amount of twenty five dollars (\$25.00) in
23		payment of the renewal fee. fee in the maximum allowable amount set forth in G.S. 55B-10.
24		
25	History Note:	Authority G.S. 55B-12; 90-171.20(6); 90-171.23;
26		Eff. April 1, 1991;
27		Amended Eff. [November 1, 2018;] December 1, 2018; November 1, 2008;
28		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 9,
29		2018.

AGENCY: Board of Nursing

RULE CITATION: 21 NCAC 36 .0505

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

Line 4 – add "that will practice nursing in this State" after "corporations"

Line 6 – delete "or" before "declines"

Line 6 – replace "when" with "after"

Line 15 - replace "when" with "if"

1	21 NCAC 36 .05	05 is amended, with changes, as published in 33:1 NCR 45 as follows:
2		
3	21 NCAC 36 .05	05 GENERAL AND ADMINISTRATIVE PROVISIONS
4	The following ge	neral provisions shall apply to all incorporating professional corporations:
5	(1)	If the Board declines to issue a Certificate of Registration required by 21 NCAC 36 .0504 (a)(1)
6		.0504, or declines to renew the same when properly requested, or refuses to take any other
7		required action, the aggrieved party may request, in writing, a review of such action by the Board
8		and the Board shall provide a formal hearing for such aggrieved party before a majority of the
9		Board.
10	(2)	All amendments to charters of professional corporations, all merger and consolidation agreements
11		to which a professional corporation is a party, and all dissolution proceedings and similar changes
12		in the corporate structure of a professional corporation shall be filed with the Board for approva
13		before being filed with the Secretary of State. A true copy of the changes filed with the Secretary
14		of State shall be filed with the Board within ten 10 days after filing with the Secretary of State.
15	(3)	The Board is authorized to issue the certificate (Corp. Form 4) required by G.S. 55B-6 when stock
16		is transferred in a professional corporation, and such certificate shall be permanently attached to
17		the stub of the transferee's certificate in the stock book of the professional corporation.
18		
19	History Note:	Authority G.S. 55B-6; 55B-12; 90-171.23;
20		Eff. April 1, 1991;
21		Amended Eff. [November 1, 2018;] December 1, 2018; November 1, 2008;
22		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 9
23		2018.

AGENCY: Board of Nursing

RULE CITATION: 21 NCAC 36 .0506

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

Line 5 – replace "are" with "shall be"

1	21 NCAC 36 .05	506 is amended, with changes, as published in 33:1 NCR 45 as follows:
2		
3	21 NCAC 36 .05	506 FORMS
4	The following for	orms may be obtained from the office of the Board of Nursing regarding professional corporations:
5	corporations are	posted on the Board's website at www.ncbon.com:
6	(1)	Rules adopted by the North Carolina Board of Nursing relating to Professional Corporations
7		whose purpose is providing nursing related services;
8	<del>(2)</del> (1)	Corp. Form 1— Certificate of Incorporator(s) and Application for a Certificate of Registration for a
9		Professional Corporation;
10	<del>(3)(2)</del>	Corp. Form 2 Certificate of Registration of a Professional Corporation for the Purpose of
11		Providing Nursing Related Services;
12	<del>(4)</del> (3)	Corp. Form 3 - Application for Renewal of Certificate of Registration; and
13	<del>(5)(4)</del>	Corp. Form 4— Certificate Authorizing Transfer of Stock in Professional Corporation Organized to
14		Provide Nursing Related Services.
15		
16	History Note:	Authority G.S. 55B-12; 90-171.23;
17		Eff. March 1, 1991;
18		Amended Eff. [November 1, 2018;] December 1, 2018; November 1, 2008;
19		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 9,
20		2018.

AGENCY: Board of Nursing

RULE CITATION: 21 NCAC 36 .0507

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

What statute authorizes the Board to set this fee in this way? G.S. 12-3.1 clearly contemplates that the Board will set the fee in such a way that the Joint Legislative Commission on Governmental Operations will be consulted with each change of fee. The approach taken in this Rule bypasses that process as well as the processes set out in the APA for rule amendment. Consider reverting to the original language.

1	21 NCAC 36 .0	9507 is amended, with changes, as published in 33:1 NCR 45 as follows:
2		
3	21 NCAC 36 .0	507 FEES
4	(a) Initial regis	tration fee of fifty dollars (\$50.00) is required.
5	(b) Fee for renewal of Certificate of Registration is twenty five dollars (\$25.00).	
6	The registration and renewal fees for a professional corporation shall be the maximum allowable amount under G.S.	
7	55B-10 and 55B-11.	
8		
9	History Note:	Authority G.S. 55B-10; 55B-11; 55B-12;
10		Eff. April 1, 1991;
11		Amended Eff. [November 1, 2018;] December 1, 2018;
12		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 9
13		2018.

AGENCY: Board of Nursing

RULE CITATION: 21 NCAC 36 .0601

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

Line 4 – replace "Chapter" with "G.S."

Line 5 – replace "to provide" with "that provides"

Line 5 – delete the comma

Line 6 – what does "ethical customs of the nursing profession" mean? If this cannot be clearly defined, consider repealing this Rule.

1	21 NCAC 36 .0601 is amended, with changes, as published in 33:1 NCR 45 as follows:	
2		
3	21 NCAC 36 .0	NAME OF <u>PROFESSIONAL</u> LIMITED LIABILITY COMPANY
4	In addition to the	ne provisions of Chapter 57D, the North Carolina Limited Liability Compact Act, The the name of a
5	limited liability	company for the purpose of providing to provide nursing and related services services, shall not
6	include any adjo	ectives or other words not in accordance with ethical customs of the nursing profession.
7		
8	History Note:	Authority G.S. 55B-10; <del>57C 2-30;</del> <u>57D-2-02;</u>
9		Eff. August 1, 1998;
10		Amended Eff. [November 1, 2018;] December 1, 2018;
11		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 9,
12		2018.

AGENCY: Board of Nursing

RULE CITATION: 21 NCAC 36 .0602

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

Line 7 – add a comma after "certificate"

Line 7 – replace "nurse" with "nurses who are"

Line 10 – delete "and"

Line 12 – what "laws" specifically (see .0504)?

Lines 13-14 – what statute authorizes the Board to set this fee in this way? G.S. 12-3.1 clearly contemplates that the Board will set the fee in such a way that the Joint Legislative Commission on Governmental Operations will be consulted with each change of fee. The approach taken in this Rule bypasses that process as well as the processes set out in the APA for rule amendment. Consider reverting to the original language.

1	21 NCAC 36 .0602 is amended, with changes, as published in 33:1 NCR 45-46 as follows:		
2			
3	21 NCAC 36 .0602 PREREQUISITES FOR ORGANIZATION		
4	(a) Before The organizing members shall submit the following requirements to the Board prior to filing the article		
5	of organization for a limited liability company with the Secretary of State, the organizing members shall submit the		
6	following to the Board: organization:		
7	(1) a certificate certified by those registered nurse organizing members, setting forth the name	es,	
8	addresses, and license numbers of each individual who will be employed by the profession	ıal	
9	limited liability company to practice nursing and related services as specified in G.S. 55B14(c)(2	2),	
10	(4) - (6), and stating that all such individuals are duly licensed to practice nursing in Nor	rth	
11	Carolina, and representing that the company will be conducted in compliance with law and the	se	
12	Rules; and		
13	(1)(2) a registration fee in the maximum allowable amount as set by Rule .0606 of this Section; and for	rth	
14	<u>in G.S. 55D.</u>		
15	(2) a certificate certified by those registered nurse organizing members, setting forth the name	es,	
16	addresses, and license numbers of each person who will be employed by the limited liability	ity	
17	company to practice nursing and related services as specified in G.S. 55B14(c)(2), (4) (6), as	nd	
18	stating that all such persons are duly licensed to practice nursing in North Carolina, as	nd	
19	representing that the company will be conducted in compliance with law and these Rules.		
20	(b) A certification that each of those organizing members who may provide nursing and related services as specific	ed	
21	in G.S. 55B-14(c)(2), (4) - (6) is licensed to practice nursing in North Carolina shall be returned to the professional		
22	limited liability company for filing with the Secretary of State.		
23	(c) If the articles are changed in any manner before being filed with the Secretary of State, they shall be a	re-	
24	submitted to the Board and shall not be filed with the Secretary of State until approved by the Board.		
25			
26	History Note: Authority G.S. 55B-4; 55B-10; 55B-12; 55B-14; <del>57C 2 01;</del> <u>57D-2-01; 57D-2-02;</u> 90-171.23;		
27	Eff. August 1, 1998;		
28	Amended Eff. [November 1, 2018;] December 1, 2018; November 1, 2008;		
29	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January	9,	
30	2018.		

AGENCY: Board of Nursing

RULE CITATION: 21 NCAC 36 .0603

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

Line 4 – do not capitalize "certificate of registration"

Lines 7-8 – what statute authorizes the Board to set this fee in this way? G.S. 12-3.1 clearly contemplates that the Board will set the fee in such a way that the Joint Legislative Commission on Governmental Operations will be consulted with each change of fee. The approach taken in this Rule bypasses that process as well as the processes set out in the APA for rule amendment. Consider reverting to the original language.

1	1 NCAC 36 .06	03 is amended, with changes, as published in 33:1 NCR 46 as follows:
2		
3	21 NCAC 36 .0	603 CERTIFICATE OF REGISTRATION
4	(a) A Certific	eate of Registration for a Professional Limited Liability Company shall remain effective unti
5	December 31 of	f the year in which it was issued unless suspended or terminated as provided by law.
6	(b) A Certific	ate of Registration shall be renewed annually on application forms supplied by the Board. The
7	application shall be accompanied by a renewal the maximum allowable renewal fee as set by Rule .0605 of the	
8	Sectionforth in G.S. 57D.	
9		
10	History Note:	Authority G.S. 55B-10; 55B-11; <del>57C-2-01;</del> <u>57D-2-01; 57D-2-02;</u> 90-171.23;
11		Eff. August 1, 1998;
12		Amended Eff. [November 1, 2018;] December 1, 2018; November 1, 2008;
13		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 9
14		2018.

AGENCY: Board of Nursing

RULE CITATION: 21 NCAC 36 .0604

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

Line 4 – replace "when" with "if"

1	21 NCAC 36 .0	604 is amended, with changes, as published in 33:1 NCR 46 as follows:
2		
3	21 NCAC 36 .0	GENERAL AND ADMINISTRATIVE PROVISIONS
4	The Board sha	Il issue the certificate authorizing transfer of membership when membership is transferred in the
5	company. This transfer form shall be permanently retained by the company. The membership books of the company	
6	shall be kept at	the principal office of the company and shall be subject to inspection by authorized agents of the
7	Board.	
8		
9	History Note:	Authority G.S. <del>55B-6;</del> 55B-12; <del>57C-2-01;</del> <u>57D;</u>
10		Eff. August 1, 1998;
11		Amended Eff. [November 1, 2018;] December 1, 2018;
12		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 9,
13		2018.

AGENCY: Board of Nursing

RULE CITATION: 21 NCAC 36 .0605

**DEADLINE FOR RECEIPT: Friday, November 9, 2018** 

<u>PLEASE NOTE:</u> This request may extend to several pages. Please be sure you have reached the end of the document.

The Rules Review Commission staff has completed its review of this Rule prior to the Commission's next meeting. The Commission has not yet reviewed this Rule and therefore there has not been a determination as to whether the Rule will be approved. You may call our office to inquire concerning the staff recommendation.

In reviewing this Rule, the staff recommends that the following technical changes be made:

What statute authorizes the Board to set this fee in this way? G.S. 12-3.1 clearly contemplates that the Board will set the fee in such a way that the Joint Legislative Commission on Governmental Operations will be consulted with each change of fee. The approach taken in this Rule bypasses that process as well as the processes set out in the APA for rule amendment. Consider reverting to the original language.

1	21 NCAC 36 .0	605 is amended, with changes, as published in 33:1 NCR 46 as follows:
2		
3	21 NCAC 36 .0	605 FEES
4	(a) The fee fo	or both an initial Certificate of Registration and renewal is fifty dollars (\$50.00). the maximum
5	allowable fee as	set forth in G.S. 57D.
6	(b) The fee for	renewal of a Certificate of Registration is twenty-five dollars (\$25.00).
7		
8	History Note:	Authority G.S. 55B-10; 55B-11; <del>57C 2-01;</del> <u>57D;</u> 90-171.23;
9		Eff. August 1, 1998;
10		Amended Eff. [November 1, 2018;] <u>December 1, 2018;</u> November 1, 2008;
11		Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. January 9,
12		2018.

## 21 NCAC 36 .0801 is readopted, with changes, as published in 33:1 NCR 46 as follows:

## 1 2 3 **DEFINITIONS** 21 NCAC 36 .0801 4 The following definitions apply to this Section: 5 "Approval to Practice" means authorization by the Medical Board and the Board of Nursing for a (1) 6 nurse practitioner to perform medical acts within her or his area of educational preparation and 7 certification under a collaborative practice agreement (CPA) with a licensed physician in accordance 8 with this Section. 9 (2) "Back-up Supervising Physician" means the licensed physician who, by signing an agreement with the 10 nurse practitioner and the primary supervising physician(s) shall provide supervision, collaboration, 11 consultation and evaluation of medical acts by the nurse practitioner in accordance with the collaborative practice agreement when the Primary Supervising Physician is not available. Back-up 12 13 supervision shall be in compliance with the following: 14 The signed and dated agreements for each back-up supervising physician(s) shall be (a) 15 maintained at each practice site. 16 (b) A physician in a graduate medical education program, whether fully licensed or holding only 17 a resident's training license, shall not be named as a back-up supervising physician. 18 (c) A fully licensed physician in a graduate medical education program who is also practicing in 19 a non-training situation and has a signed collaborative practice agreement with the nurse 20 practitioner and the primary supervising physician may be a back-up supervising physician 21 for a nurse practitioner in the non-training situation. 22 (3) "Board of Nursing" means the North Carolina Board of Nursing. 23 (4) "Collaborative practice agreement" means the arrangement for nurse practitioner-physician continuous 24 availability to each other for ongoing supervision, consultation, collaboration, referral and evaluation 25 of care provided by the nurse practitioner. 26 (5) "Disaster" means a state of disaster as defined in G.S. 166A-4(1a) and proclaimed by the Governor, or

North Carolina. **(7)** "Medical Board" means the North Carolina Medical Board.

by the General Assembly pursuant to G.S. 166A-6.

"National Credentialing Body" means one of the following credentialing bodies that offers (8) certification and re-certification in the nurse practitioner's specialty area of practice:

"Joint Subcommittee" means the subcommittee composed of members of the Board of Nursing and

members of the Medical Board to whom responsibility is given by G.S. 90-8.2 and G.S. 90-

171.23(b)(14) to develop rules to govern the performance of medical acts by nurse practitioners in

- American Nurses Credentialing Center (ANCC); (a)
- (b) American Academy of Nurse Practitioners (AANP);
- American Association of Critical Care Nurses Certification Corporation (AACN); (c)

(6)

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1		(d) National Certification Corporation of the Obstetric Gynecologic and Neonatal Nursing
2		Specialties (NCC); and
3		(e) the Pediatric Nursing Certification Board (PNCB).
4	(9)	"Nurse Practitioner" or "NP" means a currently licensed registered nurse approved to perform medical
5		acts consistent with the nurse's area of nurse practitioner academic educational preparation and
6		national certification under an agreement with a licensed physician for ongoing supervision,
7		consultation, collaboration and evaluation of the medical acts performed. Such medical acts are in
8		addition to those nursing acts performed by virtue of registered nurse (RN) licensure. The NP is held
9		accountable under the RN license for those nursing acts that he or she may perform.
10	(10)	"Primary Supervising Physician" means the licensed physician who shall provide ongoing supervision,
11		collaboration, consultation and evaluation of the medical acts performed by the nurse practitioner as
12		defined in the collaborative practice agreement. Supervision shall be in compliance with the following:
13		(a) The primary supervising physician shall assure both Boards that the nurse practitioner is
14		qualified to perform those medical acts described in the collaborative practice agreement.
15		(b) A physician in a graduate medical education program, whether fully licensed or holding only
16		a resident's training license, shall not be named as a primary supervising physician.
17		(c) A fully licensed physician in a graduate medical education program who is also practicing in
18		a non-training situation may supervise a nurse practitioner in the non-training situation.
19	(11)	"Registration" means authorization by the Medical Board and the Board of Nursing for a registered
20		nurse to use the title nurse practitioner in accordance with this Section.
21	(12)	"Supervision" means the physician's function of overseeing medical acts performed by the nurse
22		practitioner.
23	(13)	"Volunteer Approval" means approval to practice consistent with this rule except without expectation
24		of direct or indirect compensation or payment (monetary, in kind or otherwise) to the nurse
25		practitioner.
26		
27	History Note:	Authority G.S. 90-8.1; 90-8.2; 90-18(14); 90-18.2; 90-171.20(4); 90-171.20(7); 90-171.23(b); 90-
28		171.83;
29		Recodified from 21 NCAC 36 .0227(a) Eff. August 1, 2004;
30		Amended Eff. [November 1, 2018;] December 1, 2018; September 1, 2012; December 1, 2009;
31		December 1, 2006; August 1, 2004.

21 NCAC 36 .0802 is readopted, with changes, as published in 33:1 NCR 46 as follows: 1 2 3 21 NCAC 36 .0802 **SCOPE OF PRACTICE** 4 A nurse practitioner shall be held accountable by both Boards for the continuous and comprehensive management of a 5 broad range of personal health services for which the nurse practitioner is educationally prepared and for which 6 competency has been maintained, with physician supervision and collaboration as described in Rule .0810 of this 7 Section. These services include but are not restricted to: 8 (1) promotion and maintenance of health; 9 (2) prevention of illness and disability; 10 (3) diagnosing, treating and managing acute and chronic illnesses; 11 (4) guidance and counseling for both individuals and families; 12 (5) prescribing, administering and dispensing therapeutic measures, tests, procedures and drugs; 13 (6) planning for situations beyond the nurse practitioner's expertise, and consulting with and referring to 14 other health care providers as appropriate; and 15 **(7)** evaluating health outcomes. 16 17 Authority G.S. 90-18(14); 90-171.20(7); 90-171.23(b)(14); History Note: 18 Recodified from 21 NCAC 36 .0227(b) Eff. August 1, 2004; 19 Amended Eff. [November 1, 2018;] December 1, 2018; August 1, 2004.

1	21 NCAC 36 .0	803 is readopted, with changes, as published in 33:1 NCR 46 as follows:
2		
3	21 NCAC 36 .0	803 NURSE PRACTITIONER REGISTRATION
4	(a) The Board	of Nursing shall register an applicant as a nurse practitioner who:
5	(1)	has an unrestricted license to practice as a registered nurse in North Carolina and, when applicable, an
6		unrestricted approval, registration or license as a nurse practitioner in another state, territory, or
7		possession of the United States;
8	(2)	has successfully completed a nurse practitioner education program as outlined in Rule .0805 of this
9		Section;
10	(3)	is certified as a nurse practitioner by a national credentialing body consistent with 21 NCAC 36
11		.0801(8); and
12	(4)	has supplied additional information necessary to evaluate the application as requested.
13	(b) Beginning	January 1, 2005, new graduates of a nurse practitioner program, who are seeking first-time nurse
14	practitioner regi	istration in North Carolina shall:
15	(1)	hold a Master's or higher degree in Nursing or related field with primary focus on Nursing;
16	(2)	have successfully completed a graduate level nurse practitioner education program accredited by a
17		national accrediting body; and
18	(3)	provide documentation of certification by a national credentialing body.
19		
20	History Note:	Authority G.S. 90-18(c)(13); 90-18.2; 90-171.20(7); 90-171.23(b); 90-171.83;
21		Eff. August 1, 2004;
22		Amended Eff. [November 1, 2018;] December 1, 2018; September 1, 2012; November 1, 2008;
23		December 1, 2006.

1	21 NCAC 36 .08	04 is readopted, with changes, as published in 33:1 NCR 46 as follows:
2		
3	21 NCAC 36 .08	04 PROCESS FOR APPROVAL TO PRACTICE
4	(a) Prior to the p	erformance of any medical acts, a nurse practitioner shall:
5	(1)	meet registration requirements as specified in 21 NCAC 36 .0803;
6	(2)	submit an application for approval to practice;
7	(3)	submit any additional information necessary to evaluate the application as requested; and
8	(4)	have a collaborative practice agreement with a primary supervising physician.
9	(b) A nurse prac	titioner seeking approval to practice who has not practiced as a nurse practitioner in more than two
10	years shall comp	elete a nurse practitioner refresher course approved by the Board of Nursing in accordance with
11	Paragraphs (o) as	nd (p) of 21 NCAC 36 .0220 and consisting of common conditions and their management directly
12	related to the nur	se practitioner's area of education and certification. A nurse practitioner refresher course participant
13	shall be granted a	in approval to practice that is limited to clinical activities required by the refresher course.
14	(c) The nurse pr	actitioner shall not practice until notification of approval to practice is received from the Board of
15	Nursing after bot	h Boards have approved the application.
16	(d) The nurse p	ractitioner's approval to practice is terminated when the nurse practitioner discontinues working
17	within the approv	ved nurse practitioner collaborative practice agreement, or experiences an interruption in her or his
18	registered nurse	licensure status, and the nurse practitioner shall so notify the Board of Nursing in writing. The
19	Boards shall exte	and the nurse practitioner's approval to practice in cases of emergency such as injury, sudden illness
20	or death of the pr	imary supervising physician.
21	(e) Applications	for approval to practice in North Carolina shall be submitted to the Board of Nursing and then
22	approved by both	Boards as follows:
23	(1)	the Board of Nursing shall verify compliance with Rule .0803 and Paragraph (a) of this Rule; and
24	(2)	the Medical Board shall verify that the designated primary supervising physician holds a valid
25		license to practice medicine in North Carolina and compliance with Paragraph (a) of this Rule.
26	(f) Applications	for approval of changes in practice arrangements for a nurse practitioner currently approved to
27	practice in North	Carolina shall be submitted by the applicant as follows:
28	(1)	addition or change of primary supervising physician shall be submitted to the Board of Nursing
29		and processed pursuant to protocols developed by both Boards; and
30	(2)	request for change(s) in the scope of practice shall be submitted to the Joint Subcommittee.
31	(g) A registered	nurse who was previously approved to practice as a nurse practitioner in this state who reapplies for
32	approval to pract	ice shall:
33	(1)	meet the nurse practitioner approval requirements as stipulated in Rule .0808(c) of this Section;
34		and
35	(2)	complete the appropriate application.

- 1 (h) Volunteer Approval to Practice. The North Carolina Board of Nursing shall grant approval to practice in a
- 2 volunteer capacity to a nurse practitioner who has met the qualifications to practice as a nurse practitioner in North
- 3 Carolina.
- 4 (i) The nurse practitioner shall pay the appropriate fee as outlined in Rule .0813 of this Section.
- 5 (j) A Nurse Practitioner approved under this Section shall keep proof of current licensure, registration and approval
- 6 available for inspection at each practice site upon request by agents of either Board.

- 8 History Note: Authority G.S. 90-18(13), (14); 90-18.2; 90-171.20(7); 90-171.23(b);
- 9 Recodified from 21 NCAC 36 .0227(c) Eff. August 1, 2004;
- 10 Amended Eff. [November 1, 2018;] <u>December 1, 2018;</u> November 1, 2013; January 1, 2013;
- 11 December 1, 2009; November 1, 2008; January 1, 2007; August 1, 2004.

1	21 NCAC 36 .0	805 is readopted, with changes, as published in 33:1 NCR 46 as follows:
2		
3	21 NCAC 36 .0	805 EDUCATION AND CERTIFICATION REQUIREMENTS FOR REGISTRATION AS
4		A NURSE PRACTITIONER
5	(a) A nurse prac	etitioner with first-time approval to practice after January 1, 2000, shall provide evidence of certification
6	or recertification	n as a nurse practitioner by a national credentialing body.
7	(b) A nurse pra	ctitioner applicant who completed a nurse practitioner education program prior to December 31, 1999
8	shall provide ev	idence of successful completion of a course of education that contains a core curriculum including 400
9	contact hours of	of didactic education and 400 hours of preceptorship or supervised clinical experience. The core
10	curriculum shal	l contain the following components:
11	(1)	health assessment and diagnostic reasoning including:
12		(A) historical data;
13		(B) physical examination data;
14		(C) organization of data base;
15	(2)	pharmacology;
16	(3)	pathophysiology;
17	(4)	clinical management of common health problems and diseases such as the following shall be evident
18		in the nurse practitioner's academic program:
19		(A) respiratory system;
20		(B) cardiovascular system;
21		(C) gastrointestinal system;
22		(D) genitourinary system;
23		(E) integumentary system;
24		(F) hematologic and immune systems;
25		(G) endocrine system;
26		(H) musculoskeletal system;
27		(I) infectious diseases;
28		(J) nervous system;
29		(K) behavioral, mental health and substance abuse problems;
30	(5)	clinical preventative services including health promotion and prevention of disease;
31	(6)	client education related to Subparagraph (b)(4)–(5) of this Rule; and
32	(7)	role development including legal, ethical, economical, health policy and interdisciplinary collaboration
33		issues.
34	(c) Nurse practi	tioner applicants exempt from components of the core curriculum requirements listed in Paragraph (b) of
35	this Rule are:	
36	(1)	Any nurse practitioner approved to practice in North Carolina prior to January 18, 1981, is
37		permanently exempt from the core curriculum requirement.

1	(2)	A nurse practitioner certified by a national credentialing body prior to January 1, 1998, who also
2		provides evidence of satisfying Subparagraph (b)(1)-(3) of this Rule shall be exempt from core
3		curriculum requirements in Subparagraph (b)(4)-(7) of this Rule. Evidence of satisfying
4		Subparagraph (b)(1)–(3) of this Rule shall include:
5		(A) a narrative of course content; and
6		(B) contact hours.
7		
8	History Note:	Authority G.S. 90-18(14); 90-171.42;
9		Recodified from 21 NCAC 36.0227(d) Eff. August 1, 2004;
10		Amended Eff. [November 1, 2018;] December 1, 2018; December 1, 2009; December 1, 2006; August
11		1, 2004.

1	21 NCAC 36 .0806 is readopted, with changes, as published in 33:1 NCR 46 as follows:					
2						
3	21 NCAC 36 .0	806 ANNUAL RENEWAL				
4	(a) Each registe	ered nurse who is approved to practice as a nurse practitioner in this State shall annually renew each				
5	approval to prac	tice with the Board of Nursing no later than the last day of the nurse practitioner's birth month by:				
6	(1)	Maintaining current RN licensure;				
7	(2)	Maintaining certification as a nurse practitioner by a national credentialing body identified in Rule				
8		.0801(8) of this Section;				
9	(3)	Submitting the fee required in Rule .0813 of this Section; and				
10	(4)	Completing the renewal application.				
11	(b) If the nurse practitioner has not renewed by the last day of her or his birth month, the approval to practice as a					
12	nurse practitioner shall lapse.					
13						
14	History Note:	Authority G.S. 90-8.1; 90-8.2; 90-18(c)(14); 90-171.23(b)(14); 90-171.83;				
15		Recodified from 21 NCAC 36.0227(e) Eff. August 1, 2004;				
16		Amended Eff. [November 1, 2018;] December 1, 2018; March 1, 2017; December 1, 2009;				
17		November 1, 2008; August 1, 2004.				

1 21 NCAC 36 .0807 is readopted, with changes, as published in 33:1 NCR 46 as follows: 2 3 21 NCAC 36 .0807 **CONTINUING EDUCATION (CE)** 4 In order to maintain nurse practitioner approval to practice, the nurse practitioner shall earn 50 contact hours of 5 continuing education each year beginning with the first renewal after initial approval to practice has been granted. 6 At least 20 hours of the required 50 hours must be those hours for which approval has been granted by the American 7 Nurses Credentialing Center (ANCC) or Accreditation Council on Continuing Medical Education (ACCME), other 8 national credentialing bodies, or practice relevant courses in an institution of higher learning. Every nurse 9 practitioner who prescribes controlled substances shall complete at least one hour of the total required continuing 10 education (CE) hours annually consisting of CE designed specifically to address controlled substance prescribing 11 practices, signs of the abuse or misuse of controlled substances, and controlled substance prescribing for chronic 12 pain management. Documentation shall be maintained by the nurse practitioner for the previous five calendar years 13 and made available upon request to either Board. 14 15 History Note: Authority G.S. 90-5.1; 90-8.1; 90-8.2; 90-14(a)(15); 90-18(c)(14); 90-171.23(b)(14); 90-171.42; 16 S.L. 2015-241, s 12F; 17 Recodified from 21 NCAC 36.0227(f) Eff. August 1, 2004; 18 Amended Eff. [November 1, 2018;] December 1, 2018; March 1, 2017; December 1, 2009; April 19 1, 2008; August 1, 2004.

21 NCAC 36 .0808 is readopted, with changes, as published in 33:1 NCR 46 as follows:

1 2 3

## 21 NCAC 36 .0808 INACTIVE STATUS

- 4 (a) Any nurse practitioner who wishes to place her or his approval to practice on an inactive status shall notify the
- 5 Board of Nursing in writing.
- 6 (b) A nurse practitioner with an inactive approval to practice status shall not practice as a nurse practitioner.
- 7 (c) A nurse practitioner with an inactive approval to practice status who reapplies for approval to practice shall meet
- 8 the qualifications for approval to practice in Rules .0803(a)(1), .0804(a) and (b), .0807, and .0810 of this Section and
- 9 receive notification from the Board of Nursing of approval prior to beginning practice after the application is
- approved by both Boards.
- 11 (d) A nurse practitioner who has not practiced as a nurse practitioner in more than two years shall complete a nurse
- 12 practitioner refresher course approved by the Board of Nursing in accordance with Paragraphs (o) and (p) of 21
- 13 NCAC 36 .0220 and consisting of common conditions and management of these conditions directly related to the
- 14 nurse practitioner's area of education and certification. A nurse practitioner refresher course participant shall be
- 15 granted an approval to practice that is limited to clinical activities required by the refresher course.

16

- 17 History Note: Authority G.S. 90-18(13); 90-18.2; 90-171.36; 90-171.83;
  - Recodified from 21 NCAC 36 .0227(g) Eff. August 1, 2004;
- 19 Amended Eff. [November 1, 2018;] December 1, 2018; November 1, 2013; January 1, 2013;
- 20 December 1, 2009; December 1, 2006; August 1, 2004.

1	21 NCAC 36 .08	809 is r	eadopted, with changes, as published in 33:1 NCR 46 as follows:
2			
3	21 NCAC 36 .08	809	PRESCRIBING AUTHORITY
4	(a) The prescrib	ing stip	oulations contained in this Rule apply to writing prescriptions and ordering the administration
5	of medications.		
6	(b) Prescribing	and disp	pensing stipulations are as follows:
7	(1)	Drugs	s and devices that may be prescribed by the nurse practitioner in each practice site shall be
8		includ	led in the collaborative practice agreement as outlined in Rule .0810(2) of this Section.
9	(2)	Contr	olled Substances (Schedules II, IIN, III, IIIN, IV, V) defined by the State and Federal
10		Contr	rolled Substances Acts may be procured, prescribed, or ordered as established in the
11		collab	porative practice agreement, providing all of the following requirements are met:
12		(A)	the nurse practitioner has an assigned DEA number that is entered on each prescription
13			for a controlled substance;
14		(B)	refills may be issued consistent with Controlled Substance laws and regulations; and
15		(C)	the supervising physician(s) shall possess the same schedule(s) of controlled substances
16			as the nurse practitioner's DEA registration.
17	(3)	The n	nurse practitioner may prescribe a drug or device not included in the collaborative practice
18		agreei	ment only as follows:
19		(A)	upon a specific written or verbal order obtained from a primary or back-up supervising
20			physician before the prescription or order is issued by the nurse practitioner; and
21		(B)	the written or verbal order as described in Part (b)(3)(A) of this Rule shall be entered into
22			the patient record with a notation that it is issued on the specific order of a primary or
23			back-up supervising physician and signed by the nurse practitioner and the physician.
24	(4)	Each	prescription shall be noted on the patient's chart and include the following information:
25		(A)	medication and dosage;
26		(B)	amount prescribed;
27		(C)	directions for use;
28		(D)	number of refills; and
29		(E)	signature of nurse practitioner.
30	(5)	Presci	ription Format:
31		(A)	all prescriptions issued by the nurse practitioner shall contain the supervising physician(s)
32			name, the name of the patient, and the nurse practitioner's name, telephone number, and
33			approval number;
34		(B)	the nurse practitioner's assigned DEA number shall be written on the prescription form
35			when a controlled substance is prescribed as defined in Subparagraph (b)(2) of this Rule.
36	(6)	A nur	rse practitioner shall not prescribe controlled substances, as defined by the State and Federal
37		Contr	rolled Substances Acts, for the following:

1		(A)	nurse p	ractitioner's own use;
2		(B)	nurse j	practitioner's supervising physician;
3		(C)	memb	er of the nurse practitioner's immediate family, which shall mean a:
4			(i)	spouse;
5			(ii)	parent;
6			(iii)	child;
7			(iv)	sibling;
8			(v)	parent-in-law;
9			(vi)	son or daughter-in-law;
10			(vii)	brother or sister-in-law;
11			(viii)	step-parent;
12			(ix)	step-child; or
13			(x)	step-siblings;
14		(D)	any oth	ner person living in the same residence as the licensee; or
15		(E)	anyone	with whom the nurse practitioner is having a sexual relationship.
16	(c) The nurse p	ractition	er may ob	tain approval to dispense the drugs and devices other than samples included in the
17	collaborative pr	actice ag	reement f	For each practice site from the Board of Pharmacy, and dispense in accordance with
18	21 NCAC 46 .1	703 that i	s hereby	incorporated by reference including subsequent amendments.
19				
20	History Note:	Author	ity G.S. 9	90-8.1; 90-8.2; 90-18.2; 90-18(c)(14); 90-171.23(b)(14);
21		Recodi	fied from	21 NCAC 36 .0227(h) Eff. August 1, 2004;
22		Amend	ed Eff. <mark>[</mark>	<del>November 1, 2018;</del> ] <u>December 1, 2018;</u> March 1, 2017; December 1, 2012; April
23		1, 201	l; Novem	ber 1, 2008; August 1, 2004.

1	21 NCAC 30 .0	810 IS re	eadopted	, with changes, as published in 33:1 NCK 46 as follows:		
2						
3	21 NCAC 36 .0810		QUAI	QUALITY ASSURANCE STANDARDS FOR A COLLABORATIVE PRACTICE		
4			AGRI	EEMENT		
5	The following a	re the qu	ıality assı	rance standards for a collaborative practice agreement:		
6	(1)	Availa	ability: Tl	ne primary or back-up supervising physician(s) and the nurse practitioner shall be		
7		contin	uously av	railable to each other for consultation by direct communication or telecommunication.		
8	(2)	Collab	orative P	ractice Agreement:		
9		(a)	shall b	e agreed upon and signed by both the primary supervising physician and the nurse		
10			practit	ioner, and maintained in each practice site;		
11		(b)	shall b	e reviewed at least yearly. This review shall be acknowledged by a dated signature		
12			sheet,	signed by both the primary supervising physician and the nurse practitioner, appended		
13			to the	collaborative practice agreement and available for inspection by members or agents of		
14			either	Board;		
15		(c)	shall i	nclude the drugs, devices, medical treatments, tests and procedures that may be		
16			prescri	ibed, ordered and performed by the nurse practitioner consistent with Rule .0809 of		
17			this Se	ection; and		
18		(d)	shall ii	nclude a pre-determined plan for emergency services.		
19	(3)	The n	urse prac	titioner shall demonstrate the ability to perform medical acts as outlined in the		
20		collab	orative pr	ractice agreement upon request by members or agents of either Board.		
21	(4)	Qualit	y Improv	ement Process.		
22		(a)	The pr	imary supervising physician and the nurse practitioner shall develop a process for the		
23			ongoir	ng review of the care provided in each practice site including a written plan for		
24			evalua	ting the quality of care provided for one or more frequently encountered clinical		
25			proble	ms.		
26		(b)	This p	lan shall include a description of the clinical problem(s), an evaluation of the current		
27			treatm	ent interventions, and if needed, a plan for improving outcomes within an identified		
28			time-fi	rame.		
29		(c)	The qu	uality improvement process shall include scheduled meetings between the primary		
30			superv	ising physician and the nurse practitioner at least every six months. Documentation		
31			for eac	ch meeting shall:		
32			(i)	identify clinical problems discussed, including progress toward improving		
33				outcomes as stated in Sub-item (4)(b) of this Rule, and recommendations, if any, for		
34				changes in treatment plan(s);		
35			(ii)	be signed and dated by those who attended; and		

1		(iii)	be available for review by members or agents of either Board for the previous five
2			calendar years and be retained by both the nurse practitioner and primary
3			supervising physician.
4	(5)	Nurse Practitio	ner-Physician Consultation. The following requirements establish the minimum
5		standards for co	onsultation between the nurse practitioner and primary supervising physician(s):
6		(a) During	g the first six months of a collaborative practice agreement between a nurse practitioner
7		and th	e primary supervising physician, there shall be monthly meetings for the first six
8		month	s to discuss practice relevant clinical issues and quality improvement measures.
9		(b) Docum	nentation of the meetings shall:
10		(i)	identify clinical issues discussed and actions taken;
11		(ii)	be signed and dated by those who attended; and
12		(iii)	be available for review by members or agents of either Board for the previous five
13			calendar years and be retained by both the nurse practitioner and primary
14			supervising physician.
15			
16	History Note:	Authority G.S 9	0-8.1; 90-8.2; 90-18(14); 90-18.2; 90-171.23(b)(14);
17		Recodified from	n 21 NCAC 36 .0227(i) Eff. August 1, 2004;
18		Amended Eff. [1	November 1, 2018;] <u>December 1, 2018;</u> December 1, 2009; August 1, 2004.

I	21 NCAC 36 .0	811 is readopted, with changes, as published in 33:1 NCR 46 as follows:
2		
3	21 NCAC 36 .0	METHOD OF IDENTIFICATION
4	When providing	g care to the public, the nurse practitioner shall identify herself/himself as specified in G.S. 90-640 and 21
5	NCAC 36 .023	I.
6		
7	History Note:	Authority G.S. 90-18(14); 90-640;
8		Recodified from 21 NCAC 36 .0227(j) Eff. August 1, 2004;
9		Amended Eff. [November 1, 2018;] December 1, 2018; August 1, 2004.

21 110/10 30 .00	12 is readopted, with changes, as published in 33.1 NCK 40 as follows.
21 NCAC 36 .08	12 DISCIPLINARY ACTION
(a) After notice a	and hearing in accordance with provisions of G. S. 150B, Article 3A, disciplinary action may be taken
by the appropriate	e Board if one or more of the following is found:
(1)	violation of G.S. 90-18 and G.S. 90-18.2 or the joint rules adopted by each Board;
(2)	immoral or dishonorable conduct pursuant to and consistent with G.S. 90-14(a)(1);
(3)	any submissions to either Board pursuant to and consistent with G.S. 90-14(a)(3);
(4)	the nurse practitioner is adjudicated mentally incompetent or the nurse practitioner's mental or physical
10	condition renders the nurse practitioner unable to safely function as a nurse practitioner pursuant to
	and consistent with G.S. 90-14(a)(5) and G.S. 90-171.37(3);
(5)	unprofessional conduct by reason of deliberate or negligent acts or omissions and contrary to the
13	prevailing standards for nurse practitioners in accordance and consistent with G.S. 90-14(a)(6) and
	G.S. 90-171.35(5);
(6)	conviction in any court of a criminal offense in accordance and consistent with G.S. 90-14(a)(7) and
	G.S. 90-171.37 (2) and G.S. 90-171.48;
(7)	payments for the nurse practitioner practice pursuant to and consistent with G.S. 90-14(a)(8);
(8)	lack of professional competence as a nurse practitioner pursuant to and consistent with G.S. 90-
	14(a)(11);
(9)	exploiting the client pursuant to and consistent with G.S. 90-14(a)(12) including the promotion of the
	sale of services, appliances, or drugs for the financial gain of the practitioner or of a third party;
22 (10) 23	failure to respond to inquires which may be part of a joint protocol between the Board of Nursing and
	Medical Board for investigation and discipline pursuant to and consistent with G.S. 90-14(a)(14);
(11)	the nurse practitioner has held himself or herself out or permitted another to represent the nurse
	practitioner as a licensed physician; or
(12)	the nurse practitioner has engaged or attempted to engage in the performance of medical acts other
	than according to the collaborative practice agreement.
(b) The nurse pra	actitioner is subject to G.S. 90-171.37; 90-171.48 and 21 NCAC 36 .0217 by virtue of the license to
practice as a regis	stered nurse.
(c) After an inve	stigation is completed, the joint subcommittee of both boards may recommend one of the following:
(1)	dismiss the case;
(2)	issue a private letter of concern;
(3)	enter into negotiation for a Consent Order; or
(4)	a disciplinary hearing in accordance with G.S. 150B, Article 3A. If a hearing is recommended, the
	joint  subcommittee  shall  also  recommend  whether  the  matter  should  be  heard  by  the  Board  of  Nursing  also  recommend  whether  the  matter  should  be  heard  by  the  Board  of  Nursing  also  recommend  whether  the  matter  should  be  heard  by  the  Board  of  Nursing  also  recommend  whether  the  matter  should  be  heard  by  the  Board  of  Nursing  also  recommend  whether  the  matter  should  be  heard  by  the  Board  of  Nursing  also  recommend  whether  the  matter  should  be  heard  by  the  Board  of  Nursing  also  recommend  whether  the  matter  should  be  heard  by  the  Board  of  Nursing  also  recommend  also  also
	or the Medical Board.
	21 NCAC 36 .08  (a) After notice a by the appropriate (1) (2) (3) (4)  (5)  (6)  (7) (8)  (9)  (10)  (11)  (12)  (b) The nurse propriate as a region (c) After an investigation (1) (2) (3)

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(d) Upon a finding of violation, each Board may utilize the range of disciplinary options as enumerated in G.S. 90-14(a) or G.S. 90-171.37.
History Note: Authority G.S. 90-18(c)(14); 90-171.37; 90-171.44; 90-171.47; 90-171.48;
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Amended Eff. [November 1, 2018;] December 1, 2018; April 1, 2007; August 1, 2004.

Recodified from 21 NCAC 36 .0227(k) Eff. August 1, 2004;

5

21 NCAC 36 .0813 is readopted, with changes, as published in 33:1 NCR 47 as follows:

2

- 3 21 NCAC 36 .0813 FEES
- 4 (a) An application fee of one hundred dollars (\$100.00) shall be paid at the time of initial application for approval to
- 5 practice and each subsequent application for approval to practice. The application fee shall be twenty dollars (\$20.00)
- 6 for volunteer approval.
- 7 (b) The fee for annual renewal of approval shall be fifty dollars (\$50.00).
- 8 (c) The fee for annual renewal of volunteer approval shall be ten dollars (\$10.00).
- 9 (d) No portion of any fee in this Rule is refundable.

- 11 *History Note:* Authority G.S. 90-6; 90-171.23(b)(14);
- 12 Recodified from 21 NCAC 36 .0227(1) Eff. August 1, 2004;
- 13 Amended Eff. [November 1, 2018;] <u>December 1, 2018;</u> November 1, 2008; August 1, 2004.

1 21 NCAC 36 .0814 is readopted, with changes, as published in 33:1 NCR 47 as follows: 2 3 21 NCAC 36 .0814 PRACTICING DURING A DISASTER 4 (a) A nurse practitioner approved to practice in this State or another state may perform medical acts, as a nurse 5 practitioner under the supervision of a physician licensed to practice medicine in North Carolina during a disaster in a 6 county in which a state of disaster has been declared or counties contiguous to a county in which a state of disaster has 7 been declared. 8 (b) The nurse practitioner shall notify the Board of Nursing in writing of the names, practice locations and telephone 9 numbers for the nurse practitioner and each primary supervising physician within 15 days of the first performance of 10 medical acts, as a nurse practitioner during the disaster, and the Board of Nursing shall notify the Medical Board. 11 (c) Teams of physician(s) and nurse practitioner(s) practicing pursuant to this Rule shall not be required to maintain on-12 site documentation describing supervisory arrangements and plans for prescriptive authority as otherwise required pursuant to Rules .0809 and .0810 of this Section. 13 14 15 History Note: Authority G.S. 90-18(c)(13), (14); 90-18.2; 90-171.23(b);

Amended Eff. [November 1, 2018;] December 1, 2018; December 1, 2009; August 1, 2004.

Recodified from 21 NCAC 36 .0227(m) Eff. August 1, 2004;

16

17

## 1 21 NCAC 36 .0815 is readopted, with changes, as published in 33:1 NCR 47 as follows: 2 3 21 NCAC 36 .0815 REPORTING CRITERIA 4 (a) The Department of Health and Human Services ("Department") may report to the North Carolina Board of 5 Nursing ("Board") information regarding the prescribing practices of those nurse practitioners ("prescribers") whose 6 prescribing: 7 (1) falls within the top two percent of those prescribing 100 morphine milligram equivalents 8 ("MME") per patient per day; or 9 (2) falls within the top two percent of those prescribing 100 MMEs per patient per day in combination 10 with any benzodiazepine and who are within the top one percent of all controlled substance prescribers by volume. 11 12 (b) In addition, the Department may report to the Board information regarding prescribers who have had two or 13 more patient deaths in the preceding 12 months due to opioid poisoning where the prescribers authorized more than 14 30 tablets of an opioid to the decedent and the prescriptions were written within 60 days of the patient deaths. 15 (c) The Department may submit these reports to the Board upon request and may include the information described 16 in G.S. 90-113.73(b). 17 (d) The reports and communications between the Department and the Board shall remain confidential pursuant to 18 G.S. 90-113.74.

Amended Eff. [November 1, 2018;] <u>December 1, 2018;</u> May 1, 2018.

19 20

21

22

History Note:

Authority G.S. 90-113.74;

Eff. April 1, 2016;